

Some estimates for the average of the error term of the Mertens product for arithmetic progressions

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Abstract

We give estimates for the error term of the Mertens product over primes in arithmetic progressions of the Bombieri–Vinogradov and Barban–Davenport–Halberstam type.

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1 Introduction

Recall that γ denotes the Euler constant. In our paper [2] we proved a generalization to primes belonging to arithmetic progressions of the famous Mertens formula

$$\prod_{p \leq x} \left(1 - \frac{1}{p}\right) = \frac{e^{-\gamma}}{\log x} + O\left(\frac{1}{\log^2 x}\right) \quad \text{as } x \rightarrow +\infty,$$

which is uniform with respect to the modulus. This generalized and strengthened a previous result due to Williams [3] that dealt with a *fixed* arithmetic progression. Let $q \geq 1$ and a be integers with $(a, q) = 1$, and define

$$P(x; q, a) = \prod_{\substack{p \leq x \\ p \equiv a \pmod{q}}} \left(1 - \frac{1}{p}\right) \tag{1}$$

and

$$M(x; q, a) = \frac{C(q, a)}{(\log x)^{1/\varphi(q)}},$$

where φ is the Euler totient function. Here $C(q, a)$ is real and positive and satisfies

$$C(q, a)^{\varphi(q)} = e^{-\gamma} \prod_p \left(1 - \frac{1}{p}\right)^{\alpha(p; q, a)},$$

where $\alpha(p; q, a) = \varphi(q) - 1$ if $p \equiv a \pmod{q}$ and $\alpha(p; q, a) = -1$ otherwise.

In [2] we proved an asymptotic formula for the product in (1) of the form

$$P(x; q, a) = M(x; q, a)(1 + O(\text{Error Term})) \tag{2}$$

where both the size of error term and the range of uniformity for q depend on the existence of the “exceptional zero” (or “Siegel zero”) for a suitable set of Dirichlet L -functions: see Lemma 1 of [2] for an accurate description of this phenomenon, and Theorem 1 there for the precise statement.

Our aim here is to prove that, on average over q , the error term in (2) is small and that its order of magnitude is the one that can be obtained assuming the Generalized Riemann Hypothesis (GRH). In fact, Theorem 4 of [2] shows that the GRH implies the bound

$$P(x; q, a) = M(x; q, a) \left(1 + O\left((\log x) x^{-1/2} \right) \right)$$

as $x \rightarrow +\infty$, uniformly for every $q \leq x$ and any integer a with $(a, q) = 1$.

Our first result can be considered as an analogue of the Bombieri–Vinogradov theorem for primes in arithmetic progressions (see *e.g.* §28 of Davenport [1]) and its proof is based on it.

Theorem 1. *For every $A > 0$ there exists a constant $B = B(A) > 0$ such that*

$$\sum_{q \leq Q} \max_{\substack{a=1, \dots, q \\ (a, q)=1}} \left| \log \frac{P(x; q, a)}{M(x; q, a)} \right| \ll (\log x)^{-A}$$

as $x \rightarrow +\infty$, where $Q = x^{1/2} (\log x)^{-B}$.

The proof shows that we may take $B = A + 4$. We also study two different but related averages of the same quantity.

Corollary 1. *For every $A > 0$ there exists a constant $B = B(A) > 0$ such that*

(i)

$$\sum_{q \leq Q} \max_{\substack{a=1, \dots, q \\ (a, q)=1}} \left| \frac{P(x; q, a)}{M(x; q, a)} - 1 \right| \ll (\log x)^{-A}$$

(ii)

$$\sum_{q \leq Q} \max_{\substack{a=1, \dots, q \\ (a, q)=1}} |P(x; q, a) - M(x; q, a)| \ll (\log x)^{-A}$$

as $x \rightarrow +\infty$ where, in both cases, $Q = x^{1/2} (\log x)^{-B}$.

Our second result can be considered as an analogue of the Barban–Davenport–Halberstam theorem for primes in arithmetic progressions (see *e.g.* §29 of Davenport [1]) and its proof is based on it.

Theorem 2. *For every $A > 0$ there exists a constant $B = B(A) > 0$ such that*

$$\sum_{q \leq Q} \sum_{\substack{a=1 \\ (a, q)=1}}^q \left(\log \frac{P(x; q, a)}{M(x; q, a)} \right)^2 \ll (\log x)^{-A}$$

as $x \rightarrow +\infty$, where $Q = x (\log x)^{-B}$.

Corollary 2. *For every $A > 0$ there exists a constant $B = B(A) > 0$ such that*

(i)

$$\sum_{q \leq Q} \sum_{\substack{a=1 \\ (a, q)=1}}^q \left(\frac{P(x; q, a)}{M(x; q, a)} - 1 \right)^2 \ll (\log x)^{-A}$$

(ii)

$$\sum_{q \leq Q} \sum_{\substack{a=1 \\ (a,q)=1}}^q (P(x; q, a) - M(x; q, a))^2 \ll (\log x)^{-A}$$

as $x \rightarrow +\infty$ where, in both cases, $Q = x(\log x)^{-B}$.

2 Proof of Theorem 1

Let $L(x) = \exp\left((\log x)^{3/5}(\log \log x)^{-1/5}\right)$. The proof is based on the identity

$$\log \frac{P(x; q, a)}{M(x; q, a)} = -\frac{1}{\varphi(q)} \sum_{\chi \neq \chi_0} \bar{\chi}(a) \sum_{p > x} \chi(p) \log\left(1 - \frac{1}{p}\right) + R(x) \quad (3)$$

where

$$R(x) = \frac{1}{\varphi(q)} \left(\gamma + \log \log x + \sum_{p \leq x} \log\left(1 - \frac{1}{p}\right) \right). \quad (4)$$

Identity (3) is proved combining (10) and Lemma 6 in [2]. In fact, using Williams' expression for $C(q, a)$ in the statement of his Theorem 1 we have

$$\log M(x; q, a) = \frac{1}{\varphi(q)} \left(-\gamma + \log \frac{q}{\varphi(q)} + \sum_{\chi \neq \chi_0} \sum_p \chi(p) \log\left(1 - \frac{1}{p}\right) - \log \log x \right),$$

while (10) and Lemma 6 from [2] imply that

$$\begin{aligned} \log P(x; q, a) &= \frac{1}{\varphi(q)} \sum_{\chi \bmod q} \bar{\chi}(a) \sum_{p \leq x} \chi(p) \log\left(1 - \frac{1}{p}\right) \\ &= \frac{1}{\varphi(q)} \left(\log \frac{q}{\varphi(q)} + \sum_{p \leq x} \log\left(1 - \frac{1}{p}\right) + \sum_{\chi \neq \chi_0} \bar{\chi}(a) \sum_{p \leq x} \chi(p) \log\left(1 - \frac{1}{p}\right) \right) \end{aligned}$$

and relations (3) and (4) follow at once.

Since $R(x) \ll L(x)^{-c} \varphi(q)^{-1}$ for some positive c by Lemma 5 in [2], its total contribution is $\ll L(x)^{-c} \log Q$ and therefore it is negligible. For $\chi \neq \chi_0$ let

$$S(x, \chi) = \sum_{p > x} \chi(p) \log\left(1 - \frac{1}{p}\right) = -\sum_{p > x} \frac{\chi(p)}{p} + O(x^{-1}). \quad (5)$$

The total contribution of the error term is $\ll Qx^{-1}$ and we may neglect it as well. For brevity, let

$$\theta(x, \chi) = \sum_{p \leq x} \chi(p) \log p \quad \text{and} \quad \Theta(x; q, a) = \frac{1}{\varphi(q)} \sum_{\chi \neq \chi_0} \bar{\chi}(a) \theta(x, \chi).$$

The presence of $\bar{\chi}(a)$ in the definition of Θ implies that we may drop the condition $(a, q) = 1$. By equation (9) of [2] we have

$$\sum_{q \leq Q} \frac{1}{\varphi(q)} \max_a \left| \sum_{\chi \neq \chi_0} \bar{\chi}(a) \sum_{p > x} \frac{\chi(p)}{p} \right| = \sum_{q \leq Q} \max_a \left| \frac{\Theta(x; q, a)}{x \log x} - \int_x^{+\infty} \Theta(t; q, a) \frac{\log t + 1}{t^2 (\log t)^2} dt \right|. \quad (6)$$

After a transition to primitive characters as on page 163 of Davenport [1], we see that

$$|\Theta(x; q, a)| \ll \log q + \frac{1}{\varphi(q)} \sum_{\chi \neq \chi_0} |\theta(x, \chi_1)|,$$

where χ_1 denotes the primitive character that induces χ . The total contribution of $\log q \leq \log Q$ is $\ll Q \log Q (x \log x)^{-1}$ which is negligible. We also notice that $\theta(x, \chi) = \psi(x, \chi) + O(x^{1/2})$, and that the total error term arising here is $\ll Qx^{-1/2}$. The triangle inequality now shows that, up to “small” error terms, the right hand side of (6) is

$$\leq \frac{1}{x \log x} \sum_{q \leq Q} \frac{1}{\varphi(q)} \sum_{\chi \neq \chi_0} |\psi(x, \chi_1)| + \int_x^{+\infty} \left(\sum_{q \leq Q} \frac{1}{\varphi(q)} \sum_{\chi \neq \chi_0} |\psi(t, \chi_1)| \right) \frac{\log t + 1}{t^2 (\log t)^2} dt + O(Qx^{-1/2}).$$

Arguing again as in page 163 of [1], we get

$$\sum_{q \leq Q} \frac{1}{\varphi(q)} \sum_{\chi \neq \chi_0} |\psi(t, \chi_1)| \ll \log x \sum_{q \leq Q} \frac{1}{\varphi(q)} \sum_{\chi \neq \chi_0}^* |\psi(t, \chi)|$$

and we conclude with $B = A + 4$ by an appeal to the following inequality, which is (3) in Chapter 28 of [1],

$$\sum_{q \leq Q} \frac{1}{\varphi(q)} \sum_{\chi \bmod q}^* \max_{y \leq x} |\psi'(y, \chi)| \ll x^{1/2} Q (\log x)^4,$$

where $\psi'(y, \chi) = \psi(y, \chi)$ if $\chi \neq \chi_0$ and $\psi'(y, \chi_0) = \psi(y, \chi_0) - y$.

3 Proof of Theorem 2

Recalling the inequality $|a + b|^2 \leq 2|a|^2 + 2|b|^2$ and using again (3) with $R(x) \ll L(x)^{-c} \varphi(q)^{-1}$ as above, we have

$$\begin{aligned} \sum_{q \leq Q} \sum_{a=1}^q \left| \log \frac{P(x; q, a)}{M(x; q, a)} \right|^2 &\leq 2 \sum_{q \leq Q} \sum_{a=1}^q \frac{1}{\varphi(q)^2} \sum_{\substack{\chi_1 \neq \chi_0 \\ \chi_2 \neq \chi_0}} \chi_1(a) \bar{\chi}_2(a) S(x, \chi_1) S(x, \bar{\chi}_2) + O\left(\frac{\log Q}{L(x)^{2c}}\right) \\ &= 2 \sum_{q \leq Q} \frac{1}{\varphi(q)^2} \sum_{\substack{\chi_1 \neq \chi_0 \\ \chi_2 \neq \chi_0}} S(x, \chi_1) S(x, \bar{\chi}_2) \sum_{a=1}^q \chi_1(a) \bar{\chi}_2(a) + O\left(\frac{\log Q}{L(x)^{2c}}\right) \\ &= 2 \sum_{q \leq Q} \frac{1}{\varphi(q)} \sum_{\chi \neq \chi_0} |S(x, \chi)|^2 + O\left(\frac{\log Q}{L(x)^{2c}}\right), \end{aligned}$$

where $S(x, \chi)$ is defined in (5). The contribution of the error term x^{-1} in (5) has size $\ll Qx^{-2}$. Hence, we need to prove the bound

$$\sum_{q \leq Q} \frac{1}{\varphi(q)} \sum_{\chi \neq \chi_0} \left| \sum_{p > x} \frac{\chi(p)}{p} \right|^2 \ll \frac{Q}{x}. \quad (7)$$

Arguing as in (6) and using again the inequality $|a + b|^2 \leq 2|a|^2 + 2|b|^2$, we see that the left hand side above is

$$\ll \sum_{q \leq Q} \frac{1}{\varphi(q)} \sum_{\chi \neq \chi_0} \left(\frac{|\theta(x, \chi)|^2}{(x \log x)^2} + \left| \int_x^{+\infty} \theta(t, \chi) \frac{(\log t + 1) dt}{(t \log t)^2} \right|^2 \right). \quad (8)$$

For the second summand, the Cauchy inequality shows that

$$\left| \int_x^{+\infty} \theta(t, \chi) \frac{(\log t + 1) dt}{(t \log t)^2} \right|^2 \leq \int_x^{+\infty} \frac{|\theta(t, \chi)|^2}{t^3} dt \int_x^{+\infty} \frac{(\log t + 1)^2 dt}{t(\log t)^4}.$$

It is easy to see that the second integral is $\ll (\log x)^{-1}$. The contribution of the second term in (8) is therefore

$$\ll (\log x)^{-1} \int_x^{+\infty} \left(\sum_{q \leq Q} \frac{1}{\varphi(q)} \sum_{\chi \neq \chi_0} |\theta(t, \chi)|^2 \right) \frac{dt}{t^3}.$$

After a transition to primitive characters as on page 163 of Davenport [1], we see that

$$\frac{1}{\varphi(q)} \sum_{\chi \neq \chi_0} |\theta(t, \chi)|^2 \ll \log^2 q + \frac{1}{\varphi(q)} \sum_{\chi \neq \chi_0} |\theta(x, \chi_1)|^2,$$

where χ_1 denotes the primitive character that induces χ . The total contribution of $\log^2 q \leq \log^2 Q$ is $\ll Q \log^2 Q (x^2 \log x)^{-1}$ which is negligible. Hence we have to prove that

$$(\log x)^{-1} \int_x^{+\infty} \left(\sum_{q \leq Q} \frac{1}{\varphi(q)} \sum_{\chi \neq \chi_0} |\theta(t, \chi_1)|^2 \right) \frac{dt}{t^3} \ll \frac{Q}{x}. \quad (9)$$

Recalling that $\theta(x, \chi) = \psi(x, \chi) + O(x^{1/2})$, the total error term arising here is $\ll Q(x \log x)^{-1}$. An appeal to the following inequality, which is the equation at line -7 of page 170 in Chapter 29 of [1],

$$\sum_{q \leq Q} \frac{1}{\varphi(q)} \sum_{\chi \bmod q} |\psi'(x, \chi_1)|^2 \ll xQ \log x,$$

where $\psi'(x, \chi) = \psi(x, \chi)$ if $\chi \neq \chi_0$ and $\psi'(x, \chi_0) = \psi(x, \chi_0) - x$, allows us to prove (9).

The first summand in (8) is treated analogously and its total contribution is $\ll Q(x \log x)^{-1}$. Hence (7) holds and so we can conclude that the Theorem 2 holds with $B = A$.

4 Proof of Corollaries 1 and 2

The proofs of these Corollaries are similar. The proof of point (i) is straightforward, since it depends on the fact that $e^u - 1 \ll |u|$ for bounded u . Here u is the left hand side of (3) and, to prove (i) of Corollary 1, it is enough to remark that it is $\ll (\log x)^{-A}$, uniformly for $Q = x^{1/2}(\log x)^{-B}$, by Theorem 1. For Corollary 2, it is $\ll (\log x)^{-A/2}$ uniformly for $Q = x(\log x)^{-B}$, by Theorem 2. We remark that, in both cases, u is obviously much smaller.

For the other points, equation (3) shows that

$$M(x; q, a) = P(x; q, a) \exp \left\{ \frac{1}{\varphi(q)} \sum_{\chi \neq \chi_0} \bar{\chi}(a) S(x, \chi) - R(x) \right\},$$

where R is defined in (4) and $S(x, \chi)$ is defined in (5). Thus

$$\begin{aligned} M(x; q, a) - P(x; q, a) &= P(x; q, a) \left(\exp \left\{ \frac{1}{\varphi(q)} \sum_{\chi \neq \chi_0} \bar{\chi}(a) S(x, \chi) - R(x) \right\} - 1 \right) \\ &\ll \left| \frac{1}{\varphi(q)} \sum_{\chi \neq \chi_0} \bar{\chi}(a) S(x, \chi) - R(x) \right|, \end{aligned}$$

by the same argument as above, since, obviously, $P(x; q, a) \leq 1$. This is enough to prove (ii) of Corollary 1. Squaring out both sides of the previous equation the second point of Corollary 2 follows.

References

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