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A rewriting calculus for cyclic higher-order term graphs

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Abstract

Introduced at the end of the nineties, the Rewriting Calculus (ρ -calculus, for short) is a simple calculus that fully integrates term-rewriting and λ -calculus. The rewrite rules, acting as elaborated abstractions, their application and the obtained structured results are first class objects of the calculus. The evaluation In this paper we propose an extension of the ρ -calculus, handling graph like structures rather than simple terms. The transformations are performed by explicit application of rewrite rules as first class entities. The possibility of expressing sharing and cycles allows one to represent and compute over regular infinite

entities.

The calculus over terms is naturally generalized by using unification constraints in addition to the standard ρ -calculus matching constraints. This therefore provides us with the basics for a natural extension of an explicit substitution calculus to term graphs. Several examples illustrating the introduced concepts are given.

Keywords: rewriting calculus, cyclic lambda calculus, term graphs, matching and unification constraints.

Introduction

Main interests for term rewriting steam from functional and rewrite based languages as well as from theorem proving. In particular, we can describe the behaviour of a functional or rewrite based program by analyzing some properties of the associated term rewriting system. In this framework, terms are often seen as trees but in order to improve the efficiency of the implementation of such languages, it is of fundamental interest to think and implement terms as graphs $[BvEG^+87]$. In this case, the possibility of sharing subterms allows to save space (by using multiple pointers to the same subterm instead of duplicating the subterm) and to save time (a redex appearing in a shared subterm will be reduced at most once and equality tests can be done in constant time when the sharing is maximal). We can take as example the definition of multiplication in a rewrite system $\mathcal{R} = \{x * 0 \rightarrow x \}$ 0, $x * s(y) \to (x * y) + x$. If we represent it using graphs, we will write the second rule by duplicating the reference to x instead of duplicating x itself (see Figure 1).

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Fig. 1. Examples of term graphs

Graph rewriting is a useful technique for the optimization of functional and declarative languages implementation [PJ87]. Moreover, the possibility to define cycles leads to an increased expressive power that allows one to represent easily regular infinite data structures. For example, the circular list *ones* = 1 : *ones*, where ":" denotes the concatenation operator, can be represented by the cyclic graph of Figure 1. Cyclic term graph rewriting has been widely studied, both from an operational [BvEG⁺87,AK96] and from a categorical/logical point of view [CG99] (see [SPvE93] for a survey on term graph rewriting).

In this context, an abstract model generalizing λ -calculus and adding cycles and sharing features has been proposed by Z. M. Ariola and J. W. Klop [AK97]. Their approach consists of an equational framework that models λ -calculus extended with explicit recursion. A λ -graph is treated as a system of recursion equations involving λ -terms and rewriting is described as a sequence of equational transformations. This work allows for the combination of graphical structures with the higher-order capabilities of λ -calculus. A last important ingredient is still missing: pattern matching. The possibility of discriminating using pattern matching could be encoded, in particular in λ -calculus, but it is much more attractive to directly discriminate and to use indeed rewriting. Programs become quite compact and the encoding of data type structures is no longer necessary.

The rewriting calculus (ρ -calculus, for short) has been introduced in the late nineties as a natural generalization of term rewriting and of the λ -calculus [CK01]. It has been shown to be a very expressive framework e.g. to express object calculi [CKL01] and it has been equipped with powerful type systems [BCKL03]. One essential component of the ρ -calculus are the matching constraints that are generated by the generalization of the β -reduction called ρ -reduction. By making this matching step explicit and the matching constraints first class objects of the calculus, we can allow for an explicit handling of constraints instead of substitutions [CFK04].

The first contribution of this paper consists of a new system, called the $\rho_{\rm g}$ -calculus, that generalizes cyclic λ -calculus as the standard ρ -calculus generalizes the classical λ -calculus. The $\rho_{\rm g}$ -calculus deals with cyclic terms with bound variables and can express vertical sharing as well as horizontal sharing by means of a list of recursion equations. In the $\rho_{\rm g}$ -calculus computations related to the matching are made explicit and performed at the object-level.

We then show that the ρ_{g} -calculus can simulate the ordinary ρ -calculus. For doing this, we prove that matching in the ρ_{g} -calculus behaves well w.r.t. the matching algorithm of the ρ -calculus and that for any ρ -reduction there exists a corresponding reduction in the ρ_{g} -calculus. We also show that the ρ_{g} -calculus is a natural exten-

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 $\begin{array}{ll} (\rho) & (\mathcal{T}_1 \to \mathcal{T}_2)\mathcal{T}_3 & \mapsto_{\rho} & [\mathcal{T}_1 \ll \mathcal{T}_3]\mathcal{T}_2 \\ (\sigma) & [\mathcal{T}_1 \ll \mathcal{T}_3]\mathcal{T}_2 & \mapsto_{\sigma} & \sigma_{(\mathcal{T}_1 \ll \mathcal{T}_3)}(\mathcal{T}_2) \\ (\delta) & (\mathcal{T}_1; \mathcal{T}_2)\mathcal{T}_3 & \mapsto_{\delta} & \mathcal{T}_1\mathcal{T}_3; \mathcal{T}_2\mathcal{T}_3 \end{array}$

Fig. 2. Small-step semantics of ρ -calculus

sion of the cyclic λ -calculus by proving that cyclic λ -terms can be translated into the $\rho_{\rm g}$ -calculus and that cyclic λ -reductions can be simulated in our system. We therefore get a common generalization of the cyclic λ -calculus and the ρ -calculus, providing a framework where matching, graphical structures and higher-order capabilities are primitive.

The paper is organized as follows. In the first section we briefly review the two systems which inspired our new calculus: the standard ρ -calculus [CK01] and the cyclic λ -calculus [AK97]. Section 2 and Section 3 describe respectively the syntax and the small-step semantics of the $\rho_{\rm g}$ -calculus giving some examples of terms and term reductions in the system. In Section 4 we show that the $\rho_{\rm g}$ -calculus is a generalization of the ρ -calculus and we show how cyclic λ -reductions can be simulated in $\rho_{\rm g}$ -calculus. We conclude in Section 5 by presenting some perspectives of future work.

1 Rewriting calculus and cyclic lambda calculus

We briefly present here the two formalisms that inspired the calculus introduced in this paper.

1.1 The rewriting calculus

The ρ -calculus was introduced to make all the basic ingredients of rewriting explicit objects, in particular the notions of rule abstraction (\rightarrow), rule application and set of results (;). In the ρ -calculus, the usual λ -abstraction $\lambda x.t$ is replaced by a rule abstraction $T_1 \rightarrow T_2$, where T_1 and T_2 are two arbitrary terms, and the free variables of T_1 are bound in T_2 .

The set of ρ -terms is defined as follows:

$$\mathcal{T} ::= \mathcal{X} \mid \mathcal{K} \mid \mathcal{T} \twoheadrightarrow \mathcal{T} \mid [\mathcal{T} \ll \mathcal{T}] \mathcal{T} \mid \mathcal{T} \mathcal{T} \mid \mathcal{T}; \mathcal{T}$$

The symbols T, U, L, R, \ldots range over the set \mathcal{T} of terms, the symbols x, y, z, \ldots range over the set \mathcal{X} of variables, the symbols a, b, c, \ldots, f, g, h range over a set \mathcal{K} of constants.

The small-step reduction semantics is defined by the evaluation rules presented in Figure 2. The application of a rewrite rule (abstraction) to a term evaluates via rule (ρ) to the application of the corresponding constraint to the right-hand side of the rewrite rule. Such a construction is called a *delayed matching constraint*. The body of the constrained term will be evaluated or delayed according to the result of the corresponding matching problem. If a solution exists, the delayed matching constraint evaluates to $\sigma(\mathcal{T}_2)$, where σ is the solution of the matching between \mathcal{T}_1 and \mathcal{T}_3 . The matching power of the ρ -calculus can be regulated using arbitrary theories. Here we consider the ρ -calculus with the empty theory (*i.e.* syntactic matching) that is decidable and has a unique solution.

Starting from these top-level rules we define, as usually, the context closure denoted $\mapsto_{\rho\sigma}$. The many-step evaluation $\mapsto_{\rho\sigma}$ is defined as the reflexive-transitive closure of $\mapsto_{\rho\sigma}$.

1.2 The cyclic lambda calculus

The cyclic λ -calculus introduced by Ariola and Klop consists of an equational framework for term graph rewriting with cycles. It extends the λ -calculus by adding a **letrec** construct, in a way that the new terms, called λ -graphs, are represented as systems of (possibly nested) recursion equations on standard λ -terms. If the system is used without restrictions on the rules, the confluence is lost. The authors restore it by controlling the operations on the recursion equations. The resulting calculus, called $\lambda\phi$ [AK97], is powerful enough to incorporate the classical λ -calculus [Bar84] and also the $\lambda\mu$ -calculus [Par92] and the $\lambda\sigma$ -calculus with names [ACCL91] extended with horizontal and vertical sharing respectively. The syntax of $\lambda\phi$ is the following:

 $t ::= x \mid f(t_1, \dots, t_n) \mid t_0 \ t_1 \mid \lambda x.t \mid \langle t_0 \mid x_1 = t_1, \dots, x_n = t_n \rangle$

The set of $\lambda\phi$ -terms is composed of the ordinary λ -terms (*i.e.* variables, functions of fixed arity, applications, abstractions) and of new terms built using the letrec construct: $\langle t_0 \mid x_1 = t_1, \ldots, x_n = t_n \rangle$, where we suppose the recursion variables $x_i, i = 1, \ldots, n$, all distinct. We denote by E an unordered sequence of equations $x_1 = t_1, \ldots, x_n = t_n$ and by ϵ the empty sequence. Terms are denoted by the symbols t, s, \ldots , variables are denoted by the symbols x, y, z, \ldots and constants by the symbols a, b, c, \ldots, f, g, h . A context $Ctx\{ \}$ is a term with a single hole \Box in the place of a subterm. Filling the context $Ctx\{\Box\}$ with a term t yields the term $Ctx{t}$. Variables are bound either by the lambda abstraction, or by a recursion equation. We denote by \leq the least pre-order on recursion variables such that $x \geq y$ if $x = \mathsf{Ctx}\{y\}$, for some context $\mathsf{Ctx}\{.\}$. We write x > y if $x \ge y$ and $x \ne y$, where \equiv is the equivalence induced by the pre-order, *i.e.* $x \equiv y$ if $x \geq y \geq x$ (variables x and y occur in a cycle). We write $E \perp (E', t)$, E is orthogonal to a sequence of equations E' and a term t, if the recursion bound variables of E do not intersect the set of free variables of E' and t. The notation $x =_{\circ} x$ is an abbreviation for the sequence of recursion equations $x = x_1, \ldots, x_n = x$.

The reduction rules of the basic $\lambda \phi_0$ -calculus are given in Figure 3. Some extensions of this basic set of rules can be considered [AK97] by adding either box distribution rules ($\lambda \phi_1$) or box merging and elimination rules ($\lambda \phi_2$). In the following we will concentrate our attention on the basic system of Figure 3. In the β -rule, the variable x bound by λ becomes bound by a recursion equation after the reduction. The two substitution rules are used to make a copy of a graph associated to a recursion variable. The restriction on the order of recursion variables is introduced to ensure confluence in the case of cyclic configurations of lambda redexes. The

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 (β) $(\lambda x.t_1) t_2$ $\rightarrow_{\beta} \langle t_1 \mid x = t_2 \rangle$ $\langle \mathsf{Ctx}\{y\} \mid y = t, E \rangle$ $\rightarrow_{es} \langle \mathsf{Ctx}\{t\} \mid y = t, E \rangle$ (external sub) (acyclic sub) $\langle t_1 \mid y = \mathsf{Ctx}\{x\}, x = t_2, E \rangle \rightarrow_{ac} \langle t_1 \mid y = \mathsf{Ctx}\{t_2\}, x = t_2, E \rangle$ if y > x $\langle \mathsf{Ctx}\{x\} \mid x =_{\circ} x, E \rangle$ (black hole) $\rightarrow_{\bullet} \langle \mathsf{Ctx}\{\bullet\} \mid x =_{\circ} x, E \rangle$ $\langle t \mid y = \mathsf{Ctx}\{x\}, x =_{\circ} x, E \rangle \rightarrow_{\bullet} \langle t \mid y = \mathsf{Ctx}\{\bullet\}, x =_{\circ} x, E \rangle$ if y > x(garbage collect) $\langle t \mid E, E' \rangle$ $\rightarrow_{gc} \langle t \mid E \rangle$ if $E' \neq \epsilon$ and $E' \perp (E, t)$ $\langle t \mid \epsilon \rangle$

Fig. 3. Evaluation rules of the $\lambda \phi_0$ -calculus

proviso y > x in the rules *acyclic sub* and *black hole* is necessary in order to ensure the confluence of the system. The condition $E' \neq \epsilon$ in the rule *garbage collect* rule avoids trivial non-terminating reductions.

We denote by $\mapsto_{\lambda\phi}$ the rewrite relation induced by the set of rules of Figure 3 and by $\mapsto_{\lambda\phi}$ its reflexive and transitive closure.

2 The syntax of $\rho_{\rm g}$ -calculus

The syntax of $\rho_{\rm g}$ -calculus presented in Figure 4 extends the syntax of the standard ρ -calculus and of the $\rho_{\rm x}$ -calculus [CFK04], *i.e.* the ρ -calculus with explicit matching and substitution application. The term $G_1 \rightarrow G_2$ represents a rewrite rule (*i.e.* an abstraction), where the term G_1 is called the pattern. There are two different application operators: the functional application operator is denoted simply by concatenation (and by @ in graphical presentations), and the constraint application operator is denoted by the "__ [_]" operator. Terms can be grouped together into structures built using the operator "__; _" and depending on the theory behind this operator we can obtain, for example, a multi-set (for an associative-commutative operator) or a set (for an associative-commutative-idempotent operator). This operator is useful for representing the (non-deterministic) application of a set of rewrite rules and consequently, the non-deterministic results. Starting from this point of view, term rewriting systems (and underlying strategies) can be encoded in the ρ -calculus [CLW03] and we conjecture that this encoding can be extended to term graph rewriting systems in $\rho_{\rm g}$ -calculus.

As the ρ_x -calculus, the ρ_g -calculus deals explicitly with matching constraints of the form $\mathcal{G} \ll \mathcal{G}$ but it introduces also a new kind of constraint, the recursion equations. A recursion equation is a constraint of the form $\mathcal{X} = \mathcal{G}$ and can be seen as a delayed substitution, or as an environment associated to a term. In the ρ_g -calculus constraints are conjunctions (built using the operator "_,_") of match equations and recursion equations. The empty constraint is denoted by ϵ . The operator "_,_" is supposed to be associative, commutative and idempotent, with ϵ as neutral element.

We assume that the application operator associates to the left, while the other operators associate to the right. To simplify the syntax, operators have different priorities. Here are the operators ordered from higher to lower priority: application "__", "_ \rightarrow ", "_; ", "_[-]", "_ «_", "_= _" and "_, ".

The symbols G, H, \ldots range over the set \mathcal{G} of terms, x, y, z, \ldots range over the set

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 $\mathcal{G} ::= \mathcal{X}$

 $\mid \mathcal{K}$

GG

 $|\mathcal{G};\mathcal{G}|$

| *G* [C]

 $| \mathcal{G} \to \mathcal{G}$ (Abst

(Constraint application)

Constraints

(Variables)	$\mathfrak{C} ::= \epsilon$	(Empty constraint)
(Constants)	$\mid \mathcal{X} = \mathcal{G}$	(Recursion equation)
(Abstraction)	$\mid \mathcal{G} \ll \mathcal{G}$	(Match equation)
(Functional application)	C, C	(Conjunction of constraints)
(Structure)		

Fig. 4. Syntax of the $\rho_{\rm g}$ -calculus

 \mathcal{X} of variables $(\mathcal{X} \subseteq \mathcal{G})$, a, b, c, \ldots, f, g, h range over a set \mathcal{K} of constants $(\mathcal{K} \subseteq \mathcal{G})$. The symbols E, F, \ldots range over the set \mathcal{C} of constraints. We call *algebraic* the terms of the form $(((f \ G_1) \ G_2) \ldots) \ G_n$ with $f \in \mathcal{K}$ and we usually denote them by $f(G_1, G_2, \ldots, G_n)$.

We denote by • (black hole) a constant, already introduced by Ariola and Klop [AK96] using the equational approach and also by Corradini [Cor93] using the categorical approach, to give a name to "undefined" terms that correspond to the expression x [x = x] (self-loop). The notation $x =_{\circ} x$ is again an abbreviation for the sequence $x = x_1, \ldots, x_n = x$.

We use the symbol $Ctx\{\Box\}$ for a context with exactly one hole \Box . We say that a ρ_g -term is *acyclic* if it contains no recursive sequences of constraints of the form $Ctx_0\{x_0\} \ll Ctx_1\{x_1\}, Ctx_2\{x_1\} \ll Ctx_3\{x_2\}, \ldots, Ctx_m\{x_n\} \ll Ctx_{m+1}\{x_0\}$, with $n, m \in \mathbb{N}$ and $\ll \in \{=, \ll\}$. This kind of sequence is called a *cycle*.

The notions of free and bound variables of ρ_{g} -terms take into account the three binders of the calculus: the abstraction, the recursion and the match. In particular, to ease the definition, we also introduce the domain of a constraint \mathcal{C} , denoted $\mathcal{DV}(\mathcal{C})$, as the set of variables (potentially) defined by the recursion and matching equations it contains. The set $\mathcal{DV}(\mathcal{C})$ includes, for any recursion equation x = G in \mathcal{C} , the variable x and for any match $G_1 \ll G_2$ in \mathcal{C} , the set of free variables of G_1 .

Definition 2.1 [Free, bound, and defined variables] Given a ρ_{g} -term G, its free variables, denoted $\mathcal{FV}(G)$, and its bound variables, denoted $\mathcal{BVar}(G)$, are recursively defined below:

G	$\mathcal{BV}(G)$	$\mathcal{FV}(G)$
x	Ø	$\{x\}$
k	Ø	Ø
$G_1 G_2$	$\mathcal{BV}(G_1) \cup \mathcal{BV}(G_2)$	$\mathcal{FV}(G_1) \cup \mathcal{FV}(G_2)$
$G_1; G_2$	$\mathcal{BV}(G_1) \cup \mathcal{BV}(G_2)$	$\mathcal{FV}(G_1) \cup \mathcal{FV}(G_2)$
$G_1 \twoheadrightarrow G_2$	$\mathcal{FV}(G_1) \cup \mathcal{BV}(G_1) \cup \mathcal{BV}(G_2)$	$\mathcal{FV}(G_2) \setminus \mathcal{FV}(G_1)$
G_0 [\mathcal{C}]	$\mathcal{BV}(G_0) \cup \mathcal{BV}(\mathcal{C})$	$(\mathcal{FV}(G_0)\cup\mathcal{FV}(\mathcal{C}))\setminus\mathcal{DV}(\mathcal{C})$

For a given constraint C, the free variables, denoted $\mathcal{FV}(C)$, the bound variables, denoted $\mathcal{BVar}(C)$, and the defined variables, denoted $\mathcal{DV}(C)$, are defined as follows:

C	$\mathcal{BV}(C)$	$\mathcal{FV}(C)$	$\mathcal{DV}(C)$
ε	Ø	Ø	Ø
$x = G_0$	$x \cup \mathcal{BV}(G_0)$	$\mathcal{FV}(G_0)$	$\{x\}$
$G_1 \ll G_2$	$\mathcal{FV}(G_1) \cup \mathcal{BV}(G_1) \cup \mathcal{BV}(G_2)$	$\mathcal{FV}(G_2)$	$\mathcal{FV}(G_1)$
C_1, C_2	$\mathcal{BV}(C_1) \cup \mathcal{BV}(C_2)$	$\mathcal{FV}(C_1) \cup \mathcal{FV}(C_2)$	$\mathcal{DV}(C_1) \cup \mathcal{DV}(C_2)$

The notion of α -conversion used in the λ -calculus can be naturally extended to deal with the terms of the ρ_{g} -calculus.



Fig. 5. Some ρ_{g} -terms

As in the cyclic λ -calculus we define an order on recursion variables, i.e., variables bound by the recursion and match equations: we denote by \leq the least pre-order on recursion variables such that $x \geq y$ if $x = Ctx\{y\}$, for some context $Ctx\{_-\}$. The equivalence induced by the pre-order is denoted \equiv and we say that x and y are cyclically equivalent ($x \equiv y$) if $x \geq y \geq x$ (they lye on a common cycle). We write x > y if $x \geq y$ and $x \neq y$. As we will see later on, this order gives us the possibility of allowing substitution only upwards.

In order to support the intuition, in what follows we sometimes give a graphical representation of ρ_{g} -terms not including matching constraints. This correspondence is used only informally in the paper, but it could be made precise, e.g., along the lines of the work in [Blo01] for cyclic term graphs with binders. Roughly, any term without constraints is represented as an acyclic graph in the obvious way, a constraint $G [x_1 = G_1, \ldots, x_n = G_n]$ is read as a letrec construct letrec $x_1 = G_1, \ldots, x_n = G_n$ in G and represented through a cyclic structure. Here the correspondence between a variable in the right-hand side of a rule and its binding occurrence in the pattern is represented by keeping the variable names (instead of using backpointers). This correspondence does not extend straightforwardly to general ρ_{g} -terms, possibly including matching constraints, for which a suitable graphical representation is still under investigation.

Example 2.2 [Some ρ_{g} -terms] For a graphical representation of the terms see Figure 5.

- (i) In the rule $(2 * f(x)) \rightarrow ((y + y) [y = f(x)])$ the sharing in the right-hand side avoids the copying of the object instantiating f(x), when the rule is applied to a ρ_{g} -term.
- (ii) The ρ_{g} -term cons(head(x), x) [x = cons(0, x)] represents an infinite list of zeros. Notice that the recursion variable x binds the occurrence of x in the right-hand side cons(0, x) of the constraint and those in the term cons(head(x), x) to which the constraint is applied.
- (iii) The ρ_{g} -term f(x, y) [x = g(y), y = g(x)] is an example of twisted sharing that can be expressed using the letrec construct. We have that $x \ge y$ and $y \ge x$, hence $x \equiv y$.

As usually, we work modulo α -conversion (such that different bound variables have different names) and we use Barendregt's "hygiene-convention", i.e. free and bound variables have different names [Bar84]. We point out that the set of bound

variables in the subterm G of a constraint application G[E] is the domain of Eplus the bound variables of G. For example, the term $x [x \ll a, x \ll b]$ is equivalent modulo α -conversion to the term $y [y \ll a, y \ll b]$. Note also that the visibility of a recursion variable is limited to the ρ_{g} -term appearing in the list of constraints where the recursion variable is defined and the ρ_{g} -term to which this list is applied. For example, in the term f(x, y) [x = g(y) [y = a]] the variable y defined in the recursion equation bounds its occurrence in g(y) but not in f(x, y). In fact, the term does not satisfy the naming conditions since y occurs both free and bound.

This naming conventions allows us to disregard some terms (see the examples below) and thus to apply replacements (like for the evaluation rules in Figure 6) quite straightforwardly, since no variable capture is possible.

Besides the naming conventions, some structural properties are required for a ρ_{g} -term to be well-formed.

Definition 2.3 [Well-formed terms] A ρ_{g} -term is well-formed if

- each variable occurs at most once as left-hand side of a recursion equation;
- left-hand sides of abstractions and match equations are acyclic, and all their subterms not containing constraints are algebraic.

For instance, the ρ_{g} -term $(f(y) [y = g(y)] \rightarrow a)$ is not well-formed since the abstraction has a cyclic left-hand side. All the ρ_{g} -terms considered in the sequel will be implicitly well-formed, unless stated otherwise.

Example 2.4 [Free and bound variables should not have the same name] The reduction of the ρ_{g} -term $z \ [z = x \rightarrow y, y = x + x]$ (by instantiating the variable y) can lead to a variable capture. However this term does not respect our naming conventions: the variable capture is no longer possible if we consider the legal ρ_{g} -term $z \ [z = x_1 \rightarrow y, y = x + x]$ obtained after α -conversion. In order to have the occurrences of the variable x appearing in the second constraint bounded by the arrow, we should use a nested constraint as in the ρ_{g} -term $z \ [z = x \rightarrow (y \ [y = x + x])]$.

Example 2.5 [Different bound variables should have different names]

Intuitively, by the notions of free and bound variable, in a term there cannot be any sharing between the left-hand side of rewrite rules and the rest of a ρ_{g} -term. In other words, the left-hand side of a rewrite rule is self-contained. Sharing inside the left-hand side is allowed. No restrictions are imposed on the right-hand side. For example, in the ρ_{g} -term $f(y, y \rightarrow g(y))$ [y = x] the first occurrence of y is bound by the recursion variable, while the scope of the y in the abstraction \rightarrow is limited to the right-hand side of the abstraction itself. The ρ_{g} -term should be in fact written (by α -conversion) as $f(y, z \rightarrow g(z))$ [y = x].

3 The small-step semantics of $\rho_{\rm g}$ -calculus

In the classical ρ -calculus, when reducing the application of a constraint to a term, *i.e.*, a delayed matching constraint, the corresponding matching problem is solved and resulting substitutions are applied at the meta-level of the calculus. In the $\rho_{\rm x}$ -calculus, this reduction is decomposed into two steps, one computing substitutions and the other one describing the application of these substitutions. Matching

BASIC RULES: $\rightarrow_{\rho} G_2 [G_1 \ll G_3]$ $(\rho) (G_1 \rightarrow G_2) G_3$ $(G_1 \rightarrow G_2) [E] G_3 \rightarrow_{\rho} G_2 [G_1 \ll G_3, E]$ $(\delta) \ (G_1; G_2) \ G_3 \qquad \longrightarrow_{\delta} \ G_1 \ G_3; G_2 \ G_3$ $\rightarrow_{\delta} (G_1 \ G_3; G_2 \ G_3) [E]$ $(G_1; G_2) [E] G_3$ MATCHING RULES: (propagate) $G_1 \ll (G_2 [E_2])$ $\rightarrow_p G_1 \ll G_2, E_2$ $(decompose) \ K(G_1, \dots, G_n) \ll K(G'_1, \dots, G'_n), E \ \rightarrow_{dk} \ G_1 \ll G'_1, \dots, G_n \ll G'_n, E$ with $n \geq 0$ (solved) $x \ll G, E$ $\rightarrow_s x = G, E \quad if \ x \notin \mathcal{DV}(E)$ GRAPH RULES: (external sub) $Ctx\{y\} [y = G, E]$ $\rightarrow_{es} \mathsf{Ctx}\{G\} [y = G, E]$ $(acyclic \ sub) \quad G \ [G_0 \lll \mathsf{Ctx}\{y\}, y = G_1, E] \ \rightarrow_{ac} \ G \ [G_0 \lll \mathsf{Ctx}\{G_1\}, y = G_1, E]$ if x > y, $\forall x \in \mathcal{FV}(G_0)$ where $\ll \in \{=, \ll\}$ G[E, x = G'] $\rightarrow_{gc} G [E]$ (garbage)if $x \notin \mathcal{FV}(E) \cup \mathcal{FV}(G)$ $G[\epsilon]$ $\rightarrow_{qc} G$ (black hole) $\mathsf{Ctx}\{x\} \ [x =_{\circ} x, E]$ $\rightarrow_{bh} \mathsf{Ctx}\{\bullet\} [x =_{\circ} x, E]$ $G \ [y = \mathsf{Ctx}\{x\}, x =_{\circ} x, E]$ $\rightarrow_{bh} G [y = \mathsf{Ctx}\{\bullet\}, x =_{\circ} x, E]$ if y > x



computations leading from constraints to substitutions and the application of the substitutions are clearly separated and made explicit. In the $\rho_{\rm g}$ -calculus, the computation of substitutions solving a matching constraint is performed explicitly and, if the computation is successful, the result is a recursion equation added to the list of constraints of the term. This means that the substitution is not applied immediately to the term but kept in the environment for a possible delayed application.

The evaluation rules of the ρ_{g} -calculus presented in Figure 6 can be split into three categories:

- Rules describing the application of abstractions and structures on ρ -terms.
- Rules describing the solving of match equations.
- Rules handling the replacements and the garbage collection.

The first two rules ρ and δ come from the ρ -calculus. The rule δ deals with the distributivity of the application on the structures built with the ";" operator while the rule ρ triggers the application of a rewrite rule to a ρ_{g} -term by applying the appropriate constraint to the right-hand side of the rule. For each of these rules an additional one taking into account the existence of possible constraints is added. Without these rules the application of abstraction ρ_{g} -terms like $x \ [x = f(y) \rightarrow x \ f(y)] \ f(a)$ (that can encode a recursive application as in Example 3.4) cannot be reduced. Alternatively, appropriate distributivity rules could be introduced but this approach is not considered in this paper.

The MATCHING RULES and in particular the rule *decompose* are strongly related to the theory modulo which we want to compute the solutions of the matching. In this first version of the ρ_{g} -calculus, we have chosen to present the ρ_{g} -calculus with an empty theory that is known to be decidable and unitary, but extensions to more complicated theories are possible. Due to the restrictions imposed on the left-hand sides of rewrite rules, we only need to decompose algebraic terms. The goal of this set of rules is to produce a constraint of the form $x_1 = G_1, \ldots, x_n = G_n$ starting from a matching equation. This is possible when the left and right-hand sides of the matching equation are algebraic but some replacements might be needed (as defined by the GRAPH RULES) as soon as the terms contain some sharing.

A matching equation containing constraints is reduced (by the *propagate* rule) to a constraint containing the same matching equation without the constraints, which are propagated to the top level. Since left-hand sides of matching equations are acyclic, there is no need for an evaluation rule propagating the constraints from the left-hand side of the matching equation; the possible constraints on this side of the matching can be pushed down in the term using the substitution and garbage collection rules. The algebraic terms are decomposed and the trivial equations are eliminated. A match constraint $x \ll G_1$ is transformed in a recursion equation $x = G_1$ if there exist no other constraints of the form $x = G_2$ or $x \ll G_2$ in the list of constraints. For example, the constraint $x \ll a, x \ll b$ cannot be reduced showing that the original (non-linear) matching problem has no solution.

The GRAPH RULES are inherited from the cyclic λ -calculus of Ariola and Klop. The first two rules make a copy of a ρ_{g} -term associated to a recursion variable into a term that is inside the scope of the corresponding constraint. This is important when a redex should be made explicit (e.g. in $x \ a \ [x = a \rightarrow b]$) or when a matching equation should be solved (e.g. in $a \ [a \ll x, x = a]$). As already mentioned, the order on the variables of ρ_{g} -terms allows one to make the copies only upwards. Without this condition confluence is broken: the ρ_{g} -term $z_1 \ [z_1 = x \rightarrow z_2 \ s(x), z_2 = y \rightarrow z_1 \ s(y)]$ reduces either to $z_1 \ [z_1 = x \rightarrow z_1 \ s(s(x))]$ or to $z_1 \ [z_1 = x \rightarrow z_2 \ s(x), z_2 = y \rightarrow z_2 \ s(s(y))]$ (see [AK97] for the complete counterexample). As mentioned in the conclusions, we conjecture that, as it happens for the cyclic λ -calculus, with some restrictions on the shape of the rewrite rules, this is one of the key ingredients for confluence also for the ρ_{g} -calculus.

The garbage rules get rid of recursion equations that represent non connected parts of the ρ_{g} -term. Matching constraints are not eliminated, keeping thus the trace of matching failures during a non successful reduction. The black hole rules replace the undefined ρ_{g} -terms with the constant \bullet .

As usually, we define the one step relations $\mapsto_{\mathcal{M}}$ and \mapsto_{pg} and the many steps relations $\mapsto_{\mathcal{M}}$ and $\mapsto_{pg} w.r.t.$ the subset of MATCHING RULES and the whole set of rules of Figure 6 respectively.

It would be interesting to study suitable strategies that delay the application of the substitution rules *external sub* and *acyclic sub* to keep the sharing information as long as possible. An idea, followed in the next two examples, consists of applying the substitution rules only if needed for generating new redexes for basic or matching rules. In addition, substitutions rules are used to "remove" trivial recursion equations of the kind x = y.

Example 3.1 [A simple reduction with sharing] For a graphical representation see Figure 7(a).



Fig. 7. Examples of reductions

$$(f(x,x) [x = a] \rightarrow a) (f(y,y) [y = a])$$

$$\mapsto_{p} a [f(x,x) [x = a] \ll f(y,y) [y = a]]$$

$$\mapsto_{es} a [f(a,a) [x = a] \ll f(y,y) [y = a]]$$

$$\mapsto_{gc} a [f(a,a) [\epsilon] \ll f(y,y) [y = a]]$$

$$\mapsto_{gc} a [f(a,a) \ll f(y,y) [y = a]]$$

$$\mapsto_{gc} a [f(a,a) \ll f(y,y), y = a]$$

$$\mapsto_{dk} a [a \ll y, y = a] (by idempotency)$$

$$\mapsto_{ac} a [a \ll a, y = a]$$

$$\mapsto_{dk} a [y = a]$$

$$= a [y = a, \epsilon]$$

$$\mapsto_{gc} a [\epsilon]$$

$$\mapsto_{gc} a [\epsilon]$$

Example 3.2 [Multiplication] If we use an infix notation for the constant "*" the following ρ_g -term corresponds to the application of the rewrite rule $\mathcal{R} = x * s(y) \rightarrow (x * y + x)$ to the term 1 * s(1) where the constant 1 is shared. The result is shown graphically in Figure 7(b).

$$\begin{array}{l} (x*s(y) \to (x*y+x)) \ (z*s(z) \ [z=1]) \\ \mapsto_p & x*y+x \ [x*s(y) \ll (z*s(z) \ [z=1])] \\ \mapsto_p & x*y+x \ [x*s(y) \ll z*s(z), z=1] \\ \mapsto_{dk} & x*y+x \ [x \ll z, y \ll z, z=1] \\ \mapsto_{s} & x*y+x \ [x = z, y = z, z=1] \\ \mapsto_{es} & (z*z+z) \ [x = z, y = z, z=1] \\ \mapsto_{gc} & (z*z+z) \ [z=1] \end{array}$$

Example 3.3 [Non-linearity] The matching involving non-linear patterns can lead

to a normal form that is either a constraint consisting only of recursion equations (which represents a successful matching) or a constraint that contains some matching equations (representing a matching failure).

$$f(y,y) \ll f(a,a) \qquad \qquad f(y,y) \ll f(a,b)$$

$$\mapsto_{dk} y \ll a \quad (by \ idempotency) \qquad \qquad \mapsto_{dk} y \ll a, y \ll b$$

$$\mapsto_{s} y = a$$

Example 3.4 Consider the term rewrite rule $R_Y = Y x \to x (Y x)$ which expresses the behaviour of the fixed point combinator Y of the λ -calculus. Given the a term t, we have the infinite rewrite sequence

$$Y t \rightarrow_{R_Y} t (Y t) \rightarrow_{R_Y} t (t (Y t)) \rightarrow_{R_Y} \dots$$

which, in a sense which can be formalized (see [KKSd91,Cor93]), converges to the infinite term t (t (t (...))).

We can represent the Y-combinator in the ρ_{g} -calculus as the following term:

$$Y \stackrel{\triangle}{=} x_0 \ [x_0 = x \twoheadrightarrow x \ (x_0 \ x)].$$

If we denote $R = x \rightarrow x$ ($x_0 x$), we have the following reduction:

$$Y G$$

$$\mapsto_{es} (x \rightarrow x (x_0 x)) [x_0 = R] G$$

$$\mapsto_{p} x (x_0 x) [x \ll G, x_0 = R]$$

$$\mapsto_{s} x (x_0 x) [x = G, x_0 = R]$$

$$\mapsto_{gc} G (x_0 G) [x = G, x_0 = R]$$

$$\mapsto_{gc} G (x_0 G) [x_0 = R]$$

$$\mapsto_{gg} G(G \dots (x_0 G)) [x_0 = R]$$

$$\mapsto_{gg} \dots$$

-- ~

Continuing the reduction, this will "converge" to the term of Figure 8(a).

We can have a more efficient implementation of the same term reduction using a method introduced by Turner [Tur79] that models the rule R_Y by means of the cyclic term depicted in Figure 8(b). This gives in the $\rho_{\rm g}$ -calculus the $\rho_{\rm g}$ -term

$$Y_T \stackrel{\triangle}{=} x \twoheadrightarrow (z \ [z = x \ z])$$

The reduction in this case is the following:



Fig. 8. Example of reductions

$$Y_T G$$

$$\mapsto_{\rho} z [z = x z] [x \ll G]$$

$$\mapsto_{s} z [z = x z] [x = G]$$

$$\mapsto_{es} z [z = G z] [x = G]$$

$$\mapsto_{ec} z [z = G z]$$

The resulting ρ_{g} -term is depicted in Figure 8(c). If we "unravel", in the intuitive sense, this cyclic ρ_{g} -term we obtain the infinite term shown in Figure 8(a).

This reduction captures the fact that a finite sequence of rewritings on cyclic ρ_{g} -terms can correspond to an infinite term reduction sequence.

4 ρ_{g} -calculus versus ρ -calculus and cyclic λ -calculus

The set of terms of the ρ -calculus is a strict subset of the set of terms of the ρ_{g} -calculus (modulo some syntactic conventions). The main difference for ρ -terms is the restriction of the list of constraints to a single constraint necessarily of the form $_{-} \ll _{-}$ (delayed matching constraint).

Before proving that the ρ -calculus is simulated in the ρ_{g} -calculus we need to show that the MATCHING RULES of the ρ_{g} -calculus are well-behaving with respect to the ρ -calculus matching algorithm restricted to patterns [CKL02].

Lemma 4.1 Let T be an algebraic ρ -term with $\mathcal{FV}(T) = \{x_1, \ldots, x_n\}$ and let $T \ll U$ be a matching problem with solution $\sigma = \{x_1/U_1, \ldots, x_n/U_n\}$, i.e. $\sigma(T) = U$. Then we have $T \ll U \mapsto_{\mathcal{M}} x_1 = U_1, \ldots, x_n = U_n$.

Proof. We show by structural induction on the term T that there exists a reduction $T \ll U \mapsto_{\mathcal{M}} x_1 \ll U_1, \ldots, x_n \ll U_n$, where the x_i 's are all distinct and thus the thesis follows.

• Basic case: The term T is a variable or a constant. The case where T = x is trivial.

If T = a then $\sigma = \{\}$ and U = a. In the ρ_{g} -calculus we have $a \ll a \mapsto_{e} \epsilon$ and the property obviously holds.

• Induction case: $T = f(T_1, \ldots, T_m)$ with m > 0. Since a substitution σ exists and the matching is syntactic, we have $U = f(V_1, \ldots, V_m)$ and $\sigma(f(T_1, \ldots, T_m)) = f(\sigma(T_1), \ldots, \sigma(T_m))$ with $\sigma(T_i) = V_i$, for $i = 1 \ldots m$. By induction hypothesis, for any i, if $\mathcal{FV}(T_i) = \{x_1^i, \ldots, x_{k_i}^i\} \subseteq \mathcal{FV}(T)$, then $T_i \ll V_i \mapsto \mathcal{M} x_1^i \ll \sigma(x_1^i), \ldots, x_{k_i}^i \ll \sigma(x_{k_i}^i)$. Joining the various reductions we have $f(T_1, \ldots, T_m) \ll f(V_1, \ldots, V_m) \mapsto_{dk} T_1 \ll V_1, \ldots, T_m \ll V_m \mapsto_{\mathcal{M}} x_1 \ll \sigma(x_1), \ldots, x_n \ll \sigma(x_n)$.

To understand the last step note that in the list

 $x_1^1 \ll \sigma(x_1^1), \dots, x_{k_1}^1 \ll \sigma(x_{k_1}^1), \dots, x_1^m \ll \sigma(x_m^1), \dots, x_{k_m}^m \ll \sigma(x_{k_m}^m)$

constraints with the same left-hand side variable have identical right-hand sides. Hence, by idempotency, such list coincides with $x_1 \ll \sigma(x_1), \ldots, x_n \ll \sigma(x_n)$.

We can show now that a reduction in the ρ -calculus can be simulated in the ρ_{g} -calculus.

Lemma 4.2 Let T and T' be ρ -terms. If there exists a reduction $T \mapsto_{\rho\sigma} T'$ in the ρ -calculus then there exists a corresponding one $T \mapsto_{\rho q} T'$ in the ρ_q -calculus.

Proof. We show that for each reduction step in the ρ -calculus we have a corresponding sequence of reduction steps in the ρ_{g} -calculus.

- If $T \mapsto_{\rho} T'$ or $T \mapsto_{\delta} T'$ in the ρ -calculus, then we trivially have the same reduction in the ρ_{g} -calculus using the corresponding rules.
- If $T = [T_1 \ll T_3]T_2 \mapsto_{\sigma} \sigma(T_2) = T'$ where T_1 is a ρ -calculus pattern and the substitution $\sigma = \{U_1/x_1, \ldots, U_m/x_m\}$ is solution of the matching then, in the ρ_{g} -calculus the corresponding reduction is the following:

 $T = T_2 [T_1 \ll T_3]$ $\mapsto_{\mathcal{M}} T_2 [x_1 = U_1, \dots, x_m = U_m] \quad by \ Lemma \ 4.1$ $\mapsto_{es} \{U_1/x_1, \dots, U_m/x_m\}T_2 \ [x_1 = U_1, \dots, x_m = U_m]$ $\mapsto_{gc} \{U_1/x_1, \dots, U_m/x_m\}T_2 \ [\epsilon]$ $\mapsto_{gc} \{U_1/x_1, \dots, U_m/x_m\}T_2 = T'$

where we denote by $\{U_1/x_1, \ldots, U_n/x_n\}T_2$ the term T_2 in which every occurrence of the variable x_i is replaced by the term U_i , for all $i = 1 \ldots m$.

In the case of matching failures, the two calculi handle errors in a slightly different way, even if, in both cases, matching clashes are not reduced and kept as constraint application failures. In particular we can have a deeper decomposition of a matching problem in the $\rho_{\rm g}$ -calculus than in the ρ -calculus and thus it can happen that a ρ -term in normal form can be further reduced in the $\rho_{\rm g}$ -calculus.

Example 4.3 [Matching failure in ρ -calculus and ρ_{g} -calculus] In both calculi, non successful reductions lead to a non solvable match equation in the list of constraints of the term.

Notice that in the ρ -calculus, since the matching algorithm cannot compute a substitution solving the match equation $f(a) \ll f(c)$, the (σ) rule cannot be applied and thus the reduction is stuck. On the other hand, in the ρ_{g} -calculus the MATCH-ING RULES can partially decompose the match equation until the clash $a \ll c$ is reached.

The terms of $\lambda\phi_0$ can be easily translated into terms of the $\rho_{\rm g}$ -calculus. The main difference of $\lambda\phi_0 w.r.t$. the $\rho_{\rm g}$ -calculus is the restriction of the list of constraints to a list of recursion equations. Delayed matching constraints are not needed since in the λ -calculus the matching is always trivially satisfied.

Definition 4.4 [Translation] The translation of a $\lambda \phi_0$ -term t into a ρ_g -term, denoted \overline{t} , is inductively defined as follows:

$$\overline{x} \stackrel{\triangle}{=} x \qquad \overline{f(t_1, \dots, t_n)} \stackrel{\triangle}{=} f(\overline{t_1}, \dots, \overline{t_n})
\overline{\lambda x.t} \stackrel{\triangle}{=} x \rightarrow \overline{t} \qquad \overline{\langle t_0 | x_1 = t_1, \dots, x_n = t_n \rangle} \stackrel{\triangle}{=} \overline{t_0} [x_1 = \overline{t_1}, \dots, x_n = \overline{t_n}]
\overline{t_0 t_1} \stackrel{\triangle}{=} \overline{t_0} \overline{t_1}$$

We can see the evaluation rules of the $\rho_{\rm g}$ -calculus as the generalization of those of the $\lambda\phi_0$ -calculus. The β -rule can be simulated using the BASIC RULES of the $\rho_{\rm g}$ -calculus. The rest of the rules can be simulated using the corresponding ones in the subset GRAPH RULES of the $\rho_{\rm g}$ -calculus.

We show next that a reduction in the $\lambda \phi_0$ -calculus can be simulated in the ρ_g -calculus.

Lemma 4.5 Let t_1 and t_2 be two $\lambda \phi_0$ -terms. If $t_1 \mapsto_{\lambda \phi} t_2$ in the cyclic λ -calculus, then there exists a reduction $\overline{t_1} \mapsto_{\eta g} \overline{t_2}$ in the ρ_g -calculus.

Proof. We proceed by analyzing each reduction axiom of $\lambda \phi_0$.

• β -rule:

$$t_1 = (\lambda x.s_1) \ s_2 \rightarrow_\beta \langle s_1 | \ x = s_2 \rangle = t_2$$

In the ρ_{g} -calculus we have:

 $\overline{t_1} \ = \ (x \twoheadrightarrow \overline{s_1}) \ \overline{s_2} \ \mapsto_\rho \ \overline{s_1} \ [x \ll \overline{s_2}] \ \mapsto_s \ \overline{s_1} \ [x = \overline{s_2}] \ = \ \overline{t_2}$

- external sub rule: trivial.
- *acyclic sub* rule: trivial (\ll stands always for = in this case).
- black hole rule: trivial.
- garbage collect rule: The proviso $E \perp (E', t)$ is equivalent to the one expressed using the definition of free variables in the ρ_{g} -calculus. The condition $E' \neq \epsilon$ is implicit in the ρ_{g} -calculus since we eliminate one recursion equation at time. For this reason, a single step of the garbage collect rule in $\lambda\phi_{0}$ can correspond to several steps of the corresponding garbage rule in the ρ_{g} -calculus: if $\langle t|E, E' \rangle \rightarrow_{gc}$ $\langle t|E \rangle$ then $\bar{t} [E, E'] \mapsto_{gc} \bar{t} [E]$.

5 Conclusions and future work

In this paper we have proposed the $\rho_{\rm g}$ -calculus, an extension of the ρ -calculus able to deal with graph like structures, where sharing of subterms and cycles (which can be used to represent regular infinite data structures) can be expressed. The $\rho_{\rm g}$ -calculus has been shown to be a generalization of the cyclic λ -calculus as well as of the standard ρ -calculus.

The work is still in a preliminary stage and there are several interesting directions for future research.

Taking inspiration from analogous work on the cyclic λ -calculus [AK97] and on the ρ -calculus [BCKL03], it would be interesting to understand under which restrictions the ρ_{g} -calculus can be made confluent. We conjecture that, if we consider a syntactic matching, it suffices to restrict to rewrite rules and matching problems where the left-hand side respect the so-called "Rigid Pattern Condition" [vO90] adapted to our syntax. This condition corresponds in fact to the restrictions we have already imposed for patterns in Section 2.

At the same time, an appealing problem is the generalization of ρ_{g} -calculus to deal with different, non syntactic, matching theories. For example, in the case of a matching involving cyclic graphs, the reduction of a matching constraint can be stuck even if a solution of the matching problem actually exists. For instance, the term $g(x,x) \ll (g(f(z), f(f(y))) \ [y = f(y), z = f(z)]$ can be reduced to $[x \ll f(z), x \ll f(f(y)), y = f(y), z = f(z)]$ but it is stuck at this point. In order to recover from this failure, we should be able to compare the right sides of the two match equations and decide if their "unravelling" is the same. In other words, we should be able to deal with general cyclic matching. One should notice that this is not straightforward, since, in ρ_{g} -calculus matching is internalized rather than being carried out at metalevel.

Moreover, in this paper we have only informally scratched the problem of defining the (cyclic term) graph associated to a term of the ρ_{g} -calculus. While for the fragment of the ρ_{g} -calculus without matching constraints some clear suggestions could come from existing work on cyclic term graphs with binders in [Blo01,Kah98], the generalization to the full calculus will require further investigations.

After making this correspondence formal, a quite interesting question arises asking whether we can encode term graph rewriting into the $\rho_{\rm g}$ -calculus in the same way as term rewriting systems (and their underlying strategies) can be encoded in the ρ -calculus. Furthermore, a term of the $\rho_{\rm g}$ -calculus, possibly with sharing and cycles, can be seen as a "compact" representation of a possibly infinite ρ -calculus term, obtained by "unravelling" the original term. On the one hand, it would be interesting to define an infinitary version of the ρ -calculus, taking inspiration, e.g., from the work on the infinitary λ -calculus [KKSd97] and on infinitary rewriting [KKSd91,Cor93]. On the other hand, to enforce the view of the $\rho_{\rm g}$ -calculus as efficient implementation of terms and rewriting in the infinitary ρ -calculus one should have an adequacy result in the style of [KKSd94,CD97].

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