On the Brunn-Minkovski inequality in sub-Riemannian geometry

#### Davide Barilari IMJ-PRG, Université Paris Diderot - Paris 7

#### Riemannian geometry and Generalized Functions Université Paris Diderot

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Davide Barilari (IMJ-PRG, Paris Diderot)

SR Brunn-Minkovski inequality

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## Joint work with

This is based on joint works with

• Luca Rizzi (Institut Fourier, Univ. Grenoble-Alpes)

 $\rightarrow$  Main references:

BR-17 DB, L. Rizzi, Sub-Riemannian interpolation inequalities, → Preprint Arxiv, 2017

BR-18 DB, L. Rizzi,

Sub-Riemannian Bakry-Emery curvature: comparison and model spaces,

→ Soon on Arxiv!

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### Outline

Introduction

2 The sub-Riemannian case

- Few ideas from the proof
  - What are model spaces?

### Outline

### 1 Introduction

- 2) The sub-Riemannian case
- Few ideas from the proof
- What are model spaces?

### Euclidean Brunn-Minkowski

 $A,B \subset \mathbb{R}^n$  non-empty measurable bounded sets

Minkowski sum:  $A + B = \{z \mid z = a + b, a \in A, b \in B\}$ 



Brunn-Minkowski Inequality:

$$vol(A+B)^{1/n} \ge vol(A)^{1/n} + vol(B)^{1/n}$$

Here  $\mathrm{vol}$  is the Lebesgue measure in  $\mathbb{R}^n$ 

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### Euclidean Brunn-Minkowski

 $A,B \subset \mathbb{R}^n$  non-empty measurable bounded sets

Minkowski interpolation:  $(1-t)A + tB = \{z \mid z = (1-t)a + tb, a \in A, b \in B\}$ 



Brunn-Minkowski Inequality:

 $\operatorname{vol}((1-t)A + tB)^{1/n} \ge (1-t)\operatorname{vol}(A)^{1/n} + t\operatorname{vol}(B)^{1/n} \quad \forall t \in [0,1]$ 

Here  $\operatorname{vol}$  is the Lebesgue measure in  $\mathbb{R}^n$ 

# Functional inequalities

Geometric inequalities have often a functional counterpart

#### Theorem ( $+\infty$ -mean Borell-Brascamp-Lieb inequality)

Fix  $t \in [0,1]$ . Let  $f, g, h : \mathbb{R}^n \to \mathbb{R}$  be non-negative and integrable. Assume that for every  $x, y \in \mathbb{R}^n$ 

$$h((1-t)x + ty) \ge \max\{f(x), g(y)\}.$$
 (1)

Then,

$$\|h\|_{L^{1}}^{1/n} \ge (1-t)\|f\|_{L^{1}}^{1/n} + t\|g\|_{L^{1}}^{1/n},$$
(2)

- one could restrict to  $(x, y) \in A \times B$
- $A, B \subset \mathbb{R}^n$  Borel subsets such that  $\int_A f \, d\mathsf{m} = \|f\|_{L^1}$  and  $\int_B g \, d\mathsf{m} = \|g\|_{L^1}$ .
- → generalized to other *p*-mean inequalities (from Prékopa-Leindler to Borell-Brascamp-Lieb)

### Generalization to Riemannian: a necessary condition

Denote  $Z_t(A, B) := (1 - t)A + tB$  the *t*-interpolating set

#### Brunn-Minkowski Inequality:

 $\operatorname{vol}(Z_t(A, B))^{1/n} \ge (1 - t)\operatorname{vol}(A)^{1/n} + t\operatorname{vol}(B)^{1/n} \quad \forall t \in [0, 1]$ 

• notice for 
$$A = \{x\}$$
 and  $B = \mathcal{B}_r(y)$  a ball.

 $\operatorname{vol}(Z_t(x, \mathcal{B}_r(y))) \ge t^n \operatorname{vol}(\mathcal{B}_r(y)) \qquad \forall t \in [0, 1]$ 

• in general this implies a control on the ratio

$$\frac{\operatorname{vol}(Z_t(x, \mathcal{B}_r(y)))}{\operatorname{vol}(\mathcal{B}_r(y))} \ge t^n$$

 $\rightarrow$  measure contraction along geodesics, curvature

# Distortion coefficient

 $\left(M,g\right)$  Riemannian manifold, vol Riemannian volume measure





- $\beta_1(x,y) = 1$  and  $\beta_0(x,y) = 0$ . Important:  $\beta_t(x,y) \sim t^n$  for  $t \to 0$ .
- $\beta_t(x,y)$  depends on the geodesics joining x with y
- Computable in terms of Jacobi fields.

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### Riemannian Brunn-Minkowski

 $\left(M,g\right)$  complete Riem. manifold, A,B non-empty Borel sets

 $Z_t(A,B) := \{\gamma(t) \mid \gamma : [0,1] \to M \text{ geodesic s.t. } \gamma(0) \in A, \; \gamma(1) \in B\}$ 



Theorem (Cordero-Erausquin, McCann, Schmuckenschläger - 2001)

Assume (M, g) complete Riem. manifold with  $\text{Ric} \ge 0$ . Then

$$\operatorname{vol}(Z_t(A, B))^{1/n} \ge (1 - t)\operatorname{vol}(A)^{1/n} + t\operatorname{vol}(B)^{1/n}$$

• If  $\operatorname{Ric} \geq K$  the inequality holds with modified coefficients

• It can be used to *define* Ricci bounds for m.m.s. (Sturm, Lott-Villani, ...)

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SR Brunn-Minkovski inequality

## A limiting procedure: the Heisenberg group

Define on  $\mathbb{R}^3$ 

$$X_1 = \frac{\partial}{\partial x} - \frac{y}{2}\frac{\partial}{\partial z}, \qquad X_2 = \frac{\partial}{\partial y} + \frac{x}{2}\frac{\partial}{\partial z}, \qquad X_3^\varepsilon = \varepsilon \frac{\partial}{\partial z}$$

•  $(\mathbb{R}^3, g^{\varepsilon})$  Riemannian structure for  $\varepsilon > 0$  with  $\{X_1, X_2, X_3^{\varepsilon}\}$  o.n. frame.

The sequence of curvatures is unbounded from below:

• 
$$D^{\varepsilon} = \operatorname{span}\{X_1, X_2, X_3^{\varepsilon}\} \to D = \operatorname{span}\{X_1, X_2\}$$

• 
$$\operatorname{Sec}^{\varepsilon}(v_1, v_2) \to -\infty$$
 for all  $v_1, v_2 \in D$ 

• 
$$\operatorname{Ric}^{\varepsilon}(v) \to -\infty$$
 for all  $v \in D$ 

As metric spaces  $(\mathbb{R}^3, d^{\varepsilon}) \rightarrow (\mathbb{R}^3, d_{SR})$  (in the Gromov-Hausdorff sense)

 $\blacktriangleright$  Cannot prove directly  ${\rm BM}$  by taking limits of Ricci bounded structures

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3 Few ideas from the proof

4 What are model spaces?

# Sub-Riemannian geometry

### Sub-Riemannian structure

- $\bullet~M$  smooth, connected manifold
- $D \subseteq TM$  distribution of constant\* rank  $k \leq n$ 
  - Hörmander condition:  $\operatorname{Lie}(D)|_x = T_x M$  for all  $x \in M$
- $\bullet \ g$  smooth scalar product on D

Admissible curve:  $\gamma: [0,1] \to M$  such that  $\dot{\gamma}(t) \in D_{\gamma_t}$ 

$$\ell(\gamma) = \int_0^1 \|\dot{\gamma}(t)\| dt$$

Sub-Riemannian distance: (or Carnot-Carathédory)

 $d_{SR}(x,y) = \inf\{\ell(\gamma) \mid \gamma \text{ admissible joining } x \text{ with } y\}$ 

**Chow-Rashevskii:**  $d_{SR} < +\infty$  and  $(M, d_{SR})$  has the same topology of M

## Brunn-Minkowski on the Heisenberg group

The standard Brunn-Minkowski inequality BM(0, N):

$$\operatorname{vol}(Z_t(A, B))^{\frac{1}{N}} \ge (1-t)\operatorname{vol}(A)^{\frac{1}{N}} + t\operatorname{vol}(B)^{\frac{1}{N}},$$

### Theorem (Juillet - 2009)

The Heisenberg group  $\mathbb{H}_3$ , equipped with Lebesgue measure:

- satisfy the  $\mathrm{MCP}(0,N)$  for  $N\geq 5$
- does not satisfy any  $\mathrm{BM}(0,N)$

 $\Rightarrow$  Geodesic dimension (Agrachev, DB, Rizzi - 2013)

### Theorem (Balogh, Kristály, Sipos - 2016)

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The Heisenberg group  $\mathbb{H}_3$ , equipped with Lebesgue measure:

- satisfy the MCP(0, N) for  $N \ge 5$ : roughly  $vol(Z_t(x, B)) \ge t^5 vol(B)$
- does not satisfy any BM(0, N)

⇒ Geodesic dimension (Agrachev, DB, Rizzi - 2013)

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## Towards SR interpolation inequalities

For the Heisenberg group ( $\rightarrow$  and higher dimensional versions):

- $\bullet~$  Juillet  $\Rightarrow$  standard BM is not the right one
- Balogh-Kristály-Sipos  $\Rightarrow$  some modified BM holds

Do general sub-Riemannian structures support interpolation inequalities? (with weights that may depend on geometry)

### Main results

- interpolation inequalities for ideal sub-Riemannian structures
- ullet new examples of sharp  ${
  m BM}$  (Grushin plane, some Carnot groups)
- regularity results for the sub-Riemannian distance

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## Main assumption: ideal structures

### Definition (Ideal structure)

A sub-Riemannian structure is ideal if  $(M,d_{SR})$  is complete and it admits no singular minimizing geodesics

- singular minimizer: cf talk by Ludovic Rifford
- True for the generic sub-Riemannian structure with  $\operatorname{rank} D \geq 3$ 
  - $\rightarrow$  [Chitour, Jean, Trélat 2006]
- True for all contact structures

In this case, geodesics are described by a Hamiltonian flow on  $T^{\ast}M$ 

• H is quadratic on fibers but degenerate

$$H(p,x) = \frac{1}{2} \sum_{i,j=1}^n g^{ij}(x) p_i p_j, \qquad g^{ij}(x) \quad \text{is degenerate}$$

• not immediate replace Levi-Civita connection / tensor curvature (in general)

## The Heisenberg sphere

Even without singular minimizers things are not trivial



Sub-Riemannian spheres are not smooth, even for small radii

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# Asymptotics of distortion coefficients

Sub-Riemannian distortion coefficient

$$\beta_t(x,y) := \limsup_{r \to 0} \frac{\mathsf{m}(Z_t(x, \mathcal{B}_r(y)))}{\mathsf{m}(\mathcal{B}_r(y))}, \qquad \forall x, y \in M, \ t \in [0,1]$$

• Riemannian case:  $\beta_t(x,y) \sim t^n$ 

### Theorem (Agrachev, DB, Rizzi - 2013)

Fix  $x \in M$ . Then for a.e.  $y \in M$  one has

$$\beta_t(x,y) \sim t^{\mathcal{N}(x)},$$

for some  $\mathcal{N}(x) \in \mathbb{N}$  such that  $\mathcal{N}(x) > n$ .

- $\mathcal{N}(x)$  is the geodesic dimension at x
- definable in terms of directional Lie brackets
- it is bigger also than the Hausorff dimension

### Sub-Riemannian BM with weights

Given  $A, B \subset M$  Borel and  $t \in [0, 1]$ 

$$\beta_t(A,B) := \inf \{ \beta_t(x,y) \mid (x,y) \in A \times B \}$$

#### Theorem (Barilari, R. - 2017)

Let (M, D, g) be an ideal *n*-dim sub-Riemannian manifold, m smooth measure. For all  $A, B \subset M$  Borel and  $t \in [0, 1]$ 

$$\mathsf{m}(Z_t(A,B))^{1/n} \geq \beta_{1-t}(B,A)^{1/n}\mathsf{m}(A)^{1/n} + \beta_t(A,B)^{1/n}\mathsf{m}(B)^{1/n}$$

- Particular case of more general sub-Riemannian interpolation inequalities
- functional inequalities à la Borell-Brascamp-Liebb
- $\beta_t(x,y)$  explicitly computable in terms of Hamiltonian flow

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Let (M, D, g) be an ideal n-dim sub-Riemannian manifold, m smooth measure. For all  $A, B \subset M$  Borel and  $t \in [0, 1]$ 

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- Particular case of more general sub-Riemannian interpolation inequalities
- difficulties: absence of standard Jacobi fields, degenerate Hamiltonian
- $\beta_t(x,y)$  explicitly computable in terms of Hamiltonian flow
- $\rightarrow$  notice that IF  $\beta_t(x,y) \geq t^n$  then linear weights in t, but ...

# Equivalence of inequalities

$$\mathsf{m}(Z_t(A,B))^{1/n} \geq \beta_{1-t}(B,A)^{1/n} \mathsf{m}(A)^{1/n} + \beta_t(A,B)^{1/n} \mathsf{m}(B)^{1/n}$$

• Interesting case:  $\beta_t(x,y) \ge t^N$  for some  $N (\rightarrow \text{hence } N \ge \mathcal{N}(x))$ 

#### Corollary

Let (M, D, g) be an ideal *n*-dim sub-Riemannian manifold, m smooth measure. Let N > 0. The following are equivalent:

(i) bound on the distortion coefficient:

 $\beta_t(x,y) \ge t^N$ 

(ii) the modified Brunn-Minkowski inequality:

 $\mathsf{m}(Z_t(A,B))^{1/n} \geq (1-t)^{N/n} \mathsf{m}(A)^{1/n} + t^{N/n} \mathsf{m}(B)^{1/n}$ 

(iii) the measure contraction property MCP(0, N):

 $\mathsf{m}(Z_t(x,B)) \ge t^N \mathsf{m}(B)$ 

Theorem (Rifford - 2014, Rifford, Badreddine - 2018)

There exists N > 0 such that

$$\beta_t(x,y) \ge t^N \qquad \forall t \in [0,1]$$

a) for every compact 2-step sub-Riemannian manifold  $(\rightarrow D + [D, D] = TM)$ b) a class of 3-step Carnot group  $(\rightarrow D + [D, D] + [X, [D, D]] = TM)$ 

**Conjecture:** for Carnot groups **best exponent** = geodesic dimension?

### Theorem (Barilari, R. - 2017)

For any generalized H-type Carnot group of dimension n and rank k, equipped with the Lebesgue measure, for all Borel subsets A, B we have the **sharp** inequality

 $\operatorname{vol}(Z_t(A,B))^{\frac{1}{n}} \ge (1-t)^{\frac{k+3(n-k)}{n}} \operatorname{vol}(A)^{\frac{1}{n}} + t^{\frac{k+3(n-k)}{n}} \operatorname{vol}(B)^{\frac{1}{n}}$ 

→ not necessarily ideal (tensorization: Ritoré-Nicolàs - 2017) 🚓 🚛 🚛

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## Application to the Grushin plane

Rank-varying structure on  $M=\mathbb{R}^2,$  equipped with Lebesgue measure

$$X_1 = \partial_x, \qquad X_2 = x \partial_y$$

Well defined geodesic m.m.s. (almost Riemannian, with  $\mathrm{Curv} \to -\infty$ ).

### Theorem (Barilari, R. - 2017)

The distortion coefficient of Grushin satisfies the following sharp inequality

$$\beta_t(x,y) \ge t^5, \qquad \forall t \in [0,1]$$

which is equivalent to the Brunn-Minkowski inequality:

$$\operatorname{vol}(Z_t(A,B))^{1/2} \ge (1-t)^{5/2} \operatorname{vol}(A)^{1/2} + t^{5/2} \operatorname{vol}(B)^{1/2}$$

 $\bullet~{\rm Gap}$  between the geodesic dimension and the best N

$$\mathcal{N}(x) = egin{cases} 2 & ext{in the Riemannian region} \\ 4 & ext{otherwise} \end{cases}$$

## Regularity of distance

(M, D, g) complete (sub-)Riemannian structure. Fix  $x \in M$ .

Theorem (Agrachev - 2009, Rifford-Trélat - 2006)

The set of points where  $d_{SR}^2(x, \cdot)$  is smooth is open and dense in M.

The **cut** locus cut(x) is the complement of the set of smooth points



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# Regularity of distance

The proof of the main inequality implies the following characterization

### Theorem (Barilari, R. - 2017)

Let (M, D, g) be an ideal sub-Riemannian manifold. Let  $y \neq x$ . Then  $y \in \text{cut}(x)$  if and only if  $f = d_{SR}^2(x, \cdot)$  fails to be semiconvex at y:

$$\inf_{|v|<1} \frac{f(y+v) + f(y-v) - 2f(y)}{|v|^2} = -\infty$$

 $\rightarrow$  "one cannot put a parabola below the graph of the distance"

- Extends an analogue result in the Riemannian case [CEMS,2001]
- Differentiability of transport map [FR,2008]
- Sharp  $\rightarrow$  there are non-ideal structures where  $d_{SR}^2(x, \cdot)$  is locally semiconvex at the cut locus (it fails to be semiconcave)

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  - 4 What are model spaces?

# Idea of the proof

**Step 0.** Optimal transport problem:  $\mu_0, \mu_1 \in \mathcal{P}_c(M)$ 

$$\inf_{T \not = \mu_1} \frac{1}{2} \int_M d_{SR}^2(x, T(x)) d\mathsf{m}(x)$$



- Maps  $T: M \to M$  that realizes the inf are **optimal transport maps**
- Points are transported along geodesics  $x \mapsto T(x)$
- To prove BM ⇒ choose (μ<sub>0</sub>, μ<sub>1</sub>) = (χ<sub>A</sub>, χ<sub>B</sub>). The interpolating measure measure μ<sub>t</sub> gives a lower bound for the measure of Z<sub>t</sub>(A, B)

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## Idea of the proof

**Step 1.** The optimal transport problem is well defined on ideal structures (Ambrosio-Rigot 2004, Agrachev-Lee 2008, Figalli-Juillet 2008, Figalli-Rifford 2010)

### Theorem (Figalli, Rifford - 2010)

Let  $\mu_0 \in \mathcal{P}_c^{ac}(M)$ ,  $\mu_1 \in \mathcal{P}_c(M)$ . Assume  $\operatorname{supp}(\mu_0) \cap \operatorname{supp}(\mu_1) = \emptyset$ .

• There exists a unique optimal transport map  $T:M\to M$  such that  $T_{\sharp}\mu_0=\mu_1,$  given by

$$T(x) = \exp_x(d_x\psi),$$

where  $\psi: M \to \mathbb{R}$  is locally semiconvex.

• For  $\mu_0$ -a.e.  $x \in M$  there exists a unique geodesic joining x with T(x):

$$T_t(x) = \exp_x(td_x\psi), \quad \forall t \in [0,1].$$

• ideal  $\Rightarrow$  semiconvexity of  $\psi$ 

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# Idea of the proof

**Step 2.** Geodesics interpolation between  $\mu_0$  and  $\mu_1$  at time  $t \in [0, 1]$ :

$$\mu_t := (T_t)_{\sharp} \mu_0, \qquad \text{with} \qquad T_t(x) = \exp_x(t d_x \psi)$$

▶  $\psi$  Alexandrov second differentiability theorem  $\Rightarrow$   $T_t(x)$  is m-a.e. differentiable

$$\mathsf{f} |\det(d_x T_t)| > 0 \text{ m-a.e.} \qquad \mu_t = \rho_t \mathsf{m}, \qquad \rho_t(T_t(x)) = \frac{\rho_0(x)}{|\det(d_x T_t)|}$$

**Step 3.** The differential  $d_x T_t : T_x M \to T_{T_t(x)} M$ 

$$d_x T_t = \pi_* \circ e_*^{t\vec{H}} \circ d_x^2 \psi$$

 ${\ensuremath{\, \bullet}}$  use the natural symplectic structure on  $T^*M$  and Darboux moving frames

• avoid the classical machinery of connection and parallel transport

**5tep 4.** Jacobian inequality: i.e., interpolation inequality for  $\det d_x T_t$ 

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# Concentration inequality

The proof implies:

- $T(x) \notin \operatorname{cut}(x)$  for  $\mu_0$ -a.e.  $x \in M$
- $\det(d_xT_t) > 0$  for all  $t \in [0,1)$  and  $\mu_t = \rho_t \mathsf{m}$
- ${\ensuremath{\, \bullet }}$  The Jacobian inequality holds on the whole [0,1]

### Theorem (Barilari, R. - 2017)

Let (D,g) be an ideal sub-Riemannian structure on M, and  $\mu_0, \mu_1 \in \mathcal{P}_c^{ac}(M)$ . Let  $\rho_t = d\mu_t/dm$ . For all  $t \in [0,1]$ , it holds

$$\frac{1}{\rho_t(T_t(x))^{1/n}} \geq \frac{\beta_{1-t}(T(x),x)^{1/n}}{\rho_0(x)^{1/n}} + \frac{\beta_t(x,T(x))^{1/n}}{\rho_1(T(x))^{1/n}}, \qquad \mu_0 - \text{a.e.} \, x \in M.$$

If  $\mu_1$  is not absolutely continuous, an analogous result holds, provided that  $t \in [0, 1)$ , and that the second term on the right hand side is omitted.

► Borell-Brascamp-Lieb, *p*-mean inequality, Brunn-Minkowski follow

### Outline

Introduction

2) The sub-Riemannian case

3) Few ideas from the proof

What are model spaces?

### Comparison: the Riemannian case

 $\mathsf{m}(Z_t(A,B))^{1/n} \geq \beta_{1-t}(B,A)^{1/n} \mathsf{m}(A)^{1/n} + \beta_t(A,B)^{1/n} \mathsf{m}(B)^{1/n}$ 

- Distortion coefficients are in general difficult to compute,
- a bound on the geometry gives a bound in terms of model spaces.

#### Theorem

Let (M,g) be a n-dimensional Riemannian, with  $m = vol_g$  Riemannian volume. Assume that  $\operatorname{Ric}_g \geq K$ . Then for  $(x,y) \notin \operatorname{cut}(M)$  we have

$$\beta_t(x,y) \ge \beta_t^{(K,n)}(x,y),$$

•  $\beta_t^{(K,n)}(x,y)$  distortion coefficient of model: constant sectional curvature K and dimension n.

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(3)

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- Assume the Riemannian manifold (M,g) endowed with arbitrary smooth measure  ${\rm m}=e^{-V}{\rm vol}_g$
- Bakry-Emery Ricci tensor

$$\operatorname{Ric}_{g}^{\mathsf{m},N} := \operatorname{Ric}_{g} + \nabla^{2}V - \frac{\nabla V \otimes \nabla V}{N-n},$$
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#### Theorem

Let (M,g) be a n-dimensional Riemannian manifold, with smooth volume m. Assume that  $\operatorname{Ric}_q^{\mathsf{m},N} \geq K$ . Then for  $(x,y) \notin \operatorname{cut}(M)$  we have

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- This inequality is weaker than the one is possible to obtain ( $\rightarrow$  the one defining CD(K, N) spaces)
- i.e., the latter *cannot* be obtained plugging this inequality into the main one.
- this can be generalized to sub-Riemannian (could not expect  ${
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Explicit formula for the coefficient appearing in the right-hand side of (3)

$$\beta_t^{(K,n)}(x,y) = \begin{cases} t \left(\frac{\sin(t\alpha)}{\sin(\alpha)}\right)^{n-1} & \text{if } K > 0, \\ t^n & \text{if } K = 0, \\ t \left(\frac{\sinh(t\alpha)}{\sinh(\alpha)}\right)^{n-1} & \text{if } K < 0, \end{cases} \quad \alpha = \sqrt{\frac{|K|}{n-1}} d(x,y).$$
(6)

- ${\ensuremath{\, \circ }}$  only depends on d(x,y)
- the  $(n-1) \rightarrow$  no curvature in direction of the geodesic
- Jacobi equation in parallel transported frame

$$\ddot{J}_i + R_{ij}(t)J_j = 0$$

where  $R_{ij}(t) = R(X_i, \dot{\gamma}, \dot{\gamma}, X_j)$ .

• constant curvature  $R = diag(K, K, \dots, K, 0)$ 

## The problem of models

When do we have  $\beta_t(x, y) \ge \beta_t^{\text{model}}$  ?

- In the above example models are given by Riemannian space forms
- No reason to be good models also for the sub-Riemannian case
- do not depend only on d(x, y) but on the whole trajectory

Problems in the SR case: What are models? What is curvature?

We propose an approach from the viewpoint of control theory:

- Curvature: invariant extracted from derivatives of the sub-Riemannian distance
- Models: simple optimal control problems

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### Linear Quadratic problems as models

Variational problems in  $\mathbb{R}^n$ 

$$\dot{x} = Ax + Bu$$

with minimization of a quadratic cost

$$\frac{1}{2}\int_0^1(u^*u-x^*Qx)dt\longrightarrow\min$$

**Bracket generating**:  $\exists m \geq 0$  such that  $\operatorname{rank}(B, AB, \ldots, A^mB) = n$ 

Optimal trajectories solve a Hamiltonian system:

$$H(p,x) = \frac{1}{2}(p^*BB^*p + 2p^*Ax + x^*Qx)$$

For all LQ problems that we use, minimizers exist and are unique.

Davide Barilari (IMJ-PRG, Paris Diderot)

## LQ distortion

### Definition (LQ distortion coefficients)

$$\beta_t^{A,B,Q}(x,y) := \limsup_{r \to 0} \frac{|Z_t(x, \mathcal{B}_r(y))|}{|\mathcal{B}_r(y)|}, \qquad x, y \in \mathbb{R}^n$$

- It does not depend on x, y (the Hamiltonian flow is linear)
- Very simple to compute

#### Example: Harmonic oscillator

No drift (A = 0), no constraint on velocity (B = 1), isotropic potential  $(Q = \kappa 1)$ :

$$H(p,x) = \frac{1}{2}(|p|^2 + \kappa |x|^2)$$

 $\Rightarrow \beta_t^{A,B,Q} = \mathsf{Riemannian} \ \mathsf{distortion} \ \mathsf{coefficients!}$ 

# What is curvature? (sketchy)

### Fact/definition:

To any SR geodesic  $\gamma$  (+ technical assumptions) we associate

- two constant matrices A and  $B \rightarrow$  structure of Lie derivatives along geodesics
- a curvature operator, quadratic form  $\mathfrak{R}_{\gamma(t)}: T_{\gamma(t)}M \to \mathbb{R}$  for  $t \in [0,T]$ .
- In the Riemannian case: A = 0, B = I,  $\Re_{\gamma(t)}(X) = R^{\nabla}(\dot{\gamma}_t, X, X, \dot{\gamma}_t)$

Given the operator  $\Re_{\gamma(t)}$  and a smooth measure m one can define a Bakry-Emery sub-Riemannian tensor

$$\mathfrak{R}^{\mathsf{m},N}_{\gamma(t)} = \mathfrak{R}_{\gamma(t)} - \frac{\dot{\rho}(t)}{k} \Pi_{\gamma(t)} - \frac{n}{N-n} \frac{\rho^2(t)}{k^2} \Pi_{\gamma(t)}.$$
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Recall that  $n = \dim M$  and  $k = \dim D$ .

• in Riemannian  $\rho(t) = -g(\nabla V, \dot{\gamma}(t))$  for  $\mathbf{m} = e^{-V} \operatorname{vol}_g$ .

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### Final comparison

In terms of the Bakry-Emery SR curvature  $\mathfrak{R}^{\mathsf{m},N}_{\gamma(t)}$  we have the following comparison

#### Theorem

Let  $(x, y) \notin \operatorname{cut}(M)$  and assume that the unique length-minimizer joining x and y is associated with matrices A, B.

(a) If there exists N > n and Q such that  $\frac{1}{N}\mathfrak{R}^{\mathsf{m},N}_{\gamma(t)} \ge \frac{1}{n}Q$  for every  $t \in [0,T]$ , then

$$\beta_t(x,y)^{\frac{1}{N}} \ge (\beta_t^{A,B,Q})^{\frac{1}{n}} \tag{8}$$

Assume now that  $\rho = 0$ .

(b) If there exists Q such that  $\Re_{\gamma(t)} \ge Q$  for every  $t \in [0,T]$ , then

$$\beta_t(x,y) \ge \beta_t^{A,B,Q} \tag{9}$$

#### THANKS FOR YOUR ATTENTION !

Davide Barilari (IMJ-PRG, Paris Diderot)