# On the Brunn-Minkovski inequality in sub-Riemannian geometry 

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## Joint work with

This is based on joint works with

- Luca Rizzi (Institut Fourier, Univ. Grenoble-Alpes)
$\rightarrow$ Main references:
BR-17 DB, L. Rizzi,
Sub-Riemannian interpolation inequalities, $\rightarrow$ Preprint Arxiv, 2017
BR-18 DB, L. Rizzi, Sub-Riemannian Bakry-Emery curvature: comparison and model spaces,
$\rightarrow$ Soon on Arxiv!


## Outline

(1) Introduction
(2) The sub-Riemannian case
(3) Few ideas from the proof
(4) What are model spaces?

## Outline

## (1) Introduction

## (2) The sub-Riemannian case

(3) Few ideas from the proof

4 What are model spaces?

## Euclidean Brunn-Minkowski

$A, B \subset \mathbb{R}^{n}$ non-empty measurable bounded sets

Minkowski sum: $A+B=\{z \mid z=a+b, a \in A, b \in B\}$


Brunn-Minkowski Inequality:

$$
\operatorname{vol}(A+B)^{1 / n} \geq \operatorname{vol}(A)^{1 / n}+\operatorname{vol}(B)^{1 / n}
$$

Here vol is the Lebesgue measure in $\mathbb{R}^{n}$

## Euclidean Brunn-Minkowski

$A, B \subset \mathbb{R}^{n}$ non-empty measurable bounded sets
Minkowski interpolation: $(1-t) A+t B=\{z \mid z=(1-t) a+t b, a \in A, b \in B\}$


## Brunn-Minkowski Inequality:

$$
\operatorname{vol}((1-t) A+t B)^{1 / n} \geq(1-t) \operatorname{vol}(A)^{1 / n}+t \operatorname{vol}(B)^{1 / n} \quad \forall t \in[0,1]
$$

Here vol is the Lebesgue measure in $\mathbb{R}^{n}$

## Functional inequalities

Geometric inequalities have often a functional counterpart

## Theorem ( $+\infty$-mean Borell-Brascamp-Lieb inequality)

Fix $t \in[0,1]$. Let $f, g, h: \mathbb{R}^{n} \rightarrow \mathbb{R}$ be non-negative and integrable. Assume that for every $x, y \in \mathbb{R}^{n}$

$$
\begin{equation*}
h((1-t) x+t y) \geq \max \{f(x), g(y)\} . \tag{1}
\end{equation*}
$$

Then,

$$
\begin{equation*}
\|h\|_{L^{1}}^{1 / n} \geq(1-t)\|f\|_{L^{1}}^{1 / n}+t\|g\|_{L^{1}}^{1 / n} \tag{2}
\end{equation*}
$$

- one could restrict to $(x, y) \in A \times B$
- $A, B \subset \mathbb{R}^{n}$ Borel subsets such that $\int_{A} f d \mathrm{~m}=\|f\|_{L^{1}}$ and $\int_{B} g d \mathrm{~m}=\|g\|_{L^{1}}$.
$\rightarrow$ generalized to other $p$-mean inequalities
(from Prékopa-Leindler to Borell-Brascamp-Lieb)


## Generalization to Riemannian: a necessary condition

Denote $Z_{t}(A, B):=(1-t) A+t B$ the $t$-interpolating set

## Brunn-Minkowski Inequality:

$$
\operatorname{vol}\left(Z_{t}(A, B)\right)^{1 / n} \geq(1-t) \operatorname{vol}(A)^{1 / n}+t \operatorname{vol}(B)^{1 / n} \quad \forall t \in[0,1]
$$

- notice for $A=\{x\}$ and $B=\mathcal{B}_{r}(y)$ a ball.

$$
\operatorname{vol}\left(Z_{t}\left(x, \mathcal{B}_{r}(y)\right)\right) \geq t^{n} \operatorname{vol}\left(\mathcal{B}_{r}(y)\right) \quad \forall t \in[0,1]
$$

- in general this implies a control on the ratio

$$
\frac{\operatorname{vol}\left(Z_{t}\left(x, \mathcal{B}_{r}(y)\right)\right)}{\operatorname{vol}\left(\mathcal{B}_{r}(y)\right)} \geq t^{n}
$$

$\rightarrow$ measure contraction along geodesics, curvature

## Distortion coefficient

$(M, g)$ Riemannian manifold, vol Riemannian volume measure

## Distortion coefficient

$$
\beta_{t}(x, y):=\limsup _{r \rightarrow 0} \frac{\operatorname{vol}\left(Z_{t}\left(x, \mathcal{B}_{r}(y)\right)\right)}{\operatorname{vol}\left(\mathcal{B}_{r}(y)\right)}, \quad \forall x, y \in M, t \in[0,1]
$$



- $\beta_{1}(x, y)=1$ and $\beta_{0}(x, y)=0$. Important: $\beta_{t}(x, y) \sim t^{n}$ for $t \rightarrow 0$.
- $\beta_{t}(x, y)$ depends on the geodesics joining $x$ with $y$
- Computable in terms of Jacobi fields.


## Riemannian Brunn-Minkowski

$(M, g)$ complete Riem. manifold, $A, B$ non-empty Borel sets

$$
Z_{t}(A, B):=\{\gamma(t) \mid \gamma:[0,1] \rightarrow M \text { geodesic s.t. } \gamma(0) \in A, \gamma(1) \in B\}
$$



## Theorem (Cordero-Erausquin, McCann, Schmuckenschläger - 2001)

Assume ( $M, g$ ) complete Riem. manifold with Ric $\geq 0$. Then

$$
\operatorname{vol}\left(Z_{t}(A, B)\right)^{1 / n} \geq(1-t) \operatorname{vol}(A)^{1 / n}+t \operatorname{vol}(B)^{1 / n}
$$

- If Ric $\geq K$ the inequality holds with modified coefficients
- It can be used to define Ricci bounds for m.m.s. (Sturm, Lott-Villani, ....)


## A limiting procedure: the Heisenberg group

Define on $\mathbb{R}^{3}$

$$
X_{1}=\frac{\partial}{\partial x}-\frac{y}{2} \frac{\partial}{\partial z}, \quad X_{2}=\frac{\partial}{\partial y}+\frac{x}{2} \frac{\partial}{\partial z}, \quad X_{3}^{\varepsilon}=\varepsilon \frac{\partial}{\partial z}
$$

- $\left(\mathbb{R}^{3}, g^{\varepsilon}\right)$ Riemannian structure for $\varepsilon>0$ with $\left\{X_{1}, X_{2}, X_{3}^{\varepsilon}\right\}$ o.n. frame.

The sequence of curvatures is unbounded from below:

- $D^{\varepsilon}=\operatorname{span}\left\{X_{1}, X_{2}, X_{3}^{\varepsilon}\right\} \rightarrow D=\operatorname{span}\left\{X_{1}, X_{2}\right\}$
- $\operatorname{Sec}^{\varepsilon}\left(v_{1}, v_{2}\right) \rightarrow-\infty$ for all $v_{1}, v_{2} \in D$
- $\operatorname{Ric}^{\varepsilon}(v) \rightarrow-\infty$ for all $v \in D$

As metric spaces $\left(\mathbb{R}^{3}, d^{\varepsilon}\right) \rightarrow\left(\mathbb{R}^{3}, d_{S R}\right)$ (in the Gromov-Hausdorff sense)

- Cannot prove directly BM by taking limits of Ricci bounded structures


## Outline

## Sub-Riemannian geometry

## Sub-Riemannian structure

- $M$ smooth, connected manifold
- $D \subseteq T M$ distribution of constant* rank $k \leq n$
- Hörmander condition: $\left.\operatorname{Lie}(D)\right|_{x}=T_{x} M$ for all $x \in M$
- $g$ smooth scalar product on $D$

Admissible curve: $\gamma:[0,1] \rightarrow M$ such that $\dot{\gamma}(t) \in D_{\gamma_{t}}$

$$
\ell(\gamma)=\int_{0}^{1}\|\dot{\gamma}(t)\| d t
$$

Sub-Riemannian distance: (or Carnot-Carathédory)

$$
d_{S R}(x, y)=\inf \{\ell(\gamma) \mid \gamma \text { admissible joining } x \text { with } y\}
$$

Chow-Rashevskii: $d_{S R}<+\infty$ and $\left(M, d_{S R}\right)$ has the same topology of $M$

## Brunn-Minkowski on the Heisenberg group

The standard Brunn-Minkowski inequality $\operatorname{BM}(0, N)$ :

$$
\operatorname{vol}\left(Z_{t}(A, B)\right)^{\frac{1}{N}} \geq(1-t) \operatorname{vol}(A)^{\frac{1}{N}}+t \operatorname{vol}(B)^{\frac{1}{N}}
$$

## Theorem (Juillet - 2009)

The Heisenberg group $\mathbb{H}_{3}$, equipped with Lebesgue measure:

- satisfy the $\operatorname{MCP}(0, N)$ for $N \geq 5$
- does not satisfy any $\operatorname{BM}(0, N)$
$\Rightarrow$ Geodesic dimension (Agrachev, DB, Rizzi - 2013)


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## Theorem (Balogh, Kristály, Sipos - 2016)

The Heisenberg group $\mathbb{H}_{3}$, equipped with Lebesgue measure, satisfy

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$$

## Towards SR interpolation inequalities

For the Heisenberg group ( $\rightarrow$ and higher dimensional versions):

- Juillet $\Rightarrow$ standard BM is not the right one
- Balogh-Kristály-Sipos $\Rightarrow$ some modified BM holds


## Do general sub-Riemannian structures support interpolation inequalities? (with weights that may depend on geometry)

## Main results

- interpolation inequalities for ideal sub-Riemannian structures
- new examples of sharp BM (Grushin plane, some Carnot groups)
- regularity results for the sub-Riemannian distance


## Main assumption: ideal structures

## Definition (Ideal structure)

A sub-Riemannian structure is ideal if $\left(M, d_{S R}\right)$ is complete and it admits no singular minimizing geodesics

- singular minimizer: cf talk by Ludovic Rifford
- True for the generic sub-Riemannian structure with rank $D \geq 3$
$\rightarrow$ [Chitour, Jean, Trélat - 2006]
- True for all contact structures

In this case, geodesics are described by a Hamiltonian flow on $T^{*} M$

- $H$ is quadratic on fibers but degenerate

$$
H(p, x)=\frac{1}{2} \sum_{i, j=1}^{n} g^{i j}(x) p_{i} p_{j}, \quad g^{i j}(x) \quad \text { is degenerate }
$$

- not immediate replace Levi-Civita connection / tensor curvature (in general)


## The Heisenberg sphere

Even without singular minimizers things are not trivial


Sub-Riemannian spheres are not smooth, even for small radii

## Asymptotics of distortion coefficients

## Sub-Riemannian distortion coefficient

$$
\beta_{t}(x, y):=\limsup _{r \rightarrow 0} \frac{\mathrm{~m}\left(Z_{t}\left(x, \mathcal{B}_{r}(y)\right)\right)}{\mathrm{m}\left(\mathcal{B}_{r}(y)\right)}, \quad \forall x, y \in M, t \in[0,1]
$$

- Riemannian case: $\beta_{t}(x, y) \sim t^{n}$


## Theorem (Agrachev, DB, Rizzi - 2013)

Fix $x \in M$. Then for a.e. $y \in M$ one has

$$
\beta_{t}(x, y) \sim t^{\mathcal{N}(x)},
$$

for some $\mathcal{N}(x) \in \mathbb{N}$ such that $\mathcal{N}(x)>n$.

- $\mathcal{N}(x)$ is the geodesic dimension at $x$
- definable in terms of directional Lie brackets
- it is bigger also than the Hausorff dimension


## Sub-Riemannian BM with weights

Given $A, B \subset M$ Borel and $t \in[0,1]$

$$
\beta_{t}(A, B):=\inf \left\{\beta_{t}(x, y) \mid(x, y) \in A \times B\right\}
$$

## Theorem (Barilari, R. - 2017)

Let $(M, D, g)$ be an ideal $n$-dim sub-Riemannian manifold, m smooth measure. For all $A, B \subset M$ Borel and $t \in[0,1]$

$$
\mathrm{m}\left(Z_{t}(A, B)\right)^{1 / n} \geq \beta_{1-t}(B, A)^{1 / n} \mathrm{~m}(A)^{1 / n}+\beta_{t}(A, B)^{1 / n} \mathrm{~m}(B)^{1 / n}
$$

- Particular case of more general sub-Riemannian interpolation inequalities
- functional inequalities à la Borell-Brascamp-Liebb
- $\beta_{t}(x, y)$ explicitly computable in terms of Hamiltonian flow


## Sub-Riemannian BM with weights

Given $A, B \subset M$ Borel and $t \in[0,1]$

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$$

- Particular case of more general sub-Riemannian interpolation inequalities
- difficulties: absence of standard Jacobi fields, degenerate Hamiltonian
- $\beta_{t}(x, y)$ explicitly computable in terms of Hamiltonian flow
$\rightarrow$ notice that IF $\beta_{t}(x, y) \geq t^{n}$ then linear weights in $t$, but $\ldots$


## Equivalence of inequalities

$$
\mathrm{m}\left(Z_{t}(A, B)\right)^{1 / n} \geq \beta_{1-t}(B, A)^{1 / n} \mathrm{~m}(A)^{1 / n}+\beta_{t}(A, B)^{1 / n} \mathrm{~m}(B)^{1 / n}
$$

- Interesting case: $\beta_{t}(x, y) \geq t^{N}$ for some $N(\rightarrow$ hence $N \geq \mathcal{N}(x))$


## Corollary

Let $(M, D, g)$ be an ideal $n$-dim sub-Riemannian manifold, m smooth measure.
Let $N>0$. The following are equivalent:
(i) bound on the distortion coefficient:

$$
\beta_{t}(x, y) \geq t^{N}
$$

(ii) the modified Brunn-Minkowski inequality:

$$
\mathrm{m}\left(Z_{t}(A, B)\right)^{1 / n} \geq(1-t)^{N / n} \mathrm{~m}(A)^{1 / n}+t^{N / n} \mathrm{~m}(B)^{1 / n}
$$

(iii) the measure contraction property $\operatorname{MCP}(0, N)$ :

$$
\mathrm{m}\left(Z_{t}(x, B)\right) \geq t^{N} \mathrm{~m}(B)
$$

## Application to some Carnot groups

## Theorem (Rifford - 2014, Rifford, Badreddine - 2018)

There exists $N>0$ such that

$$
\beta_{t}(x, y) \geq t^{N} \quad \forall t \in[0,1]
$$

a) for every compact 2-step sub-Riemannian manifold

[^0]
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(\rightarrow D+[D, D]=T M)
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b) a class of 3-step Carnot group

## Conjecture: for Carnot groups best exponent = geodesic dimension?

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## Conjecture: for Carnot groups best exponent $=$ geodesic dimension?

For any generalized H-type Carnot group of dimension $n$ and rank $k$, equipped with the I ehesome measure for all Rorel subsets $A$ ine have the sharn ineaualit

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Conjecture: for Carnot groups best exponent = geodesic dimension?

## Theorem (Barilari, R. - 2017)

For any generalized $H$-type Carnot group of dimension $n$ and rank $k$, equipped with the Lebesgue measure, for all Borel subsets $A, B$ we have the sharp inequality

$$
\operatorname{vol}\left(Z_{t}(A, B)\right)^{\frac{1}{n}} \geq(1-t)^{\frac{k+3(n-k)}{n}} \operatorname{vol}(A)^{\frac{1}{n}}+t^{\frac{k+3(n-k)}{n}} \operatorname{vol}(B)^{\frac{1}{n}},
$$

$\rightarrow$ not necessarily ideal (tensorization: Ritoré-Nicolàs - 2017)

## Application to the Grushin plane

Rank-varying structure on $M=\mathbb{R}^{2}$, equipped with Lebesgue measure

$$
X_{1}=\partial_{x}, \quad X_{2}=x \partial_{y}
$$

Well defined geodesic m.m.s. (almost Riemannian, with Curv $\rightarrow-\infty$ ).

## Theorem (Barilari, R. - 2017)

The distortion coefficient of Grushin satisfies the following sharp inequality

$$
\beta_{t}(x, y) \geq t^{5}, \quad \forall t \in[0,1]
$$

which is equivalent to the Brunn-Minkowski inequality:

$$
\operatorname{vol}\left(Z_{t}(A, B)\right)^{1 / 2} \geq(1-t)^{5 / 2} \operatorname{vol}(A)^{1 / 2}+t^{5 / 2} \operatorname{vol}(B)^{1 / 2}
$$

- Gap between the geodesic dimension and the best $N$

$$
\mathcal{N}(x)= \begin{cases}2 & \text { in the Riemannian region } \\ 4 & \text { otherwise }\end{cases}
$$

## Regularity of distance

$(M, D, g)$ complete (sub-)Riemannian structure. Fix $x \in M$.

## Theorem (Agrachev - 2009, Rifford-Trélat - 2006)

The set of points where $d_{S R}^{2}(x, \cdot)$ is smooth is open and dense in $M$.
The cut locus cut $(x)$ is the complement of the set of smooth points


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## Regularity of distance

The proof of the main inequality implies the following characterization

## Theorem (Barilari, R. - 2017)

Let $(M, D, g)$ be an ideal sub-Riemannian manifold. Let $y \neq x$. Then $y \in \operatorname{cut}(x)$ if and only if $f=d_{S R}^{2}(x, \cdot)$ fails to be semiconvex at $y$ :

$$
\inf _{0<|v|<1} \frac{f(y+v)+f(y-v)-2 f(y)}{|v|^{2}}=-\infty
$$

$\rightarrow$ "one cannot put a parabola below the graph of the distance"

- Extends an analogue result in the Riemannian case [CEMS,2001]
- Differentiability of transport map [FR,2008]
- Sharp $\rightarrow$ there are non-ideal structures where $d_{S R}^{2}(x, \cdot)$ is locally semiconvex at the cut locus (it fails to be semiconcave)


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- Sharp $\rightarrow$ there are non-ideal structures where $d_{S R}^{2}(x, \cdot)$ is locally semiconvex at the cut locus (it fails to be semiconcave) $\rightarrow$ role of abnormal minimizers


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## Idea of the proof

Step 0. Optimal transport problem: $\mu_{0}, \mu_{1} \in \mathcal{P}_{c}(M)$

$$
\inf _{T_{\sharp} \mu_{0}=\mu_{1}} \frac{1}{2} \int_{M} d_{S R}^{2}(x, T(x)) d \mathrm{~m}(x)
$$



- Maps $T: M \rightarrow M$ that realizes the inf are optimal transport maps
- Points are transported along geodesics $x \mapsto T(x)$
- To prove $\mathrm{BM} \Rightarrow$ choose $\left(\mu_{0}, \mu_{1}\right)=\left(\chi_{A}, \chi_{B}\right)$. The interpolating measure measure $\mu_{t}$ gives a lower bound for the measure of $Z_{t}(A, B)$


## Idea of the proof

Step 1. The optimal transport problem is well defined on ideal structures (Ambrosio-Rigot 2004, Agrachev-Lee 2008, Figalli-Juillet 2008, Figalli-Rifford 2010)

## Theorem (Figalli, Rifford - 2010)

Let $\mu_{0} \in \mathcal{P}_{c}^{a c}(M), \mu_{1} \in \mathcal{P}_{c}(M)$. Assume $\operatorname{supp}\left(\mu_{0}\right) \cap \operatorname{supp}\left(\mu_{1}\right)=\emptyset$.

- There exists a unique optimal transport map $T: M \rightarrow M$ such that $T_{\sharp} \mu_{0}=\mu_{1}$, given by

$$
T(x)=\exp _{x}\left(d_{x} \psi\right),
$$

where $\psi: M \rightarrow \mathbb{R}$ is locally semiconvex.

- For $\mu_{0}$-a.e. $x \in M$ there exists a unique geodesic joining $x$ with $T(x)$ :

$$
T_{t}(x)=\exp _{x}\left(t d_{x} \psi\right), \quad \forall t \in[0,1] .
$$

- ideal $\Rightarrow$ semiconvexity of $\psi$


## Idea of the proof

Step 2. Geodesics interpolation between $\mu_{0}$ and $\mu_{1}$ at time $t \in[0,1]$ :

$$
\mu_{t}:=\left(T_{t}\right)_{\sharp} \mu_{0}, \quad \text { with } \quad T_{t}(x)=\exp _{x}\left(t d_{x} \psi\right)
$$

- $\psi$ Alexandrov second differentiability theorem $\Rightarrow T_{t}(x)$ is m -a.e. differentiable

$$
\text { if }\left|\operatorname{det}\left(d_{x} T_{t}\right)\right|>0 \text { m-a.e. } \quad \mu_{t}=\rho_{t} \mathrm{~m}, \quad \rho_{t}\left(T_{t}(x)\right)=\frac{\rho_{0}(x)}{\left|\operatorname{det}\left(d_{x} T_{t}\right)\right|}
$$

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$$

Step 3. The differential $d_{x} T_{t}: T_{x} M \rightarrow T_{T_{t}(x)} M$

$$
d_{x} T_{t}=\pi_{*} \circ e_{*}^{t \vec{H}} \circ d_{x}^{2} \psi
$$

- use the natural symplectic structure on $T^{*} M$ and Darboux moving frames
- avoid the classical machinery of connection and parallel transport


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- avoid the classical machinery of connection and parallel transport

Step 4. Jacobian inequality: i.e., interpolation inequality for $\operatorname{det} d_{x} T_{t}$

## Concentration inequality

The proof implies:

- $T(x) \notin \operatorname{cut}(x)$ for $\mu_{0}$-a.e. $x \in M$
- $\operatorname{det}\left(d_{x} T_{t}\right)>0$ for all $t \in[0,1)$ and $\mu_{t}=\rho_{t} \mathrm{~m}$
- The Jacobian inequality holds on the whole $[0,1]$


## Theorem (Barilari, R. - 2017)

Let $(D, g)$ be an ideal sub-Riemannian structure on $M$, and $\mu_{0}, \mu_{1} \in \mathcal{P}_{c}^{a c}(M)$. Let $\rho_{t}=d \mu_{t} / d \mathrm{~m}$. For all $t \in[0,1]$, it holds

$$
\frac{1}{\rho_{t}\left(T_{t}(x)\right)^{1 / n}} \geq \frac{\beta_{1-t}(T(x), x)^{1 / n}}{\rho_{0}(x)^{1 / n}}+\frac{\beta_{t}(x, T(x))^{1 / n}}{\rho_{1}(T(x))^{1 / n}}, \quad \mu_{0}-\text { a.e. } x \in M
$$

If $\mu_{1}$ is not absolutely continuous, an analogous result holds, provided that $t \in[0,1)$, and that the second term on the right hand side is omitted.

- Borell-Brascamp-Lieb, p-mean inequality, Brunn-Minkowski follow


## Outline

## Comparison: the Riemannian case

$$
\mathrm{m}\left(Z_{t}(A, B)\right)^{1 / n} \geq \beta_{1-t}(B, A)^{1 / n} \mathbf{m}(A)^{1 / n}+\beta_{t}(A, B)^{1 / n} \mathbf{m}(B)^{1 / n}
$$

- Distortion coefficients are in general difficult to compute,
- a bound on the geometry gives a bound in terms of model spaces.


## Theorem

Let $(M, g)$ be a $n$-dimensional Riemannian, with $\mathrm{m}=\operatorname{vol}_{g}$ Riemannian volume. Assume that $\operatorname{Ric}_{g} \geq K$. Then for $(x, y) \notin \operatorname{cut}(M)$ we have

$$
\begin{equation*}
\beta_{t}(x, y) \geq \beta_{t}^{(K, n)}(x, y) \tag{3}
\end{equation*}
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- $\beta_{t}^{(K, n)}(x, y)$ distortion coefficient of model: constant sectional curvature $K$ and dimension $n$.


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- Assume the Riemannian manifold $(M, g)$ endowed with arbitrary smooth measure $\mathrm{m}=e^{-V}$ vol $_{g}$
- Bakry-Emery Ricci tensor

$$
\begin{equation*}
\operatorname{Ric}_{g}^{\mathrm{m}, N}:=\operatorname{Ric}_{g}+\nabla^{2} V-\frac{\nabla V \otimes \nabla V}{N-n} \tag{4}
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## Theorem

Let $(M, g)$ be a $n$-dimensional Riemannian manifold, with smooth volume m . Assume that $\operatorname{Ric}_{g}^{\mathrm{m}, N} \geq K$. Then for $(x, y) \notin \operatorname{cut}(M)$ we have

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- This inequality is weaker than the one is possible to obtain $(\rightarrow$ the one defining $\mathrm{CD}(K, N)$ spaces)
- i.e., the latter cannot be obtained plugging this inequality into the main one.
- this can be generalized to sub-Riemannian (could not expect $\mathrm{CD}(K, N)$ )
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Explicit formula for the coefficient appearing in the right-hand side of (3)

$$
\beta_{t}^{(K, n)}(x, y)=\left\{\begin{array}{ll}
t\left(\frac{\sin (t \alpha)}{\sin (\alpha)}\right)^{n-1} & \text { if } K>0,  \tag{6}\\
t^{n} & \text { if } K=0, \\
t\left(\frac{\sinh (t \alpha)}{\sinh (\alpha)}\right)^{n-1} & \text { if } K<0,
\end{array} \quad \alpha=\sqrt{\frac{|K|}{n-1}} d(x, y) .\right.
$$

- only depends on $d(x, y)$
- the $(n-1) \rightarrow$ no curvature in direction of the geodesic
- Jacobi equation in parallel transported frame

$$
\ddot{J}_{i}+R_{i j}(t) J_{j}=0
$$

where $R_{i j}(t)=R\left(X_{i}, \dot{\gamma}, \dot{\gamma}, X_{j}\right)$.

- constant curvature $R=\operatorname{diag}(K, K, \ldots, K, 0)$


## The problem of models

## When do we have $\beta_{t}(x, y) \geq \beta_{t}^{\text {model }}$ ?

- In the above example models are given by Riemannian space forms
- No reason to be good models also for the sub-Riemannian case
- do not depend only on $d(x, y)$ but on the whole trajectory

Problems in the SR case: What are models? What is curvature?
We propose an approach from the viewpoint of control theory:

- Curvature: invariant extracted from derivatives of the sub-Riemannian distance
- Models: simple optimal control problems


## Linear Quadratic problems as models

Variational problems in $\mathbb{R}^{n}$

$$
\dot{x}=A x+B u
$$

with minimization of a quadratic cost

$$
\frac{1}{2} \int_{0}^{1}\left(u^{*} u-x^{*} Q x\right) d t \longrightarrow \min
$$

Bracket generating: $\exists m \geq 0$ such that $\operatorname{rank}\left(B, A B, \ldots, A^{m} B\right)=n$

Optimal trajectories solve a Hamiltonian system:

$$
H(p, x)=\frac{1}{2}\left(p^{*} B B^{*} p+2 p^{*} A x+x^{*} Q x\right)
$$

For all LQ problems that we use, minimizers exist and are unique.

## LQ distortion

## Definition (LQ distortion coefficients)

$$
\beta_{t}^{A, B, Q}(x, y):=\limsup _{r \rightarrow 0} \frac{\left|Z_{t}\left(x, \mathcal{B}_{r}(y)\right)\right|}{\left|\mathcal{B}_{r}(y)\right|}, \quad x, y \in \mathbb{R}^{n}
$$

- It does not depend on $x, y$ (the Hamiltonian flow is linear)
- Very simple to compute


## Example: Harmonic oscillator

No drift $(A=0)$, no constraint on velocity $(B=\mathbb{1})$, isotropic potential $(Q=\kappa \mathbb{1})$ :

$$
H(p, x)=\frac{1}{2}\left(|p|^{2}+\kappa|x|^{2}\right)
$$

$\Rightarrow \beta_{t}^{A, B, Q}=$ Riemannian distortion coefficients!

## What is curvature? (sketchy)

## Fact/definition:

To any SR geodesic $\gamma$ (+ technical assumptions) we associate

- two constant matrices $A$ and $B \rightarrow$ structure of Lie derivatives along geodesics
- a curvature operator, quadratic form $\mathfrak{R}_{\gamma(t)}: T_{\gamma(t)} M \rightarrow \mathbb{R}$ for $t \in[0, T]$.
- In the Riemannian case: $A=0, B=I, \Re_{\gamma(t)}(X)=R^{\nabla}\left(\dot{\gamma}_{t}, X, X, \dot{\gamma}_{t}\right)$ Given the operator $\mathscr{F}_{\gamma(t)}$ and a smooth measure $m$ one can define a Bakry-Emery suh Diamannian tensor Recall that $n=\operatorname{dim} M$ and $k=\operatorname{dim} D$.
$\square$


## What is curvature? (sketchy)

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- In the Riemannian case: $A=0, B=I, \Re_{\gamma(t)}(X)=R^{\nabla}\left(\dot{\gamma}_{t}, X, X, \dot{\gamma}_{t}\right)$

Given the operator $\mathfrak{R}_{\gamma(t)}$ and a smooth measure m one can define a Bakry-Emery sub-Riemannian tensor

$$
\begin{equation*}
\mathfrak{R}_{\gamma(t)}^{\mathrm{m}, N}=\mathfrak{R}_{\gamma(t)}-\frac{\dot{\rho}(t)}{k} \Pi_{\gamma(t)}-\frac{n}{N-n} \frac{\rho^{2}(t)}{k^{2}} \Pi_{\gamma(t)} . \tag{7}
\end{equation*}
$$

Recall that $n=\operatorname{dim} M$ and $k=\operatorname{dim} D$.

- in Riemannian $\rho(t)=-g(\nabla V, \dot{\gamma}(t))$ for $\mathrm{m}=e^{-V}$ vol $_{g}$.


## Final comparison

In terms of the Bakry-Emery SR curvature $\mathfrak{R}_{\gamma(t)}^{m, N}$ we have the following comparison

## Theorem

Let $(x, y) \notin \operatorname{cut}(M)$ and assume that the unique length-minimizer joining $x$ and $y$ is associated with matrices $A, B$.
(a) If there exists $N>n$ and $Q$ such that $\frac{1}{N} \mathfrak{R}_{\gamma(t)}^{m, N} \geq \frac{1}{n} Q$ for every $t \in[0, T]$, then

$$
\begin{equation*}
\beta_{t}(x, y)^{\frac{1}{N}} \geq\left(\beta_{t}^{A, B, Q}\right)^{\frac{1}{n}} \tag{8}
\end{equation*}
$$

Assume now that $\rho=0$.
(b) If there exists $Q$ such that $\Re_{\gamma(t)} \geq Q$ for every $t \in[0, T]$, then

$$
\begin{equation*}
\beta_{t}(x, y) \geq \beta_{t}^{A, B, Q} \tag{9}
\end{equation*}
$$

## THANKS FOR YOUR ATTENTION!


[^0]:    Conjecture: for Carnot groups best exponent = geodesic dimension?

