

# Plotkin Definability Theorem for Atomic-Coherent Information Systems

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# Atomicity and Coherence in Scott Information Systems

Let  $\alpha = (T, \text{Con}, \vdash)$  be a *Scott information system* [Scott 1982].

Call it

- ▶ *atomic* when for all  $U \in \text{Con}$

$$U \vdash b \rightarrow \exists_{a \in U} \{a\} \vdash b$$

- ▶ *coherent* when for all  $a_1, \dots, a_m \in T$

$$\left( \bigwedge_{1 \leq i, j \leq m} \{a_i, a_j\} \in \text{Con} \right) \rightarrow \{a_1, \dots, a_m\} \in \text{Con}$$

On the level of *ideals* atomicity is benign, whereas coherence results in richer domains. For our purposes it is safe to require the latter as well.

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# Acises

An *atomic-coherent information system* (acis) [Schwichtenberg 2006] is a triple

$$\alpha = (T, \diamond, \triangleright)$$

where

- ▶ *consistency*  $\diamond$  is a reflexive and symmetric binary relation
- ▶ *entailment*  $\triangleright$  is a reflexive and transitive binary relation
- ▶ consistency *propagates* through entailment:

$$a \diamond b \wedge b \triangleright c \rightarrow a \diamond c$$

Retrieve the *consistent sets* (or *formal neighborhoods*) by

$$U \in \text{Con} :\Leftrightarrow U \subseteq^f T \wedge \forall_{a,b \in U} a \diamond b$$

and define *ideals* by

$$u \in \text{Ide} :\Leftrightarrow \forall_{a,b \in u} a \diamond b \wedge \forall_{a \in u} . a \triangleright b \rightarrow b \in u$$

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# Function Spaces

Let  $\alpha = (T_\alpha, \diamond_\alpha, \triangleright_\alpha)$  and  $\beta = (T_\beta, \diamond_\beta, \triangleright_\beta)$  be two acises. Define their *function space*  $\alpha \rightarrow \beta = (T, \diamond, \triangleright)$  by

$$\begin{aligned} T &:= \mathbf{Con}_\alpha \times T_\beta \\ (U, a) \diamond (V, b) &:\Leftrightarrow U \diamond_\alpha V \rightarrow a \diamond_\beta b \\ (U, a) \triangleright (V, b) &:\Leftrightarrow V \triangleright_\alpha U \wedge a \triangleright_\beta b \end{aligned}$$

The triple  $\alpha \rightarrow \beta$  is again an acis.

Define *application* between ideals  $u = \{\dots, (U, a), \dots\} \in \mathbf{Ide}_{\alpha \rightarrow \beta}$  and  $v \in \mathbf{Ide}_\alpha$  by

$$u(v) := \left\{ b \in T_\beta \mid \exists_{(U, a) \in u} . v \triangleright_\alpha U \wedge a \triangleright_\beta b \right\}$$

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# Continuity

Write  $\overline{U}$  for the *deductive closure* of a neighborhood  $U$ . An *ideal mapping*  $f : \text{Ide}_\alpha \rightarrow \text{Ide}_\beta$  is *continuous* if

- ▶ it is monotone

$$u \subseteq v \rightarrow f(u) \subseteq f(v)$$

- ▶ and it satisfies the *principle of finite support*

$$b \in f(u) \rightarrow \exists_{U \subseteq f u} b \in f(\overline{U})$$

The continuous ideal mappings from  $\text{Ide}_\alpha$  to  $\text{Ide}_\beta$  are exactly the ideals of  $\text{Ide}_{\alpha \rightarrow \beta}$ .

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## Arithmetical and Boolean Acises

Let  $*$  be a (pre)atom meaning *least atomic information*.

The algebra  $\mathbb{N} = \{0, S\}$  defines a *nonflat* acis by

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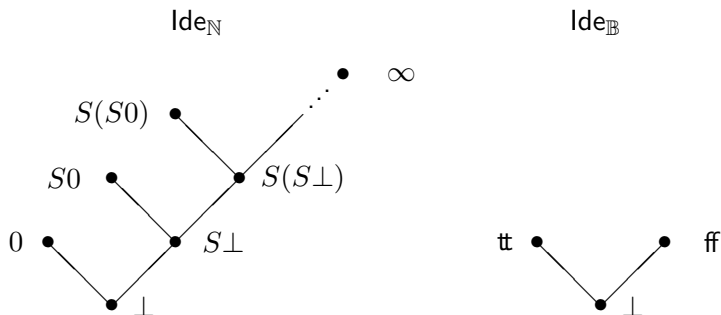
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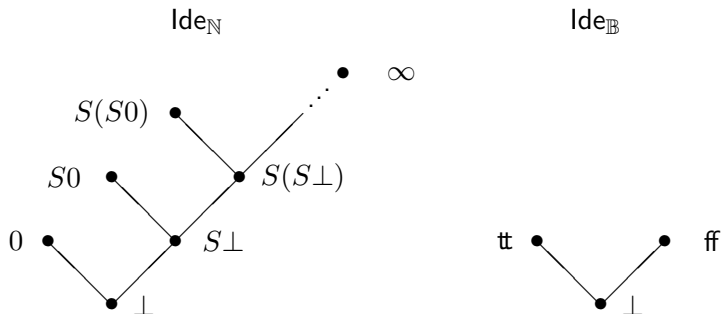
The corresponding ideals are structured like this:



- ▶ Lower ideals are included in (entailed by) higher ideals when a path connects them.
- ▶ The *total ideals* of  $\mathbb{N}$ ,  $G_{\mathbb{N}} = \{0, 1, 2, \dots\}$ , where  $n := S^n 0$ , can be used as *indices*.
- ▶ *Partial continuous functionals* are ideals of function spaces over  $\mathbb{N}$  and  $\mathbb{B}$ .

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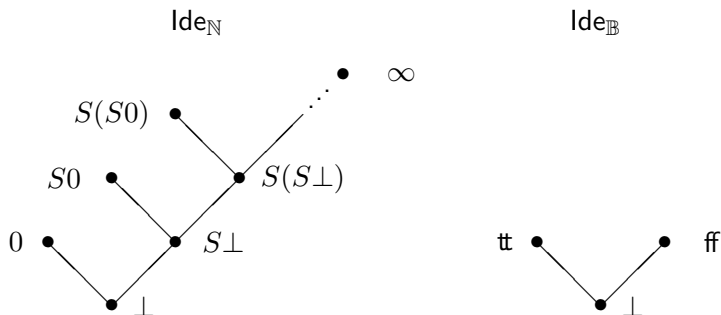
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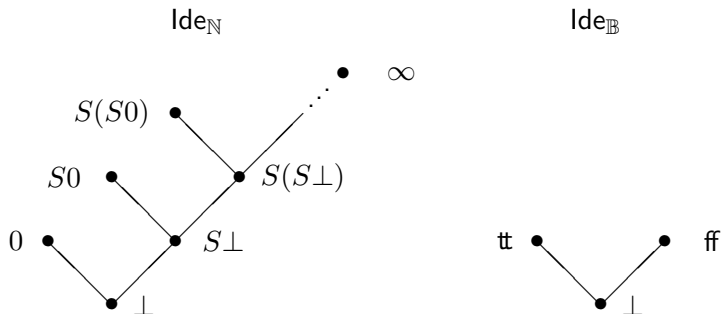
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# Enter Syntax

## Types, terms, and semantics

- ▶ Build arrow types  $\alpha \rightarrow \beta$  based on  $\mathbb{N}$  and  $\mathbb{B}$ .
- ▶ Use simply typed lambda terms, ie, typed variables, application and lambda abstraction.
- ▶ Interpret each *type* by the *set of ideals* of the corresponding acis; each lambda term will correspond to an ideal.

## Computability

- ▶ Call an ideal of an acis *computable* if it is  $\Sigma_1^0$ -definable as a set of atoms.
- ▶ A simply typed lambda term corresponds to a computable ideal.
- ▶ What about the converse? *Is it always the case that a computable ideal can be defined in lambda terms?* [Plotkin 1977]

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# Moving On to PCF

Introduce the following operators:

- ▶ *fixed points*  $Y : (\alpha \rightarrow \alpha) \rightarrow \alpha$

$$Y(u) := \bigcup_{n \in \mathbb{G}_{\mathbb{N}}} u^n(\perp)$$

- ▶ *parallel conditional*  $\text{pcond} : \mathbb{B} \rightarrow \mathbb{N} \rightarrow \mathbb{N} \rightarrow \mathbb{N}$

$$\text{pcond}(p, u, v) := \begin{cases} u & p = \text{tt} \\ v & p = \text{ff} \\ u \cap v & p = \perp \end{cases}$$

- ▶ *parallel existential*  $\text{exist} : (\mathbb{N} \rightarrow \mathbb{B}) \rightarrow \mathbb{B}$

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## Recursion in pcond and exist

Call an ideal  $u \in \text{Ide}_{\alpha \rightarrow \beta}$  *recursive in pcond and exist* if for all arguments  $v \in \text{Ide}_{\alpha}$  it can be defined by an equation

$$u(v) = M(v)$$

where  $M$  is a simply typed lambda term built up from variables, constructors, fixed points, parallel conditionals, and parallel existentials.

### Examples

- ▶ *Sequential conditional operator*

$$\text{cond}(p, u, v) := \text{pcond}(p, \text{pcond}(p, u, \perp), \text{pcond}(p, \perp, v))$$

- ▶ *Disjunction operator*

$$\text{or}(p, q) := \text{pcond}(p, \text{tt}, \text{ff})$$

## Recursion in pcond and exist

Call an ideal  $u \in \text{Ide}_{\alpha \rightarrow \beta}$  *recursive in pcond and exist* if for all arguments  $v \in \text{Ide}_{\alpha}$  it can be defined by an equation

$$u(v) = M(v)$$

where  $M$  is a simply typed lambda term built up from variables, constructors, fixed points, parallel conditionals, and parallel existentials.

### Examples

- ▶ *Sequential conditional operator*

$$\text{cond}(p, u, v) := \text{pcond}(p, \text{pcond}(p, u, \perp), \text{pcond}(p, \perp, v))$$

- ▶ *Disjunction operator*

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## Recursion in pcond and exist (continued)

For each type  $\alpha$  assume an *enumeration* of  $\text{Con}_\alpha$  that starts from the empty set and renders consistency, entailment, application, and union *primitive recursive*.

- ▶ *Extension enumeration operators*  $\text{en}_\alpha : \mathbb{N} \rightarrow \mathbb{N} \rightarrow \alpha$ , with the property

$$\text{en}_\alpha(m, n) = \overline{U_n}, \text{ when } U_n \triangleright_\alpha U_m$$

- ▶ *Inconsistency operators*  $\text{incns}_\alpha : \alpha \rightarrow \mathbb{N} \rightarrow \mathbb{B}$ , given by

$$\text{incns}_\alpha(u, n) := \begin{cases} \text{tt} & u \not\phi_\alpha U_n \\ \text{ff} & u \triangleright_\alpha U_n \\ \perp & \text{otherwise} \end{cases}$$

These operators are simultaneously definable recursively in pcond and exist.

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# Definability Theorem

An ideal of type  $\alpha \rightarrow \mathbb{N}$  over  $\mathbb{N}$  and  $\mathbb{B}$  is computable if and only if it is recursive in pcond and exist.

## Proofsketch

Let  $\Omega : \alpha \rightarrow \mathbb{N}$  be a computable ideal, represented as the primitive recursively enumerable set of atoms

$$\Omega = \{(U_{f(n)}, b_{g(n)})\}_{n \in G_{\mathbb{N}}},$$

where  $f, g$  are primitive recursive functions.

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# Definability Theorem (proofsketch continued)

For arbitrary  $u \in \text{Ide}_\alpha$  and  $v \in \text{Ide}_\mathbb{N}$ , define the following tests:

▶ *argument inconsistency test:*

$$q_{u,f,n} := \text{incns}_\alpha(u, f(n)) = \begin{cases} \text{tt} & u \not\phi_\alpha U_{f(n)} \\ \text{ff} & u \triangleright_\alpha U_{f(n)} \\ \perp & \text{otherwise} \end{cases}$$

▶ *value inconsistency test:*

$$q_{v,g,n} := \text{incns}_\mathbb{N}(v, g(n)) = \begin{cases} \text{tt} & v \not\phi_\mathbb{N} b_{g(n)} \\ \text{ff} & v \triangleright_\mathbb{N} b_{g(n)} \\ \perp & \text{otherwise} \end{cases}$$

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# Definability Theorem (proofsketch continued)

Define a functional

$$\omega : \alpha \rightarrow (\mathbb{N} \rightarrow \mathbb{N}) \rightarrow G_{\mathbb{N}} \rightarrow \mathbb{N}$$

by

$$\omega_u(\psi)(n) := \text{pcond}\left(q_{u,f,n}, \psi(n+1), \overline{b_{g(n)}} \cup \text{pcond}(q_{\psi(n+1),g,n}, \perp, \psi(n+1))\right)$$

Prove that

$$\forall_{n \in G_{\mathbb{N}}} . \Omega(u) \triangleright_{\mathbb{N}} b_{g(n)} \leftrightarrow Y(\omega_u)(0) \triangleright_{\mathbb{N}} b_{g(n)}$$

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