

# Kernel-based Image Reconstruction from scattered Radon data by (anisotropic) positive definite functions

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<sup>1</sup> Joint work with A. Iske (Hamburg, D), A. Sironi (Lousanne, CH) and G. Santin (Stuttgart, D)

# Main references

- 1 S. De Marchi, A. Iske and A. Sironi, *Kernel-based Image Reconstruction from Scattered Radon Data by Positive Definite Functions*, submitted 2013 (download at [http://www.math.unipd.it/~demarchi/papers/Kernel\\_based\\_image\\_reconstruction.pdf](http://www.math.unipd.it/~demarchi/papers/Kernel_based_image_reconstruction.pdf))
- 2 S. De Marchi, A. Iske and G. Santin, *Kernel-based Image Reconstruction from scattered Radon data by anisotropic positive definite functions*, Draft 2016
- 3 T. G. Feeman, *The mathematics of medical imaging: a beginners guide*, Springer 2010.
- 4 A. Iske, *Reconstruction of functions from generalized Hermite-Birkhoff data*. In: Approximation Theory VIII, Vol. 1: Approximation and Interpolation, C.K. Chui and L.L. Schumaker (eds.), World Scientific, Singapore, 1995, 257–264.
- 5 F. Natterer: *The Mathematics of Computerized Tomography*. Classics in Applied Mathematics, vol. 32. SIAM, Philadelphia, 2001
- 6 Amos Sironi, *Medical image reconstruction using kernel-based methods*, Master's thesis, University of Padua, 2011, arXiv:1111.5844v1.
- 7 Davide Poggiali, *Reconstruction of medical images from Radon data in transmission and emission tomography*, Master's thesis, University of Padua, 2012.
- 8 Maria Angela Narduzzo, *A kernel method for CT reconstruction: a fast implementation using circulant matrices*, Master's thesis, University of Padua, Dec. 2013.
- 9 Silvia Guglielmo, *Stable kernel based methods for medical image reconstruction*, Master's thesis, University of Padua, Dec. 2013.

# Part I

## The problem and the first approach

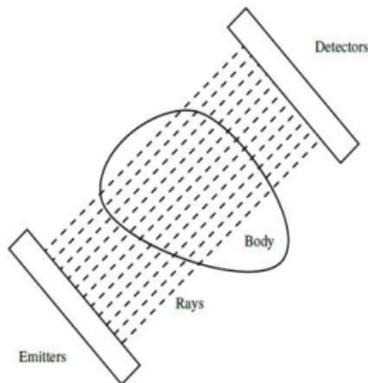
Work with A. Iske, A. Sironi

- 1 Image Reconstruction from CT
- 2 Radon transform
- 3 Alg. Rec. Tech. (ART), Kernel approach
  - Regularization
  - Numerical results

# Description of CT

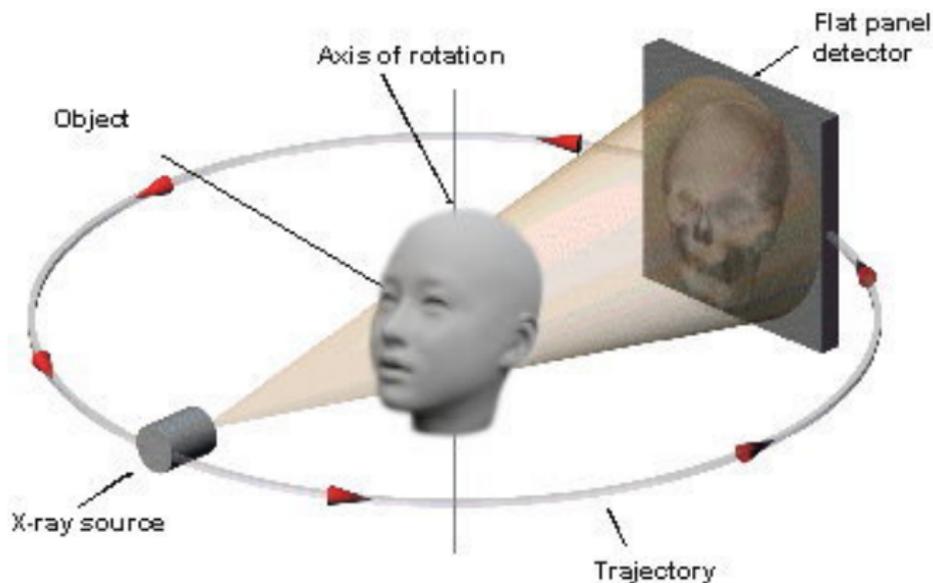
## How does it work?

- Non-invasive medical procedure (X-ray equipment).
- X-ray beam is assumed to be:
  - monochromatic;
  - zero-wide;
  - not subject to diffraction or refraction.
- Produce cross-sectional images.
- **Transmission tomography** (emissive tomography, like PET and SPECT, are not considered here)



# Description of CT

How does it work?



- $\ell_{(t,\theta)}$   $\rightarrow$  line along which the X-ray is moving;
- $(t, \theta) \in \mathbb{R} \times [0, \pi)$   $\rightarrow$  polar coordinates of line-points;
- $f$   $\rightarrow$  attenuation coefficient of the body;
- $I$   $\rightarrow$  intensity of the X-ray.

# X-rays

- Discovered by Wilhelm Conrad Röntgen in 1895
- Wavelength in the range  $[0.01, 10] \times 10^{-9}$  m
- Attenuation coefficient:

$A(x) \approx$  " #pho.s absorbed/1 mm"

$A : \Omega \rightarrow [0, \infty)$



**Figure:** First X-ray image: Frau Röntgen left hand.

# CT machine and people

## Computerized Tomography (CT)



modern CT

Allan Mcleod Cormack

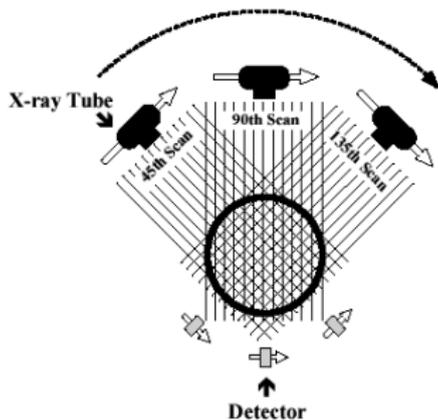


Godfrey Newbold Hounsfield



both got Nobel Price for Medicine and Physiology in 1979

# Computerized Axial Tomography



**Figure:** First generation of CT scanner design.

- A. Cormack and G. Hounsfield 1970
- Reconstruction from X-ray images taken from 160 or more beams at each of 180 directions
- Beer's law (loss of intensity):

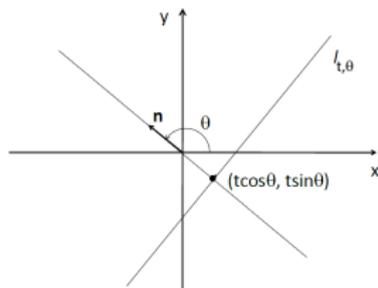
$$\int_{x_0}^{x_1} A(x) dx = \underbrace{\ln\left(\frac{I_0}{I_1}\right)}_{\text{given by CT}}$$

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# Lines in the plane

A line  $l$  in the plane, perpendicular to the unit vector  $\mathbf{n}_\theta = (\cos \theta, \sin \theta)$  and passing through the point  $\mathbf{p} = (t \cos \theta, t \sin \theta) = t\mathbf{n}_\theta$ , can be characterized (by the polar coordinates  $t \in \mathbb{R}$ ,  $\theta \in [0, \pi)$ ), i.e.  $l = l_{t,\theta}$

$$l_{t,\theta} = \{\mathbf{x} := (t \cos \theta - s \sin \theta, t \sin \theta + s \cos \theta) = (x_1(s), x_2(s)) \mid s \in \mathbb{R}\}$$



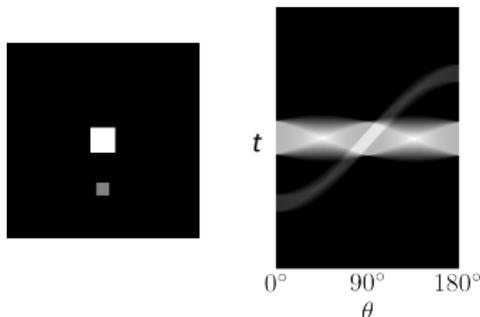
**Figure:** A line in the plane.

# Radon transform

## definition

The **Radon transform** of a given function  $f : \Omega \subset \mathbb{R}^2 \rightarrow \mathbb{R}$  is defined for each pair of real number  $(t, \theta)$ , as line integral

$$Rf(t, \theta) = \int_{l_{t,\theta}} f(\mathbf{x}) d\mathbf{x} = \int_{\mathbb{R}} f(x_1(s), x_2(s)) ds$$

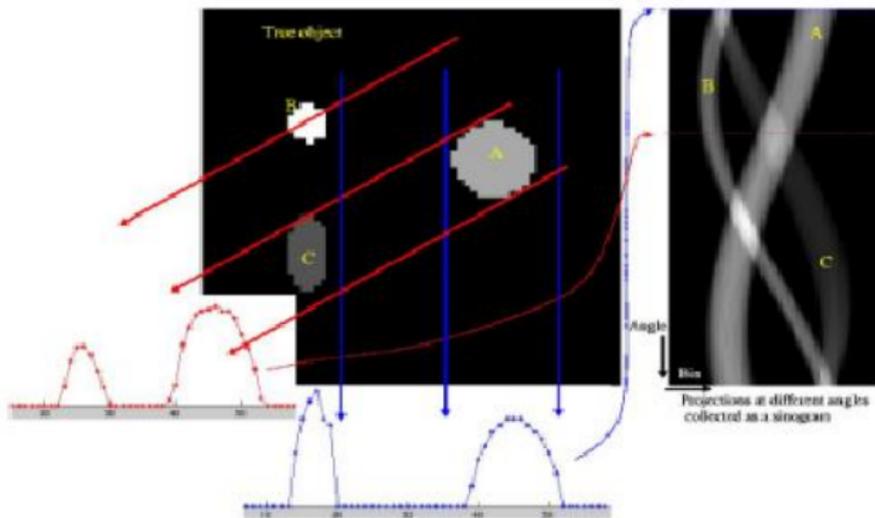


**Figure:** Left: image. Right: its Radon transform (*sinogram*)

# Radon transform

## Image reconstruction

A CT scan measures the X-ray projections through the object, producing a **sinogram**, which is effectively the Radon transform of the attenuation coefficient function  $f$  in the  $(t, \theta)$ -plane.



# Radon transform: another example



Figure: Shepp-Logan phantom.

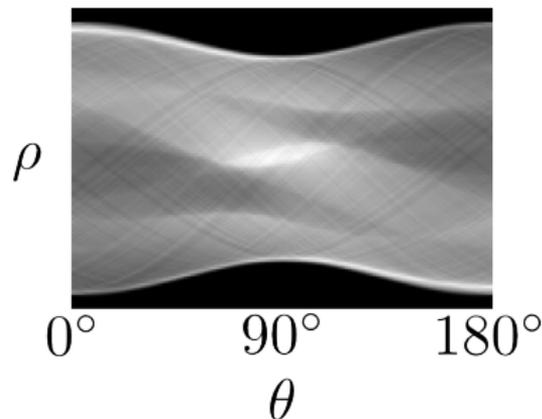


Figure: Radon transform (*sinogram*).

# Back projection

- First attempt to recover  $f$  from  $Rf$
- The **back projection** of the function  $h \equiv h(t, \theta)$  is the transform

$$Bh(\mathbf{x}) = \frac{1}{\pi} \int_0^\pi h(x_1 \cos \theta + x_2 \sin \theta, \theta) d\theta$$

i.e. the average of  $h$  over the angular variable  $\theta$ , where  $t = x_1 \cos \theta + x_2 \sin \theta = \mathbf{x}^T \mathbf{n}_\theta$ .



**Figure:** Back projection of the Radon transform.

# Important theorems

## Theorem (*Central Slice Theorem*)

For any suitable function  $f$  defined on the plane and all real numbers  $r, \theta$

$$F_2 f(r \cos \theta, r \sin \theta) = F(Rf)(r, \theta).$$

( $F_2$  and  $F$  are the 2-d and 1-d Fourier transforms, resp.).

## Theorem (*The Filtered Back-Projection Formula*)

For a suitable function  $f$  defined in the plane

$$f(\mathbf{x}) = \frac{1}{2} B\{F^{-1}[|r|F(Rf)(r, \theta)]\}(\mathbf{x}), \quad \mathbf{x} \in \mathbb{R}^2.$$

# Fundamental question

Fundamental question of image reconstruction.

Is it possible to reconstruct a function  $f$  starting from its Radon transform  $Rf$ ?

Answer (Radon 1917).

**Yes, we can** if we know the value of the Radon transform for all  $r, \theta$ .

# Discrete problem

## Ideal case

- $Rf(t, \theta)$  known for all  $t, \theta$
- Infinite precision
- No noise

## Real case

- $Rf(t, \theta)$  known only on a finite set  $\{(t_j, \theta_k)\}_{j,k}$
- Finite precision
- Noise in the data

# Fourier-based approach

- Sampling:  $Rf(t, \theta) \rightarrow R_D f(jd, k\pi/N)$
- Discrete transform: e.g.

$$B_D h(\mathbf{x}) = \frac{1}{N} \sum_{k=0}^{N-1} h(x \cos(k\pi/N) + y \sin(k\pi/N), k\pi/N)$$

- Filtering (low-pass):  $|r| = F\phi(r)$ , with  $\phi$  band-limited function
- Interpolation:  $\{f_k : k \in \mathbb{N}\} \rightarrow If(x)$ ,  $x \in \mathbb{R}$

# Discrete problem

- Filtered Back-Projection Formula

$$f(\mathbf{x}) = \frac{1}{2} B \{ F^{-1} [|r| \cdot F(Rf(r, \theta))] \} (\mathbf{x})$$

- Filtering

$$\begin{aligned} f(\mathbf{x}) &= \frac{1}{2} B \{ F^{-1} [F(\phi(r)) \cdot F(Rf(r, \theta))] \} (\mathbf{x}) = \\ &= \frac{1}{2} B \{ F^{-1} [F(\phi * Rf(r, \theta))] \} (\mathbf{x}) \\ &= \frac{1}{2} B [\phi * Rf(r, \theta)] (\mathbf{x}) \end{aligned}$$

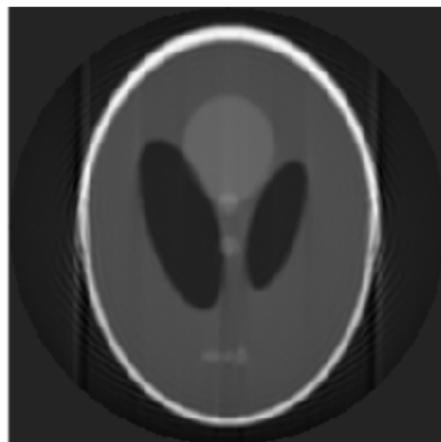
- Sampling and interpolation

$$f(x_1^m, x_2^n) = \frac{1}{2} B_D I [\phi * R_D f(r_j, \theta_k)] (x_1^m, x_2^n)$$

# Discrete problem: an example



**Figure:** Shepp-Logan phantom.



**Figure:** Reconstruction with linear interpolation and  $180 \times 101 = 18180$  samples.

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# Algebraic Reconstruction Techniques (ART)

Differently from Fourier-based reconstruction, we consider  $\mathcal{G} = \text{span}\{g_j, j = 1, \dots, n\}$  of  $n$  basis functions and we solve the reconstruction problem on all Radom lines  $\mathcal{L}$

$$R_{\mathcal{L}}(g) = R_{\mathcal{L}}(f)$$

by using

$$g = \sum_{j=1}^n c_j g_j .$$

- Asking interpolation, that is

$$Rg(t_k, \theta_k) = Rf(t_k, \theta_k), \quad k = 1, \dots, m$$

we obtain the linear system  $\mathbf{A}\mathbf{c} = \mathbf{b}$  with

$$A_{k,j} = Rg_j(t_k, \theta_k), \quad k = 1, \dots, m, \quad j = 1, \dots, n .$$

- Large, often sparse, linear system
- Solution by iterative methods (**Kaczmarz**, **MLEM**, **OSEM**, **LSCG**), or **SIRT** techniques (see **AIRtools** by Hansen & Hansen 2012).

# ART reconstruction: Example 1



Figure: Bull's eye phantom.

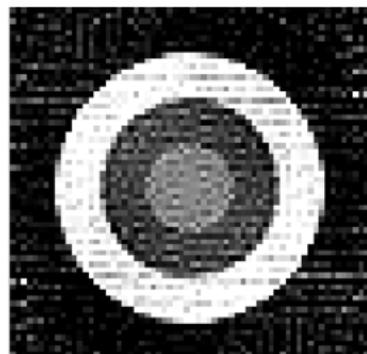


Figure:  $64 \times 64 = 4096$  reconstructed image with 4050 samples by Kaczmarz.

# ART reconstruction: Example 2



Figure: Shepp-Logan phantom.

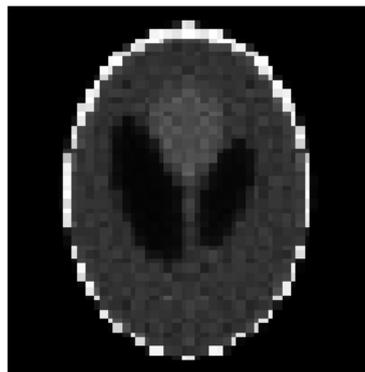


Figure: The phantom reconstructed by MLEM in 50 iterations.

# Hermite-Birkhoff interpolation

Let  $\Lambda = \{\lambda_1, \dots, \lambda_n\}$  be a set of linearly independent linear functionals and  $f_\Lambda = (\lambda_1(f), \dots, \lambda_n(f))^T \in \mathbb{R}^n$ .

The solution of a general H-B reconstruction problem:

## H-B reconstruction problem

find  $g$  such that  $g_\Lambda = f_\Lambda$  or

$$\lambda_k(g) = \lambda_k(f), \quad k = 1, \dots, n.$$

# Hermite-Birkhoff interpolation

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The solution of a general H-B reconstruction problem:

## H-B reconstruction problem

find  $g$  such that  $g_\Lambda = f_\Lambda$  or

$$\lambda_k(g) = \lambda_k(f), \quad k = 1, \dots, n.$$

- In our setting the functionals are

$$\lambda_k := R_k f = Rf(t_k, \theta_k), \quad k = 1, \dots, n$$

- The interpolation conditions

$$\sum_{j=1}^n c_j \lambda_k(g_j) = \lambda_k(f), \quad k = 1, \dots, n$$

that corresponds to the linear system  $A\mathbf{c} = \mathbf{b}$  as before.

# Hermite-Birkhoff interpolation

## Theorem (Haar-Mairhuber-Curtis)

*If  $\Omega \subset \mathbb{R}^d$ ,  $d \geq 2$  contains an interior point, there exist no Haar spaces of continuous functions except the 1-dimensional case.*

- The well-posedness of the interpolation problem is guaranteed if we no longer fix in advance the set of basis functions.
- Thus, the basis  $g_j$  should depend on the data:

$$g_j(\mathbf{x}) = \lambda_j^y(K(\mathbf{x}, \mathbf{y})) [= R^y[K(\mathbf{x}, \mathbf{y})](t_k, \theta_k)], \quad j = 1, \dots, n$$

with the kernel  $K$  such that the matrix

$$A = (\lambda_j^x[\lambda_k^y(K(\mathbf{x}, \mathbf{y}))])_{j,k}$$

is **not singular**  $\forall (t_j, \theta_j)$

# Positive definite radial kernels

We choose a kernel  $K : \mathbb{R}^2 \times \mathbb{R}^2 \rightarrow \mathbb{R}$  continuous

- Symmetric  $K(\mathbf{x}, \mathbf{y}) = K(\mathbf{y}, \mathbf{x})$
- Radial  $K(\mathbf{x}, \mathbf{y}) = \Phi_\epsilon(\|\mathbf{x} - \mathbf{y}\|)$ ,  $\epsilon > 0$
- Positive definite (PD)

$$\sum_{k,j=1}^n c_j c_k \lambda_j^{\mathbf{x}} \lambda_k^{\mathbf{y}} K(\mathbf{x}, \mathbf{y}) \geq 0$$

for all set of linear operators  $\lambda_j$  and for all  $\mathbf{c} \in \mathbb{R}^n \setminus \{\mathbf{0}\}$

# Positive definite kernels: examples

## ■ Gaussian

$$\Phi_\epsilon(\|\mathbf{x}\|) = e^{-(\epsilon\|\mathbf{x}\|)^2}, \quad PD \quad \forall \mathbf{x} \in \mathbb{R}^2, \epsilon > 0$$

## ■ Inverse multiquadrics

$$\Phi_\epsilon(\|\mathbf{x}\|) = \frac{1}{\sqrt{1 + (\epsilon\|\mathbf{x}\|)^2}}, \quad PD \quad \forall \mathbf{x} \in \mathbb{R}^2, \epsilon > 0$$

## ■ Askey's compactly supported (or radial characteristic function)

$$\Phi_\epsilon(\|\mathbf{x}\|) = (1 - \epsilon\|\mathbf{x}\|)_+^\beta = \begin{cases} (1 - \epsilon\|\mathbf{x}\|)^\beta & \|\mathbf{x}\| < 1/\epsilon \\ 0 & \|\mathbf{x}\| \geq 1/\epsilon \end{cases}$$

which are PD for any  $\beta > 3/2$ .

# A useful Lemma

## Lemma

Let  $K(\mathbf{x}, \mathbf{y}) = \phi(\|\mathbf{x} - \mathbf{y}\|)$  with  $\phi \in L^1(\mathbb{R})$ . Then for any  $\mathbf{x} \in \mathbb{R}^2$  the Radon transform  $R^y K(\mathbf{x}, \mathbf{y})$  at  $(t, \theta) \in \mathbb{R} \times [0, \pi)$  can be expressed

$$(R^y K(\mathbf{x}, \mathbf{y}))(t, \theta) = (R^y K(\mathbf{0}, \mathbf{y}))(t - \mathbf{x}^T \mathbf{n}_\theta, \theta).$$

# A useful Lemma

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$$(R^y K(\mathbf{x}, \mathbf{y}))(t, \theta) = (R^y K(\mathbf{0}, \mathbf{y}))(t - \mathbf{x}^T \mathbf{n}_\theta, \theta).$$

This is the so-called **shift invariant** property of the Radon transform!

# Problem

- Inverse multiquadric kernel

$$K(\mathbf{x}, \mathbf{y}) = \frac{1}{\sqrt{1 + \|\mathbf{x} - \mathbf{y}\|^2}}.$$

Applying the previous Lemma we have

$$R^{\mathbf{y}}[K(\mathbf{0}, \mathbf{y})](t, \theta) = \int_{\mathbb{R}} \frac{1}{\sqrt{1 + t^2 + s^2}} ds = +\infty$$

→ the basis  $g_j$  and the matrix  $A$  are not well defined ←

# Regularization

## Window function

- Multiplying the kernel  $K$  for a “window function”  $w$  such that

$$R[K(\mathbf{x}, \mathbf{y})w](t, \theta) < \infty \quad \forall (\mathbf{x}, \mathbf{y}), (t, \theta).$$

- This corresponds to use the linear operator  $R_w$  in place of  $R$

$$R_w[f](t, \theta) = R[fw](t, \theta).$$

- We consider  $w$  radial:  $w = w(\|\cdot\|)$

# Example of window functions

- Characteristic function

$$w(\mathbf{x}) = \chi_{[-L,L]}(\|\mathbf{x}\|), \quad L > 0$$

- Gaussian

$$w(\mathbf{x}) = e^{-\nu^2 \|\mathbf{x}\|^2}, \quad \nu > 0$$

- Compactly supported (Askey's family)

$$w(\mathbf{x}) = (1 - \nu^2 \|\mathbf{x}\|^2)_+, \quad \nu > 0$$

# Example: gaussian kernel

- Gaussian kernel, shape parameter  $\varepsilon$

$$K(\mathbf{x}, \mathbf{y}) = e^{-\varepsilon^2 \|\mathbf{x} - \mathbf{y}\|^2}, \quad \varepsilon > 0$$

- Basis function

$$g_j(\mathbf{x}) = R^y[K(\mathbf{x}, \mathbf{y})](t_j, \theta_j) = \frac{\sqrt{\pi}}{\varepsilon} e^{-\varepsilon^2 (t_j - \mathbf{x}^T \mathbf{v}_j)^2}$$

with  $\mathbf{v}_j = (\cos \theta_j, \sin \theta_j)$

- Matrix  $A = (a_{k,j})$

$$a_{k,j} = R[g_j](t_k, \theta_k) = +\infty, \quad \text{if } \theta_j = \theta_k$$

# Example: gaussian kernel

- Gaussian window function

$$w(\mathbf{x}) = e^{-\nu^2 \|\mathbf{x}\|^2}, \quad \nu > 0$$

- Matrix A becomes

$$a_{k,j} = R[g_j w](t_k, \theta_k) = \frac{\pi \exp \left[ -\nu^2 \left( t_k^2 + \frac{\varepsilon^2 b^2}{\varepsilon^2 a^2 + \nu^2} \right) \right]}{\varepsilon \sqrt{\varepsilon^2 a^2 + \nu^2}}$$

where  $a = \sin(\theta_k - \theta_j)$  and  $b = t_j - t_k \cos(\theta_k - \theta_j)$   
 which is never vanishing!

# Example: gaussian kernel reconstruction

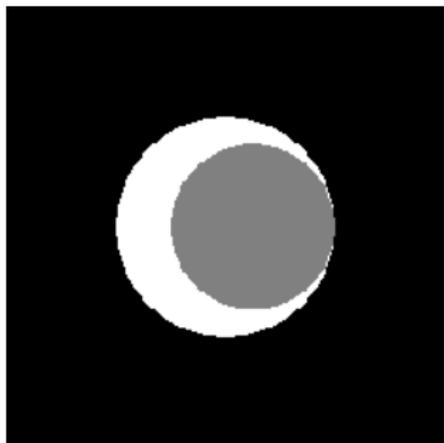


Figure: Crescent-shaped phantom.

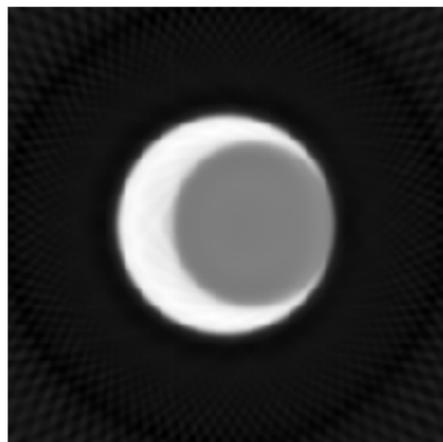


Figure:  $256 \times 256 = 65536$   
reconstructed image with  $n = 4050$   
samples.

# A numerical experiment

- Gaussian kernel  $\Phi_\epsilon$  and gaussian weight  $w_\nu$
- Comparison with the Fourier-based reconstruction (relying on the FBP)
- Reconstructions from scattered Radon data and noisy Radon data
- Root Mean Square Error

$$RMSE = \frac{1}{J} \sqrt{\sum_{i=1}^J (f_i - g_i)^2}$$

$J$  is the dimension of the image,  $\{f_i\}, \{g_i\}$  the greyscale values at the pixels of the original and the reconstructed image.

# Kernel-based vs Fourier based: I

## ◇ Test phantoms



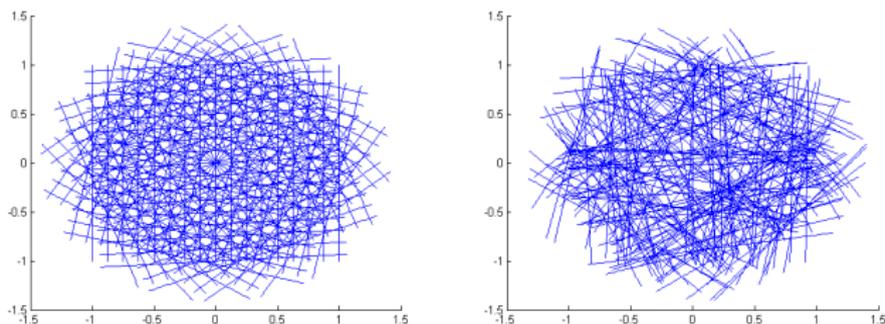
Figure: crescent shape



Figure: bull's eye



Figure: Shepp-Logan



**Figure:** **Left:** parallel beam geometry, 170 lines (10 angles and 17 Radon lines per angle). **Right:** scattered Radon lines, 170 lines.

# Kernel-based vs Fourier based: II

- Using **parallel beam geometry**, i.e.  $\theta_k = k\pi/N$ ,  $k = 0, \dots, N-1$  and  $t_j = jd$ ,  $j = -M, \dots, M$ , with sampling spacing  $d \rightarrow 0$ ,  $(2M+1) \times N$  regular grid of Radon lines.

**No noise on the data.**

- With  $N = 45$ ,  $M = 40$ ,  $\epsilon = 60$  we got

Phantom	optimal $\nu$	kernel-based	Fourier-based
crescent	0.5	0.102	0.120
bull's eye	0.4	0.142	0.134

**Table:** RMSE of kernel-based vs Fourier-based method

# Kernel-based vs Fourier based: III

- Using **scattered Radon data**, with increasing randomly chosen Radon lines  $n = 2000, 5000, 10000, 20000$ .  
**No noise on the data.**
- With  $\epsilon = 50$  and  $\nu = 0.7$

Phantom	2000	5000	10000	20000
crescent	0.1516	0.1405	0.1431	0.1174
bull's eye	0.1876	0.1721	0.2102	0.1893

**Table:** RMSE of kernel-based vs different number  $n$  of Radon lines

# Kernel-based vs Fourier based: IV

These experiments are with **noisy Radon data**, i.e. we add a gaussian noise of zero mean and variance  $\sigma = 1.e - 3$  to each of the three phantoms.

- **Parallel beam geometry**, same  $\epsilon$  and  $\nu$

Phantom	kernel-based	Fourier-based
crescent	0.1502	0.1933
bull's eye	0.1796	0.2322

**Table:** RMSE of kernel-based vs Fourier-based with noisy data

- **Scattered Radon data**, same  $\epsilon$  and  $\nu$

Phantom	noisy	noisy-free
crescent	0.2876	0.1820
bull's eye	0.3140	0.2453

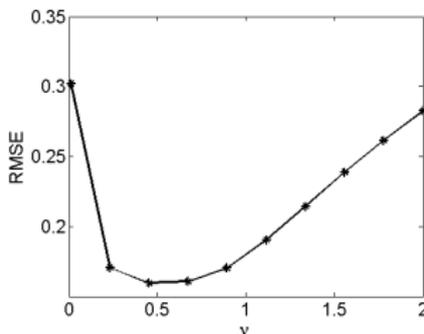
**Table:** RMSE with noisy and noisy-free data

# Window function parameter

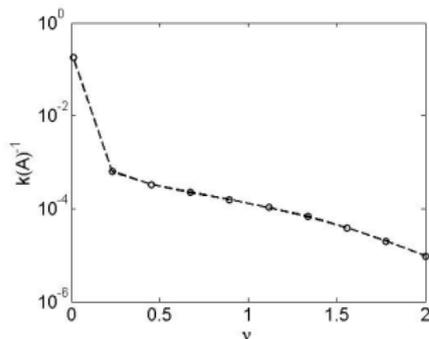
- Gaussian kernel; Gaussian window function

$$K(\mathbf{x}, \mathbf{y}) = e^{-\varepsilon^2 \|\mathbf{x} - \mathbf{y}\|^2}$$

$$w(\mathbf{x}) = e^{-\nu^2 \|\mathbf{x}\|^2}$$



(a) RMSE



(b)  $k^{-1}(A)$

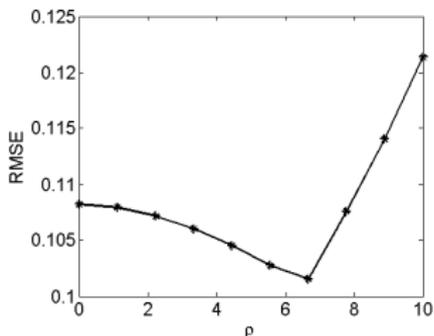
Figure: Bull's eye phantom,  $\varepsilon = 30$ .

- Trade-off principle (Schaback 1995)

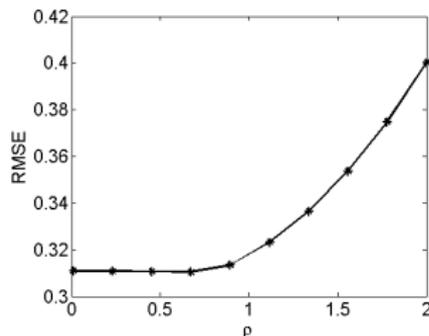
# Kernel shape parameter

- Multiquadric kernel, Gaussian window

$$K(\mathbf{x}, \mathbf{y}) = \sqrt{1 + \rho^2 \|\mathbf{x} - \mathbf{y}\|^2} e^{-\varepsilon^2 \|\mathbf{x} - \mathbf{y}\|^2}$$



(a) Crescent-shaped phantom

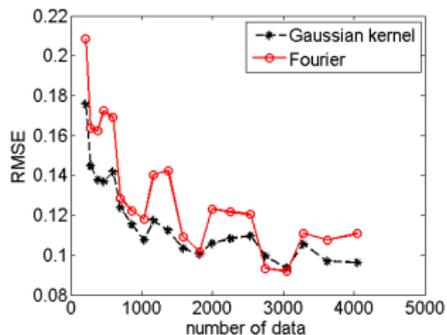


(b) Shepp-Logan phantom

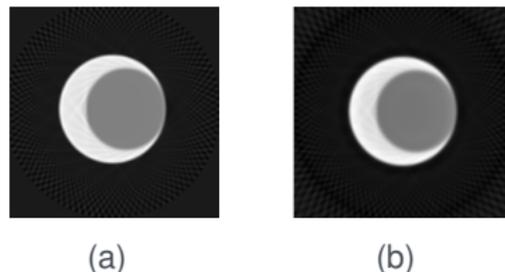
**Figure:** Optimal values depend on the data.

- Optimal values depend on data.

# Comparison with FBP Formula



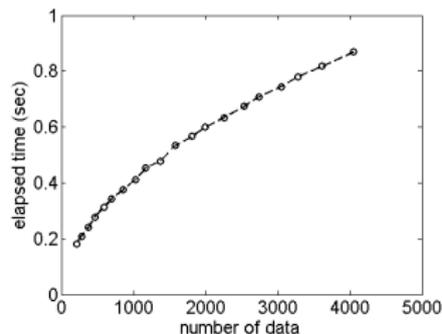
**Figure:** FBP and Gaussian kernel reconstruction (with optimal parameters  $\varepsilon^*$ ,  $\nu^*$ ).



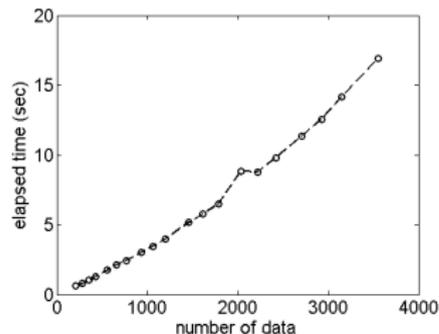
**Figure:** Crescent-shaped: (a) FBP; (b) Gaussian kernel.

# Comparison with FBP Formula

- \* *RMSE* of the same order (ok!)
- \* More computational time and memory usage (not so well!)



(a) FBP



(b) Multiquadric kernel

Figure: Computational time.

## Part II

# Double weighted kernel-method

Work with A. Iske and G. Santin

- 4 Anisotropic kernels
  - Anisotropic basis functions
  - Reconstruction matrix entries
  
- 5 Netwon Bases

# Isotropic and anisotropic kernels

- Isotropic (radially symmetric) kernel

$$K(\mathbf{x}, \mathbf{y}) = \varphi(\|\mathbf{x} - \mathbf{y}\|^2), \quad (\mathbf{x}, \mathbf{y}) \in \mathbb{R}^2$$

- Anisotropic (symmetric) kernel

$$K(\mathbf{x}, \mathbf{y}) = \varphi(\|\mathbf{x} - \mathbf{y}\|^2)w(\|\mathbf{x}\|^2)w(\|\mathbf{y}\|^2), \quad (\mathbf{x}, \mathbf{y}) \in \mathbb{R}^2 \quad (1)$$

where  $w : [0, \infty) \rightarrow [0, \infty)$  suitable **weight function**

# Well definiteness of the basis functions $g_j$

Consider the *Schwartz space* (cf. Iske 94)

$$\mathcal{S} := \{\gamma \in C^\infty(\mathbb{R}^d; \mathbb{R}) : D^p \gamma(\mathbf{x}) \mathbf{x}^q \rightarrow 0, \forall p, q \in \mathbb{N}_0^d\}$$

i.e. the set of rapidly decaying  $C^\infty$  functions.

## Definition

A continuous and symmetric function  $K : \mathbb{R}^d \times \mathbb{R}^d \rightarrow \mathbb{R}$  is said to be **positive definite** on  $\mathcal{S}$ ,  $K \in PD(\mathcal{S})$  iff

$$\int_{\mathbb{R}^d} \int_{\mathbb{R}^d} K(\mathbf{x}, \mathbf{y}) \gamma(\mathbf{x}) \gamma(\mathbf{y}) d\mathbf{x} d\mathbf{y} > 0$$

for all  $\gamma \in \mathcal{S} \setminus \{0\}$ .

# Construction of the anisotropic basis: I

- For the weighted kernels with  $K$  anisotropic, the basis functions are

$$g_{t,\theta}(\mathbf{x}) = R_{t,\theta}^y \left[ \varphi(\|\mathbf{x} - \mathbf{y}\|^2) w(\|\mathbf{y}\|^2) \right] w(\|\mathbf{x}\|^2) \quad (t, \theta) \in \mathbb{R} \times [0, \pi)$$

where  $R_{t,\theta}$  is the Radon transform on the line  $\ell = \ell_{t,\theta}$ .

- Simplifying notation

$$g(\mathbf{x}) = h_{t,\theta}(\mathbf{x}) w(\mathbf{x})$$

where

$$h_{t,\theta}(\mathbf{x}) = R_{t,\theta}^y \left[ \varphi(\|\mathbf{x} - \mathbf{y}\|^2) w(\|\mathbf{y}\|^2) \right] = \int_{\ell_{t,\theta}} \varphi(\|\mathbf{x} - \mathbf{y}\|^2) w(\|\mathbf{y}\|^2) d\mathbf{y}$$

# Construction of the anisotropic basis: II

Introducing the rotation matrix

$$Q_\theta = \begin{bmatrix} \cos(\theta) & -\sin(\theta) \\ \sin(\theta) & \cos(\theta) \end{bmatrix} = [\mathbf{n}_\theta, \mathbf{n}_\theta^\perp]$$

and letting  $\mathbf{x}_\theta = Q_\theta^{-1} \mathbf{x} = Q_\theta^T \mathbf{x} = [\mathbf{x}^T \mathbf{n}_\theta, \mathbf{x}^T \mathbf{n}_\theta^\perp] \in \mathbb{R}^2$  we get

$$\begin{aligned} h_{t,\theta}(\mathbf{x}) &= \int_{\ell_{t,\theta}} \varphi(\|\mathbf{x} - \mathbf{y}\|^2) w(\|\mathbf{y}\|^2) d\mathbf{y} = \\ &= \int_{\ell_{t,0}} \varphi(\|\mathbf{x} - Q_\theta \mathbf{y}\|^2) w(\|Q_\theta \mathbf{y}\|^2) d\mathbf{y} \\ &= \int_{\ell_{t,0}} \varphi(\|Q_\theta^{-1} \mathbf{x} - \mathbf{y}\|^2) w(\|\mathbf{y}\|^2) d\mathbf{y} = \\ &= \int_{\ell_{t,0}} \varphi(\|\mathbf{x}_\theta - \mathbf{y}\|^2) w(\|\mathbf{y}\|^2) d\mathbf{y} \end{aligned}$$

# Construction of the anisotropic basis: III

Any  $\mathbf{y} \in \ell_{t,0}$  has the form  $\mathbf{y} = [t, s]^T \in \mathbb{R}^2$  for a parameter  $s \in \mathbb{R}$ .  
 Setting  $\mathbf{v}_{t,s} = [t, s]^T = \mathbf{y}$  we have

$$h_{t,\theta}(\mathbf{x}) = \int_{\mathbb{R}} \varphi((\mathbf{x}^T \mathbf{n}_\theta - t)^2 + (\mathbf{x}^T \mathbf{n}_\theta^\perp - s)^2) w(\|\mathbf{v}_{t,s}\|^2) ds$$

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## Proposition

*For any anisotropic kernel  $K$  of the our form, the basis functions  $g_{t,\theta}$  have the form*

$$g_{t,\theta}(\mathbf{x}) = \left[ \int_{\mathbb{R}} \varphi((\mathbf{x}^T \mathbf{n}_\theta - t)^2 + (\mathbf{x}^T \mathbf{n}_\theta^\perp - s)^2) w(\|\mathbf{v}_{t,s}\|^2) ds \right] w(\|\mathbf{x}\|^2). \quad (2)$$

*Hence for  $(\varphi w)(|\cdot|)^2 \in L^1(\mathbb{R})$  the functions  $g_{t,\theta} : \mathbb{R}^2 \rightarrow [0, \infty)$  are well-defined.*

# Reconstruction matrix entries: I

The reconstruction problem  $R_{\mathcal{L}}(g) = R_{\mathcal{L}}(f)$  amounts to solving a linear system  $A\mathbf{c} = \mathbf{b}$  with matrix entries

$$a_{r,\phi}^{t,\theta} := R_{r,\phi}^{\mathbf{x}}[g_{t,\theta}(\mathbf{x})] = R_{t,\phi}^{\mathbf{x}} \left[ R_{t,\theta}^{\mathbf{y}} \left[ \varphi(\|\mathbf{x} - \mathbf{y}\|^2) w(\|\mathbf{y}\|^2) \right] w(\|\mathbf{x}\|^2) \right].$$

# Reconstruction matrix entries: II

By using the representation of the basis functions  $g_{t,\theta}$  (omitting the algebra) we get

## Proposition

For  $(t, \theta), (r, \phi) \in \mathbb{R} \times [0, \pi)$  we have

$$a_{r,\phi}^{t,\theta} = \int_{\mathbb{R}} \int_{\mathbb{R}} \varphi(\|Q_{\phi} \mathbf{v}_{r,\tilde{s}} - Q_{\theta} \mathbf{v}_{t,s}\|^2) w(\|\mathbf{v}_{t,s}\|^2) w(\|\mathbf{v}_{r,\tilde{s}}\|^2) ds d\tilde{s}$$

Again, if  $(\varphi w)(|\cdot|^2) \in L^1(\mathbb{R})$  then the entries are well-defined and the reconstruction matrix

$$A = \left( a_{r_k, \phi_k}^{t_j, \theta_j} \right)_{1 \leq j, k \leq n} \in \mathbb{R}^{n \times n}$$

is symmetric positive definite.

In particular the diagonal entries are

$$a_{t,\theta}^{t,\theta} = \int_{\mathbb{R}} \int_{\mathbb{R}} \varphi((\tilde{s} - s)^2) w(s^2 + t^2) w(\tilde{s}^2 + t^2) ds d\tilde{s}.$$

# Example: gaussian kernel

In the case of the Gaussian kernel  $\varphi(\|\mathbf{x} - \mathbf{y}\|^2) = e^{-\varepsilon^2\|\mathbf{x}-\mathbf{y}\|^2}$  and weight  $w(\mathbf{x}) = \exp(-\nu^2\|\mathbf{x}\|^2)$  we get

$$g_{t,\theta}(\mathbf{x}) = \frac{\sqrt{\pi}}{\sqrt{\varepsilon^2 + \nu^2}} \exp\left[-(\varepsilon^2 + \nu^2)(t^2 + \|\mathbf{x}\|^2) + 2\varepsilon^2 t \mathbf{n}_\theta \cdot \mathbf{x} + \frac{\varepsilon^4}{\varepsilon^2 + \nu^2} (\mathbf{n}_\theta^\perp \cdot \mathbf{x})^2\right]$$

## Matrix entries [DeMIS15]

For  $(t, \theta), (r, \phi) \in \mathbb{R} \times [0, \pi)$  the entries of the gaussian kernel matrix are the Radon transform of the gaussian basis  $g_{t,\theta}$  w.r.t. the line  $\ell_{r,\phi}$ , that is  $\mathbf{a}_{j,k} = R_{r,\phi}[g_{t,\theta}]$

$$\mathbf{a}_{j,k} \left( = \mathbf{a}_{r,\phi}^{t,\theta} \right) = \frac{\pi \sqrt{2}}{\sqrt{h_{\varepsilon,\nu}(\theta, \phi)}} \exp[\Phi_{\varepsilon,\nu}(r, t, \theta, \phi)]$$

$$\Phi_{\varepsilon,\nu}(r, t, \theta, \phi) = -2\nu^2(2\varepsilon^2 + \nu^2) \left( \frac{(\varepsilon^2 + \nu^2)(r^2 + t^2) - 2\varepsilon^2 r t \cos(\theta - \phi)}{h_{\varepsilon,\nu}(\theta, \phi)} \right)$$

$$h_{\varepsilon,\nu}(\theta, \phi) = 2(\varepsilon^2 + \nu^2)^2 - 2\varepsilon^4 \cos^2(\theta - \phi).$$

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For  $(t, \theta), (r, \phi) \in \mathbb{R} \times [0, \pi)$  the entries of the gaussian kernel matrix are the Radon transform of the gaussian basis  $g_{t,\theta}$  w.r.t. the line  $\ell_{r,\phi}$ , that is  $a_{j,k} = R_{r,\phi}[g_{t,\theta}]$

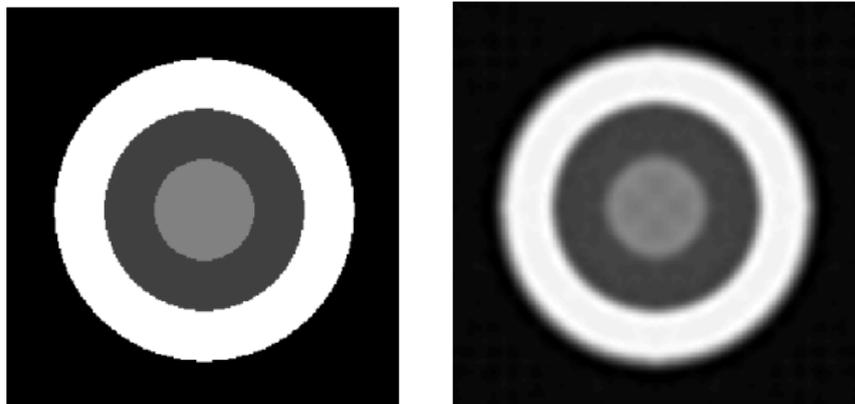
$$a_{j,k} \left( = a_{r,\phi}^{t,\theta} \right) = \frac{\pi \sqrt{2}}{\sqrt{h_{\varepsilon,\nu}(\theta, \phi)}} \exp[\Phi_{\varepsilon,\nu}(r, t, \theta, \phi)]$$

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$$h_{\varepsilon,\nu}(\theta, \phi) = 2(\varepsilon^2 + \nu^2)^2 - 2\varepsilon^4 \cos^2(\theta - \phi).$$

→ The matrix results symmetric and positive definite ←

# Example: bull-eye phantom



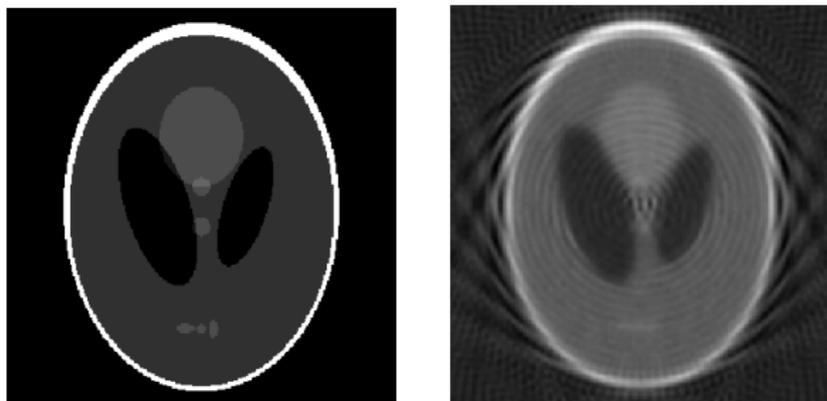
**Figure:** Bull-eye phantom,  $64 \times 64$ .

Left: original.

Right: Approximated with parallel beam,  $\epsilon = 26$  and  $\nu = 0.3333$ .

RMSE= $1.12e-1$ , PSNR=67.2

# Example: Shepp-Logan phantom



**Figure:** Shepp-Logan phantom,  $64 \times 64$ .

Left: original.

Right: Approximated with parallel beam,  $\epsilon = 26$  and  $\nu = 0.3333$ .

RMSE=2.2e-1, PSNR=61.2

- 4 Anisotropic kernels
  - Anisotropic basis functions
  - Reconstruction matrix entries
  
- 5 Netwon Bases

# Newton Bases [MS JAT2011, PS JCAM2011]

## Theorem (Basis factorization)

Any data-dependent basis  $U$  arises from a factorization  $A = V_U \cdot C_U^{-1}$  where  $V_U = (u_j(x_i))_{1 \leq i, j \leq n}$  and  $U(x) = (u_1(x), \dots, u_n(x)) \in \mathbb{R}^n$  is a data-dependent basis; the **coefficient matrix**  $C_U$  is s.t.

$$U(x) = T(x) \cdot C_U$$

where  $T(x) = (K(x, x_1), \dots, K(x, x_n))$ .

## Observation

The matrix  $A_{K_{w,w}, R}$  is symmetric and positive definite,  $A = L \cdot L^t$  (Cholesky decomposition).

The Cholesky decomposition leads to the **Newton basis**, say  $N(x)$

$$N(x) = T(x) \cdot C_N = T(x) \cdot (V_N)^{-t}. \quad (3)$$

# Newton Bases

## Observation

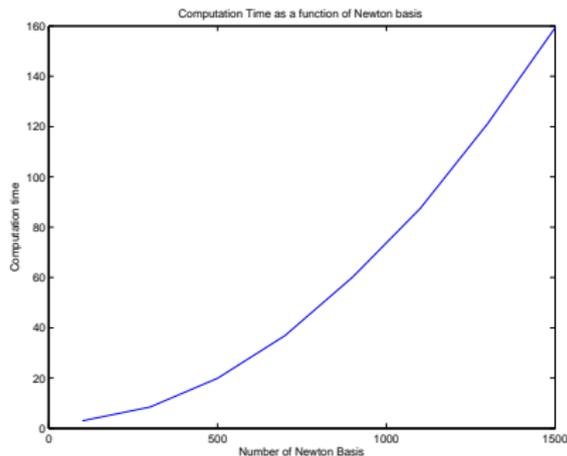
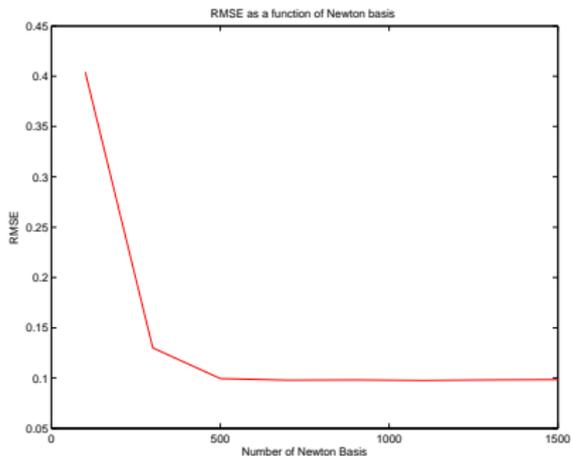
The Cholesky algorithm is recursive so we can construct the Newton Basis recursively [PS 2011].

Newton bases allow to:

## Properties

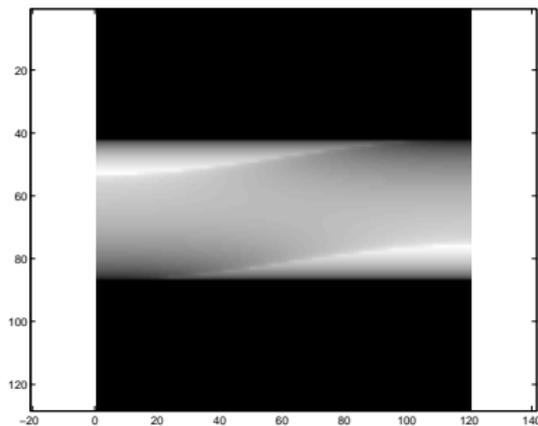
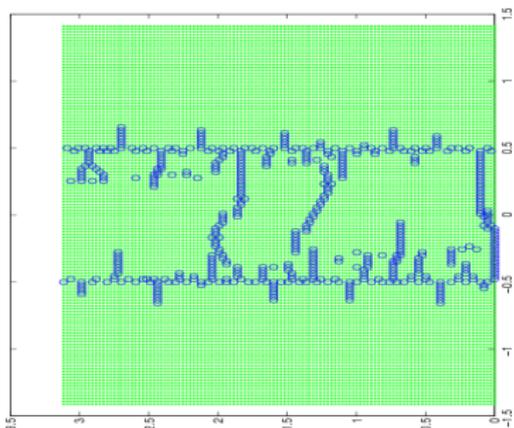
- Select the reconstruction lines;
- Solve a smaller system;
- Thanks to the selection of line-points we have a good compression of data.

# How many Newton Bases ?



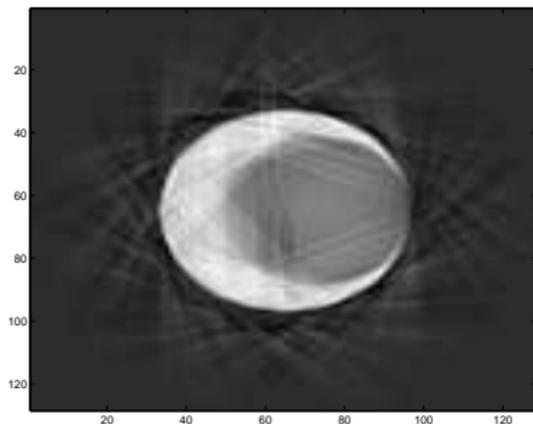
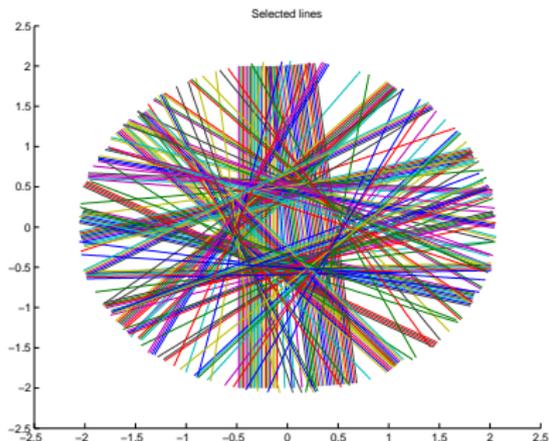
Number of (Newton bases) selected lines and computation time for Bull's Eye phantom reconstruction

# Selection Point



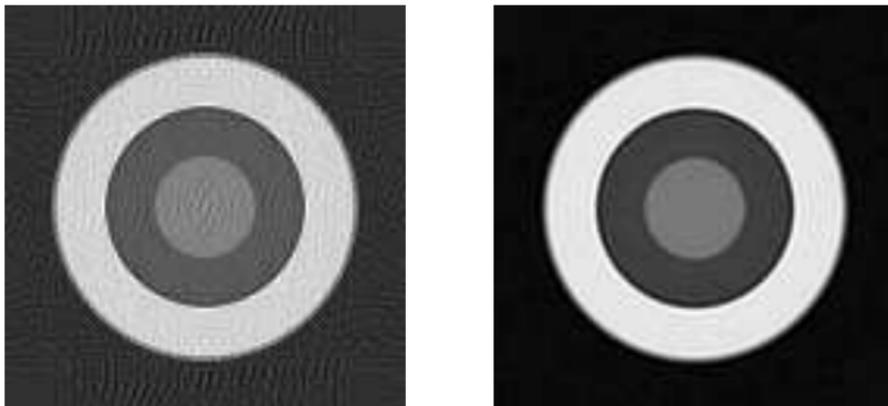
500 points are selected for the reconstruction of the Crescent Shape phantom sinogram instead of 1500

# Line selection



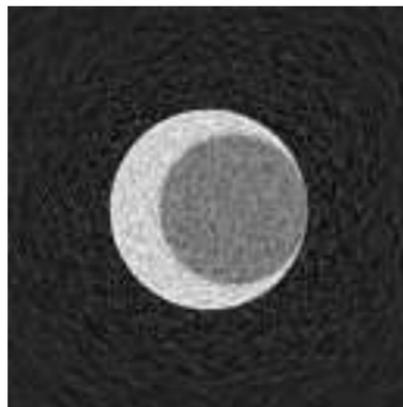
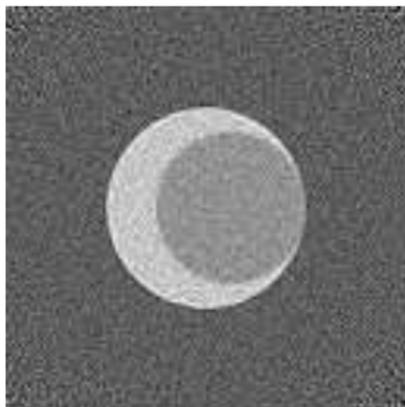
200 lines selected for the reconstruction of the Crescent Shape phantom: the phantom reconstructed with 200 Newton bases.

# Double-weighted kernel methods vs ART methods



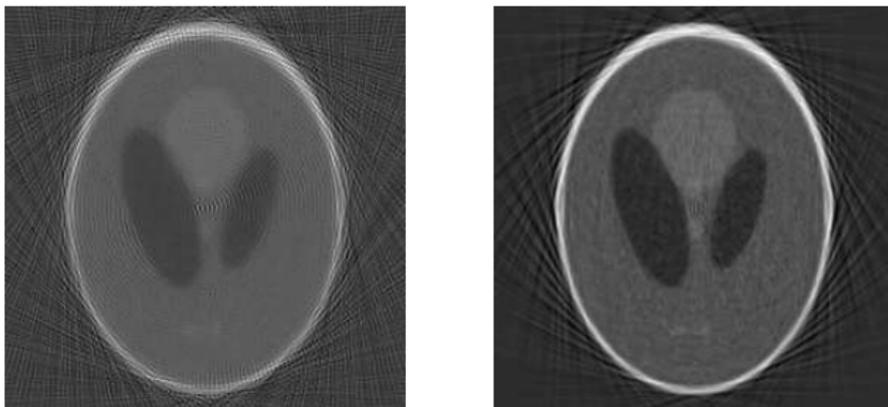
**Figure:** We compare a reconstruction with ART (first image) and the reconstruction with double-weighted kernel methods with 1500 (full data) Newton Bases (second image)

# Double-weighted kernel methods vs ART methods



**Figure: Data with noise:** we compare a reconstruction with ART (first image) and the reconstruction with double-weighted kernel methods with 1500 Newton Bases (second image)

# Double-weighted kernel methods vs ART methods



**Figure: Missing Data:** we compare a reconstruction with ART and the reconstruction with double-weighted kernel methods with 1500 Newton Bases (second image); Missing data: 40 % of Radon data

# Summary and future work

## Done

- 1 Filtered Back-Projection Formula
  - Efficiency
- 2 Kernel based reconstruction
  - Flexibility: double window function
  - Arbitrary scattered Radon data

## To be done

- 1 More on the error analysis
- 2 Conditionally positive definite kernels
- 3 Efficiency

**Thank you for your  
attention!**

