From counting points to motivic integration: the geometry behind computing integrals

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[What is an algebraic variety?](#page-4-0) [Additive invariants](#page-23-0) p[-adics](#page-52-0)

Let k be a field, or a ring. Let F be a family of polynomials $f_1, \ldots, f_r \in k[T_1, \ldots, T_N].$

[What is an algebraic variety?](#page-4-0) [Additive invariants](#page-23-0) p[-adics](#page-52-0)

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The set of k-points of the corresponding (affine) algebraic variety X_F is the set of points in k^N which are common zeroes of the polynomials f_i , that is,

$$
X_F(k) = \{x = (x_1, \ldots, x_n) \in k^N : (\forall i) (f_i(x_1, \ldots, x_N) = 0)\}.
$$

[What is an algebraic variety?](#page-1-0) [Additive invariants](#page-23-0) p[-adics](#page-52-0)

For any ring K containing k , we can also consider the set of K-points

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[What is an algebraic variety?](#page-1-0) [Additive invariants](#page-23-0) p[-adics](#page-52-0)

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In particular, if $r=0$, we get the affine space $\mathbb{A}^{\textit{N}}$ with $\mathbb{A}^N(K)=K^N$ for every K containing $k.$

[What is an algebraic variety?](#page-1-0) [Additive invariants](#page-23-0) p[-adics](#page-52-0)

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$$
\mathcal{L}(X_F) := X_F(\mathbb{C}[[t]]) = \{ (x_1(t), \ldots, x_n(t)) \in \mathbb{C}[[t]]^N : \\ (\forall i)(f_i(x_1(t), \ldots, x_N(t)) = 0) \}.
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of formal germs of arcs on X_F may be extremely powerful.

[What is an algebraic variety?](#page-1-0) [Additive invariants](#page-23-0) p[-adics](#page-52-0)

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for all K .

[What is an algebraic variety?](#page-1-0) [Additive invariants](#page-23-0) p[-adics](#page-52-0)

If $F' = F \cup_{i \in I} \{g_i\}$, we have

$X_{F'}(K) \subset X_F(K)$

for all K .

We write $X_{F} \subset X_F$ and we say X_{F} is a subvariety of X_F .

[What is an algebraic variety?](#page-1-0) [Additive invariants](#page-23-0) p[-adics](#page-52-0)

Do there exist similarly a family \tilde{F} such that

 $X_{\tilde{F}}(K) = X_F(K) \setminus X_{F'}(K)$

for all K, so that we can set $X_{\tilde{F}} = X_F \setminus X_{F'}$?

[What is an algebraic variety?](#page-1-0) [Additive invariants](#page-23-0) p[-adics](#page-52-0)

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Yes,

[What is an algebraic variety?](#page-1-0) [Additive invariants](#page-23-0) p[-adics](#page-52-0)

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for all K, so that we can set $X_{\tilde{F}} = X_F \setminus X_{F'}$?

 $\overline{\mathsf{Yes}}$, add new variables U_i for each g_i and set

$$
\tilde{F}=(f_i,g_iU_i-1).
$$

[Preliminaries](#page-1-0)

[Some \(pre\)history](#page-60-0) [So, what is motivic integration?](#page-88-0) [Motivic integration in action: 4 examples](#page-121-0) [What is an algebraic variety?](#page-1-0) [Additive invariants](#page-23-0) p[-adics](#page-52-0)

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[What is an algebraic variety?](#page-1-0) [Additive invariants](#page-23-0) p[-adics](#page-52-0)

We have also a natural notion of products:

If we have two families $F = (f_i(T_1, \ldots, T_N))$ and $\mathcal{F}' = (f'_i(S_1, \ldots, S_{\mathsf{N}'})),$ with no variable in common, we may set

 $X_F \times X_{F'} := X_{F \cup F'}$.

[What is an algebraic variety?](#page-1-0) [Additive invariants](#page-23-0) p[-adics](#page-52-0)

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[What is an algebraic variety?](#page-1-0) [Additive invariants](#page-23-0) p[-adics](#page-52-0)

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[What is an algebraic variety?](#page-1-0) [Additive invariants](#page-23-0) p[-adics](#page-52-0)

There is a natural notion of morphisms between algebraic varieties. Essentially they are induced by "polynomial transformations". In particular, there is a notion of isomorphism of algebraic varieties. There is a natural notion of morphisms between algebraic varieties. Essentially they are induced by "polynomial transformations". In particular, there is a notion of *isomorphism* of algebraic varieties. For instance, $\mathcal{T} \mapsto (\mathcal{T}^2,\mathcal{T}^3,\mathcal{T}^{-2})$ induces an isomorphism between $\mathbb{A}^1\setminus\{0\}$ and the variety defined by

$$
X_1^3 - X_2^2 = 0 \quad \text{and} \quad X_1 X_3 - 1 = 0.
$$

[Preliminaries](#page-1-0)

[Some \(pre\)history](#page-60-0) [So, what is motivic integration?](#page-88-0) [Motivic integration in action: 4 examples](#page-121-0) [What is an algebraic variety?](#page-1-0) [Additive invariants](#page-27-0) p[-adics](#page-52-0)

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[What is an algebraic variety?](#page-1-0) [Additive invariants](#page-27-0)

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- Additivity: If X' is a subvariety of X ,

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• Multiplicativity:

$$
\lambda(X\times X')=\lambda(X)\cdot\lambda(X').
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[What is an algebraic variety?](#page-1-0) [Additive invariants](#page-23-0) p[-adics](#page-52-0)

Assume $k = \mathbb{R}$. One may cut $X_F(\mathbb{R})$ into a finite number of cells C_i , defined by polynomial equalities and inequalities and diffeomorphic to an open ball B^{d_i} of dimension d_i .

[What is an algebraic variety?](#page-1-0) [Additive invariants](#page-23-0) p[-adics](#page-52-0)

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Convention: open balls of dimension 0 are points.

[What is an algebraic variety?](#page-1-0) [Additive invariants](#page-23-0) p[-adics](#page-52-0)

Euler characteristic with compact support is defined as

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\mathsf{Eu}\left(X_{\digamma}(\mathbb{R})\right):=\sum_i (-1)^{d_i}.
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[What is an algebraic variety?](#page-1-0) [Additive invariants](#page-23-0) p[-adics](#page-52-0)

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[What is an algebraic variety?](#page-1-0) [Additive invariants](#page-23-0) p[-adics](#page-52-0)

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[What is an algebraic variety?](#page-1-0) [Additive invariants](#page-23-0) p[-adics](#page-52-0)

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[What is an algebraic variety?](#page-1-0) [Additive invariants](#page-23-0) p[-adics](#page-52-0)

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Eu($\mathbb{R}d$) = (-1)^d. In particular $Eu(\mathbb{C}d) = 1$.

[What is an algebraic variety?](#page-1-0) [Additive invariants](#page-23-0) p[-adics](#page-52-0)

If k is a subfield of $\mathbb C$ and X is a k-algebraic variety, we set

$Eu(X) := Eu(X(\mathbb{C}))$.
[What is an algebraic variety?](#page-1-0) [Additive invariants](#page-23-0) p[-adics](#page-52-0)

If k is a subfield of $\mathbb C$ and X is a k-algebraic variety, we set

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Proposition

 $X \mapsto \mathsf{Eu}(X)$ is an additive $\mathbb Z$ -valued invariant.

[Preliminaries](#page-1-0)

[Some \(pre\)history](#page-60-0) [So, what is motivic integration?](#page-88-0) [Motivic integration in action: 4 examples](#page-121-0) [What is an algebraic variety?](#page-1-0) [Additive invariants](#page-23-0) p[-adics](#page-52-0)

Counting is additive.

So assume k is a finite field. Recall that for every prime number p , and every $f > 1$, there exists a unique finite field \mathbb{F}_q having $q=p^f$ elements.

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If $k=\mathbb{F}_q$ and X is a k -algebraic variety, since $X(\mathbb{F}_{q^e})$ is finite, we may set

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 $X \mapsto \mathcal{N}_{q^e}(X)$ is an additive invariant.

[Preliminaries](#page-1-0)

[Some \(pre\)history](#page-60-0) [So, what is motivic integration?](#page-88-0) [Motivic integration in action: 4 examples](#page-121-0)

[What is an algebraic variety?](#page-1-0) [Additive invariants](#page-23-0) p[-adics](#page-52-0)

[Preliminaries](#page-1-0)

[Some \(pre\)history](#page-60-0) [So, what is motivic integration?](#page-88-0) [Motivic integration in action: 4 examples](#page-121-0) [What is an algebraic variety?](#page-1-0) [Additive invariants](#page-23-0) p[-adics](#page-52-0)

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[What is an algebraic variety?](#page-1-0) [Additive invariants](#page-23-0) p[-adics](#page-52-0)

When $k = \mathbb{Q}$, and X is a variety over k, we may at the same time consider $Eu(X)$

[What is an algebraic variety?](#page-1-0) [Additive invariants](#page-23-0) p[-adics](#page-52-0)

When $k = 0$, and X is a variety over k, we may at the same time consider Eu (X) and reduce the equations of X mod p, for p not dividing the denominators of the equations of f , in order to get a variety X_p over \mathbb{F}_p .

[What is an algebraic variety?](#page-1-0) [Additive invariants](#page-23-0) p[-adics](#page-52-0)

When $k = 0$, and X is a variety over k, we may at the same time consider Eu (X) and reduce the equations of X mod p, for p not dividing the denominators of the equations of f , in order to get a variety X_p over \mathbb{F}_p . For such a p, we may consider, via counting, the number $N_{\rho^e}(X_\rho).$

[What is an algebraic variety?](#page-1-0) [Additive invariants](#page-23-0) p[-adics](#page-52-0)

When $k = 0$, and X is a variety over k, we may at the same time consider Eu (X) and reduce the equations of X mod p, for p not dividing the denominators of the equations of f , in order to get a variety X_p over \mathbb{F}_p . For such a p, we may consider, via counting, the number $N_{\rho^e}(X_\rho).$

Is there any relation between $N_{\rho^e}(X_\rho)$ and Eu $(X)?$

[What is an algebraic variety?](#page-1-0) [Additive invariants](#page-23-0) p[-adics](#page-52-0)

The following is a consequence of results by A. Grothendieck going back to the 60's:

Theorem (Crude Form) Given a X , for almost all p , $\lim_{e \mapsto 0} N_{p^e}(X_p) = \mathsf{Eu}(X).$

[What is an algebraic variety?](#page-1-0) [Additive invariants](#page-23-0) p[-adics](#page-52-0)

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More precisely:

[What is an algebraic variety?](#page-1-0) [Additive invariants](#page-23-0) p[-adics](#page-52-0)

Theorem (Precise Form)

Given a X, for almost all p, there exists finite families of complex numbers α_i , $i \in I$, and β_j , $j \in J$, depending only on X and p , such that

$$
\mathsf{N}_{\mathsf{p}^{\mathsf{e}}}(\mathsf{X}_{\mathsf{p}}) = \sum_{I} \alpha_{i}^{\mathsf{e}} - \sum_{J} \beta_{j}^{\mathsf{e}}
$$

and

$$
Eu(X) = |I| - |J|.
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[What is an algebraic variety?](#page-1-0) [Additive invariants](#page-23-0) p[-adics](#page-52-0)

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So, Euler characteristics may be computed by counting in finite fields!

[What is an algebraic variety?](#page-1-0) [Additive invariants](#page-23-0) p[-adics](#page-53-0)

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\sum i≥0 $a_i t'$

with a_i in \mathbb{F}_ρ and its field of fractions $\mathbb{F}_\rho((t))$ consists of Laurent series

$$
\sum_{i\geq -\alpha}a_it^i,
$$

with $\alpha > 0$.

The ring of p -adic numbers is the set of series $\sum_{i\geq 0}a_ip^i$, with a_i in $\{0, \ldots, p-1\}$.

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 $\sum_{i\geq -\alpha} a_i p^i$, with a_i in $\{0,\ldots,p-1\}$ and $\alpha\geq 0$. Similarly, the field of p-adic numbers \mathbb{Q}_p is the set of series

[Preliminaries](#page-1-0)

[Some \(pre\)history](#page-60-0) [So, what is motivic integration?](#page-88-0) [Motivic integration in action: 4 examples](#page-121-0) [What is an algebraic variety?](#page-1-0) [Additive invariants](#page-23-0) p[-adics](#page-52-0)

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[What is an algebraic variety?](#page-1-0) [Additive invariants](#page-23-0) p[-adics](#page-52-0)

These two fields are quite different: $p = 0$ in $\mathbb{F}_p((t))$ while $p \neq 0$ in \mathbb{Q}_p , which is of characteristic zero (i.e. contains \mathbb{Q}).

However, they are very much the same: by the Ax-Kochen-Ersov principle, we shall discuss later in the talk, they are asymptotically, that is, for $p \gg 0$, the same.

[Birational Geometry](#page-63-0) [1987: Denef and Loeser](#page-71-0) [1995: Batyrev and Kontsevich](#page-81-0)

We assume $k = \mathbb{C}$. We say X is smooth connected if $X(\mathbb{C})$ is smooth $(=$ non singular) and connected in the usual way.

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Proper means $h^{-1}(\mathsf{compact}) = \mathsf{compact}.$

[Birational Geometry](#page-60-0) [1987: Denef and Loeser](#page-71-0) [1995: Batyrev and Kontsevich](#page-81-0)

We shall furthermore assume F is equal to the union of smooth connected hypersurfaces ($=$ of complex codimension 1) E_i , i \in A , of Y , which are furthermore mutually transverse.

We call such a modification a DNC modification.

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For $I \subset A$, we set

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Note that $E_{\emptyset}^{\circ} = Y \setminus F$ and Y is the disjoint union of all the E_{I}° 's.

[Birational Geometry](#page-60-0) [1987: Denef and Loeser](#page-71-0) [1995: Batyrev and Kontsevich](#page-81-0)

For i in A, we set

$n_i = 1 +$ (order of vanishing of the jacobian of h along E_i)

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and

$$
n_I=\prod_{i\in I}n_i.
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We can now state the following resulting, obtained in 1987 and published in 1992:
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We can now state the following resulting, obtained in 1987 and published in 1992:

Theorem (Denef and Loeser)

Let h: $Y \rightarrow X$ be a DNC modification. Then we have

$$
Eu(X) = \sum_{I \subset A} \frac{Eu(E_I^{\circ})}{n_I}.
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Remark

The result also holds in the complex analytic setting.

[Birational Geometry](#page-60-0) [1987: Denef and Loeser](#page-71-0) [1995: Batyrev and Kontsevich](#page-81-0)

The proof was by no means direct.

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The proof was by no means direct. Main steps:

 \bullet Reduce to data defined over $\mathbb Q$ (or a number field)

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- **2** For general p, evaluate the p-adic volume of $X(\mathbb{Q}_p)$ as a p-adic integral on $Y(\mathbb{Q}_p)$ involving the order of jacobian of h via "change of variables formula"
- ³ Express these integrals as number of points on varieties over a finite field

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Challenging problem: Find a direct proof . . .

[Birational Geometry](#page-60-0) [1987: Denef and Loeser](#page-71-0) [1995: Batyrev and Kontsevich](#page-84-0)

Inspired by mirror symmetry, physicists were led to conjecture the following statement:

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Inspired by mirror symmetry, physicists were led to conjecture the following statement:

"Birational Calabi-Yau have the same Betti numbers."

In 1995, this was proved by V. Batyrev by using p -adic integrals in a way similar to Denef and Loeser and the part of the Weil conjectures proved by Deligne (which allows for projective varieties to deduce not only Euler characteristics, but also Betti numbers, from counting in finite fields).

[Birational Geometry](#page-60-0) [1987: Denef and Loeser](#page-71-0) [1995: Batyrev and Kontsevich](#page-81-0)

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Motivic integration was born ...

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 $X \mapsto [X]$

from the category of algebraic varieties over k to some universal ring M_k .

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\lambda(X)=\alpha([X])
$$

for every X .

[Universal invariants](#page-88-0) [Motivic integration](#page-95-0)

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Note that, in general $\mathcal{L}(X)$ is infinite dimensional!

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Such attempts are doomed to fail immediately since, as soon as k is infinite, $k((t))$ is not locally compact.

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[Universal invariants](#page-88-0) [Motivic integration](#page-93-0)

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[Universal invariants](#page-88-0) [Motivic integration](#page-93-0)

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In fact a very general theory of motivic integration within the framework of "Constructible motivic functions" has been recently developed by Cluckers and Loeser. It allows to consider integrals with parameters and avoids using a completion M_k .

[Universal invariants](#page-88-0) [Motivic integration](#page-93-0)

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[Universal invariants](#page-88-0) [Motivic integration](#page-93-0)

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with $\mathcal{L}_n(X)$ defined similarly as $\mathcal{L}(X)$ with $K[[t]]$ replaced by $K[[t]]/t^{n+1}$.

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Note that $\mathcal{L}_n(X)$ is finite dimensional and $[\mathbb{A}^1]$ is invertible in M_k .

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Once developed, this new framework is as easy to use and flexible as standard Lebesgue integration, with Fubini theorems, change of variable theorems, etc.

As we already mentioned, the very first application of motivic integration was made by Kontsevich, who used it to get a proof of Batyrev's Theorem without p-adic integration.

[Birational Geometry](#page-123-0) [Finite group actions](#page-131-0) [Milnor fiber](#page-146-0) Ax-Kochen-Eršov Principle for integrals

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Similarly, one can avoid the use of p-adic integration in the proof of the Denef-Loeser Theorem.

What is the underlying idea?

If $h: Y \to X$ is a birational morphism, one can express the motivic volume of $\mathcal{L}(X)$ as a motivic integral on $\mathcal{L}(Y)$ involving the order of vanishing of the jacobian.

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This is achieved by using an analogue of the "change of variables formula" in this setting.

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At the level of arc spaces h induces a morphism between $\mathcal{L}(Y)$ and $\mathcal{L}(X)$ which restricts to a bijection between $\mathcal{L}(Y) \setminus \mathcal{L}(F)$ and $\mathcal{L}(X) \setminus \mathcal{L}(h(F)).$

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But $\mathcal{L}(F)$ is of infinite codimension in $\mathcal{L}(Y)$, hence of measure zero!

This is the reason why measure theoretic arguments seem to be so well adapted to birational geometry.

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But there exists one at the level of arc spaces!

Indeed, let x be a point of X and denote by $G(x)$ the isotropy subgroup at x ,

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\mathcal{L}(X)_x = \bigsqcup_{\gamma \in \mathsf{Conj}\; G(x)} \mathcal{L}(X)_x^{\gamma} \sqcup B,
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with Conj $G(x)$ the set of conjugacy classes in $G(x)$ and B a subset of infinite codimension in $\mathcal{L}(X)_{x}$.

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Work of Batyrev, Kontsevich, Denef-Loeser, Yasuda, . . .

Let X be a smooth complex algebraic variety

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Here $B(a, r)$ denotes the closed ball of center a and radius r.

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 $f(\varphi(t)) = t^n +$ (higher order terms).

Theorem (Denef-Loeser)

For $n \geq 1$,

$$
\Lambda^n(M_x) = \mathsf{Eu}\,(\mathcal{X}_n).
$$

Theorem (Denef-Loeser)

For $n > 1$,

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Challenging Problem: Find a direct, geometric proof.

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In fact, the spaces \mathcal{X}_n do contain much more information about the Milnor fiber and the monodromy:

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Work of Denef-Loeser, Guibert, Bittner, Guibert-Loeser-Merle, etc.

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A (first order ring) sentence is a formula with no free variable.

Theorem (Ax-Kochen-Eršov)

Let φ be a first order sentence. For almost all prime number p, the sentence φ is true in \mathbb{Q}_p if and only if it is true in $\mathbb{F}_p((t))$.

Let d be a positive integer. A field k is called $C_2(d)$ if every homogenous polynomial of degree d in $n>d^2$ variables with coefficients in k has a non trivial solution in k^n .

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Question (E. Artin): Does \mathbb{Q}_p have the $C_2(d)$ property?

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Indeed, d being given, for a field to be $C_2(d)$ is expressible by a sentence. Why?

Now consider a formula φ with *n* free variables.

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X_{\varphi}(K):=\Big\{(x_1,\ldots,x_n)\in K^n \Big|\ \varphi(x_1,\ldots,x_n)\text{ holds}\Big\}.
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More generally one may extend the valued ring language which admits symbols to express that the valuation is larger than something, or the initial coefficient is equal to someting.

Theorem (Denef-Loeser)

Let φ be a formula in the valued ring language. Then, for almost all p, the sets $X_{\varphi}(\mathbb{Q}_p)$ and $X_{\varphi}(\mathbb{F}_p((t)))$ have the same volume. Furthermore this volume is equal to the number of points in \mathbb{F}_p of a motive M_{φ} canonically attached to φ .

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There is a similar statement for integrals.

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By the above mentioned work of Cluckers and Loeser, all p-adic integrals depending on parameters that are definable in a precise sense may be obtained by specialization of canonical motivic integrals for almost all p, and similarly for \mathbb{Q}_p replaced by $\mathbb{F}_p((t))$.

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Transfer Theorem (Cluckers-Loeser)

For almost all p , an equality of definable integrals depending on parameters holds for \mathbb{Q}_p if and only if it holds for $\mathbb{F}_p((t))$.

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For almost all p , an equality of definable integrals depending on parameters holds for \mathbb{Q}_p if and only if it holds for $\mathbb{F}_p((t))$.

These results may be generalized in order to deal with integrals involving exponential functions.

Furthermore,

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