# From counting points to motivic integration: the geometry behind computing integrals

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What is an algebraic variety? Additive invariants *p*-adics

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The set of k-points of the corresponding (affine) algebraic variety  $X_F$  is the set of points in  $k^N$  which are common zeroes of the polynomials  $f_i$ , that is,

$$X_F(k) = \{x = (x_1, \ldots, x_n) \in k^N : (\forall i)(f_i(x_1, \ldots, x_N) = 0)\}.$$

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# For any ring K containing k, we can also consider the set of K-points

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For any ring K containing k, we can also consider the set of K-points

$$X_{\mathcal{F}}(\mathcal{K}) = \{x = (x_1, \ldots, x_n) \in \mathcal{K}^N : (\forall i)(f_i(x_1, \ldots, x_N) = 0)\}.$$

In particular, if r = 0, we get the affine space  $\mathbb{A}^N$  with  $\mathbb{A}^N(K) = K^N$  for every K containing k.

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When  $k = \mathbb{C}$ , a lot of the information on X is already contained in the set of complex points  $X_F(\mathbb{C})$ .

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$$\mathcal{L}(X_F) := X_F(\mathbb{C}[[t]]) = \{ (x_1(t), \dots, x_n(t)) \in \mathbb{C}[[t]]^N : \\ (\forall i) (f_i(x_1(t), \dots, x_N(t)) = 0) \}.$$

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of formal germs of arcs on  $X_F$  may be extremely powerful.

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If  $F' = F \cup_{i \in I} \{g_i\}$ , we have

# $X_{F'}(K) \subset X_F(K)$

for all K.

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If  $F' = F \cup_{i \in I} \{g_i\}$ , we have

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We write  $X_{F'} \subset X_F$  and we say  $X_{F'}$  is a subvariety of  $X_F$ .

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Do there exist similarly a family  $\tilde{F}$  such that

 $X_{\widetilde{F}}(K) = X_F(K) \setminus X_{F'}(K)$ 

for all *K*, so that we can set  $X_{\tilde{F}} = X_F \setminus X_{F'}$ ?

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Yes,

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Yes, add new variables  $U_i$  for each  $g_i$  and set

$$\tilde{F}=(f_i,g_iU_i-1).$$

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We have also a natural notion of products:

If we have two families  $F = (f_i(T_1, ..., T_N))$  and  $F' = (f'_i(S_1, ..., S_{N'}))$ , with no variable in common, we may set

 $X_F \times X_{F'} := X_{F \cup F'}.$ 

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## There is a natural notion of morphisms between algebraic varieties.

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$$X_1^3 - X_2^2 = 0$$
 and  $X_1X_3 - 1 = 0$ .

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Let *R* be a ring, for instance  $R = \mathbb{Z}$ . By an additive *R*-valued invariant of *k*-algebraic varieties, we mean the assignment to any *k*-algebraic variety *X* of an invariant  $\lambda(X)$ in *R* such that: 
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- Additivity: If X' is a subvariety of X,

 $\lambda(X) = \lambda(X') + \lambda(X \setminus X')$ 

 
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- Isomorphic varieties have the same invariant
- Additivity: If X' is a subvariety of X,

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• Multiplicativity:

$$\lambda(X \times X') = \lambda(X) \cdot \lambda(X').$$

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# Assume $k = \mathbb{R}$ . One may cut $X_F(\mathbb{R})$ into a finite number of cells $C_i$ , defined by polynomial equalities and inequalities and diffeomorphic to an open ball $B^{d_i}$ of dimension $d_i$ .

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Convention: open balls of dimension 0 are points.

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## Euler characteristic with compact support is defined as

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 $\mathsf{Eu}(\mathbb{R}^d) = (-1)^d$ . In particular  $\mathsf{Eu}(\mathbb{C}^d) = 1$ .

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## If k is a subfield of $\mathbb{C}$ and X is a k-algebraic variety, we set

# $\operatorname{Eu}(X) := \operatorname{Eu}(X(\mathbb{C})).$
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 $\operatorname{Eu}(X) := \operatorname{Eu}(X(\mathbb{C})).$ 

### Proposition

 $X \mapsto \operatorname{Eu}(X)$  is an additive  $\mathbb{Z}$ -valued invariant.

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### Counting is additive.

So assume k is a finite field. Recall that for every prime number p, and every  $f \ge 1$ , there exists a unique finite field  $\mathbb{F}_q$  having  $q = p^f$  elements.

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If  $k = \mathbb{F}_q$  and X is a k-algebraic variety, since  $X(\mathbb{F}_{q^e})$  is finite, we may set

$$N_{q^e}(X) := |X(\mathbb{F}_{q^e})|.$$

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 $X \mapsto N_{q^e}(X)$  is an additive invariant.

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When  $k = \mathbb{Q}$ , and X is a variety over k, we may at the same time consider Eu (X) and reduce the equations of X mod p, for p not dividing the denominators of the equations of f, in order to get a variety  $X_p$  over  $\mathbb{F}_p$ .

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Is there any relation between  $N_{p^e}(X_p)$  and Eu(X)?

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The following is a consequence of results by A. Grothendieck going back to the 60's:

# Theorem (Crude Form) Given a X, for almost all p, $\lim_{e \to 0} N_{p^e}(X_p) = \operatorname{Eu}(X).$

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More precisely:

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### Theorem (Precise Form)

Given a X, for almost all p, there exists finite families of complex numbers  $\alpha_i$ ,  $i \in I$ , and  $\beta_j$ ,  $j \in J$ , depending only on X and p, such that

$$N_{p^e}(X_p) = \sum_I \alpha_i^e - \sum_J \beta_j^e$$

and

$$\mathsf{Eu}(X) = |I| - |J|.$$

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So, Euler characteristics may be computed by counting in finite fields!

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# The ring of formal power series $\mathbb{F}_p[[t]]$ consists of series

 $\sum_{i\geq 0}a_it^i$ 

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with  $a_i$  in  $\mathbb{F}_p$  and its field of fractions  $\mathbb{F}_p((t))$  consists of Laurent series

$$\sum_{i\geq -lpha} a_i t^i$$

with  $\alpha \geq 0$ .

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The ring of *p*-adic numbers is the set of series  $\sum_{i\geq 0} a_i p^i$ , with  $a_i$  in  $\{0, \ldots, p-1\}$ .

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Similarly, the field of *p*-adic numbers  $\mathbb{Q}_p$  is the set of series  $\sum_{i \ge -\alpha} a_i p^i$ , with  $a_i$  in  $\{0, \ldots, p-1\}$  and  $\alpha \ge 0$ .

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These two fields are quite different: p = 0 in  $\mathbb{F}_p((t))$  while  $p \neq 0$  in  $\mathbb{Q}_p$ , which is of characteristic zero (i.e. contains  $\mathbb{Q}$ ).

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However, they are very much the same: by the Ax-Kochen-Eršov principle, we shall discuss later in the talk, they are asymptotically, that is, for  $p \gg 0$ , the same.

**Birational Geometry** 1987: Denef and Loeser 1995: Batyrev and Kontsevich

We assume  $k = \mathbb{C}$ . We say X is smooth connected if  $X(\mathbb{C})$  is smooth (= non singular) and connected in the usual way.

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Proper means  $h^{-1}(\text{compact}) = \text{compact}$ .

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We call such a modification a DNC modification.

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For  $I \subset A$ , we set

 $E_I^\circ := \cap_{i \in I} E_i \setminus \bigcup_{j \notin I} E_j.$ 

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**Birational Geometry** 1987: Denef and Loeser 1995: Batyrev and Kontsevich

For i in A, we set

## $n_i = 1 + (\text{order of vanishing of the jacobian of } h \text{ along } E_i)$

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### and

$$n_I=\prod_{i\in I}n_i.$$

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We can now state the following resulting, obtained in 1987 and published in 1992:
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Theorem (Denef and Loeser)

Let  $h: Y \rightarrow X$  be a DNC modification. Then we have

$$\mathsf{Eu}(X) = \sum_{I \subset A} \frac{\mathsf{Eu}(E_I^\circ)}{n_I}.$$

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## Remark

The result also holds in the complex analytic setting.

Birational Geometry 1987: Denef and Loeser 1995: Batyrev and Kontsevich

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- Conclude by using Grothendieck's result relating Eu to number of points.

Challenging problem: Find a direct proof ....

Birational Geometry 1987: Denef and Loeser 1995: Batyrev and Kontsevich

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In 1995, this was proved by V. Batyrev by using *p*-adic integrals in a way similar to Denef and Loeser and the part of the Weil conjectures proved by Deligne (which allows for projective varieties to deduce not only Euler characteristics, but also Betti numbers, from counting in finite fields).

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Shortly afterwards, M. Kontsevich found a direct approach to Batyrev's Theorem, avoiding the use of *p*-adic integrals and involving arc spaces, which he explained in his seminal Orsay talk of December 7, 1995, entitled "String cohomology".

Motivic integration was born ...

We shall consider non degenerate additive invariants of algebraic varieties over k, that is invariants  $\lambda$  such that  $\lambda(\mathbb{A}^1)$  is a unit.

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Instead of considering all such invariants separately, it shows more convenient to consider at once the universal non degenerate additive invariant :

 $X\mapsto [X]$ 

from the category of algebraic varieties over k to some universal ring  $M_k$ .

It is characterized by the fact that for every non degenerate invariant  $\lambda$  with values in a ring R,

It is characterized by the fact that for every non degenerate invariant  $\lambda$  with values in a ring R, there exists a unique ring morphism  $\alpha : M_k \to R$  such that

$$\lambda(X) = \alpha([X])$$

for every X.

Universal invariants Motivic integration

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Note that, in general  $\mathcal{L}(X)$  is infinite dimensional!

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Such attempts are doomed to fail immediately since, as soon as k is infinite, k((t)) is not locally compact.

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The motivic measure  $\mu(A)$  will be an element of  $M_k$ , or of some completion or localization of  $M_k$ .

Universal invariants Motivic integration

Depending on the context reasonable means

Universal invariants Motivic integration

## Depending on the context reasonable means measurable,

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In fact a very general theory of motivic integration within the framework of "Constructible motivic functions" has been recently developed by Cluckers and Loeser. It allows to consider integrals with parameters and avoids using a completion  $M_k$ .

Universal invariants Motivic integration

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Universal invariants Motivic integration

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 $\pi_n: \mathcal{L}(X) \to \mathcal{L}_n(X),$ 

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$$\mu(A) := \lim_{n \mapsto \infty} [\pi_n(A)] [\mathbb{A}^1]^{-nd},$$

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Note that  $\mathcal{L}_n(X)$  is finite dimensional and  $[\mathbb{A}^1]$  is invertible in  $M_k$ .

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Once developed, this new framework is as easy to use and flexible as standard Lebesgue integration, with Fubini theorems, change of variable theorems, etc.

Birational Geometry Finite group actions Milnor fiber Ax-Kochen-Eršov Principle for integrals

As we already mentioned, the very first application of motivic integration was made by Kontsevich, who used it to get a proof of Batyrev's Theorem without p-adic integration.

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Similarly, one can avoid the use of p-adic integration in the proof of the Denef-Loeser Theorem.

What is the underlying idea?

If  $h: Y \to X$  is a birational morphism, one can express the motivic volume of  $\mathcal{L}(X)$  as a motivic integral on  $\mathcal{L}(Y)$  involving the order of vanishing of the jacobian.

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This is achieved by using an analogue of the "change of variables formula" in this setting.

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At the level of arc spaces h induces a morphism between  $\mathcal{L}(Y)$  and  $\mathcal{L}(X)$  which restricts to a bijection between  $\mathcal{L}(Y) \setminus \mathcal{L}(F)$  and  $\mathcal{L}(X) \setminus \mathcal{L}(h(F))$ .

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But  $\mathcal{L}(F)$  is of infinite codimension in  $\mathcal{L}(Y)$ , hence of measure zero!

This is the reason why measure theoretic arguments seem to be so well adapted to birational geometry.

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But there exists one at the level of arc spaces!

Indeed, let x be a point of X and denote by G(x) the isotropy subgroup at x,

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with Conj G(x) the set of conjugacy classes in G(x) and B a subset of infinite codimension in  $\mathcal{L}(X)_x$ .

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**2** group theoretical invariants of the action.

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Work of Batyrev, Kontsevich, Denef-Loeser, Yasuda, ....

## Let X be a smooth complex algebraic variety

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Fix  $0 < \eta \ll \varepsilon \ll 1$ . The morphism f restricts to a fibration (the Milnor fibration)

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Here B(a, r) denotes the closed ball of center a and radius r.

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### In particular one can consider the *n*-th Lefschetz number

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Denote by  $\mathcal{X}_n$  the set of arcs  $\varphi$  in  $\mathcal{L}_n(X)$  with  $\varphi(0) = x$  such that

 $f(\varphi(t)) = t^n + (\text{higher order terms}).$ 

## Theorem (Denef-Loeser)

For  $n \geq 1$ ,

# $\Lambda^n(M_x) = \operatorname{Eu}(\mathcal{X}_n).$

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Challenging Problem: Find a direct, geometric proof.

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In fact, the spaces  $\mathcal{X}_n$  do contain much more information about the Milnor fiber and the monodromy:

Denef and Loeser proved that the series

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Work of Denef-Loeser, Guibert, Bittner, Guibert-Loeser-Merle, etc.

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A first order ring formula is a formula written with symbols 0, +, –, 1, ×, =,

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A (first order ring) sentence is a formula with no free variable.

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#### Theorem (Ax-Kochen-Eršov)

Let  $\varphi$  be a first order sentence. For almost all prime number p, the sentence  $\varphi$  is true in  $\mathbb{Q}_p$  if and only if it is true in  $\mathbb{F}_p((t))$ .

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Let *d* be a positive integer. A field *k* is called  $C_2(d)$  if every homogenous polynomial of degree *d* in  $n > d^2$  variables with coefficients in *k* has a non trivial solution in  $k^n$ .

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It is known (Tsen-Lang) that  $\mathbb{F}_p((t))$  is  $C_2(d)$  for every d.

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Question (E. Artin): Does  $\mathbb{Q}_p$  have the  $C_2(d)$  property?

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### The answer is

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No:
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No: Terjanian proved in 1965  $\mathbb{Q}_2$  is not  $C_2(4)$  by producing a homogenous polynomial of degree 4 in 18 variables with no non trivial solution in  $\mathbb{Q}_2$ .

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Almost Yes: Ax and Kochen proved in 1966 that, given a d, almost all fields  $\mathbb{Q}_p$  are  $C_2(d)$ .

Indeed, *d* being given, for a field to be  $C_2(d)$  is expressible by a sentence.

#### The answer is

No: Terjanian proved in 1965  $\mathbb{Q}_2$  is not  $C_2(4)$  by producing a homogenous polynomial of degree 4 in 18 variables with no non trivial solution in  $\mathbb{Q}_2$ .

Almost Yes: Ax and Kochen proved in 1966 that, given a d, almost all fields  $\mathbb{Q}_p$  are  $C_2(d)$ .

Indeed, *d* being given, for a field to be  $C_2(d)$  is expressible by a sentence. Why?

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# Now consider a formula $\varphi$ with *n* free variables.

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$$X_{\varphi}(K) := \Big\{ (x_1, \ldots, x_n) \in K^n \ \Big| \ \varphi(x_1, \ldots, x_n) \text{ holds} \Big\}.$$

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More generally one may extend the valued ring language which admits symbols to express that the valuation is larger than something, or the initial coefficient is equal to someting.

#### Theorem (Denef-Loeser)

Let  $\varphi$  be a formula in the valued ring language. Then, for almost all p, the sets  $X_{\varphi}(\mathbb{Q}_p)$  and  $X_{\varphi}(\mathbb{F}_p((t)))$  have the same volume. Furthermore this volume is equal to the number of points in  $\mathbb{F}_p$  of a motive  $M_{\varphi}$  canonically attached to  $\varphi$ .

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There is a similar statement for integrals.

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Do the previous results extend to integrals depending on parameters?

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By the above mentioned work of Cluckers and Loeser, all p-adic integrals depending on parameters that are definable in a precise sense may be obtained by specialization of canonical motivic integrals for almost all p,

Birational Geometry
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By the above mentioned work of Cluckers and Loeser, all *p*-adic integrals depending on parameters that are definable in a precise sense may be obtained by specialization of canonical motivic integrals for almost all *p*, and similarly for  $\mathbb{Q}_p$  replaced by  $\mathbb{F}_p((t))$ .

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To conclude, let us note that integrals of the above type are ubiquituous in harmonic analysis over non archimedean fields, *p*-adic representation Theory and the Langlands Program.