

# Tempered solutions of $\mathcal{D}$ -modules on complex curves

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08/06/2007

First case: small sectors

Second case: sets biholomorphic to sectors

Third case:  $U \in \text{Op}_{\mathbb{R}^2}^c$

$\mathbb{R}$ -constructibility for tempered solutions

# Tempered holomorphic solutions

Let  $X$  be a complex analytic *curve*. Let  $\mathcal{O}_X$  be the sheaf of holomorphic functions on  $X$ .

Let  $X_{sa}$  be the subanalytic site induced by  $X$ . i.e. the open sets of  $X_{sa}$  are relatively compact open subanalytic subsets of  $X$  and coverings are locally finite ones.

The sheaf of tempered holomorphic functions on  $X_{sa}$ ,  $\mathcal{O}_{X_{sa}}^t$ , is defined as the solution complex of the Cauchy-Riemann system with values in the subanalytic sheaf of tempered distributions.

If  $U$  is a relatively compact subanalytic open subset of  $\mathbb{C}$ , then

$$\mathcal{O}_{X_{sa}}^t(U) = \left\{ f \in \mathcal{O}_X(U); \text{ there exist } C, N > 0 \text{ such that} \right. \\ \left. |f(z)| \leq \frac{C}{\text{dist}(z, \partial U)^N} \right\}.$$

Given a holonomic  $\mathcal{D}_X$ -module,  $\mathcal{M}$ , it makes sense to consider the complex of subanalytic sheaves of tempered holomorphic solutions of  $\mathcal{M}$ ,

$$\text{Sol}^t \mathcal{M} := R\mathcal{H}om_{\varrho! \mathcal{D}_X}(\varrho! \mathcal{M}, \mathcal{O}_{X_{sa}}^t).$$

Recall

$$\text{Sol} \mathcal{M} := R\varrho_* R\mathcal{H}om_{\mathcal{D}_X}(\mathcal{M}, \mathcal{O}_X) \in D^b(X_{sa}).$$

Let  $X$  be a complex analytic manifold.

Theorem (M. Kashiwara 1979)

Let  $\mathcal{M} \in D_{rh}^b(\mathcal{D}_X)$ . The natural morphism in  $D^b(X_{sa})$

$$\text{Sol}^t \mathcal{M} \longrightarrow \text{Sol} \mathcal{M}$$

is an isomorphism.

Let  $D_h^b(\mathcal{D}_X)$  be the bounded derived category of complexes of  $\mathcal{D}_X$ -modules with holonomic cohomology.

Let  $D_{\mathbb{R}-c}^b(\mathbb{C}_X)$  be the bounded derived category of complexes of sheaves with  $\mathbb{R}$ -constructible cohomology. Let  $D^b(\mathbb{C}_{X_{sa}})$  be the bounded derived category of subanalytic sheaves.

### Definition

An element  $F \in D^b(\mathbb{C}_{X_{sa}})$  is said  $\mathbb{R}$ -constructible on  $X_{sa}$  if, for any  $G \in D_{\mathbb{R}-c}^b(\mathbb{C}_X)$ ,

$$\varrho^{-1} \mathbb{R}\text{Hom}_{\mathbb{C}_{X_{sa}}}(\varrho_* G, F) \in D_{\mathbb{R}-c}^b(\mathbb{C}_X).$$

### Corollary

Let  $\mathcal{M} \in D_{rh}^b(\mathcal{D}_X)$ . Then  $\text{Sol}^t \mathcal{M}$  is  $\mathbb{R}$ -constructible as a subanalytic sheaf.

# Tempered solutions of meromorphic connections

For  $\varphi \in z^{-1}\mathbb{C}[z^{-1}]$ , set

$$\mathcal{L}^\varphi := \mathcal{D}_{\mathbb{C}} \exp(\varphi) .$$

For  $\alpha \in \mathbb{C}$  and  $r \in \mathbb{Z}_{\geq 0}$ , set

$$\mathcal{R}_{\alpha,r} := \frac{\mathcal{D}_{\mathbb{C}}}{\mathcal{D}_{\mathbb{C}} \cdot \left(z \frac{d}{dz} - \alpha\right)^r} .$$

A *good model* is a  $\mathcal{D}_{\mathbb{C}}$  module of the form

$$\bigoplus_{j=1}^p \mathcal{L}^{\varphi_j} \otimes \mathcal{R}_{\alpha_j, r_j} .$$

### Theorem (Levelt-Turrittin)

Let  $E$  be a meromorphic connection. There exist  $l \in \mathbb{Z}_{>0}$  and a good model  $\bigoplus_{j=1}^p \mathcal{L}^{\varphi_j} \otimes \mathcal{R}_{\alpha_j, r_j}$  such that

$$\pi^*(E) \otimes \mathbb{C}((z)) \simeq \bigoplus_{j=1}^p \mathcal{L}^{\varphi_j} \otimes \mathcal{R}_{\alpha_j, r_j} \otimes \mathbb{C}((z)) ,$$

for  $\pi(z) = z^l$ .

Set

$$\Phi := \bigcup_{l \in \mathbb{Z}_{>0}} z^{-1/l} \mathbb{C}[z^{-1/l}] .$$

Let  $\gamma$  be the *formal monodromy*.  
 i.e. given  $\varphi \in \Phi$ ,  $(\gamma\varphi)(z) = \varphi(e^{2i\pi}z)$ .

### Definition

Define the category  $\text{Gr}_1$  as follows. The objects of  $\text{Gr}_1$  are triples  $(V, \{V_\varphi\}_{\varphi \in \Phi}, \gamma_V)$  where

1.  $V$  is a finite dimensional  $\mathbb{C}$ -vector space,
2.  $\{V_\varphi\}_{\varphi \in \Phi}$ ,  $V_\varphi \subset V$  satisfy  $V = \bigoplus V_\varphi$ ,
3.  $\gamma_V \in \text{Aut}_{\mathbb{C}}(V)$  such that  $\gamma_V(V_\varphi) = V_{\gamma\varphi}$ .

### Theorem (Levelt-Turrittin)

The category of formal meromorphic connections is equivalent to  $\text{Gr}_1$ .

For  $E$  a meromorphic connection, let  $\kappa(E)$  be the *Katz invariant* of  $E$ .

For  $E \simeq \bigoplus_{j=1}^p \mathcal{L}^{\varphi_j} \otimes \mathcal{R}_{\alpha_j, r_j}$  a good model,

$$\kappa(E) = \max_{j=1, \dots, p} \{\deg \varphi_j\} .$$

Denote by  $\widehat{\text{MC}}_k$  the abelian category of formal meromorphic connections with Katz invariant strictly smaller than  $k$ .

For  $k \in \mathbb{Z}_{>0}$ , set

$$\begin{aligned} \mathcal{S}^t(E) &:= \mathcal{H}om_{\varrho! \mathcal{D}_X}(\varrho! E, \mathcal{O}_{X_{sa}}^t) , \\ \mathcal{S}_k^t(E) &:= \mathcal{S}^t(E \otimes \mathcal{L}^{1/z^k}) . \end{aligned}$$

## Theorem

1. For  $j = 1, 2$ , let  $\varphi_j \in z^{-1}\mathbb{C}[z^{-1}]$ ,  $\alpha_j \in \mathbb{C}$ ,  $r_j \in \mathbb{Z}_{\geq 0}$ . Then

$$\begin{aligned} \text{Hom}_{\mathbb{C}_{sa}}(\mathcal{S}^t(\mathcal{L}^{\varphi_1} \otimes \mathcal{R}_{\alpha_1, r_1}), \mathcal{S}^t(\mathcal{L}^{\varphi_2} \otimes \mathcal{R}_{\alpha_2, r_2})) = \\ = \begin{cases} 0 & \text{if for any } \lambda > 0 \varphi_1 \neq \lambda \varphi_2 \\ \text{Hom}(\mathcal{R}_{\alpha_1, r_1}, \mathcal{R}_{\alpha_2, r_2}) & \text{otherwise.} \end{cases} \end{aligned}$$

2. The functor  $\mathcal{S}_k^t : \widehat{\text{MC}}_k \rightarrow \text{Mod}(\mathbb{C}_{\mathbb{C}_{sa}})$  is fully faithful.

## Theorem (Hukuhara-Turrittin)

On sufficiently small open sectors  $S$ , the holomorphic solutions of  $E$  are  $\mathbb{C}$ -linear combinations of

$$h_{j,k}(z) \exp(\varphi_j) \quad (j = 1, \dots, p; k = 1, \dots, r_j) ,$$

for  $\varphi_j \in \Phi$  and  $h_{j,k}$  satisfying  $h_{j,k}, h_{j,k}^{-1} \in \mathcal{O}^t(S)$ .

For  $\varphi \in \Phi$ , set

$$\text{Fr}(\varphi) := \{d \in \mathbb{R}; \exp(\varphi(re^{id})) \text{ is maximally decreasing}\} .$$

## Definition

Define the category  $\text{Gr}_2$  as follows. The objects of  $\text{Gr}_2$  are tuples  $(V, \{V_\varphi\}_{\varphi \in \Phi}, \gamma_V, \{St_{V,d}\}_{d \in \mathbb{R}})$  where

1.  $(V, \{V_\varphi\}_{\varphi \in \Phi}, \gamma_V)$  is in  $\text{Gr}_1$ ,
2. for any  $d \in \mathbb{R}$ ,  $St_{V,d} \in \text{Aut}(V)$  is equal to

$$\text{id} + \sum_{\varphi_1, \varphi_2, d \in \text{Fr}(\varphi_2 - \varphi_1)} N_{\varphi_2, \varphi_1}$$

for  $N_{\varphi_2, \varphi_1} : V \xrightarrow{pr_2} V_{\varphi_2} \longrightarrow V_{\varphi_1} \longrightarrow V$ .

3.  $\gamma_V^{-1} St_{V,d} \gamma_V = St_{V,d+2\pi}$ .

## Theorem (van der Put)

The category of meromorphic connections is equivalent to  $\text{Gr}_2$ .

Let  $E$  be a meromorphic connection.

Let  $(V, \{V_\varphi\}_{\varphi \in \Phi}, \gamma_V, \{St_{V,d}\}_{d \in \mathbb{R}})$  be the associated object of  $\text{Gr}_2$ .

If  $V_\varphi \neq 0$ , then  $\varphi$  is said a *determinant polynomial* of  $E$ .

Topological monodromy, formal monodromy and Stokes matrices are related as follows,  $\gamma_M = \gamma_V \cdot St_{V,d_1} \cdot \dots \cdot St_{V,d_m}$ .

## Theorem

Let  $E_1, E_2$  be meromorphic connections with Katz invariant strictly smaller than  $k$ . The following two conditions are equivalent.

1.  $\mathcal{S}_k^t(E_1) \simeq \mathcal{S}_k^t(E_2)$ .
2.  $E_1$  and  $E_2$  have the same determinant polynomials and conjugate topological monodromies.



# Existence Theorem for tempered solutions and $\mathbb{R}$ -constructibility on $X_{sa}$

Using subanalytic geometry and classical existence theorems for functional spaces with growth conditions on sufficiently small open sectors, we proved the following results.

## Proposition

*Let  $X \subset \mathbb{C}$  be a open neighbourhood of 0,  $P$  a linear ordinary differential operator on  $X$ . Let  $U$  be a relatively compact subanalytic open subset of  $X$ .*

*There exists an open neighbourhood  $W$  of 0 and an open covering  $\{U_j\}_{j \in J}$  of  $U \cap W$  such that, for any  $j \in J$ ,*

$$\mathcal{O}^t(U_j) \xrightarrow{P(\cdot)} \mathcal{O}^t(U_j) ,$$

*is an epimorphism.*

We prove the Theorem in three steps.

1. First we prove the result in the case where  $U$  is an open sector of sufficiently small amplitude.
2. Second we prove the result in the case where  $U$  is biholomorphic to an open sector.
3. Third we prove the generic case  $U \in \text{Op}_{\mathbb{R}^2}^c$ .

For sake of simplicity, we will suppose that

$$P = z^N \frac{d}{dz} + h(z) ,$$

for  $h \in \mathcal{O}(X)$  and  $N \in \mathbb{N}$ .

By Hukuhara-Turrittin's Theorem, the holomorphic solutions of  $P$  on a sufficiently small sector  $S$  are multiples of  $h(z) \exp(\varphi(z))$ , for  $\varphi \in z^{-1}\mathbb{C}[z^{-1}]$  and  $h$  invertible such that  $h$  and  $\frac{1}{h}$  are tempered on  $S$ .

Given  $g \in \mathcal{O}^t(U)$ ,  $Pv = g$  has holomorphic solution

$$I(g)(z) = f(z) \exp(\varphi(z)) \int_{\Gamma} \exp(-\varphi(\zeta)) \frac{g(\zeta)}{\zeta^N f(\zeta)} d\zeta \in \mathcal{O}(U).$$

Is  $I(g)$  tempered on  $U$ ?

Theorem (Honda-Malgrange-Hukuhara ...)

If  $S$  is an open sector of amplitude sufficiently small then  $I(g) \in \mathcal{O}^t(S)$ .

**Technique:** find good path of integration. They depends highly on  $\varphi$ .

**Remark:** the nice boundary of a sector  $S$  allows easy estimates of the distance from the boundary. It is hard to generalize the procedure to an arbitrary open subanalytic set.

The Theorem is true supposing additionally that

1.  $U$  is an open sector of sufficiently small amplitude. ✓
2.  $U$  is biholomorphic to an open sector.
3.  $U \in \text{Op}_{\mathbb{R}_{sa}^2}^c$ .

### Theorem (1)

Let  $U \in \text{Op}_{\mathbb{R}^{sa}}^c$ ,  $f \in \mathcal{O}(\bar{U})$ , injective on  $\bar{U}$ ,  $h \in \mathcal{O}(\varphi(U))$ .  
 Then  $h \in \mathcal{O}^t(f(U))$  if and only if  $h \circ f \in \mathcal{O}^t(U)$ .

#### Idea:

1. consider  $S$  an open sector of sufficiently small amplitude and  $f : S \rightarrow T$  a biholomorphism injective up to the boundary of  $S$ .
2. Consider  $g \in \mathcal{O}^t(T)$  and  $I(g) \in \mathcal{O}(T)$ , the holomorphic solution of  $Pv = g$ .
3. Consider  $I(g) \circ f \in \mathcal{O}(S)$  and check that it is tempered on  $S$  with the case of small sectors.
4. Use Theorem (1) to obtain that  $I(g)$  is tempered on  $T$ .

1.  $U$  is an open sector of sufficiently small amplitude. ✓
2.  $U$  is biholomorphic to an open sector. ✓
3.  $U \in \text{Op}_{\mathbb{R}^{sa}}^c$ .

## Theorem

Let  $U \in \text{Op}_{\mathbb{R}^2}^c$ . Locally

$$U = \bigcup_{j=1}^d (f_{j,1}(S_{j,1}) \cap f_{j,2}(S_{j,2})) .$$

Where  $f_{j,k}$  is a biholomorphism on  $\overline{S_{j,k}}$ , for  $S_{j,k}$  an open sector.

## Lemma

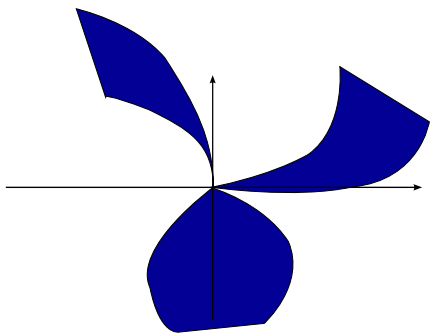
Let  $U, V \in \text{Op}_{\mathbb{R}^2}^c$ . The following sequence is exact

$$0 \longrightarrow \mathcal{O}^t(U \cup V) \longrightarrow \mathcal{O}^t(U) \oplus \mathcal{O}^t(V) \longrightarrow \mathcal{O}^t(U \cap V) \longrightarrow 0 .$$

✓ the Theorem is proved.

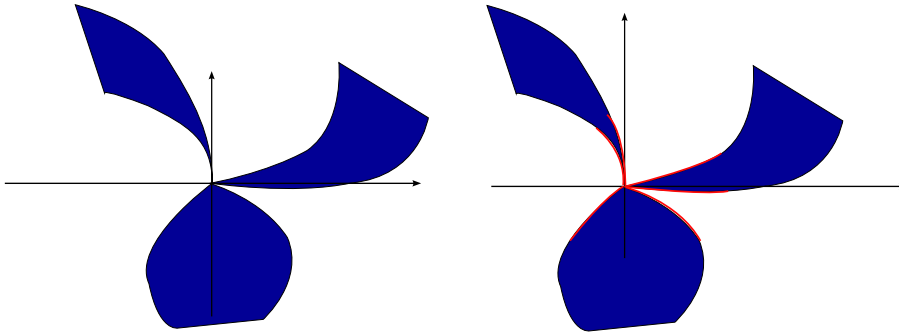
Proof of  $U = \bigcup_{j=1}^d (f_{j,1}(S_{j,1}) \cap f_{j,2}(S_{j,2})) .$

Using ccd or triangulation theorem



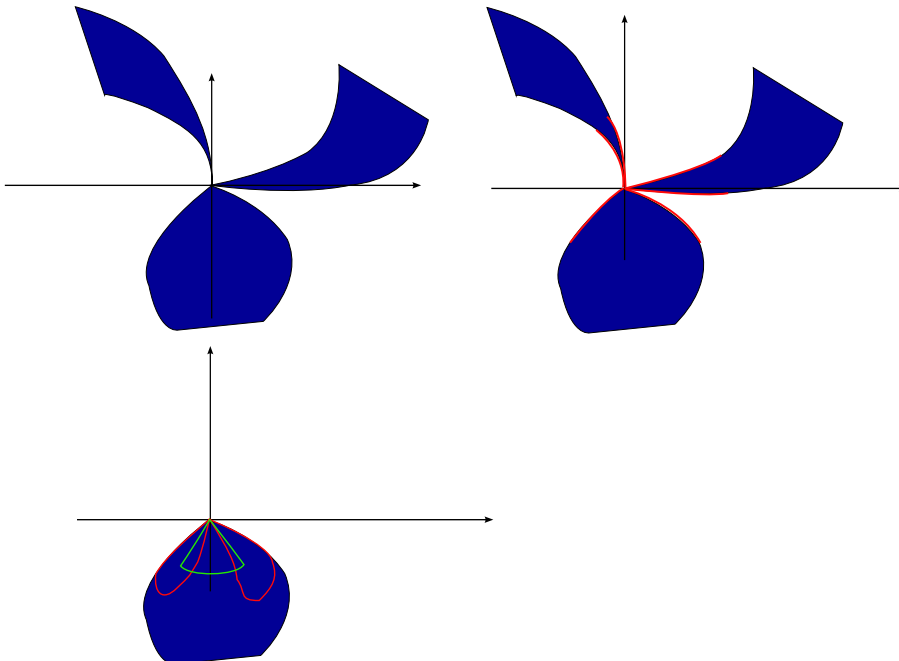
Proof of  $U = \bigcup_{j=1}^d (f_{j,1}(S_{j,1}) \cap f_{j,2}(S_{j,2}))$  .

Locally the boundaries are semi-analytic arcs.



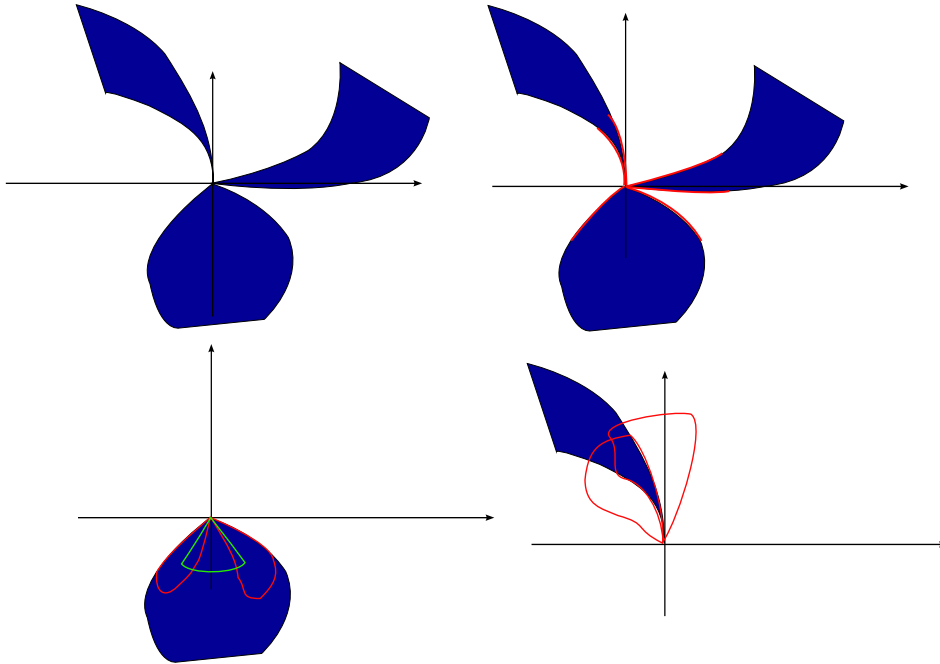
Proof of  $U = \bigcup_{j=1}^d (f_{j,1}(S_{j,1}) \cap f_{j,2}(S_{j,2}))$  .

Arcs with different tangents lead unions.



Proof of  $U = \bigcup_{j=1}^d (f_{j,1}(S_{j,1}) \cap f_{j,2}(S_{j,2}))$ .

Arcs with the same tangents need intersection.



### Theorem

Let  $X$  be a complex curve,  $\mathcal{M}$  a holonomic  $\mathcal{D}_X$ -module. Then

$$H^1(\text{Sol}^t(\mathcal{M})) \longrightarrow H^1(\text{Sol}(\mathcal{M}))$$

is an isomorphism.

### Theorem

Let  $\mathcal{M} \in D_h^b(\mathcal{D}_X)$ . Then  $\text{RHom}_{\varrho! \mathcal{D}_X}(\varrho! \mathcal{M}, \mathcal{O}_{X_{sa}}^t)$  is  $\mathbb{R}$ -constructible on  $X_{sa}$ .

# Peculiarities of $X_{sa}$ and $\mathcal{O}_{X_{sa}}^t$

Let us recall the definition of the sheaf  $\mathcal{A}^{\leq 0}$  on  $S^1 \times \mathbb{R}_{\geq 0}$ .  
 On  $S^1 \times \mathbb{R}_{> 0}$ ,  $\mathcal{A}^{\leq 0}$  is the sheaf of holomorphic functions.  
 For  $\vartheta \in S^1$ ,

$$\mathcal{A}_{(\vartheta, 0)}^{\leq 0} := \left\{ f \in \mathcal{O}(S); S \text{ an open sector containing } \vartheta \right. \\ \left. \text{there exist } C, N > 0 \text{ such that } |f(z)| \leq \frac{C}{|z|^N} \right\}.$$

**Example:** let  $\varphi \in z^{-1}\mathbb{C}[z^{-1}]$ ,

$$\varphi(z) = \frac{\varrho e^{i\tau}}{z^n} + \sum_{j=1}^{n-1} \frac{a_j}{z^j} \quad (\varrho > 0, \tau \in \mathbb{R}).$$

Then

$$\exp(\varphi) \in \mathcal{A}_{(\vartheta, 0)}^{\leq 0} \iff \cos(\tau - n\vartheta) < 0.$$



### Lemma

Let  $\varphi \in z^{-1}\mathbb{C}[z^{-1}]$ . Then

$$\exp(\varphi) \in \mathcal{O}^t(U) \iff \exists A > 0 \text{ such that } \forall z \in U, \text{Re } \varphi(z) < A.$$

In particular  $\varphi \in z^{-1}\mathbb{C}[z^{-1}]$  determines an increasing family of open sets, for  $A > 0$ ,

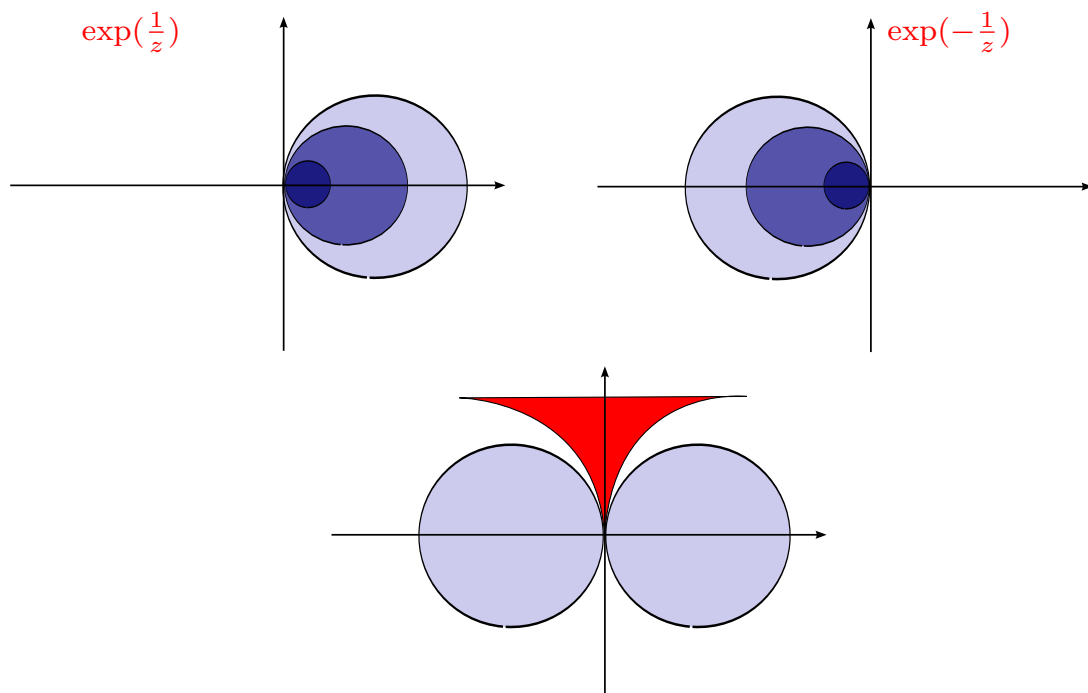
$$U_{\varphi,A} := \{z \in \mathbb{C}^\times; \text{Re } \varphi(z) < A\},$$

satisfying

$$\exp(\varphi) \in \mathcal{O}^t(U) \iff \exists A > 0 \text{ such that } U \subset U_{\varphi,A}.$$

### Lemma

$$\mathcal{S}^t(\mathcal{L}^\varphi) \simeq \varinjlim_{A>0} \mathbb{C}_{U_{\varphi,A}}.$$



## Definition

Let  $\tau \in \mathbb{R}$ . A relatively compact subanalytic open set  $U \subset \mathbb{C}$  is said  $\tau$ -concentrated if  $U$  is connected,  $0 \in \partial U$  and given an open sector  $S$  containing the direction  $\tau$ , the germ of  $U$  is contained in  $S$ .

## Proposition

Let  $\varphi \in z^{-1}\mathbb{C}[z^{-1}]$  of degree  $n$ . There exist  $\tau \in \mathbb{R}$  and  $U_0, \dots, U_{2n-1}$  relatively compact subanalytic open sets such that

1.  $U_j$  is  $(\tau + j\frac{\pi}{n})$ -concentrated ( $j = 0, \dots, 2n - 1$ ),
2. for any  $j = 0, \dots, 2n - 1$ ,  $\exp(\varphi), \exp(-\varphi) \in \mathcal{O}^t(U_j)$ .

## Remark

1. The  $\tau$ -concentrated sets are not open in the topology of  $S^1 \times \mathbb{R}_{\geq 0}$ .
2.  $\exists \vartheta \in S^1$  such that  $\exp(\varphi), \exp(-\varphi) \in \mathcal{A}_{(\vartheta, 0)}^{\leq 0}$ .

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