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# The compatibility of the minimalist foundation with homotopy type theory <sup>★</sup>

Michele Contente<sup>a</sup>, Maria Emilia Maietti<sup>b,\*</sup>

- <sup>a</sup> Scuola Normale Superiore, Pisa, Italy
- <sup>b</sup> Department of Mathematics, University of Padova, Italy

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# ABSTRACT

The Minimalist Foundation, MF for short, is a two-level foundation for constructive mathematics ideated by Maietti and Sambin in 2005 and then fully formalized by Maietti in 2009. MF serves as a common core among the most relevant foundations for mathematics in the literature by choosing for each of them the appropriate level of MF to be translated in a compatible way, namely by preserving the meaning of logical and set-theoretical constructors. The two-level structure consists of an intensional level, an extensional one, and an interpretation of the latter in the former in order to extract intensional computational content from mathematical proofs involving extensional constructions used in everyday mathematical practice.

In 2013 a completely new foundation for constructive mathematics appeared in the literature, called Homotopy Type Theory, for short **HoTT**, which is an example of Voevodsky's Univalent Foundations with a computational nature.

So far no level of **MF** has been proved to be compatible with any of the Univalent Foundations in the literature. Here we show that both levels of **MF** are compatible with **HoTT**. This result is made possible thanks to the peculiarities of **HoTT** which combines intensional features of type theory with extensional ones by assuming Voevodsky's Univalence Axiom and higher inductive quotient types. As a relevant consequence, **MF** inherits entirely new computable models.

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<sup>\*</sup> Corresponding author.

E-mail address: maietti@math.unipd.it (M.E. Maietti).

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#### 1. Introduction

Constructive mathematics is distinguished from ordinary classical mathematics for developing proofs governed by a constructive way of reasoning which confers them an algorithmic nature. In the literature there are foundations for constructive mathematics that are suitable to make this visible by allowing to view constructive proofs as programs. Examples of these foundations can be found in type theory and they include Martin-Löf's intensional dependent type theory [29] and Coquand-Huet's Calculus of Constructions [4]. However, there is no standard foundation for constructive mathematics, but a plurality of different approaches.

In 2005 in [27] Maietti and Sambin embarked on the project of building a Minimalist Foundation, called MF, to serve as a common core among the most relevant foundations for constructive mathematics in type theory, category theory and axiomatic set theory. Indeed, MF is intended to be "minimalist in set existence assumptions" but "maximalist in conceptual distinctions and compatibility with other foundations".

To meet this purpose, **MF** was conceived as a two-level theory consisting of an extensional level, called **emTT**, formulated in a language close to that of everyday mathematical practice and interpreted via a quotient model in a further intensional level, called **mTT**, designed as a type-theoretic base for a proof-assistant. The key idea is that the two-level structure should allow the extraction of intensional computational contents from constructive mathematical proofs involving extensional constructions typical of usual mathematical practice.

A complete two-level formal system for **MF** was finally designed in 2009 in [14]. There, some of the most relevant constructive and classical foundations have been related to **MF** by choosing the appropriate level of **MF** to be translated into it in a *compatible* way, namely by preserving the meaning of logical and set-theoretical constructors so that proofs of mathematical theorems in one theory are understood as proofs of mathematical theorems in the target theory with the same meaning.

Moreover, computational models for **MF** and its extensions with inductive and coinductive topological definitions have been presented in [19], [11], [21] and [20] in the form of Kleene realizability interpretations which validate the Formal Church's Thesis stating that all the number-theoretic functions are computable.

In 2013 the book [37] presented a completely new foundation for constructive mathematics, called Homotopy Type Theory, for short **HoTT**, as an example of Voevodsky's Univalent Foundations, for short UFs. Voevodsky introduced UFs with the aim of better formalizing his mathematical work on abstract homotopy theory and higher category theory and at the same time fully checking the correctness of his proofs on a modern proof-assistant.

More precisely, **HoTT** is an intensional type theory extending Martin-Löf's theory as presented in [29] with the so-called Univalence Axiom proposed by Voevodsky to guarantee that "isomorphic" structures can be treated as equal besides deriving some other extensional principles. Another remarkable property of **HoTT** is that it can be equipped with primitive higher inductive types, including set quotients (see [37]).

The computational contents of **HoTT**-proofs as programs have been recently explored with the introduction of cubical type theories in [3,6] and a normalization procedure for a variant of them has been given in [33].

So far no level of **MF** has been proved to be compatible with Univalent Foundations. Here we show that both levels of **MF** are compatible with **HoTT**. This result is made possible thanks to the peculiarities of **HoTT** which combines intensional features of type theory with extensional ones by assuming Voevodsky's Univalence Axiom and higher inductive quotient types. In particular, we will crucially use the Univalence Axiom instantiated for homotopy propositions and *function extensionality*. The fact that we can interpret both levels of **MF** into a single framework is a remarkable property of **HoTT**, which is not shared by any other foundation for mathematics to our knowledge.

In more detail, we interpret MF-types as homotopy sets and MF-propositions as h-propositions and both the mTT-collection of small propositions and the emTT-power collection of subsets as the homotopy set of h-propositions in the first universe of HoTT.

This should be contrasted with the relationship between **MF** and the intensional version of Martin-Löf Type Theory, for short **MLTT**, shown in [14]: in **MLTT** we can interpret only the intensional level of **MF** by identifying propositions with sets.

The main difficulty encountered in this work concerns the interpretation of the extensional level **emTT** of **MF**. Indeed, the interpretation of **mTT** into **HoTT** just required a careful handling of proof terms witnessing the fact that certain **HoTT**-types are h-propositions and h-sets. Instead, there is no straightforward way of interpreting **emTT** into **HoTT**, because **emTT** includes Martin-Löf's extensional propositional equality in the style of [16].

We managed to solve this issue by employing a technique already used in [14] to interpret emTT over the intensional level of MF: emTT-types and terms are interpreted as HoTT-types and terms up to a special class of isomorphisms, called *canonical* as in [14], by providing a kind of realizability interpretation in the spirit of the interpretation of *true judgements* in Martin-Löf's type theory described in [16,17]. We introduce the category  $\operatorname{Set}_{mf}/\cong_{\mathbb{C}}$  of the h-sets contained in the non-univalent universe  $\operatorname{Set}_{mf}$  (which is an inductive universe of h-sets in the univalent universe  $U_1$ ) equated under canonical isomorphisms and then we define an interpretation of emTT-judgements into it. In particular emTT-type and term judgements are interpreted as HoTT-type and term judgements up to canonical isomorphisms. Furthermore, the emTT-definitional equality A = B type  $[\Gamma]$  of two emTT-types A type  $[\Gamma]$  and B type  $[\Gamma]$ 

is interpreted as the existence of a canonical isomorphism that connects the **HoTT**-type representatives interpreting the **emTT**-types A type  $[\Gamma]$  and B type  $[\Gamma]$ , which turn out to be propositionally equal in **HoTT** thanks to Univalence. In turn, this interpretation is based on another auxiliary partial (multi-functional) interpretation of **emTT**-raw syntax into **HoTT**-raw syntax, which makes use of canonical isomorphisms.

It must be stressed that the resulting interpretation of **emTT** into **HoTT** is simpler than that of **emTT** within **mTT** in [14], since we can avoid any quotient model construction thanks to (effective) set-quotients and Univalence. This interpretation turns out to be very similar to that presented in [30,38] which makes effective the interpretation of extensional aspects of type theory into an intensional base theory originally presented in [9]. However, in [30,38] there is a use of an heterogenous equality instead of canonical isomorphisms as in [9]. Moreover, the interpretation of **emTT** into **mTT** does not show the compatibility of **emTT** with **mTT** exactly because of the lack of Univalence and effective quotients in **mTT**.

Observe that it does not appear possible to identify "compatible" subsystems of **HoTT** corresponding to each level of **MF**: in **HoTT** the interpretation of the existential quantifier allows to derive both the axiom of unique choice and the rule of unique choice as it happens in the internal logic of a topos like that described in [13], while in each level of **MF** these principles are not generally valid [15,26,24], since the existential quantifier in **MF** is defined in a primitive way.

As a relevant consequence of the results presented here, both levels of **MF** inherit new computable models, where constructive functions are seen as computable, as those in [33] and in [36]. We leave to future work to relate them with those available for **MF** and in particular with the predicative variant of Hyland's Effective Topos in [19].

#### 2. Preliminaries about MF and HoTT

In this section we recall some basic facts about **MF** and **HoTT** that will turn out to be useful later. We will refer mainly to [14] for **MF** and to [37] for **HoTT**.

# 2.1. The two levels of MF

**MF** is a two-level foundation for constructive mathematics, which was first conceived in [27] and then fully developed in [14]. It consists of an intensional level, called **mTT**, and an extensional one, called **emTT**, together with an interpretation of the latter in the first. Both levels of **MF** extend a version of Martin-Löf's type theory with a primitive notion of proposition: **mTT** extends the intensional type theory in [29], while **emTT** extends the extensional version presented in [16].

The resulting two-level theory is strictly predicative in the sense of Feferman as first shown in [19].

A peculiarity of **MF** with respect to Martin-Löf's type theories is that types at each level of **MF** are built by using four basic distinct sorts: small propositions, propositions, sets and collections. The relations between these sorts are shown on the following diagram where the inclusion mimics a subtyping relation:

$$\begin{array}{c} \text{small propositions} & \longrightarrow \text{sets} \\ & & \downarrow \\ & \text{propositions} & \longrightarrow \text{collections} \end{array}$$

In particular, the distinction between sets and collections is meant to recall that between sets and classes in axiomatic set theory, while the word "small" attached to propositions is taken from algebraic set theory [12]. Indeed, small propositions are defined as those propositions that are closed under intuitionistic connectives and quantifiers and whose equalities are restricted to sets.

More formally, the basic forms of judgement in MF include

A set 
$$[\Gamma]$$
 B coll  $[\Gamma]$   $\phi$  prop  $[\Gamma]$   $\psi$  prop<sub>s</sub>  $[\Gamma]$ 

to which we add the meta-judgement

A type 
$$[\Gamma]$$

where 'type' is to be interpreted as a meta-variable ranging over the four basic sorts.

We warn the reader that the type constructors of both levels of **mTT** and **emTT** are respectively defined in an inductive way mutually involving all the four sorts, i.e. we can not give a definition of collections independently from that of sets or propositions or small propositions and the same holds for the definition of each of these sorts.

The set-constructors of **mTT** and **emTT** include those of first order Martin-Löf's type theory, respectively as presented in [29] and [16], together with list types. We just recall their notation:  $N_0$  stands for the empty set,  $N_1$  for the singleton set, List(A) for the set of lists over the set A,  $\Sigma_{x \in A} B(x)$  and  $\Pi_{x \in A} B(x)$  stand respectively for the indexed sum and the dependent product of the family of sets B(x) set  $[x \in A]$  indexed on the set A, A + B for the disjoint sum of the set A with the set B.

Moreover, sets of **emTT** are distinguished from those of **mTT**, because they are closed under effective quotients A/R on a set A, provided that R is a small equivalence relation R(x, y)  $prop_s$   $[x \in A, y \in A]$ .

In addition, both the sets of **mTT** and those of **emTT** include also their small propositions  $\phi$  *prop*<sub>s</sub> thought as sets of their proofs. Moving now to describe collections of **mTT** and **emTT**, we recall that they both include their sets and the indexed sum  $\Sigma_{x \in A} B(x)$  of the family of collections B(x) *coll*  $[x \in A]$  indexed on a collection A. But, whilst **mTT**-collections include the proper collection of small propositions prop<sub>s</sub> and the collection of small propositional functions  $A \to \text{prop}_s$  over a set A (which are definitely not sets

predicatively when A is not empty!), the collections of **emTT** include the power-collection of the singleton  $\mathcal{P}(1)$ , that is the quotient of the collection of small propositions under the relation of equiprovability, and the power-collection  $A \to \mathcal{P}(1)$  of a set A, that can be written simply as  $\mathcal{P}(A)$ .

In addition, both collections of **mTT** and those of **emTT** include propositions  $\phi$  *prop* viewed as collections of their proofs.

Both propositions of mTT and of emTT include propositional connectives and quantifiers according to the following grammar: for  $\phi$  and  $\psi$  generic propositions,  $\phi \land \psi$  denotes the conjunction,  $\phi \lor \psi$  the disjunction,  $\phi \to \psi$  the implication,  $\forall x \in A.\phi$  the universal quantification and  $\exists x \in A.\phi$  the existential quantification, for any collection A. Finally, mTT-propositions include a propositional equality type between terms of a type A, called "intensional propositional equality", that is denoted with the type

since it has the same rules as Martin-Löf's intensional identity type in [29] except that its elimination rule is restricted to act towards propositions only (see [14]). Instead, emTT-propositions include an extensional propositional equality between terms of a type A that is denoted with the type

since it has the same rules as the propositional equality type in [16] and thus its elimination rule is given by the so-called reflection

Furthermore, propositions of emTT are assumed to be proof-irrelevant by imposing that if a proof of a proposition exists, this is unique and equal to a canonical proof term called true. These facts are represented by the following rules

judgement true  $\in A[\Gamma]$ , where A is a proposition.

Finally, both in mTT and in emTT small propositions are defined as those propositions closed under propositional connectives, quantifications over sets and propositional equality over a set. For example, in mTT (resp. in emTT) the propositional equality  $\operatorname{Id}(A,a,b)$  (resp.  $\operatorname{Eq}(A,a,b)$ ) and the quantifications  $\forall x \in A.\phi$  or  $\exists x \in A.\phi$  are all small propositions if A is a set and  $\phi$  is a small proposition, too.

Remark 2.1. It is important to stress that elimination of propositions in mTT as well as in emTT acts only toward propositions and not toward proper sets and collections. In this way, mTT and emTT do not generally validate choice principles, including unique choice, thanks to the results in [15,26,24], and similarly to what happens in the Calculus of Constructions, as first shown in [35].

Observe that in mTT term congruence rules are replaced by an explicit substitution rule for terms:

$$\text{repl)} \ \frac{c(x_1, \dots, x_n) \in C(x_1, \dots, x_n) \ [\ x_1 \in A_1, \dots, \ x_n \in A_n(x_1, \dots, x_{n-1})\ ]}{a_1 = b_1 \in A_1 \ \dots \ a_n = b_n \in A_n(a_1, \dots, a_{n-1})} \\ c(a_1, \dots, a_n) = c(b_1, \dots, b_n) \in C(a_1, \dots, a_n)$$

As a consequence, the  $\xi$ -rule for dependent products is no more available. This modification is crucial in order to obtain a sound Kleene-realizability interpretation for mTT as required in [27] and shown in [11,21,20].

Finally, in order to make the interpretation of mTT into HoTT smoother, differently from the version of mTT presented in [14], we encode small propositions into the collection of small propositions via an operator as follows:

Therefore, elements of the collection of small propositions can be decoded as small propositions by means of a decoding operator as follows

$$\tau\text{-Pr}) \frac{p \in \mathsf{prop}_{\mathsf{s}}}{\tau(p) \ \mathsf{prop}_{\mathsf{s}}}$$

and this operator satisfies the following definitional equalities:

<sup>1</sup> The issue of the relation between the ξ-rule and Kleene-style realizability was first spotted in [18] and is also discussed in [11].

$$\begin{array}{lll} \operatorname{eq-Pr_{1}}) & \tau(\widehat{\bot}) = \bot \operatorname{prop_{s}} & \operatorname{eq-Pr_{2}}) & \frac{p \in \operatorname{prop_{s}} \operatorname{q} \in \operatorname{prop_{s}}}{\tau(p \, \widehat{\lor} \operatorname{q}) = \tau(p) \vee \tau(q) \operatorname{prop_{s}}} \\ \operatorname{eq-Pr_{3}}) & \frac{p \in \operatorname{prop_{s}} \operatorname{q} \in \operatorname{prop_{s}}}{\tau(p \, \widehat{\hookrightarrow} \operatorname{q}) = \tau(p) \to \tau(q) \operatorname{prop_{s}}} & \operatorname{eq-Pr_{4}}) & \frac{p \in \operatorname{prop_{s}} \operatorname{q} \in \operatorname{prop_{s}}}{\tau(p \, \widehat{\hookrightarrow} \operatorname{q}) = \tau(p) \wedge \tau(q) \operatorname{prop_{s}}} \\ \operatorname{eq-Pr_{5}}) & \frac{p \in \operatorname{prop_{s}} [x \in A] \quad A \operatorname{set}}{\tau((\widehat{\forall x \in A}) p) = (\forall x \in A) \tau(p) \operatorname{prop_{s}}} & \operatorname{eq-Pr_{6}}) & \frac{p \in \operatorname{prop_{s}} [x \in A] \quad A \operatorname{set}}{\tau((\widehat{\exists x \in A}) p) = (\exists x \in A) \tau(p) \operatorname{prop_{s}}} \\ \operatorname{eq-Pr_{7}}) & \frac{A \operatorname{set} \quad a \in A \quad b \in A}{\tau(\widehat{1d}(A, a, b)) = \operatorname{Id}(A, a, b) \operatorname{prop_{s}}} & \end{array}$$

A link between mTT and emTT is shown in [14] by interpreting emTT within a quotient model over mTT. Such a quotient model was related to a free quotient completion construction in [24]. Roughly speaking, thanks to the interpretation in [14], emTT types are seen as quotients of the corresponding intensional mTT-types and thus emTT can be regarded as a fragment of a quotient completion of the intensional level.

More specifically, the interpretation of emTT in mTT relies upon the definition of a particular class of isomorphisms called *canonical isomorphisms*, between dependent quotient types over mTT, similar to so called *dependent setoids*. It must be observed that the idea of using canonical isomorphisms to interpret extensional aspects of type theory into intensional type theory in [14] was predated by M. Hofmann's work in [9] with the main difference that the target theory in [9] is not a pure intensional type theory as in [14] where a setoid model is used. Moreover, Hofmann's interpretation is not effective because of the use of the axiom of choice in the meta-theory. The interpretation in [14] is closer to the effective translation presented in [30,38] which refined Hofmann's one by employing a notion of heterogeneous equality.

Through this class of isomorphisms it is possible to define a category of quotients over **mTT** up to canonical isomorphisms within which to interpret **emTT** correctly.

We underline that the interpretation of **emTT** within **mTT** for some relevant constructors has been implemented and verified in [8].

Our main task in this paper is to show the compatibility of each level of **MF** with Homotopy Type Theory in [37]. For this purpose we make explicit the notion of compatibility between theories implicit in [27] by stating that a theory  $T_1$  is said to be **compatible** with another theory  $T_2$  if and only if there exists a translation from  $T_1$  to  $T_2$  preserving the meaning of logical and set-theoretical constructors so that proofs of mathematical theorems in one theory are understood as proofs of mathematical theorems in the target theory with the same meaning.

# 2.2. Useful properties of HoTT

In 2013, with the appearance of the book [37], a completely new foundation for constructive mathematics showed up under the name of Homotopy Type Theory, for short **HoTT**. It was introduced as an example of Voevodsky's Univalent Foundation with the remarkable property of combining intensional features of type theory with extensional ones. Indeed, it extends Martin-Löf's intensional type theory, for short **MLTT**, in [29] with Voevodsky's *Univalence Axiom* and higher inductive types, including quotients of homotopy sets and propositional truncation.

As a consequence, the first order types of **HoTT** are the same as those of **MLTT** and therefore of the intensional level **mTT** of **MF**. For the sake of clarity, we denote these types in **HoTT** following [37]: the empty type is denoted with  $\mathbf{0}$ , the unit type with  $\mathbf{1}$ , the list type constructor with List, the dependent product type constructor with  $\Pi$ , the dependent sum constructor with  $\Sigma$  and the sum type constructor with  $\Sigma$  are respectively propositional truncation is denoted with ||A|| for any type  $\Delta$  and quotients with  $\Delta/R$  for any homotopy set  $\Delta$  and an equivalence relation  $\Delta$ . As usual, the special cases of the type constructors  $\Delta$  and  $\Delta$ , when  $\Delta$  does not depend on  $\Delta$ , are respectively denoted by  $\Delta$  and  $\Delta$ .

Voevodsky's Univalence Axiom states that

(UA) the map idtoeqv: 
$$(A =_{U_i} B) \rightarrow (A \simeq B)$$
 is an equivalence

where ' $\simeq$ ' denotes the type of *equivalences* and idtoeqv is the function which from a proof of equality of two types in the same universe  $U_i$ , for some index i, produces an equivalence, all as defined in [37].

This in turn implies

$$(A =_U B) \simeq (A \simeq B)$$

We recall from [37] the following notations and definitions which characterize h-sets and h-propositions by singling out some proof-terms (it does not matter which they are, it only matters that we can single out some of them!) proving the statements which will be used in the next sections:

$$\mathsf{isSet}(A) :\equiv \Pi_{x,y:A} \ \Pi_{p,q:x=_A y} \ p =_{Id_A} q \qquad \qquad \mathsf{isProp}(A) :\equiv \Pi_{x,y:A} \ x =_A y$$

**Definition 2.2.** A type A is an h-proposition if isProp(A) is provable in HoTT.

**Definition 2.3.** A type A is an h-set if isSet(A) is provable in **HoTT**.

**Lemma 2.4.** If A is an h-set, then  $Id_A$  is an h-proposition, i.e. there exists a proof-term

$$\mathfrak{p}_{Id}:\Pi_{A:U_i}\Pi_{s:\mathsf{isSet}(A)}\Pi_{a,b:A}\mathsf{isProp}(\mathrm{Id}_A(a,b))$$

Since h-levels are cumulative (see Thm 7.1.7 [37]), in particular the following holds:

**Lemma 2.5.** Every h-proposition is an h-set: i.e. there exists a proof-term

$$\mathfrak{G}_{coe}:\Pi_{A:U_i}\ \mathrm{isProp}(A) \to \mathrm{isSet}(A).$$

Now we recall the notion of isomorphism between two h-sets:

**Definition 2.6** (Isomorphism between h-sets). Given two h-sets A and B, a function  $f:A \to B$  in **HoTT** is an isomorphism if there exists  $g:B \to A$  such that we can prove

$$\Pi_{x:A} \operatorname{Id}_A(g(f(x)), x) \times \Pi_{y:B} \operatorname{Id}_B(f(g(y)), y)$$

We also recall the rules of the *propositional truncation* ||A|| of a type A given in [34]: ||A|| is a higher inductive type generated from the following two introductory constants

$$|-|:A \to ||A||$$
  $\operatorname{sq}_A: \Pi_{x,y:||A||} x =_{||A||} y$ 

by means of the elimination constructor:

$$\text{E-|| ||} \frac{C:U_i \ type \qquad e:||A|| \qquad c:C \ [x:A] \qquad s:\Pi_{x,y:C} \ x=_C y}{ind_{||A||}(e,c,s):||A|| \rightarrow C}$$

satisfying the definitional equality rule

$$\text{C-||\ ||} \ \frac{C:U_i \ type \qquad a:A \qquad c:C \ [x:A] \qquad s:\Pi_{x,y:C} \ x =_C y}{ind_{||A||}(|a|,c,s) \equiv c(a):C}$$

The presence of propositional truncation makes possible to represent logical notions in a way alternative to the propositions-astypes paradigm by using h-propositions in a way similar to what happens in the internal dependent type theory of a topos or of a regular theory as described in [13].

In more detail, in **HoTT** the constant falsum  $\bot$  is identified with **0**, the propositional conjunction symbol  $\land$  with  $\times$ , the universal quantifier symbol  $\forall$  with  $\Pi$ , thanks to the following lemma derived from [37]:

**Lemma 2.7.** The empty type  $\mathbf{0}$  and the unit type  $\mathbf{1}$  are h-propositions. Further, h-propositions are closed under  $\times$  and  $\Pi$  (and thus also  $\rightarrow$ ), i.e. there exists the following proof-terms

```
\begin{array}{l} \mathfrak{p}_1: \mathsf{isProp}(\mathbf{1}) \qquad \mathfrak{p}_0: \mathsf{isProp}(\mathbf{0}) \\ \mathfrak{p}_{\to}: \Pi_{A,B:U_i} \ \Pi_{q: \mathsf{isProp}(B)} \ \mathsf{isProp}(A \to B) \\ \mathfrak{p}_{\times}: \Pi_{A,B:U_i} \ \Pi_{p: \mathsf{isProp}(A), q: \mathsf{isProp}(B)} \ \mathsf{isProp}(A \times B) \\ \mathfrak{p}_{\Pi}: \Pi_{A:U_i} \ \Pi_{B:A \to U_i} \ \Pi_{p: \Pi_{x:A}} \mathsf{isProp}(B(x)) \\ \mathfrak{p}_{\|\cdot\|}: \Pi_{A:U_i} \mathsf{isProp}(|A||) \end{array}
```

**Proof.** See Chapter III in [37].

Thanks to the notation introduced above we can define

$$\mathfrak{p}_{||\ ||} :\equiv \lambda A.\operatorname{sq}(A)$$

Moreover, since h-propositions are not closed under  $\Sigma$  and + (e.g. 1+1 is not a h-proposition), we need to apply propositional truncation to define disjunction and existential quantification exactly as it happens in the internal dependent type theory of a topos [13]:  $P \vee Q$  is identified with ||P+Q|| and  $\exists_{x \in A} P(x)$  with  $||\Sigma_{x:A} P(x)||$ .

We recall introduction and elimination rules of disjunction and existential quantifiers as defined in **HoTT** to fix the notation and recall some properties:

**Definition 2.8.** The disjunction of h-propositions P and Q is defined as

$$P \vee O :\equiv ||P + O||$$

Its canonical introductory constructors are defined as follows: for p: P and q: Q

$$\operatorname{inl}_{\vee}(p) :\equiv |\operatorname{inl}(p)| : P \vee Q \qquad \operatorname{inr}_{\vee}(q) :\equiv |\operatorname{inr}(q)| : P \vee Q$$

and its eliminator constructor is defined as follows: for any C such that s: is Prop(C), any  $e: P \lor Q$  and any  $l_1(x): C[x:P]$  and  $l_2(y): C[y:Q]$ 

$$\operatorname{ind}_{\vee}(e, x.l_1(x), y.l_2(y), s) :\equiv \operatorname{ind}_{||\cdot||}(e, z.\operatorname{ind}_{+}(z, x.l_1(x), y.l_2(y)), s) : C$$

The disjunction as defined above satisfies the usual  $\beta$ -definitional equalities:

**Lemma 2.9.** The disjunction defined in Definition 2.8 satisfies the following  $\beta$  definitional equalities: for any C such that s: isProp(C), any p:P and q:Q, and any  $l_1(x):C$  [x:P] and  $l_2(y):C$  [y:Q] it holds in **HoTT** 

$$\mathsf{ind}_{\vee}(\mathsf{inl}_{\vee}(p), x.l_1(x), y.l_2(y), s) \equiv l_1(p) : C \qquad \mathsf{ind}_{\vee}(\mathsf{inr}_{\vee}(q), x.l_1(x), y.l_2(y), s) \equiv l_2(q) : C$$

**Definition 2.10.** For any h-set A and any predicate or family of h-propositions P(x) [x : A], the existential quantification is defined as

$$\exists_{x:A} P(x) :\equiv ||\Sigma_{x:A} P(x)||$$

Its canonical introductory constructor is defined as follows: for a:A and p:P(a)

$$(a,_\exists p) :\equiv |(a,p)| : \exists_{x:A} \ P(x)$$

and its elimination constructor in turn as follows: for any C such that s: isProp(C), any e:  $\exists_{x:A} P(x)$  and any l(x,y): C [x: A, y: P(x)]

$$\mathsf{ind}_\exists (e, x.y.l(x, y), s \ ) \ \vcentcolon\equiv \mathsf{ind}_{||\ ||}(e, z.\mathsf{ind}_\Sigma(z, x.y.l(x, y)), s \ ) \ \vcentcolon C$$

The existential quantification as defined above satisfies the usual  $\beta$ -definitional equality:

**Lemma 2.11.** The existential quantifier defined in Definition 2.10 satisfies the following  $\beta$  definitional equality: for any C such that s: is Prop(C), any a:A and p:P(a) and any q:Q and l(x,y):C[x:A,y:P(x)] it holds in **HoTT** 

```
\operatorname{ind}_{\exists}((a, \exists p), x.y.l(x, y), s) \equiv l(a, p) : C
```

We also encode the fact that the disjunction  $\vee$  and the existential quantifier  $\exists$  are h-propositions by means of the following proof-terms:

```
\begin{array}{l} \mathfrak{p}_{\vee} \ :\equiv \ \lambda A, B. \ \mathfrak{p}_{|| \ ||}(A+B) : \Pi_{A,B:U_i} \ \mathrm{isProp}(A \vee B) \\ \mathfrak{p}_{\exists} \ :\equiv \ \lambda A, B. \ \mathfrak{p}_{|| \ ||}(\Sigma_{x:A} \ B(x)) : \Pi_{A:U_i} \ \Pi_{B:A \to U_i} \ \mathrm{isProp}(\exists_{x:A} B(x)) \end{array}
```

It is worth to recall from [37] that the notion of type equivalence of h-propositions coincides with that of logical equivalence:

**Lemma 2.12.** Two h-propositions P and Q are equivalent as types, namely  $P \simeq Q$  holds, if and only if they are logically equivalent, namely  $P \leftrightarrow Q$ , and by Univalence, also  $P = U_i$ , Q holds for P, Q in  $U_i$ .

Further, we can state the following basic lemma:

**Lemma 2.13.** If  $P: U_i$  and  $s: \mathsf{isProp}(P)$ , then  $|-|: P \to ||P||$  is an isomorphism, i.e. there is an inverse  $|-|^{-1}: ||P|| \to P$  which satisfies  $|-|\circ|-|^{-1}=_{||P||} \mathsf{id}_{||P||}$  and  $|-|^{-1}\circ|-|=_P \mathsf{id}_P$ . Therefore  $P=_{U_i} ||P||$  holds.

**Remark 2.14.** Lemma 2.13 is crucial to provide a "canonical presentation" of all h-propositions up to propositional equality in terms of ||A|| for some type A thanks to the fact that the operator ||-|| is *extensionally idempotent* as follows from Proposition 2.13. Therefore we could interpret also the conjunction, implication and universal quantifiers as follows

```
\begin{array}{lll} P \wedge Q & : \equiv & ||P \times Q|| \\ P \rightarrow Q & : \equiv & ||P \rightarrow Q|| \\ \forall_{x:A} \ P(x) & : \equiv & ||\Pi_{x:A} \ P(x)|| \end{array}
```

Accordingly, the following proof-terms witness that they are h-propositions:

```
\begin{array}{lll} \mathfrak{p}_{||\times||} & :\equiv & \lambda A, B. \ \mathfrak{p}_{||\ ||}(A\times B): \Pi_{A,B:U_i} \mathsf{isProp}(||A\times B||) \\ \mathfrak{p}_{||\to||} & :\equiv & \lambda A, B. \ \mathfrak{p}_{||\ ||}(A\to B): \Pi_{A,B:U_i} \mathsf{isProp}(||A\to B||) \\ \mathfrak{p}_{||\Pi||} & :\equiv & \lambda A, B. \ \mathfrak{p}_{||\ ||}(\Pi_{x:A}B(x)): \Pi_{A:U_i}\Pi_{B:A\to U_i} \mathsf{isProp}(||\Pi_{x:A}B(x)||) \end{array}
```

**Definition 2.15.** Given a:A and b:B and  $c:|A\times B|$  and s: is Prop(A) and t: is Prop(B) we define

```
(a, b) :\equiv |(a, b)| : ||A \times B|| \operatorname{pr}_{1, b}(c) :\equiv \operatorname{ind}_{\|\cdot\|}(c, x, \operatorname{pr}_{1}(x), s) \operatorname{pr}_{2, b}(c) :\equiv \operatorname{ind}_{\|\cdot\|}(c, x, \operatorname{pr}_{2}(x), t)
```

**Definition 2.16.** Given a: A and b: B[x: A] and  $c: ||A \rightarrow B||$  and  $s: \mathsf{isProp}(B)$  we define

```
\lambda_{\rightarrow} x.b :\equiv |\lambda x.b| : ||A \rightarrow B|| c_{\rightarrow}(a) :\equiv \operatorname{ind}_{||\cdot||}(c, x.x(a), s)
```

**Definition 2.17.** Given a:A and b:B(x) [x:A] and  $c:|\Pi_{x\cdot A}B(x)||$  and s:sProp(B(a)) we define

$$\lambda_{\forall} x.b \ :\equiv \ |\lambda x.b| : ||\Pi_{x:A} \ B(x)|| \qquad c_{\forall}(a) :\equiv \ \operatorname{ind}_{||\ ||}(c, x.x(a), s)$$

**Lemma 2.18.** The usual  $\beta$ -definitional equalities for the projections of conjunctions in Definition 2.15

$$\operatorname{pr}_{1 \wedge}((a, b)) \equiv a \qquad \operatorname{pr}_{2 \wedge}((a, b)) \equiv b$$

for functions of implications in Definition 2.16 and universal quantifiers in Definition 2.17

$$(\lambda x.b)(a) \equiv b[a/x]$$
  $(\lambda x.b)(a) \equiv b[a/x]$ 

according to the notion of substitution in the appendix of [37], all hold in HoTT.

**Proof.** They follow by elimination of the truncation and usual  $\beta$ -definitional equalities for the corresponding types under truncation.  $\square$ 

We will crucially use the fact that h-sets are closed under the following type constructors:

**Lemma 2.19.** H-sets are closed under  $\Pi$  (and hence  $\rightarrow$ ),  $\Sigma$  (and hence  $\times$ ), and + and List. Furthermore, for any h-set A and any equivalence relation R defined as an h-proposition, then the higher quotient type A/R is an h-set. Therefore, the following proof-terms exist:

```
\begin{array}{lll} \mathfrak{S}_1: \mathsf{isSet}(1) & \mathfrak{S}_0: \mathsf{isSet}(0) & \mathfrak{S}_{\mathbb{N}}: \mathsf{isSet}(\mathbb{N}) \\ \mathfrak{S}_\Pi: \Pi_{A:U_i} \ \Pi_{B:A \to U_i} \ \Pi_{s:\Pi_{x:A} \mathsf{isSet}(B(x))} \mathsf{isSet}(\Pi_{x:A} B(x)) \\ \mathfrak{S}_\Sigma: \Pi_{A:U_i} \ \Pi_{B:A \to U_i} \ \Pi_{s:\mathsf{isSet}(A)} \ \Pi_{t:\Pi_{x:A} \mathsf{isSet}(B(x))} \mathsf{isSet}(\Sigma_{x:A} B(x)) \\ \mathfrak{S}_+: \Pi_{AB:U_i} \ \Pi_{s:\mathsf{isSet}(A)} \ \Pi_{t:\mathsf{isSet}(B)} \ \mathsf{isSet}(A + B) \\ \mathfrak{S}_{\mathsf{List}}: \Pi_{A:U_i} \Pi_{s:\mathsf{isSet}(A)} \ \mathsf{isSet}(\mathsf{List}(A)) \\ \mathfrak{S}_Q: \Pi_{A:U_i} \ \Pi_{R:A \to A \to U_i} \ \Pi_{s:\mathsf{isSet}(A)} \ \Pi_{p:\mathsf{isProp}(R)} \ \Pi_{r:\mathsf{equiv}(R)} \ \mathsf{isSet}(A/R) \end{array}
```

where equiv(R) is an abbreviation for the fact that R is an equivalence relation.

For any natural number index i, the type of h-sets within i is defined as follows

$$Set_{U_i} :\equiv \Sigma_{(X:U_i)} \operatorname{IsSet}(X)$$

**Remark 2.20.** The Lemma 2.19 follows from [32] where more abstractly it is shown that the category of h-sets and functions within **HoTT** equated under propositional equality, is a locally cartesian closed pretopos with well-founded trees, or W-types, as defined in [22]. In particular note that set-quotients satisfy *effectiveness* in the sense that, given the quotient function  $q: A \to A/R$  sending an element a of A to its equivalence class q(a): A/R, for any a, b: A it follows q(a) = A/R  $q(b) \leftrightarrow R(a, b)$  (see 10.1.3 in [37]).

Another key property of **HoTT**, missing in **MLTT**, which we will crucially employ to interpret **mTT**-collections of small propositions and **emTT**-power-collections of subsets of a set, is that h-sets are closed under a *sub-universe classifier*  $\mathsf{Prop}_{U_0}$  of those h-propositions living in the universe  $U_0$ 

$$\mathsf{Prop}_{U_0} := \Sigma_{(X:U_0)} \mathsf{isProp}(X)$$

Indeed, from section 2 of [32] it follows:

**Lemma 2.21.** Prop<sub> $U_0$ </sub> is an h-set.

The proof-term inhabiting is Set( $\mathsf{Prop}_{U_0}$ ) is denoted by  $\mathfrak{g}_{\mathsf{Prop}_0}$ .

**Remark 2.22.** However,  $Prop_{U_0}$  is not 'small', since it is not a type in  $U_0$ , but it lives in a higher universe (see section 10.1 in [37]). This is compulsory to keep **HoTT** predicative.

Further, we can assume that if  $A: U_i$  and  $R(x, y): U_i$  [x: A, y: A], then  $A/R: U_i$  motivated by the cubical interpretation of higher inductive types given in [5].

Moreover, h-sets within a universe  $U_i$  of HoTT can be organized into a category  $Set_{U_i}$  as defined in [37].

It is known that the principle of indiscernibility of identicals can be derived in type theory from the elimination rule for propositional equality. Such principle is called *transport* in [37] and says that, given a type family P over A and a proof  $p: x =_A y$ , there exists a map  $trp(p, -): P(x) \to P(y)$ . In particular, the following property holds for transport, that will turn out to be useful later:

**Lemma 2.23.** Suppose  $f: \Pi_{(x:A)}B(x)$ . Then there exists a map

$$\operatorname{apd}_f : \Pi_{(p:x=Ay)}(\operatorname{trp}(p, f(x)) =_{B(y)} f(y))$$

**Proof.** The proof is a simple application of the elimination rule for propositional equality.  $\Box$ 

Finally, we recall two principles of **HoTT** that we will crucially use to meet our goals. One is the *propositional extensionality* principle which is an instance of the Univalence Axiom applied to h-propositions in the first universe  $U_0$ :

$$\mathsf{propext} \, : \, \Pi_{P,Q \, : \, \mathsf{Prop}_{U_0}}(P \leftrightarrow Q) \to (P =_{U_0} Q).$$

The other is the principle of function extensionality for h-sets:

funext: 
$$(\Pi_{x:A}(f(x) = B(x)) g(x))) \rightarrow f = \Pi_{x:A} B(x) g.$$

More precisely, we will use function extensionality applied to h-sets up to those within the second universe  $U_1$ . The reason is that, while sets of both **mTT** and **emTT** will be interpreted as h-sets in the first universe  $U_0$ , collections of both **mTT** and **emTT** will be interpreted as h-sets at most in the second universe  $U_1$ .

# 3. The compatibility of mTT with HoTT

The main aim of the present section is to show that the intensional level **mTT** of **MF** is compatible with **HoTT**, according to the definition of compatibility given in section 2. In order to achieve this result, we need to make use of many new tools introduced in the context of **HoTT** and not available in **MLTT**.

Indeed, the resulting interpretation must be contrasted with the interpretation of **mTT** in **MLTT** outlined in [14]: there the notion of proposition is identified with the notion of set, while here we are going to interpret **mTT**-propositions as h-propositions.

It is well known that the interpretation of dependent type theories à la Martin-Löf must be done by induction on the raw syntax of mTT-judgements since types and terms are recursively defined in a mutual way together with their definitional equalities.

Then, we can define a partial interpretation  $(J)^{\bullet}$  by induction on the associated raw syntax of mTT-types and terms in the raw syntax of types and terms of HoTT as follows: we interpret all types of mTT including proper mTT-collections as h-sets, where the "smallness" character of mTT-sets is captured by h-sets living in the first universe  $U_0$ . Hence, mTT-sets and mTT-small propositions are interpreted as h-sets and h-propositions in  $U_0$ . On the other hand, mTT-collections and mTT-propositions are interpreted, respectively, as h-sets and h-propositions in  $U_1$ .

**Definition 3.1** (Interpretation of mTT-syntax). We define this interpretation as an instantiation of a partial interpretation of the raw syntax of types and terms of mTT in those of HoTT

$$(-)^{\bullet}$$
: Raw-syntax (**mTT**)  $\longrightarrow$  Raw-syntax (**HoTT**)

assuming to have defined two auxiliary partial functions: one meant to associate to some type symbols of HoTT a proof-term expressing that they are h-propositions

```
pr_p(-): Raw-syntax (HoTT) \longrightarrow Raw-syntax (HoTT)
```

and another meant to associate to some type symbols of HoTT a proof-term expressing that they are h-sets

```
pr_s(-): Raw-syntax (HoTT) \longrightarrow Raw-syntax (HoTT)
```

by relying on proofs given in Lemmas 2.7 and 2.19 taken from [37] and [32].

We then extend  $(-)^{\bullet}$  to contexts of **mTT** in those of **HoTT** as follows:  $([\ ])^{\bullet}$  is defined as the empty context  $\cdot$  in **HoTT** and  $(\Gamma, x : A)^{\bullet}$  is defined as  $\Gamma^{\bullet}, x : A^{\bullet}$ . Also the assumption of variables is interpreted as the assumption of variables in **HoTT**:  $(x \in A \ [\Gamma])^{\bullet}$  is interpreted as  $x : A^{\bullet} \ [\Gamma^{\bullet}]$ , provided that  $x : A^{\bullet}$  is in  $\Gamma^{\bullet}$ .

Then, the mTT-judgements are interpreted as follows:

```
(A \ set \ [\Gamma])
                                                       is defined as
                                                                                               A^{\bullet}: U_0[\Gamma^{\bullet}] such that \operatorname{pr}_{\mathbb{S}}(A^{\bullet}):\operatorname{isSet}(A^{\bullet}) is derivable
(A \ col \ [\Gamma])^{\bullet}
                                                       is defined as
                                                                                               A^{\bullet}: U_1[\Gamma^{\bullet}] such that pr_S(A^{\bullet}): isSet(A^{\bullet}) is derivable
                                                                                                P^{\bullet}: U_0[\Gamma^{\bullet}] such that pr_P(P^{\bullet}): isProp(P^{\bullet}) is derivable
(P prop_s [\Gamma])^{\bullet}
                                                       is defined as
(P prop [\Gamma])^{\bullet}
                                                       is defined as
                                                                                                P^{\bullet}: U_1[\Gamma^{\bullet}] such that pr_P(P^{\bullet}): isProp(P^{\bullet}) is derivable
(A = B \text{ set } [\Gamma])^{\bullet}
                                                       is defined as
                                                                                               (A^{\blacksquare}, \operatorname{pr}_{\operatorname{S}}(A^{\blacksquare})) \ \equiv (B^{\blacksquare}, \operatorname{pr}_{\operatorname{S}}(B^{\blacksquare})) \ \colon \operatorname{Set}_{U_0} \ [\Gamma^{\blacksquare}]
                                                       is defined as
                                                                                               (A^{\blacksquare}, \operatorname{pr}_{\mathbb{S}}(A^{\blacksquare})) \equiv (B^{\blacksquare}, \operatorname{pr}_{\mathbb{S}}(B^{\blacksquare})) : \operatorname{Set}_{U_1} [\Gamma^{\blacksquare}]
(A = B \ col \ [\Gamma])^{\bullet}
(P = Q prop_s [\Gamma])^{\bullet}
                                                       is defined as
                                                                                               (P^{\blacksquare}, \operatorname{pr}_{\mathsf{P}}(P^{\blacksquare})) \equiv (Q^{\blacksquare}, \operatorname{pr}_{\mathsf{P}}(Q^{\blacksquare})) \ \colon \operatorname{Prop}_{U_0}^{\quad \cdot} \ [\Gamma^{\blacksquare}]
(P = Q prop [\Gamma])^{\bullet}
                                                       is defined as
                                                                                               (P^{\bullet}, \operatorname{pr}_{\mathsf{P}}(P^{\bullet})) \equiv (Q^{\bullet}, \operatorname{pr}_{\mathsf{P}}(Q^{\bullet})) : \operatorname{Prop}_{U_1} [\Gamma^{\bullet}]
(a \in A [\Gamma])^{\bullet}
                                                        is defined as
                                                                                               a^{\bullet}: A^{\bullet} [\Gamma^{\bullet}]
                                                                                               a^{\bullet} \equiv b^{\bullet} : A^{\bullet} [\Gamma^{\bullet}]
(a = b \in A [\Gamma])^{\bullet}
                                                       is defined as
```

The interpretation of the raw types and terms of mTT as raw types and terms of HoTT is spelled out in the Appendix A.

The following substitution lemmas state that substitution on types and terms in **mTT** corresponds to substitution on types and terms in **HoTT**:

**Lemma 3.2.** If A is a raw-type in mTT, b is a mTT raw-term and x is a variable occurring free in A, then

```
(A[b/x])^{\bullet} :\equiv A^{\bullet}[b^{\bullet}/x^{\bullet}].
```

If a and b are mTT raw-terms and x is a variable occurring free in a, then

$$(a[b/x])^{\bullet} :\equiv a^{\bullet}[b^{\bullet}/x^{\bullet}].$$

**Theorem 3.3** (Validity). If  $\mathcal{J}$  is a derivable judgement in mTT, then the interpretation of  $\mathcal{J}$  holds in HoTT. Moreover, if P prop  $[\Gamma]$  and P prop  $[\Gamma]$  are derivable judgements in mTT, then  $\mathsf{pr}_{\mathsf{S}}(P^{\bullet})$  : is $\mathsf{Set}(P^{\bullet})$   $[\Gamma^{\bullet}]$  is derivable in HoTT and  $\mathsf{pr}_{\mathsf{S}}(P^{\bullet})$  :  $\equiv \mathfrak{F}_{coe}((P)^{\bullet},\mathsf{pr}_{\mathsf{P}}(P^{\bullet}))$ .

**Proof.** The proof is by induction over the derivation of J.

The validity of judgements forming mTT-sets follows from the definitions given above, the Lemmas 2.7, 2.19 and the closure of the first universe  $U_0$  under set-theoretic constructors as in [29].

The subtyping rules

```
\frac{P \ prop_s \ [\Gamma]}{P \ set \ [\Gamma]} \mathbf{prop_s} \mathbf{-into\text{-}set} \qquad \frac{P \ prop \ [\Gamma]}{P \ col \ [\Gamma]} \mathbf{prop\text{-}into\text{-}col}
```

are interpreted as follows: by induction hypothesis,  $P^{\bullet}: U_0[\Gamma^{\bullet}]$  and  $\operatorname{pr}_P(P^{\bullet}): \operatorname{isProp}(P^{\bullet})[\Gamma^{\bullet}]$ ; furthermore, we also have  $\operatorname{pr}_S(P^{\bullet}): \operatorname{isSet}(P^{\bullet})[\Gamma^{\bullet}]$ , which is given by  $\mathfrak{S}_{coe}(P^{\bullet},\operatorname{pr}_P(P^{\bullet}))$ , and thus the conclusion follows. The other subtyping rule is validated by a similar argument.

The rules  $prop_s$ -into-prop and set-into-col are trivially validated by cumulativity of universes and by definition of the interpretation.

The definition of the interpretation for judgemental equalities trivially validates the conversion rules of mTT. In particular, those for mTT-disjunction and existential quantifier follow from Lemmas 2.9 and 2.11.

The collection of small proposition  $\mathsf{prop}_{\mathsf{S}}$  is interpreted as  $\mathsf{Prop}_{U_0}: U_1$  with  $\mathfrak{g}_{\mathsf{prop}_0}: \mathsf{isSet}(\mathsf{Prop}_{U_0})$ .

Note that the validity of the encoding of mTT-small propositions satisfies the usual compatibility rules like

$$\frac{p_1 = p_2 \in \mathsf{prop_s} \; [\Gamma] \qquad q_1 = q_2 \in \mathsf{prop_s} \; [\Gamma]}{p_1 \wedge q_1 = p_2 \wedge q_2 \in \mathsf{prop_s} \; [\Gamma]}$$

since the interpretation of the encoding of small propositions into  $\mathsf{Prop}_s$  is carried out by using the partial function  $\mathsf{pr}_\mathsf{P}(-)$  associating to the  $\mathsf{HoTT}$ -type  $\mathsf{pr}_1(p^{\bullet}) \times \mathsf{pr}_1(q^{\bullet})$  the proof-term  $\mathfrak{p}_\mathsf{X}(\mathsf{pr}_1(p^{\bullet}),\mathsf{pr}_2(p^{\bullet}),\mathsf{pr}_2(p^{\bullet}),\mathsf{pr}_2(q^{\bullet}))$ : is  $\mathsf{Prop}(\mathsf{pr}_1(p^{\bullet}) \times \mathsf{pr}_1(q^{\bullet}))$ .

In this sense the interpretation (−)\* depends on the chosen proof-terms of lemmas 2.4, 2.5, 2.7, 2.19, 2.21 and definitions 2.8, 2.10.

Moreover, the rule for the decoding operator  $\tau$ 

$$\frac{p \in \mathsf{prop}_{\mathsf{s}} [\Gamma]}{\tau(p) \ \mathit{prop}_{\mathsf{s}} [\Gamma]} \tau\text{-Pr}$$

is validated by our interpretation, since the premise is interpreted as  $p^{\bullet}$ :  $\operatorname{Prop}_{U_0}[\Gamma^{\bullet}]$  and thus it follows that  $\operatorname{pr}_1(p^{\bullet}): U_0[\Gamma^{\bullet}]$  and  $\operatorname{pr}_2(p^{\bullet}): \operatorname{isProp}(\operatorname{pr}_1(p^{\bullet}))[\Gamma^{\bullet}]$ , which is the interpretation of the conclusion by our definition.

Then, observe that the encoding rules are validated by construction. We just spell out the validity of the rule

$$\frac{p \in \mathsf{prop}_{\mathsf{s}} \; [\Gamma] \qquad q \in \mathsf{prop}_{\mathsf{s}} \; [\Gamma]}{p \hat{\wedge} q \in \mathsf{prop}_{\mathsf{s}} \; [\Gamma]} \mathsf{Pr}_{4}$$

We know that  $(p \in \operatorname{prop}_s[\Gamma])^{\bullet} :\equiv p^{\bullet} : \operatorname{Prop}_{U_0}[\Gamma^{\bullet}]$  and that  $(q \in \operatorname{prop}_s[\Gamma])^{\bullet} :\equiv q^{\bullet} : \operatorname{Prop}_{U_0}[\Gamma^{\bullet}]$  by inductive hypothesis. Hence,  $\operatorname{pr}_1(p^{\bullet}) : U_0[\Gamma^{\bullet}]$  and  $\operatorname{pr}_1(q^{\bullet}) : U_0[\Gamma^{\bullet}]$  with  $\operatorname{pr}_2(p^{\bullet}) : \operatorname{isProp}(\operatorname{pr}_1(p^{\bullet}))[\Gamma^{\bullet}]$  and  $\operatorname{pr}_2(q^{\bullet}) : \operatorname{isProp}(\operatorname{pr}_1(p^{\bullet}))[\Gamma^{\bullet}]$ , from which we can derive  $\operatorname{pr}_1(p^{\bullet}) \times \operatorname{pr}_1(q^{\bullet}) : U_0[\Gamma^{\bullet}]$  with  $\mathfrak{p}_{\times}(\operatorname{pr}_1(p^{\bullet}),\operatorname{pr}_2(p^{\bullet}),\operatorname{pr}_2(q^{\bullet})) : \operatorname{isProp}(\operatorname{pr}_1(p^{\bullet}) \times \operatorname{pr}_1(q^{\bullet}))[\Gamma^{\bullet}]$ . This lets us conclude that  $(p \widehat{\wedge} q \in \operatorname{prop}_s[\Gamma])$  is well defined.

Finally, the conversion rules associated to the decoding operator are all easily validated by construction as well. We just spell out the validity of the rule

$$\frac{p \in \mathsf{prop}_{\mathsf{s}} [\Gamma]}{\tau(p \hat{\wedge} q) = \tau(p) \wedge \tau(q) \ prop_{\mathsf{s}} [\Gamma]} eq - \Pr_{\mathsf{q}}$$

Indeed, let us assume the premises as valid. Since  $(\tau(p \widehat{\wedge} q)[\Gamma])^{\bullet} := \mathsf{pr}_1((p \widehat{\wedge} q)^{\bullet}) : U_0[\Gamma^{\bullet}]$  with  $\mathsf{pr}_2((p \widehat{\wedge} q)^{\bullet}) : \mathsf{isProp}(\mathsf{pr}_1((p \widehat{\wedge} q)^{\bullet}))$ , but  $\mathsf{pr}_1((p \widehat{\wedge} q)^{\bullet}) = (\mathsf{pr}_1(p^{\bullet}) \times \mathsf{pr}_1(q^{\bullet})) : U_0[\Gamma^{\bullet}]$  and, on the other hand,  $(\tau(p) \wedge \tau(q)[\Gamma])^{\bullet} := (\mathsf{pr}_1(p^{\bullet}) \times \mathsf{pr}_1(q^{\bullet})) : U_0[\Gamma^{\bullet}]$  with  $\mathsf{pr}_2((\tau(p) \wedge \tau(q)[\Gamma])^{\bullet}) : \mathsf{isProp}(\mathsf{pr}_1(p^{\bullet}) \times \mathsf{pr}_1(q^{\bullet}))$ , then the validity of the definitional equality  $\tau(p \widehat{\wedge} q) = \tau(p) \wedge \tau(q) \ prop_s[\Gamma]$  trivially follows.  $\square$ 

**Remark 3.4.** The interpretation of two definitionally equal mTT-types results in definitionally equal pairs in HoTT- that is, not only the corresponding types in HoTT are definitionally equal, but also the associated proof-terms witnessing that such types are h-sets or h-propositions. The fact that the interpretation depends on chosen proof-terms as observed above is fundamental to achieve this result. Also the validity of the coercion of propositions into sets relies on this fact.

Remark 3.5 (Alternative interpretation of mTT in HoTT). Observe that it is possible to define an alternative interpretation of mTT in HoTT which also implies the compatibility of the first with the latter. In this interpretation, we take the truncated version of all h-propositions as interpretations of mTT-propositions. This choice will be compulsory later when we will define the interpretation for emTT, since there we shall take into account canonical isomorphisms between h-propositions. We refer to the Appendix B for the definition of this alternative interpretation.

# 4. Canonical isomorphisms and the category $Set_{mf}/\cong_c$

In this section, we inductively define a set of canonical isomorphisms over **HoTT** in order to be able to define a category, called  $\mathsf{Set}_{mf/\cong_c}$ , of h-sets and functions up to canonical isomorphisms. This category could be formalized within **HoTT** as a H-category in the sense of [31] provided that we extend **HoTT** with the inductive type of canonical isomorphisms, or, alternatively, it could be simply defined in the meta-theory as done in [14]. The category  $\mathsf{Set}_{mf/\cong_c}$  will be used to interpret the extensional level of **emTT** in **HoTT**: its role will be the same as that of the category  $\mathsf{Q}(\mathsf{mTT})/\cong$  built over **mTT** in [14] to interpret **emTT** within **mTT**.

**Definition 4.1.** An indexed isomorphism  $\mu_A^B: A \to B[\Gamma]$  is an isomorphism from the h-set A to the h-set B under the context  $\Gamma$  with an inverse  $(\mu_A^B)^{-1}: B \to A[\Gamma]$  which satisfies

$$\Pi_{x:A} \operatorname{Id}_A((\mu_A^B)^{-1}(\mu_A^B(x)), x) \times \Pi_{y:B} \operatorname{Id}_B(\mu_A^B(\mu_A^B)^{-1}(y)), y)$$

**Definition 4.2.** Given a dependent type  $B[\Gamma]$  let us define the notion of *transport* by induction on the number of assumptions in  $\Gamma$ :

- 1. If  $\Gamma$  is empty, there are no transports;
- 2. If  $\Gamma :\equiv \Delta, x : E$  and  $B :\equiv C(x)$  then a transport operation it is simply

$$trp^{1}(p,-): C(x) \to C(x') [\Delta, x: E, x': E, p: x =_{E} x']$$

where  $\operatorname{trp}^1(p,-) :\equiv \operatorname{trp}(p,-)$  and  $\operatorname{trp}(p,-)$  is the usual transport map as given in Section 2.

3. If  $\Gamma := \Delta, x : E, y_1 : D_1, \dots, y_n : D_n$  with  $n \ge 1$  and  $B := C(x, y_1, \dots, y_n)$  then a transport operation it is simply

$$trp^{n+1}(p,-): C(x,y_1,...,y_n) \to C(x',trp^1(p,y_1),...trp^n(p,y_n))$$

$$[\Delta,x:E,y_1:D_1,...y_n:D_n,x':E,p:x=_Fx']$$

where  $\operatorname{trp}^{n+1}(p,-) :\equiv \operatorname{ind}_{Id}(p,x.(\lambda w.w))$  is defined by eliminating toward

$$C(x, y_1, \dots, y_n)) \rightarrow C(x', \operatorname{trp}^1(p, y_1), \dots, \operatorname{trp}^n(p, y_n))$$

To avoid an heavy notation in the following we simply write trp(p, -) instead of  $trp^n(p, -)$  when it is clear from the context which is the transport map.

**Remark 4.3.** Since we are concerned with h-sets  $A:U_i[\Gamma]$ , the transport operations trp(p,-) do not depend on the proof-term p.

**Lemma 4.4.** If  $\mu_A^B: A \to B$  [ $\Gamma$ ] and  $\nu_A^B: A \to B$  [ $\Gamma$ ] are indexed isomorphisms, and for any x: A,  $\mu_A^B(x) =_B \nu_A^B(x)$ , then  $\mu_A^B =_{A \to B} \nu_A^B(x)$  holds.

**Proof.** The statement follows immediately from function extensionality.

In the following we give a definition of canonical isomorphisms between dependent h-sets. This definition is meant to *generalize* the notion of transport between dependent types on equal elements, by enlarging the notion of equality to include that among arbitrary truncated h-propositions which are equivalent.

**Remark 4.5.** It must be stressed that canonical isomorphisms do not coincide with all the isomorphisms, because they need to preserve their canonical elements. On the other hand, assuming that for any type in the universe  $U_0$  and  $U_1$  the associated identity map is a canonical isomorphism yields a contradiction in presence of the Univalence Axiom. We thank one of the anonymous referee for this last observation.

To this purpose we first introduce an inductive universe of h-sets (within  $U_1$ ) equipped with an inductive elimination, formally given as an inductive-recursive definition added to **HoTT**, but it would be enough to define it in the meta-theory.<sup>2</sup> This universe will be used to interpret sets of **emTT**:

**Definition 4.6.** Let  $Set_{mf}$  be the type inductively generated from the following inductive clauses:

- If  $A:\equiv \mathsf{Prop}_{U_0}$ , or  $A:\equiv \mathbf{0}$ , or  $A:\equiv \mathbf{1}$ , or  $A:\equiv \mathbb{N}$  then  $A:\mathit{Set}_{\mathit{mf}}[\Gamma]$  for any context  $\Gamma$ .
- ||B||:  $Set_{mf}$   $[\Gamma]$  for any type B:  $U_1$   $[\Gamma]$ .
- $\Sigma_{x:B}$  C(x):  $Set_{mf}$   $[\Gamma]$  for any B:  $Set_{mf}$   $[\Gamma]$  and C(x):  $Set_{mf}$   $[\Gamma, x : B]$ .
- $\Pi_{x:B}$  C(x):  $Set_{mf}$   $[\Gamma]$  for any B:  $Set_{mf}$   $[\Gamma]$  and C(x):  $Set_{mf}$   $[\Gamma, x:B]$ .
- B + C:  $Set_{mf} [\Gamma]$  for any B:  $Set_{mf} [\Gamma]$  and C:  $Set_{mf} [\Gamma]$ .
- List(B):  $Set_{mf}$  [ $\Gamma$ ] for any B:  $Set_{mf}$  [ $\Gamma$ ].
- B/R:  $Set_{mf}$  [ $\Gamma$ ] for any h-set B:  $U_0$  [ $\Gamma$ ] and an equivalence relation R:  $Prop_{U_0}$  [ $\Gamma$ , x: B, y: B] such that B:  $Set_{mf}$  [ $\Gamma$ ] and R(x,y):  $Set_{mf}$  [ $\Gamma$ , x: B, y: B].

Then, we are ready to define by recursion on  $Set_{mf}$  the type Ciso(A, B) of canonical isomorphisms between h-sets A and B in  $Set_{mf}$  as a subtype of  $A \to B$ . Formally, it is given again as an inductive-recursive definition, where each Ciso(A, B) is thought as a set of codes, together with a decoding function from Ciso(A, B) to  $A \to B$ .

**Definition 4.7.** The type of indexed canonical isomorphisms  $\mu_{A_1}^{A_2}$ :  $A_1 \to A_2$  [ $\Gamma$ ] is the type inductively generated from the following inductive clauses:

- If  $A :\equiv \mathsf{Prop}_{U_0}$ , or  $A :\equiv \mathbf{0}$ , or  $A :\equiv \mathbf{1}$ , or  $A :\equiv \mathbb{N}$ , then the identity morphism  $\mathsf{id}_A^A :\equiv \lambda x.x : A \to A$  [ $\Gamma$ ] is a canonical isomorphism, which is trivially an isomorphism whose inverse  $\mu_{A_1}^{A_2^{-1}}$  is the identity.
- If  $A_1 :\equiv ||B_1|| : U_i$  and  $A_2 :\equiv ||B_2|| : U_i$ , then any isomorphism (with a chosen inverse)  $\mu_{||B_1||}^{||B_2||} : ||B_1|| \to ||B_2||$  [ $\Gamma$ ] is canonical and we denote the chosen inverse with  $\mu_{A_1}^{A_2-1}$ .
- If  $A_1 :\equiv \Sigma_{x:B_1} C_1(x)$  [ $\Gamma$ ] and  $A_2 :\equiv \Sigma_{x':B_2} C_2(x')$  [ $\Gamma$ ] and  $\mu_{B_1}^{B_2} : B_1 \to B_2$  [ $\Gamma$ ] and  $\mu_{C_1(x)}^{C_2(\mu_{B_1}^{B_2}(x))} : C_1(x) \to C_2(\mu_{B_1}^{B_2}(x))$  [ $\Gamma$ ,  $x : B_1$ ] are canonical isomorphisms, then any function

$$\mu^{\Sigma_{x':B_2}\ C_2(x')}_{\Sigma_{x:B_1}\ C_1(x)}:\Sigma_{x:B_1}\ C_1(x)\ \to\ \Sigma_{x':B_2}\ C_2(x')\ [\Gamma]$$

such that

 $<sup>^2</sup>$  This approach is taken because  $U_1$  lacks an inductive elimination which would be contradictory with the Univalence Axiom.

$$\mu_{\Sigma_{x:B_1}}^{\Sigma_{x':B_2}} \frac{c_2(x')}{c_1(x)}(z) \ = \ (\mu_{B_1}^{B_2}(\mathsf{pr}_1(z)), \mu_{C_1(\mathsf{pr}_1(z))}^{C_2(\mu_{B_1}^{B_2}(\mathsf{pr}_1(z)))}(\mathsf{pr}_2(z)))$$

for any  $z: \Sigma_{x:B_1} C_1(x)$ , is a canonical isomorphism with inverse

$$\mu_{A_1}^{A_2-1} \ :\equiv \ \lambda z. (\ \mu_{B_1}^{B_2-1}(\operatorname{pr}_1(z))\ ,\ (\mu_{B_1}^{C_2(\mu_{B_1}^{B_2}(\mu_{B_1}^{B_2-1}(\operatorname{pr}_1(z)))})^{-1}\operatorname{otrp}(p_{\mu}^{\ -1},-)(\operatorname{pr}_2(z)))$$

where  $p_{\mu}$ :  $\mu_{B_1}^{B_2}(\mu_{B_1}^{B_2-1}(\mathsf{pr}_1(z))) =_{B_2} \mathsf{pr}_1(z)$  and provided that  $\mu_{B_1}^{B_2}$  and  $\mu_{C_1(x)}^{C_2(\mu_{B_1}^{B_2}(x))}$  come equipped with inverses  $\mu_{B_1}^{B_2-1}$  and  $\mu_{C_1(x)}^{C_2(\mu_{B_1}^{B_2}(x))}$  respectively.

- If  $A_1 :\equiv \Pi_{x:B_1} \ C_1(x)$  [ $\Gamma$ ] and  $A_2 :\equiv \Pi_{x':B_2} \ C_2(x')$  [ $\Gamma$ ] and  $\mu_{B_1}^{B_2} : B_1 \to B_2$  [ $\Gamma$ ] and  $\mu_{C_1(x)}^{C_2(\mu_{B_1}^{B_2}(x))} : C_1(x) \to C_2(\mu_{B_1}^{B_2}(x))$  [ $\Gamma, x : B_1$ ] are canonical isomorphisms, then any function

$$\mu_{\Pi_{x:B_1}\ C_1(x)}^{\Pi_{x':B_2}\ C_2(x')}:\Pi_{x:B_1}\ C_1(x)\to\Pi_{x':B_2}\ C_2(x')\ [\Gamma]$$

such that

$$\mu_{\Pi_{x:B_1} \ C_1(x)}^{\Pi_{x':B_2} \ C_2(x')}(f) \ = \ \lambda x' : B_2.( \ \operatorname{trp}(p_\mu \ , -) \circ \mu \underbrace{ \begin{array}{c} C_2(\mu_{B_1}^{B_2}(\mu_{B_1}^{B_2^{-1}}(x'))) \\ B_2^{-1} \\ C_1(\mu_{B_1}^{B_2^{-1}}(x')) \end{array} }_{C_1(\mu_{B_1}^{B_2^{-1}}(x'))})(f(\mu_{B_1}^{B_2^{-1}}(x'))) )$$

is a canonical isomorphism, for any  $f:\Pi_{x:B_1}$   $C_1(x)$  and for any  $p_{\mu}:\mu_{B_1}^{B_2}(\mu_{B_1}^{B_2^{-1}}(x'))=_{B_2}x'$  where the body after the lambda is the arrow

$$C_{1}(\mu_{B_{1}}^{B_{2}^{-1}}(x')) \xrightarrow{C_{2}(\mu_{B_{1}}^{B_{2}}(\mu_{B_{1}}^{B_{2}^{-1}}(x'))))} \\ C_{1}(\mu_{B_{1}}^{B_{2}^{-1}}(x')) \xrightarrow{C_{1}(\mu_{B_{1}}^{B_{2}^{-1}}(x'))} \\ C_{2}(\mu_{B_{1}}^{B_{2}}(\mu_{B_{1}}^{B_{2}^{-1}}(x'))) \xrightarrow{\operatorname{trp}(p_{\mu}, -)} \\ C_{2}(x')$$

applied to the value  $f(\mu_{B_1}^{B_2^{-1}}(x'))$ . The associated inverse is given by

$$(\mu_{A_1}^{A_2})^{-1} = \lambda f'.\lambda x : B_1.((\mu_{C_1(x)}^{C_2(\mu_{B_1}^{B_2}(x))})^{-1}(f'(\mu_{B_1}^{B_2}(x))))$$

provided that  $\mu_{B_1}^{B_2}$  and  $\mu_{C_1(x)}^{B_2(x)}$  come equipped with inverses  $\mu_{B_1}^{B_2-1}$  and  $\mu_{C_1(x)}^{C_2(\mu_{B_1}^{B_2}(x))}$  respectively.

- If  $A_1 :\equiv B_1 + C_1$  and  $A_2 :\equiv B_2 + C_2$  and  $\mu_{B_1}^{B_2} : B_1 \to B_2$  [ $\Gamma$ ] and  $\mu_{C_1}^{C_2} : C_1 \to C_2$  [ $\Gamma$ ] are canonical isomorphisms, then any

$$\mu_{B_1+C_1}^{B_2+C_2}:B_1+C_1\to B_2+C_2\ [\Gamma]$$

$$\mu_{B_1+C_1}^{B_2+C_2}(z) \ = \ \operatorname{ind}_+(z,z_0.\operatorname{inl}(\mu_{B_1}^{B_2}(z_0)),z_1.\operatorname{inr}(\mu_{C_1}^{C_2}(z_1)))$$

for any  $z: B_1 + C_1$ , is a canonical isomorphism with inverse

$$\mu_{A_1}^{A_2^{-1}} = \lambda z. \mathsf{ind}_+(z, z_0. \mathsf{inl}(\mu_{B_1}^{B_2^{-1}}(z_0)), z_1. \mathsf{inr}(\mu_{C_1}^{C_2^{-1}}(z_1)))$$

provided that  $\mu_{B_1}^{B_2}$  and  $\mu_{C_1}^{C_2}$  come equipped with inverses  $\mu_{B_1}^{B_2-1}$  and  $\mu_{C_1}^{C_2-1}$ . - If  $A_1 :\equiv \operatorname{List}(B_1)$  and  $A_2 :\equiv \operatorname{List}(B_2)$  and  $\mu_{B_1}^{B_2} : B_1 \to B_2$  [ $\Gamma$ ] is a canonical isomorphism, then any function

$$\mu_{\mathsf{List}(B_1)}^{\mathsf{List}(B_2)} : \mathsf{List}(B_1) \to \mathsf{List}(B_2) \ [\Gamma]$$

such that

$$\mu_{\mathsf{List}(B_1)}^{\mathsf{List}(B_2)}(z) \ = \ \mathsf{ind}_{\mathsf{List}}(z,\epsilon,(x,y,z).\mathsf{cons}(z,\mu_{B_1}^{B_2}(y))$$

for any z: List( $B_1$ ), is a canonical isomorphism with inverse

$$\mu_{A_1}^{A_2-1} = \lambda z. \text{ind}_{\text{List}}(z, \epsilon, (x, y, z). \text{cons}(z, \mu_{B_1}^{B_2-1}(y))$$

provided that  $\mu_{B_1}^{B_2}$  comes equipped with inverse  $\mu_{B_1}^{B_2-1}$ .

- If  $A_1 := B_1/R_1$  and  $A_2 := B_2/R_2$ , for  $R_1, R_2$  equivalence relations, and  $\mu_{B_1}^{B_2} : B_1 \to B_2$  [ $\Gamma$ ] is a canonical isomorphism and  $R_1(x,y) \leftrightarrow R_2(\mu_{B_1}^{B_2}(x), \mu_{B_1}^{B_2}(y))$  [ $\Gamma, x : B_1, y : B_1$ ] holds, then any function

$$\mu_{B_1/R_1}^{B_2/R_2}: B_1/R_1 \to B_2/R_2 [\Gamma]$$

such that

$$\mu_{B_1/R_1}^{B_2/R_2}(z) = \text{ind}_Q(z, x. \mu_{B_1}^{B_2}(x))$$

for any  $z: B_1/R_1$ , is a canonical isomorphism with inverse

$$\mu_{A_1}^{A_2^{-1}} = \lambda z.ind_Q(z, x.\mu_{B_1}^{B_2^{-1}}(x))$$

provided that  $\mu_{B_1}^{B_2}$  comes equipped with an inverse  $\mu_{B_1}^{B_2-1}$ .

**Lemma 4.8.** Canonical isomorphisms are closed under substitution: if  $\mu_A^B: A \to B[\Gamma]$  is a canonical isomorphism and  $\Gamma: \equiv \Delta, x: E, y_1: C_1, \ldots, y_n: C_n$  then the result

$$\begin{array}{c} \mu^B_A[e/x][y_i'/y_i]_{i=1,...,n}: \ A[e/x][y_i'/y_i]_{i=1,...,n} \to B[e/x][y_i'/y_i]_{i=1,...,n} \\ [\Delta,y_1':C_1[e/x],...,y_n':C_n[e/x][y_i'/y_i]_{i=1,...,n-1}] \end{array}$$

of the substitution in  $\mu_A^B$  of the variable x with  $e:E[\Delta]$  is a canonical isomorphism.

**Proof.** The proof is by structural induction over the definition of canonical isomorphism.

**Lemma 4.9.** Any h-set  $A[\Gamma]$  of **HoTT** in  $Set_{mf}$  has canonical transport operations.

**Proof.** By induction on the formation of the type. Here, we just show that the transport operations of the form  $trp^1(p, -)$  are canonical for some type constructors since the canonicity of those of the form  $trp^n(p, -)$  follows analogously for all the types.

- Non dependent ground types have just the identities as transport operations and these are canonical by Definition 4.7.
- If A := ||B|| and  $\Gamma := \Delta, x : E$ , then transport operations are canonical by Definition 4.7, since they are isomorphisms.
- If  $A := \sum_{y \in B} C(y)$  and  $\Gamma := \Delta, x : E$ , then

$$trp(p, z) : A \to A[x'/x] [\Delta, x : E, x' : E, p : x =_E x']$$

satisfies

$$trp(p, z) = (trp(p, pr_1(z)), trp(p, pr_2(z)))$$

which follows by Id-elimination and is canonical by Definition 4.7, since by inductive hypothesis the transport operations of B and  $C(\gamma)$  are canonical.

- If  $A :\equiv \Pi_{y:B} C(y)$  and  $\Gamma :\equiv \Delta, x : E$  then

$$\operatorname{trp}(p,-): A \to A[x'/x] [\Delta, x: E, x': E, p: x =_E x']$$

for any  $f: \Pi_{v \in R} C(y)$  satisfies

$$trp(p, f) = \lambda z. trp^{C}(p, f(trp^{B}(p^{-1}, z))))$$

where  $p^{-1}$  is the reverse path in [37].

This is canonical by Definition 4.7, since the transport operations of B and C(y) along p and  $p^{-1}$  are all canonical by inductive hypothesis.

- If  $A :\equiv B + C$  and  $\Gamma :\equiv \Delta, x : E$ , then

$$trp(p,z): A \rightarrow A[x'/x] [\Delta, x: E, x': E, p: x =_E x']$$

satisfies

$$\mathsf{trp}(p,z) = \mathsf{ind}_+(z,z_1.\mathsf{inl}(\mathsf{trp}(p,z_1))\,,z_2.\mathsf{inr}(\mathsf{trp}(p,z_2)))$$

which is canonical since the transport operations of *B* and *C* are canonical by inductive hypothesis.

- If  $A :\equiv B/R$  and  $\Gamma :\equiv \Delta, x : E$ , then

$$trp(p, z) : A \to A[x'/x] [\Delta, x : E, x' : E, p : x =_E x']$$

satisfies

$$trp(p, z) = ind_{O}(z, w.[trp(p, w)])$$

which is canonical by Definition 4.7 since the transport operations of B are canonical by inductive hypothesis.

- If  $A :\equiv \text{List}(B)$ , then it follows in a similar manner that it has canonical transport operations.  $\square$ 

Corollary 4.10. For any transport operation its inverse is a canonical isomorphism as well.

**Proof.** Note that  $trp^i(p^{-1}, -)$  is the inverse of  $trp^i(p, -)$  as shown in Example 2.4.9 [37].

Canonical isomorphisms are unique, are closed under composition and they have canonical inverses:

**Proposition 4.11.** The following properties of canonical isomorphisms hold:

- identities are canonical: For any h-set  $A: U_1[\Gamma]$  in  $Set_{mf}$ , the map  $\mathrm{id}_A: A \to A[\Gamma]$  is a canonical isomorphism;
- uniqueness of canonical isomorphisms: For any h-sets  $A_1,A_2:U_1$  [ $\Gamma$ ] in  $Set_{mf}$ , if  $\mu_{A_1}^{A_2}:A_1\to A_2$  [ $\Gamma$ ] and  $\nu_{A_1}^{A_2}:A_1\to A_2$  [ $\Gamma$ ] are canonical isomorphisms, then  $\mu_{A_1}^{A_2}(z) =_{A_2} v_{A_1}^{A_2}(z) [\Gamma, z : A_1];$
- closure under composition: For any h-sets  $A_1, A_2 : U_1$  [ $\Gamma$ ] in  $Set_{mf}$ , if  $\mu_{A_1}^{A_2} : A_1 \to A_2$  [ $\Gamma$ ] and  $\mu_{A_2}^{A_3} : A_2 \to A_3$  [ $\Gamma$ ] are canonical isomorphisms, then  $\mu_{A_2}^{A_3} \circ \mu_{A_1}^{A_2}: A_1 \to A_3$  [ $\Gamma$ ] is a canonical isomorphism.

  - closure under canonical inverse: For any h-sets  $A_1, A_2: U_1$  [ $\Gamma$ ] in  $Set_{mf}$ , each canonical isomorphism

$$\mu_{A_1}^{A_2}: A_1 \to A_2 [\Gamma]$$

is an isomorphism in the sense of Definition 4.1 with a canonical inverse.

**Proof.** All the statements are proved simultaneously by structural induction over the definition of canonical isomorphisms. For each point we just show some cases since the others follow analogously.

#### 1. First point.

If A := ||C||, then that  $\mathrm{id}_A$  is a canonical isomorphism trivially follows, since the identity map is an isomorphism.

If  $A := \sum_{x \in B} C(x)$ , then by induction hypothesis id  $B = \sum_{x \in B} C(x)$  are canonical isomorphisms, hence

$$\nu_{\Sigma_{x:B}C(x)}(z) \ = \ (\mathrm{id}_B(\mathsf{pr}_1(z)), \mathrm{id}_{C(\mathsf{pr}_1(z))}^{C(\mathrm{id}_B(\mathsf{pr}_1(z))}(\mathsf{pr}_2(z))) \equiv \ (\mathsf{pr}_1(z), \mathsf{pr}_2(z)).$$

But we know that  $(\mathsf{pr}_1(z),\mathsf{pr}_2(z)) = z$ , hence  $\nu_{\Sigma_x:BC(x)}(z) = \mathsf{id}_{\Sigma_x:BC(x)}(z)$  which means that  $\mathsf{id}_{\Sigma_x:BC(x)}$  is a canonical isomorphism since by hypothesis its transports are canonical.

If A := B + C with canonical transport operations, then by induction hypothesis  $id_B$  and  $id_C$  are canonical isomorphisms, therefore

$$v_{B+C}(z) :\equiv \operatorname{ind}_{+}(z, z_0.\operatorname{inl}(\operatorname{id}_B(z_0)), z_1.\operatorname{inr}(\operatorname{id}_C(z_1))) \equiv \operatorname{ind}_{+}(z, z_0.\operatorname{inl}(z_0), z_1.\operatorname{inr}(z_1))$$

for any z: B+C, but  $\operatorname{ind}_+(z,z_0.\operatorname{inl}(z_0),z_1.\operatorname{inr}(z_1))=z$  and hence  $v_{B+C}(z)=\operatorname{id}_{B+C}(z)$ , which implies that the latter is a canonical isomorphism.

The other cases are similar.

## 2. Second point.

For non-dependent ground types, the result is immediate since canonical isomorphisms are the identities.

Suppose 
$$A_1 :\equiv ||B_1||$$
 and  $A_2 :\equiv ||B_2||$ . Then  $\mu_{||B_1||}^{||B_2||}(x) =_{||B_2||} v_{||B_1||}^{||B_2||}(x)$  for any  $x : ||B_1||$ , since  $||B_2||$  is a h-proposition.

If 
$$A_1 := \Sigma_{x:B_1} C_1(x)$$
 and  $A_2 := \Sigma_{x':B_2} C_2(x')$  then both  $\mu_{A_1}^{A_2}$  and  $\nu_{A_1}^{A_2}$  are defined componentwise as in Definition 4.7. Let

us assume that  $\mu_{B_1}^{B_2}$  and  $\mu_{C_1(x)}^{C_2(\mu_{B_1}^{B_2}(x))}$  are the components of the first and  $\nu_{B_1}^{B_2}$  and  $\nu_{C_1(x)}^{C_2(\nu_{B_1}^{B_2}(x))}$  are those of the latter.

$$\mu_{B_1}^{B_2}(x) =_{B_2} v_{B_1}^{B_2}(x) [\Gamma, x : B_1]$$

and

$$\operatorname{trp}(p,\; \mu_{C_1(x)}^{C_2(\mu_{B_1}^{B_2}(x))}(y)) = \sum_{C_2(\nu_{B_1}^{B_2}(x))} v_{C_1(x)}^{C_2(\nu_{B_1}^{B_2}(x))}(y) \; [\Gamma, x : B_1, y : C_1(x), p : \mu_{B_1}^{B_2}(x) =_{B_2} \nu_{B_1}^{B_2}(x) \; ]$$

therefore

$$\mu_{\Sigma_{x:B_1} \ C_1(x)}^{\Sigma_{x':B_2} \ C_2(x')}(z) =_{\Sigma_{x':B_2} C_2(x')} v_{\Sigma_{x:B_1} \ C_1(x)}^{\Sigma_{x':B_2} \ C_2(x')}(z) \ [\Gamma,z:\Sigma_{x:B_1} \ C_1(x)]$$

and, by Lemma 4.4, we conclude  $\mu_{\Sigma_{x:B_1}}^{\Sigma_{x':B_2}} \frac{C_2(x')}{C_1(x)} = \nu_{\Sigma_{x:B_1}}^{\Sigma_{x':B_2}} \frac{C_2(x')}{C_1(x)}$ .

If  $A_1 := \Pi_{x:B_1} C_1(x)$  and  $A_2 := \Pi_{x':B_2} C_2(x')$ , let us consider any two canonical isomorphisms which we denote as

$$\mu_{A_1}^{A_2} = \lambda f. \lambda z. ( \operatorname{trp}(p_{\mu}, -) \circ \mu \sum_{\substack{C_2(\mu_{B_1}^{B_2}(\mu_{B_1}^{B_2^{-1}}(x'))) \\ C_1(\mu_{B_1}^{B_2^{-1}}(x'))}} ) (f(\mu_{B_1}^{B_2^{-1}}(z)))$$

and

$$v_{A_1}^{A_2} = \lambda f. \lambda z. ( \ \operatorname{trp}(p_v \ , -) \circ v \frac{C_2(v_{B_1}^{B_2}(v_{B_1}^{D-1}(x')))}{C_1(v_{B_1}^{B_2^{-1}}(x'))} \ ) (f(v_{B_1}^{B_2^{-1}}(z)) \ )$$

where  $p_{\mu}$ :  $\mu_{B_1}^{B_2}(\mu_{B_1}^{B_2^{-1}}(z)) =_{B_2} z$  and  $p_{\nu}$ :  $\nu_{B_1}^{B_2}(\nu_{B_1}^{B_2^{-1}}(z)) =_{B_2} z$ . Now, since for any  $f: A_1$  and any  $x: B_1$  by inductive hypothesis

$$\mu_{B_1}^{B_2}(x) = \nu_{B_1}^{B_2}(x)$$

and the same holds for their inverses, which are canonical by inductive hypothesis.

Therefore there exists a proof  $q': \mu_{B_1}^{B_2-1}(z) = \nu_{B_1}^{B_2-1}(z)$  for  $z: B_2$  and by Lemma 2.23 we get a proof of the equality

$$\operatorname{trp}(q',-)(f(\mu_{B_1}^{B_2-1}(z))\ ) = \operatorname{trp}(q',f(\mu_{B_1}^{B_2-1}(z))\ )) = f(\nu_{B_1}^{B_2-1}(z))$$

Moreover, we have also a proof

$$q'': \mu_{B_1}^{B_2}(\mu_{B_1}^{B_2^{-1}}(z)) = \nu_{B_1}^{B_2}(\nu_{B_1}^{B_2^{-1}}(z))$$

being each member equal to  $z : B_2$ .

Furthermore, by uniqueness of canonical morphisms from  $C_1(\mu_{B_1}^{B_2-1}(z))$  to  $C_2(\nu_{B_1}^{B_2}(\nu_{B_1}^{B_2-1}(z)))$  which follows by inductive hypothesis we have a proof of the following equality

$$v \\ \begin{matrix} C_2(v_{B_1}^{B_2}(v_{B_1}^{B_2-1}(z))) \\ v \\ C_1(v_{B_1}^{B_2-1}(z)) \end{matrix} \\ \circ \mathsf{trp}(q',-) = \mathsf{trp}(q'',-) \circ \mu \\ \begin{matrix} C_2(\mu_{B_1}^{B_2}(\mu_{B_1}^{B_2-1}(z))) \\ C_1(\mu_{B_1}^{B_2-1}(z)) \end{matrix}$$

$$C_{1}(\mu_{B_{1}}^{B_{2}-1}(z)) \xrightarrow{\mu_{C_{1}(--)}} C_{2}(\mu_{B_{1}}^{B_{2}(--))} \times C_{2}(\mu_{B_{1}}^{B_{2}}(\mu_{B_{1}}^{B_{2}1}(z)))$$

$$\downarrow \text{trp}(q',-)$$

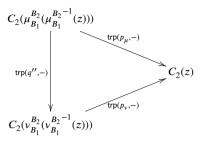
$$\downarrow \text{trp}(q'',-)$$

and hence

$$\operatorname{trp}(p_{\nu},-) \circ (\underbrace{v \overset{C_{2}(v_{B_{1}}^{B_{2}}(v_{B_{1}}^{B_{2}-1}(z)))}{C_{1}(v_{B_{1}}^{B_{2}-1}(z))}} \circ \operatorname{trp}(q',-)) = \operatorname{trp}(p_{\nu},-) \circ (\operatorname{trp}(q'',-) \circ \mu \overset{C_{2}(\mu_{B_{1}}^{B_{2}}(\mu_{B_{1}}^{B_{2}-1}(z)))}{C_{1}(\mu_{B_{1}}^{B_{2}-1}(z))})$$

Moreover, knowing that transports commute because they are uniquely determined up to propositional equality we get

$$\mathsf{trp}(p_{v}, -) \circ \mathsf{trp}(q'', -) = \mathsf{trp}(p_{u}, -)$$



and we conclude

$$\operatorname{trp}(p_{\mu},-) \circ \underset{L_{1}(\mu_{B_{1}}^{B_{2}-1}(z)))}{\overset{C_{2}(\mu_{B_{1}}^{B_{2}}(\mu_{B_{1}}^{B_{2}-1}(z)))}{\overset{C_{2}(\mu_{B_{1}}^{B_{2}}(\nu_{B_{1}}^{B_{2}-1}(z)))}{\overset{C_{2}(\nu_{B_{1}}^{B_{2}}(\nu_{B_{1}}^{B_{2}-1}(z)))}{\overset{B_{2}-1}{\overset{B_{2}-1}{\overset{C_{1}}{\overset{B_{2}-1}}{\overset{B_{2}-1}{\overset{B_{2}-1}{\overset{B_{2}-1}}{\overset{B_{2}-1}{\overset{B_{2}-1}{\overset{B_{2}-1}}{\overset{B_{2}-1}}{\overset{B_{2}-$$

which applied to  $f(\mu_{B_1}^{B_2^{-1}}(z))$  and recalling that  $\operatorname{trp}(q',-)(f(\mu_{B_1}^{B_2^{-1}}(z))) = f(\nu_{B_1}^{B_2^{-1}}(z))$  immediately gives

$$\begin{split} \mu_{A_1}^{A_2}(f,z) &= (\operatorname{trp}(p_{\mu},-)\circ \mu \frac{C_2(\mu_{B_1}^{B_2}(\mu_{B_1}^{B_2-1}(z)))}{C_1(\mu_{B_1}^{B_2-1}(z))})(f(\mu_{B_1}^{B_2-1}(z))) \\ &= \operatorname{trp}(p_{\nu},-)\circ (v \frac{C_2(v_{B_1}^{B_2}(v_{B_1}^{B_2-1}(z)))}{C_1(v_{B_1}^{B_2-1}(z))} \operatorname{otrp}(q',-))(f(\mu_{B_1}^{B_2-1}(z))) \\ &= \operatorname{trp}(p_{\nu},-)\circ v \frac{C_2(v_{B_1}^{B_2}(v_{B_1}^{B_2-1}(z)))}{C_1(v_{B_1}^{B_2-1}(z))}f(v_{B_1}^{B_2-1}(z)) \\ &= v_{A_1}^{A_2}(f,z) \end{split}$$

and hence

$$\mu_{A_1}^{A_2} = \nu_{A_1}^{A_2}$$

If  $A_1 :\equiv B_1 + C_1$  and  $A_2 :\equiv B_2 + C_2$  then both  $\mu_{A_1}^{A_2}$  and  $\nu_{A_1}^{A_2}$  are defined as in Definition 4.7: in particular,  $\mu_{B_1}^{B_2}$  and  $\mu_{C_1}^{C_2}$  are canonical isomorphisms as well as  $v_{B_1}^{B_2}$  and  $v_{C_1}^{C_2}$ . Then, by inductive hypothesis

$$\mu_{B_1}^{B_2}(x) =_{B_2} \nu_{B_1}^{B_2}(x) [\Gamma, x : B_1]$$

and

$$\mu_{C_1}^{C_2}(y) =_{C_2} v_{C_1}^{C_2}(y) [\Gamma, y : C_1]$$

therefore it trivially follows that

$$\mu_{B_1+B_2}^{C_1+C_2}(z) =_{C_1+C_2} v_{B_1+B_2}^{C_1+C_2}(z) \ [\Gamma, z : B_1+B_2]$$

and by Lemma 4.4  $\mu_{B_1+B_2}^{C_1+C_2} = \nu_{B_1+B_2}^{C_1+C_2}$ . If  $A_1 :\equiv B_1/R_1$  and  $A_2 :\equiv B_2/R_2$  then  $\mu_{A_1}^{A_2}$  and  $\nu_{A_1}^{A_2}$  are defined as in Definition 4.7: hence we can assume that  $\mu_{B_1}^{B_2}$  and  $\nu_{B_1}^{B_2}$  are canonical isomorphisms and that the following propositions  $R_1(x,y) \leftrightarrow R_2(\mu_{B_1}^{B_2}(x),\mu_{B_1}^{B_2}(y))$  and  $R_1(x,y) \leftrightarrow R_2(\nu_{B_1}^{B_2}(x),\nu_{B_1}^{B_2}(y))$ hold.

Then, by inductive hypothesis

$$\mu_{B_1}^{B_2}(x) =_{B_2} v_{B_1}^{B_2}(x) \; [\Gamma, x \; \colon B_1]$$

and hence

$$R_2(\mu_{B_1}^{B_2}(x),\mu_{B_1}^{B_2}(y)) \leftrightarrow R_2(\nu_{B_1}^{B_2}(x),\nu_{B_1}^{B_2}(y)) \; [\Gamma,x:B_1,y:B_1].$$

Therefore it trivially follows that

$$\mu_{B_1/R_1}^{B_2/R_2}(z) =_{B_2/R_2} v_{B_1/R_1}^{B_2/R_2}(z) [\Gamma, z : B_1/R_1]$$

and by Lemma 4.4  $\mu_{B_1/R_1}^{B_2/R_2} = v_{B_1/R_1}^{B_2/R_2}$ .

# 3. Third point.

For non-dependent ground types, the composition is the identity and hence is canonical by definition.

For truncated types, since isomorphisms are closed under composition and any isomorphism between truncated types is canonical by definition, then it immediately follows that the composition of canonical isomorphisms between truncated types is canonical too.

If  $A_1 := \Sigma_{x:B_1}C_1(x)$  and  $A_2 := \Sigma_{x':B_2}C_2(x')$  and  $A_3 := \Sigma_{x'':B_3}C_3(x'')$ , then by definition of canonical isomorphisms

$$\mu_{A_1}^{A_2} = \lambda z. (\mu_{B_1}^{B_2}(\mathsf{pr}_1(z)), \mu_{C_1(\mathsf{pr}_1(z))}^{C_2(\mu_{B_1}^{B_2}(\mathsf{pr}_1(z))}(\mathsf{pr}_2(z))))$$

and

$$\mu_{A_2}^{A_3} \ = \ \lambda z. (\mu_{B_2}^{B_3}(\mathsf{pr}_1(z)), \mu_{C_2(\mathsf{pr}_1(z))}^{C_3(\mu_{B_2}^{B_3}(\mathsf{pr}_1(z)))}(\mathsf{pr}_2(z)) \ )$$

Now the composition of  $\mu_{A_2}^{A_3} \circ \mu_{A_1}^{A_2}$  applied to z :  $\Sigma_{x:B_1} C_1(x)$  amounts to

$$\begin{split} \mu_{A_2}^{A_3} \circ \mu_{A_1}^{A_2}(z) &= \mu_{\Sigma_{x'':B_2}C_2(x')}^{\Sigma_{x'':B_3}C_3(x'')} \circ \mu_{\Sigma_{x:B_1}C_1(x)}^{\Sigma_{x':B_2}C_2(x')}(z) \\ &= (\mu_{B_2}^{B_3}(\mu_{B_1}^{B_2}(\mathsf{pr}_1(z))), \ \mu_{C_2(\mu_{B_2}(\mathsf{pr}_1(z)))}^{C_3(\mu_{B_2}^{B_3}(\mu_{B_1}^{B_2}(\mathsf{pr}_1(z)))}(\mu_{C_1(\mathsf{pr}_1(z))}^{C_2(\mu_{B_1}^{B_2}(\mathsf{pr}_1(z)))}(\mathsf{pr}_2(z)))) \, ) \end{split}$$

which is a canonical isomorphism by Definition 4.7 since  $\mu_{B_2}^{B_3} \circ \mu_{B_1}^{B_2}$  and  $\mu_{C_2}^{C_3} \circ \mu_{C_1}^{C_2}$  are canonical isomorphisms by inductive hypothesis.

If  $A_1 :\equiv \Pi_{x:B_1}C_1(x)$  and  $A_2 :\equiv \Pi_{x':B_2}C_2(x')$  and  $A_3 :\equiv \Pi_{x'':B_3}C_3(x'')$ , then, by definition of canonical isomorphisms

$$\mu_{A_1}^{A_2} = \lambda f. \lambda x' : B_2. (\operatorname{trp}(p_{\mu_{A_1}^A}, -) \circ \mu_{C_1(\mu_{B_1}^B(\mu_{B_1}^{B_2^-}(x')))}^{C_2(\mu_{B_1}^B(\mu_{B_1}^B(x')))}) (f(\mu_{B_1}^{B_2^-}(x'))))$$

for any  $p_{\mu_{A_1}^{A_2}}$ :  $\mu_{B_1}^{B_2}(\mu_{B_1}^{B_2^{-1}}(x')) =_{B_2} x'$  and

$$\mu_{A_{2}}^{A_{3}} = \lambda f.\lambda x'': B_{3}.(\operatorname{trp}(p_{\mu_{A_{2}}^{A_{3}}}, -) \circ \mu_{A_{2}}^{C_{3}(\mu_{B_{2}}^{B_{3}}(\mu_{B_{2}}^{B_{3}^{-1}}(x'')))})(f((\mu_{B_{2}}^{B_{3}^{-1}}(x'')))))$$

for any  $p_{\mu_{A_2}^{A_3}}$  :  $\mu_{B_2}^{B_3}(\mu_{B_2}^{B_3^{-1}}(x^{\prime\prime})) = x^{\prime\prime}$ .

Hence, for any  $x'': B_3$  and  $f: \prod_{x'': B_3} C_3(x'')$  their composition becomes

$$\begin{split} \mu_{A_2}^{A_3} \circ \mu_{A_1}^{A_2}(f,x'') &= \mu_{\Pi_{x':B_2}C_2(x')}^{\Pi_{x':B_3}C_3(x'')} \circ \mu_{\Pi_{x:B_1}C_1(x)}^{\Pi_{x':B_2}C_2(x')}(f,x'') \\ &= (\operatorname{trp}(p_{\mu_{A_2}^{A_3}},-) \circ \mu_{C_2(--)}^{C_3(\mu_{B_2}^{B_3}(--))}) \circ (\operatorname{trp}(p'_{\mu_{A_1}^{A_2}},-) \circ \mu_{C_1(--)}^{C_2(\mu_{B_1}^{B_2}(--))}(f(\mu_{B_1}^{B_2}^{-1}(\mu_{B_2}^{B_3}^{-1}(x'')))) \\ &= (\operatorname{trp}(p_{\mu_{A_2}^{A_3}},-) \circ \operatorname{trp}(p''_{\mu_{A_2}^{A_2}},-)) \circ (\mu_{C_2(--)}^{C_3(\mu_{B_2}^{B_3}(--))}) \circ \mu_{C_1(--)}^{C_2(\mu_{B_1}^{B_2}(--))}(f(\mu_{B_1}^{B_2}^{-1}(\mu_{B_2}^{B_3}^{-1}(x'')))) \end{split}$$

where  $p'_{\mu_{A_1}^{A_2}} :\equiv p_{\mu_{A_1}^{A_2}}[\mu_{B_2}^{B_3^{-1}}(x'')/x']$  and  $p''_{\mu_{A_1}^{A_2}} :\equiv p_{\mu_{A_1}^{A_2}}[\mu_{B_1}^{B_2^{-1}} \circ \mu_{B_2}^{B_2^{-1}}(x'')/x']$ . In particular, the last equality follows by uniqueness of canonical isomorphisms from  $C_2(\mu_{B_1}^{B_2}(\mu_{B_1}^{B_2^{-1}}(\mu_{B_2}^{B_2^{-1}}(z))))$  to  $C_3(\mu_{B_2}^{B_3}(\mu_{B_2}^{B_3^{-1}}(z)))$  from this other equality

$$C_{2}(\mu_{B_{1}}^{B_{2}}(\mu_{B_{1}}^{B_{2}-1}(\mu_{B_{2}}^{B_{3}-1}(x'')))) - \underbrace{ \begin{array}{c} \operatorname{trp}(p'_{A_{2}},-) \\ \mu_{A_{1}} \end{array}}_{L_{2}(\mu_{B_{1}}^{B_{2}-1}(x'')) \end{array} } \\ > C_{2}(\mu_{B_{2}}^{B_{3}-1}(x''))) - \underbrace{ \begin{array}{c} C_{3}(\mu_{B_{2}}^{B_{3}}-1) \\ \mu_{C_{2}(--)} \\ \mu_{C_{2}(--)} \end{array}}_{L_{2}(--)} \\ > C_{3}(\mu_{B_{2}}^{B_{3}}(\mu_{B_{1}}^{B_{2}}(\mu_{B_{1}}^{B_{2}-1}(\mu_{B_{2}}^{B_{3}-1}(x''))))) - \underbrace{ \begin{array}{c} \operatorname{trp}(p''_{A_{2}},-) \\ \mu_{C_{3}(--)} \\ \mu_{C_{3}(--)} \end{array}}_{L_{2}(--)} \\ > C_{3}(\mu_{B_{2}}^{B_{3}}(\mu_{B_{1}}^{B_{2}-1}(\mu_{B_{2}}^{B_{3}-1}(x'')))) - \underbrace{ \begin{array}{c} \operatorname{trp}(p''_{A_{2}},-) \\ \mu_{C_{3}(--)} \\ \mu_{C_{3}(--)} \end{array}}_{L_{2}(--)} \\ > C_{3}(\mu_{B_{2}}^{B_{3}}(\mu_{B_{1}}^{B_{2}-1}(\mu_{B_{2}}^{B_{3}-1}(x''))) - \underbrace{ \begin{array}{c} \operatorname{trp}(p''_{A_{2}},-) \\ \mu_{C_{3}(--)} \\ \mu_{C_{3}(--)} \end{array}}_{L_{3}(--)} \\ > C_{3}(\mu_{B_{2}}^{B_{3}}(\mu_{B_{1}}^{B_{3}-1}(\mu_{B_{2}}^{B_{3}-1}(x''))) - \underbrace{ \begin{array}{c} \operatorname{trp}(p''_{A_{2}},-) \\ \mu_{C_{3}(--)} \\ \mu_{C_{3}(--)} \end{array}}_{L_{3}(--)} \\ > C_{3}(\mu_{B_{2}}^{B_{3}}(\mu_{B_{2}}^{B_{3}-1}(\mu_{B_{2}}^{B_{3}-1}(x''))) - \underbrace{ \begin{array}{c} \operatorname{trp}(p''_{A_{2}},-) \\ \mu_{C_{3}(--)} \\ \mu_{C_{3}(--)} \\ \mu_{C_{3}(--)} \end{array}}_{L_{3}(--)} \\ > C_{3}(\mu_{B_{2}}^{B_{3}}(\mu_{B_{2}}^{B_{3}-1}(\mu_{B_{2}}^{B_{3}-1}(x''))) - \underbrace{ \begin{array}{c} \operatorname{trp}(p''_{A_{2}},-) \\ \mu_{C_{3}(--)} \\ \mu_{C$$

Hence,  $\mu_{A_2}^{A_3} \circ \mu_{A_1}^{A_2}$  is a canonical isomorphism because consists of compositions of canonical isomorphisms by inductive hypothesis beside the fact that transport operations compose.

If  $A_1 :\equiv B_1 + C_1$  and  $A_2 :\equiv B_2 + C_2$  and  $A_3 :\equiv B_3 + C_3$ , then by definition of canonical isomorphisms

$$\mu_{A_1}^{A_2} \ = \ \lambda z. \mathsf{ind}_+(z, z_0. \mathsf{inl}(\mu_{B_1}^{B_2}(z_0)), z_1. \mathsf{inr}(\mu_{C_1}^{C_2}(z_1)))$$

and

$$\mu_{A_2}^{A_3} \ = \ \lambda z. \mathsf{ind}_+(z, z_0. \mathsf{inl}(\mu_{B_2}^{B_3}(z_0)), z_1. \mathsf{inr}(\mu_{C_2}^{C_3}(z_1)))$$

Let us consider the composition  $\mu_{A_2}^{A_3} \circ \mu_{A_1}^{A_2}$  applied to z:  $B_1 + C_1$ , for which we get  $\mu_{A_2}^{A_3}(\mu_{A_1}^{A_2}(z))$ , then

$$\mu_{B_1+C_1}^{B_3+C_3}(z) = \operatorname{ind}_+(z,z_0.\operatorname{inl}(\mu_{B_2}^{B_3}(\mu_{B_1}^{B_2}(z_0))),z_1.\operatorname{inr}(\mu_{C_2}^{C_3}(\mu_{C_1}^{C_2}(z_1)))$$

which amounts to  $\mu_{A_2}^{A_3}(\mu_{A_1}^{A_2}(z))$  and is a canonical isomorphism by Definition 4.7. If  $A_1 :\equiv B/R_1$  and  $A_2 :\equiv B_2/R_2$  and  $A_3 :\equiv B_3/R_3$ , then by inductive hypothesis

$$\mu_{A_1}^{A_2} \ = \ \lambda z. {\rm ind}_Q(z, x. \mu_{B_1}^{B_2}(x))$$

and

$$\mu_{A_2}^{A_3} = \lambda z. \text{ind}_Q(z, x. \mu_{B_2}^{B_3}(x))$$

are canonical isomorphisms. Then, let us consider the composition  $\mu_{A_2}^{A_3} \circ \mu_{A_1}^{A_2}$  applied to  $z : B_1/R_1$ , so that we get  $\mu_{A_2}^{A_3}(\mu_{A_1}^{A_2}(z))$ , then

$$\mu_{B_1/B_2}^{B_3/R_3}(z) :\equiv \operatorname{ind}_Q(z, x. \mu_{B_2}^{B_3}(\mu_{B_1}^{B_2}(x)))$$

which amounts to  $\mu_{A_2}^{A_3}(\mu_{A_1}^{A_2}(z))$  and is a canonical isomorphism by Definition 4.7.

# 4. Fourth point.

For non-dependent ground types the inverse is the identity which is canonical by definition.

Canonical isomorphisms between truncated types have canonical inverse by Definition 4.7.

If  $A_1:\equiv \Sigma_{x:B_1} C_1(x)$  [ $\Gamma$ ] and  $A_2:\equiv \Sigma_{x':B_2} C_2(x')$  [ $\Gamma$ ] and  $\mu_{B_1}^{B_2}:B_1\to B_2$  [ $\Gamma$ ] and  $\mu_{C_1(x)}^{C_2(\mu_{B_1}^{B_2}(x))}:C_1(x)\to C_2(\mu_{B_1}^{B_2}(x))$  [ $\Gamma,x:B_1$ ] are canonical isomorphisms, then the inverse of  $\mu_{A_1}^{A_2}$  given as in Definition 4.7,

$$\mu_{A_1}^{A_2-1} \ :\equiv \ \lambda z. (\ \mu_{B_1}^{B_2-1}(\mathsf{pr}_1(z))\ , \ (\mu_{B_1}^{C_2(\mu_{B_1}^{B_2}(\mu_{B_1}^{B_2-1}(\mathsf{pr}_1(z)))})^{-1} \mathsf{otrp}(p_\mu^{\ -1}, -)(\mathsf{pr}_2(z)))$$

is canonical by construction: it is composed of inverses of canonical isomorphisms, which are canonical by inductive hypothesis, and transports, which are canonical by Lemma 4.9. It amounts to be an inverse since the following equality holds by uniqueness of canonical isomorphisms

$$C_{2}(\operatorname{pr}_{1}(z)) \xrightarrow{\operatorname{trp}(\rho_{\mu}^{-1},-)} \\ > C_{2}(\mu_{B_{1}}^{B_{2}}(\mu_{B_{1}}^{B_{2}^{-1}}(\operatorname{pr}_{1}(z)))) \\ < C_{2}(\mu_{B_{1}}^{B_{2}}(\mu_{B_{1}}^{B_{2}^{-1}}(\operatorname{pr}_{1}(z)))) \\ < C_{2}(\mu_{B_{1}}^{B_{2}}(\mu_{B_{1}}^{B_{2}^{-1}}(\operatorname{pr}_{1}(z)))) \\ < C_{2}(\mu_{B_{1}^{-1}}^{B_{2}^{-1}}(\operatorname{pr}_{1}(z)))) \\ < C_{2}(\mu_{B_{1}^{-1}}^{B_{2}^{-1}}(\operatorname{pr}_{1}(z))) \\ < C_{2}(\mu_{B_{1}^{-1}}^{B_{2}^{-1}}(\operatorname{pr}_{1}(z)))) \\ < C_{2}(\mu_{B_{1}^{-1}}^{B_{2}^{-1}}(\operatorname{pr}_{1}(z))) \\ < C_{2}(\mu_{B_{1}^{-1}}^{B_$$

If  $A_1 :\equiv \Pi_{x:B_1} \ C_1(x)$  [ $\Gamma$ ] and  $A_2 :\equiv \Pi_{x':B_2} \ C_2(x')$  [ $\Gamma$ ] and  $\mu_{B_1}^{B_2} : B_1 \rightarrow B_2$  [ $\Gamma$ ] and  $\mu_{C_1(x)}^{C_2(\mu_{B_1}^{B_2}(x))} : C_1(x) \rightarrow C_2(\mu_{B_1}^{B_2}(x))$  [ $\Gamma, x : B_1$ ] are canonical isomorphisms, then the inverse of  $\mu_{A_1}^{A_2}$  given as in Definition 4.7

$$(\mu_{A_1}^{A_2})^{-1} = \lambda f'.\lambda x : B_1.((\mu_{C_1(x)}^{C_2(\mu_{B_1}^{B_2}(x))})^{-1}(f'(\mu_{B_1}^{B_2}(x))))$$

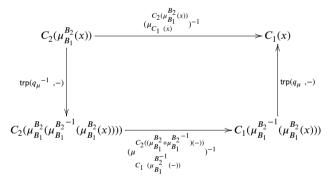
is a canonical since we can show that: for  $q_{\mu}$  proof of  $(\mu_{B_1}^{B_2})^{-1}(\mu_{B_1}^{B_2}(x)) = x$  and for any  $f': \Pi_{x':B_2} C_2(x')$  and  $x: B_1$ 

$$(\mu_{A_1}^{A_2})^{-1}(f')(x) = (\; \operatorname{trp}(q_{\mu}\;, -) \circ (\; (\; \mu_{B_1}^{C_2((\mu_{B_1}^{B_2}) \circ (\; \mu_{B_1}^{B_2-1})(-))})^{-1}\;) \circ \operatorname{trp}(q_{\mu}^{\;\; -1}, -)\;)(f'(\mu_{B_1}^{B_2}(x))\;)$$

where the right member is the application of a composition of isomorphisms which are canonical by inductive hypothesis, because by uniqueness of canonical isomorphisms

$$\operatorname{trp}(q_{\mu}^{},-) \circ (\underbrace{(\mu_{B_{1}}^{B_{2}}) \circ (\mu_{B_{1}}^{B_{2}-1}) (-))}_{C_{1}(\mu_{B_{1}}^{B_{2}-1}(-))})^{-1} \circ \operatorname{trp}(q_{\mu}^{}^{}^{-1},-)) = (\mu_{C_{1}}^{C_{2}(\mu_{B_{1}}^{B_{2}}(x))})^{-1}$$

and diagrammatically



The other canonical isomorphisms obtained by different clauses can be easily shown to be equipped with canonical inverses by applying the inductive hypothesis to the canonical isomorphisms of lower type complexity.

In [31] Palmgren discussed the issue of equality on objects in categories as formalized in type theory and he defined *E-categories* and *H-categories*. In this approach a fundamental role is played by the notion of setoid and proof-irrelevant dependent setoid as defined in [14].

**Definition 4.12.** An E-category consists of the following data: a type C of objects, a dependent setoid of morphisms  $\mathsf{Hom}(a,b)$  for any a,b:C and a composition operation  $\circ:\mathsf{Hom}(b,c)\times\mathsf{Hom}(a,b)\to\mathsf{Hom}(a,b)$ , that is an extensional function in the sense that it preserves the relevant equivalence relations and that satisfy the usual associativity and identity conditions.

We can impose equality on objects in a E-category in a way compatible with composition. This leads to the following definition:

**Definition 4.13.** An H-category is an E-category where the type of objects C is equipped with an equivalence relation  $\sim_C$  and there exists a family of isomorphisms  $\tau_{a,b,p} \in \mathsf{Hom}(a,b)$  for each  $p: a \sim_C b$  such that

H1: 
$$\tau_{a,a,p} = 1_a$$
 for any  $p: a \sim_C a$ ;  
H2:  $\tau_{a,b,p} = \tau_{a,b,a}$  for any  $p,q: a \sim_C b$ ;

H3:  $\tau_{b,c,a} \circ \tau_{a,b,p} = \tau_{a,c,r}$  for any  $p: a \sim_C b$ ,  $q: b \sim_C c$  and  $r: a \sim_C c$ .

**Definition 4.14.** Let  $\operatorname{Set}_{mf/\cong_c}$  be the category of h-sets in  $\operatorname{Set}_{mf}$  up to canonical isomorphisms and functions as morphisms defined as follows: the objects of  $\operatorname{Set}_{mf/\cong_c}$  are equivalent classes of h-sets  $A:\operatorname{Set}_{mf}$  equated under canonical isomorphisms, i.e. an object of  $\operatorname{Set}_{mf/\cong_c}$  is an equivalence class [A] of h-sets A in  $\operatorname{Set}_{mf}$  where two objects A and B of  $\operatorname{Set}_{mf/\cong_c}$  are declared equal, by writing  $[A] =_{\operatorname{Set}_{mf/\cong_c}} [B]$ , if there exists a canonical isomorphism  $\tau_A^B: A \to B$ . (Note that by Univalence, the equality  $[A] =_{\operatorname{Set}_{mf/\cong_c}} [B]$  implies that  $A =_{U_1} B$  holds in **HoTT** as well.)

Morphisms of  $\operatorname{Set}_{mf/\cong_c}$  from an object [A] to an object [B], indicated with  $\operatorname{Set}_{mf/\cong_c}([A],[B])$ , are determined by functions  $f:A'\to B'$  between h-sets A' and B' such that  $[A']=_{\operatorname{Set}_{mf/\cong_c}}[A]$  and  $[B']=_{\operatorname{Set}_{mf/\cong_c}}[B]$  and given two functions  $f:A'\to B'$  and  $g:A''\to B''$  with  $[A']=_{\operatorname{Set}_{mf/\cong_c}}[A'']$  and  $[B']=_{\operatorname{Set}_{mf/\cong_c}}[B'']$ , we define  $f=_{\operatorname{Set}_{mf/\cong_c}}g$  when  $\mu_{B'}^{B''}\circ f=_{A'\to B''}g\circ \mu_{A'}^{A''}$  holds for canonical isomorphisms  $\mu_{A'}^{A''}:A'\to A''$  and  $\mu_{B'}^{B''}:B'\to B''$ . We denote such morphisms with  $[f]:[A]\to [B]$  and when there is no loss of generality we implicitly mean that  $f:A\to B$ . (Note that the morphism equality [f]=[g] for arrows  $f,g:A\to B$  implies the *propositional equality*  $f=_{A\to B}g$ .)

Composition of morphisms of  $[f]:[A] \to [B]$  and  $[g]:[B] \to [C]$  is defined as  $[g \circ f]$  for representatives  $f:A' \to B'$  and  $g:B' \to C'$ .

The identity morphism from [A] to [A] is the equivalence class  $[id_A] : [A] \to [A]$  of the identity morphism in **HoTT**.

Remark 4.15. The category  $\operatorname{Set}_{mf/\cong_c}$  is a small H-category in the sense of Definition 4.13 by taking as objects of C the setoid whose support is  $\operatorname{Set}_{mf}$  and whose equality  $A'=_C B'$  is defined as the truncation of the assumed inductive type  $||\operatorname{Ciso}(A',B')||$  and the hom-set between two objects  $\operatorname{Hom}(A',B')$  is the setoid having as support the set of arrows  $A'\to B'$ , and whose equality for  $f,g:A'\to B'$  is the propositional equality f=g. Moreover, for any  $g:||\operatorname{Ciso}(A',B')||$  we define  $\tau_{A',B',p}:=\operatorname{ind}_{||\cdot||}(p,z.z)$ , which is well defined since any canonical isomorphism between two h-sets is unique up to propositional equality and satisfy the required properties of an H-category as shown in Proposition 4.11.

#### 5. The compatibility of emTT with HoTT

In this section, we show that also the extensional level **emTT** of **MF** is compatible with **HoTT**. We are going to define a direct interpretation  $In_D$ : **emTT**  $\longrightarrow$  Set $_{mf}/\cong_c$ , that is based on a *multi-functional* partial interpretation from **emTT** raw-syntax to **HoTT** raw-syntax. As in the case of Definition 3.1, we assume to have defined two auxiliary partial maps  $pr_P$  and  $pr_S$ , both from **HoTT** raw-syntax to **HoTT** raw-syntax, where the first is meant to associate to a type symbol of **HoTT** a (chosen) proof that it is a h-proposition, while the second associates to a type symbol of **HoTT** a (chosen) proof that it is a h-set.

We stress the fact that the interpretation crucially relies upon canonical isomorphisms as defined in Definition 4.7. Indeed, it is only by means of canonical isomorphisms that we can interpret correctly the definitional equalities and the conversions of **emTT**. This means that when we are defining the interpretation for a raw type or a raw term depending on some other raw terms, we assume that the type of this term has been corrected by means of canonical isomorphisms.

In this sense, the interpretation bears some resemblance to the interpretation of **emTT** in **mTT** given in [14], but it has a more direct flavor, since we can avoid any setoid model construction thanks to the availability of set quotients as higher inductive types within **HoTT**.

Further, another important difference with the interpretation presented in [14] is due to the assumption of the Univalence Axiom. Indeed, the axiom plays a fundamental role in showing the compatibility of **emTT** with **HoTT** since it allows to convert the canonical isomorphism interpreting two definitionally equal **emTT**-types into *propositional* equal **HoTT**-types. The lack of a similar principle in **mTT** prevents the interpretation in [14] from achieving a full compatibility result of **emTT** with **mTT**.

We will indicate the interpretation multi-function with  $(-)^{\blacktriangledown}$  and the case when canonical isomorphisms are required with  $(-)^{\blacktriangledown}$ . The notation  $(-)^{\blacktriangledown}$  is similar to that used in [14]. Given an expression a of **emTT** raw-syntax, we write  $a^{\blacktriangledown}$  instead of  $\widetilde{a}^{\blacktriangledown}$ . Moreover, we introduce the following definitions:

**Definition 5.1.** Given A type  $[\Gamma]$  and B type  $[\Gamma]$ , the judgement  $A =_{ext} B$  means that there exists a canonical isomorphism  $\mu_A^B$  relating A and B.

**Definition 5.2.** If C type  $[\Gamma]$  and D type  $[\Delta]$ , the judgement C  $[\Gamma] =_{ext} D$   $[\Delta]$  means the following: given  $\Gamma$  :  $\equiv x_1 : A_1, \ldots, x_n : A_n$  and  $\Delta$  :  $\equiv y_1 : B_1, \ldots, y_n : B_n$ , we can derive  $A_1 =_{ext} B_1, \ldots, A_n =_{ext} B_n [\mu_{A_1}^{B_1}(x_1)/y_1, \ldots, \mu_{A_{n-1}}^{B_{n-1}}(x_{n-1})/y_{n-1}]$  and also  $C =_{ext} \widetilde{D}$   $[\Gamma]$ , where  $\widetilde{D}$  :  $\equiv D[\mu_{A_1}^{B_1}(x_1)/y_1, \ldots, \mu_{A_n}^{B_n}(x_n)/y_n]$  for some canonical isomorphisms  $\mu_{A_i}^{B^i}$  for  $i = 1, \ldots, n$  and  $\mu_C^D$ .

**Definition 5.3.** Given  $c: C[\Gamma]$  and  $D[\Delta]$  such that  $C[\Gamma] =_{ext} D[\Delta]$ , where  $\Gamma :\equiv x_1: A_1, \ldots, x_n: A_n$  and  $\Delta :\equiv y_1: B_1, \ldots, y_n: B_n$ , the judgement  $c:_{ext} D[\Delta]$  means that we can derive  $\widetilde{c}: \widetilde{D}[\Gamma]$ , where  $\widetilde{c}:=\mu_C^D(c(\mu_{A_1}^{B_1}(x_1), \ldots, \mu_{A_n}^{B_n}(x_n)))$  for some canonical isomorphisms  $\mu_{A_n}^{B^i}$  for  $i=1,\ldots,n$  and  $\mu_C^D$ .

**Definition 5.4.** The judgement  $a =_{ext} b :_{ext} A [\Gamma]$  means that we can derive  $p : \widetilde{a} =_{\widetilde{a}} \widetilde{b}$ .

The definitions given above specify the meaning of the notation  $\tilde{a}$  for any raw-expression a of **emTT** and thus the notation  $(-)^{\widetilde{\mathbf{Y}}}$ , which we will adopt in the next definition.

**Definition 5.5** (interpretation of emTT raw-syntax). We define a partial multifunctional interpretation of raw terms and types of emTT into those of HoTT

```
(-)^{\blacktriangledown}: Raw-syntax (emTT) \longrightarrow Raw-syntax (HoTT)
```

assuming to have defined two auxiliary partial functions

```
pr_P(-): Raw-syntax (HoTT) \longrightarrow Raw-syntax (HoTT)
```

and

```
pr_s(-): Rawsyntax (HoTT) \longrightarrow Rawsyntax (HoTT)
```

The definition of  $(-)^{\blacktriangledown}$  for contexts of **emTT** is the following:  $([\ ])^{\blacktriangledown}$  is defined as  $\mathbb{1}$  and  $(\Gamma, x \in A)^{\blacktriangledown}$  is defined as  $\Gamma^{\blacktriangledown}, x : A^{\blacktriangledown}$ . Furthermore,  $(x \in A \ [\Gamma])^{\blacktriangledown}$  is defined as  $x : A^{\blacktriangledown} \ [\Gamma^{\blacktriangledown}]$ , provided that  $x : A^{\blacktriangledown}$  is in  $\Gamma^{\blacktriangledown}$ .

The interpretation of emTT-judgements is defined as follows:

```
(A \ set \ [\Gamma])^{\blacktriangledown}
                                                                     is defined as
                                                                                                                      A^{\blacktriangledown}: U_0[\Gamma^{\blacktriangledown}] such that \operatorname{pr}_{S}(A^{\blacktriangledown}): \operatorname{isSet}(A^{\blacktriangledown}) is derivable
(A \ col \ [\Gamma])^{\blacktriangledown}
                                                                     is defined as
                                                                                                                      A^{\blacktriangledown}: U_1[\Gamma^{\blacktriangledown}] such that \operatorname{pr}_{S}(A^{\blacktriangledown}): \operatorname{isSet}(A^{\blacktriangledown}) is derivable
(P prop_{s} [\Gamma])^{\blacktriangledown}
                                                                     is defined as
                                                                                                                      ||P^{\blacktriangledown}||:U_0[\Gamma^{\blacktriangledown}] such that \operatorname{pr}_{\mathsf{P}}(||P^{\blacktriangledown}||):\operatorname{isProp}(||P^{\blacktriangledown}||) is derivable
                                                                                                                      ||P^{\blacktriangledown}||:U_1[\Gamma^{\blacktriangledown}] such that \operatorname{pr}_{\mathsf{P}}(||P^{\blacktriangledown}||):\operatorname{isProp}(||P^{\blacktriangledown}||) is derivable
(P prop [\Gamma])^{\blacktriangledown}
                                                                     is defined as
(A = B \text{ set } [\Gamma])^{\blacktriangledown}
                                                                     is defined as
                                                                                                                      (A^{\blacktriangledown}, \operatorname{pr}_{\mathbb{S}}(A^{\blacktriangledown})) \, =_{ext} (B^{\blacktriangledown}, \operatorname{pr}_{\mathbb{S}}(B^{\blacktriangledown})) : \operatorname{Set}_{U_0} \, [\Gamma^{\blacktriangledown}]
                                                                     is defined as
                                                                                                                      (A^{\blacktriangledown}, \operatorname{pr}_{S}(A^{\blacktriangledown})) =_{ext} (B^{\blacktriangledown}, \operatorname{pr}_{S}(B^{\blacktriangledown})) : \operatorname{Set}_{U_{1}} [\Gamma^{\blacktriangledown}]
(A = B \ col \ [\Gamma])^{\blacktriangledown}
                                                                                                                      (||P^{\blacktriangledown}||, \mathrm{pr}_{\mathsf{P}}(||P^{\blacktriangledown}||)) \mathop{=_{\mathit{ext}}} (||Q^{\blacktriangledown}||, \mathrm{pr}_{\mathsf{P}}(||Q^{\blacktriangledown}||)) \ \colon \mathsf{Prop}_{U_0} \ [\Gamma^{\blacktriangledown}]
(P = Q prop_s [\Gamma])^{\nabla}
                                                                     is defined as
                                                                                                                      (||P^{\blacktriangledown}||, \operatorname{pr}_{\mathsf{P}}(||P^{\blacktriangledown}||)) =_{ext} (||Q^{\blacktriangledown}||, \operatorname{pr}_{\mathsf{P}}(||Q^{\blacktriangledown}||)) : \operatorname{Prop}_{U_1}^{\ \ 0} [\Gamma^{\blacktriangledown}]
(P = Q prop [\Gamma])^{\blacktriangledown}
                                                                     is defined as
                                                                                                                       a^{\widetilde{\blacktriangledown}}:_{ext} A^{\blacktriangledown} [\Gamma^{\blacktriangledown}]
(a \in A [\Gamma])^{\nabla}
                                                                     is defined as
                                                                                                                      a^{\widetilde{\blacktriangledown}} =_{ext} b^{\widetilde{\blacktriangledown}} : A^{\blacktriangledown} [\Gamma^{\blacktriangledown}]
                                                                     is defined as
(a = b \in A [\Gamma])^{\blacktriangledown}
```

The interpretation of emTT-constructors is defined as follows:

```
(\Sigma_{x \in A} B(x) \ [\Gamma])^\blacktriangledown \ :\equiv \Sigma_{x : A^\blacktriangledown} \ B(x)^\blacktriangledown \ [\Gamma^\blacktriangledown]
(\langle a, b \rangle)^{\blacktriangledown} :\equiv (a^{\widecheck{\blacktriangledown}}, b^{\widecheck{\blacktriangledown}})
(\mathrm{El}_{\Sigma}(d,c))^{\blacktriangledown} :\equiv \mathrm{ind}_{\Sigma}(d^{\blacktriangledown},x.y.c(x,y)^{\blacktriangledown})
\mathsf{pr}_{\mathsf{S}}((\Sigma_{x\in A}B(x))^{\blacktriangledown}) \ :\equiv \ \mathfrak{s}_{\Sigma}(A^{\blacktriangledown},\lambda x : A^{\blacktriangledown}.B(x)^{\blacktriangledown},\mathsf{pr}_{\mathsf{S}}(A^{\blacktriangledown}),\lambda x : A^{\blacktriangledown}.\mathsf{pr}_{\mathsf{S}}(B(x)^{\blacktriangledown}))
(\Pi_{x \in A} B(x) \, [\Gamma])^\blacktriangledown \ :\equiv \ \Pi_{x : A^\blacktriangledown} \, B(x)^\blacktriangledown \, [\Gamma^\blacktriangledown]
                                                                                                                                                                                                                                                                                                 (\lambda x.b(x))^{\blacktriangledown} :\equiv \lambda x.b(x)^{\widetilde{\blacktriangledown}}
\mathsf{pr}_{\mathsf{S}}((\Pi_{x\in A}B(x))^{\blacktriangledown}) \ :\equiv \ \mathfrak{S}_{\Pi}(A^{\blacktriangledown},\lambda x : A^{\blacktriangledown}.B(x)^{\blacktriangledown},\lambda x : A^{\blacktriangledown}.\mathsf{pr}_{\mathsf{S}}(B(x)^{\blacktriangledown}))
                                                                                                                                                                                                                                                                                                 (\mathsf{Ap}(f,a))^{\blacktriangledown} :\equiv f^{\blacktriangledown}(a^{\blacktriangledown})
(\mathsf{N}_0 \ [\Gamma])^{\blacktriangledown} :\equiv \mathbf{0} \ [\Gamma^{\blacktriangledown}] \quad (\mathsf{emp}_0(c))^{\blacktriangledown} :\equiv \mathsf{ind}_0(c^{\widetilde{\blacktriangledown}})
\operatorname{pr}_{\mathsf{S}}((\mathsf{N}_0)^{\blacktriangledown}) \; :\equiv \; \mathfrak{s}_0
(\mathsf{N}_1 \ [\Gamma])^{\blacktriangledown} :\equiv \mathbf{1} \ [\Gamma^{\blacktriangledown}] \quad (\star)^{\blacktriangledown} :\equiv \star
                                                                                                    (\mathrm{El}_{\mathsf{N}_1}(t,c))^{\blacktriangledown} :\equiv \mathsf{ind}_1(t^{\widecheck{\blacktriangledown}},c^{\widecheck{\blacktriangledown}})
\operatorname{pr}_{S}((N_{1})^{\blacktriangledown}) :\equiv \mathfrak{g}_{1}
(A+B\ [\Gamma])^{\blacktriangledown}\ :\equiv\ A^{\blacktriangledown}+B^{\blacktriangledown}\ [\Gamma^{\blacktriangledown}]
(\operatorname{inl}(a))^{\blacktriangledown} :\equiv \operatorname{inl}(a^{\blacktriangledown})
                                                                                                   (\operatorname{inr}(b))^{\blacktriangledown} :\equiv \operatorname{inr}(b^{\widetilde{\blacktriangledown}})
(\mathrm{El}_{+}(c,d_A,d_B))^{\blacktriangledown} :\equiv \mathrm{ind}_{+}(c^{\blacktriangledown},x.d_A(x)^{\blacktriangledown},y.d_B(y)^{\blacktriangledown})
\mathsf{pr}_{\mathsf{S}}((A+B)^{\blacktriangledown}) \ \ \vcentcolon\equiv \ \ \mathfrak{S}_{+}(A^{\blacktriangledown},B^{\blacktriangledown},\mathsf{pr}_{\mathsf{S}}(A^{\blacktriangledown}),\mathsf{pr}_{\mathsf{S}}(B^{\blacktriangledown}))
(\operatorname{List}(A)\ [\Gamma])^{\blacktriangledown}\ :\equiv\ \operatorname{List}(A^{\blacktriangledown})\ [\Gamma^{\blacktriangledown}]
                                                                                                                                                                                                                                            (\operatorname{cons}(\ell,a))^{\blacktriangledown} :\equiv \operatorname{cons}(\ell^{\widecheck{\blacktriangledown}},a^{\widecheck{\blacktriangledown}})
                                                                                                                                                                        (\epsilon)^{\blacktriangledown} :\equiv nil
                                                                                                                                                                       (\mathrm{El}_{\mathrm{List}}(c,d,l))^{\blacktriangledown} :\equiv \mathrm{ind}_{\mathrm{List}}(c^{\widecheck{\blacktriangledown}},d^{\widecheck{\blacktriangledown}},x.y.z.l(x,y,z)^{\widecheck{\blacktriangledown}})
\operatorname{pr}_{\mathbb{S}}((\operatorname{List}(A))^{\blacktriangledown}) :\equiv \mathfrak{F}_{\operatorname{List}}(A^{\blacktriangledown}, \operatorname{pr}_{\mathbb{S}}(A^{\blacktriangledown}))
(A/R \ [\Gamma])^{\blacktriangledown} \ :\equiv \ A^{\blacktriangledown}/R^{\blacktriangledown} \ [\Gamma^{\blacktriangledown}]
                                                                                                                                                                                                                                                                                                 ([a])^{\blacktriangledown} :\equiv \mathsf{q}(a^{\widetilde{\blacktriangledown}})
                                                                                                                                                                                                                                                                                                 (\mathrm{El}_O(p,c))^{\blacktriangledown} :\equiv ind_O(p\(\varphi\), c^{\varphi})
\operatorname{pr}_{\mathbb{S}}((A/R)^{\blacktriangledown}) \ \ :\equiv \ \ \mathfrak{S}_O(A^{\blacktriangledown}, R^{\blacktriangledown}, \operatorname{pr}_{\mathbb{S}}(A^{\blacktriangledown}), \operatorname{pr}_{\mathbb{P}}(R^{\blacktriangledown}), r^{\blacktriangledown}) \ \text{for some term } r
(\mathsf{true} \in R(a,b) \ [\Gamma])^{\blacktriangledown} :\equiv p : R(a,b)^{\blacktriangledown} \ [\Gamma^{\blacktriangledown}] \text{ for some term } p
```

```
(\mathcal{P}(1) \ [\Gamma])^{\blacktriangledown} \ :\equiv \ \mathsf{Prop}_{U_0} \ [\Gamma^{\blacktriangledown}]
([A])^{\blacktriangledown} :\equiv (||A^{\blacktriangledown}||, \operatorname{pr}_{\mathsf{P}}(||A^{\blacktriangledown}||))
\operatorname{pr}_{\mathsf{S}}((\mathcal{P}(1))^{\blacktriangledown}) :\equiv \mathfrak{S}_{\operatorname{Prop}_0}
(\mathsf{true} \in A \leftrightarrow B \ [\Gamma])^{\blacktriangledown} :\equiv p : ||A^{\blacktriangledown}|| \leftrightarrow ||B^{\blacktriangledown}|| \ [\Gamma^{\blacktriangledown}] \text{ for some term } p
(A \to \mathcal{P}(1) \ [\Gamma])^\blacktriangledown \ :\equiv \ A^\blacktriangledown \ \to \ \mathsf{Prop}_{U_0} \ [\Gamma^\blacktriangledown]
                                                                                                                                                                                                                       (\lambda x.b(x))^{\blacktriangledown} :\equiv \lambda x.b(x)^{\widetilde{\blacktriangledown}}
\mathsf{pr}_{\mathsf{S}}((A \to \mathcal{P}(1))^{\blacktriangledown}) :\equiv \mathfrak{s}_{\Pi}(A^{\blacktriangledown}, \lambda : A^{\breve{\blacktriangledown}}.\mathsf{Prop}_{U_0}, \mathfrak{s}_{\mathsf{Prop}_0})
                                                                                                                                                                                                                    (\mathsf{Ap}(f,a))^{\blacktriangledown} :\equiv f^{\widetilde{\blacktriangledown}}(a^{\widetilde{\blacktriangledown}})
(\bot\ [\Gamma])^\blacktriangledown \ :\equiv \ ||\mathbf{0}||\ [\Gamma^\blacktriangledown] \qquad (\mathsf{true} \in C\ [\Gamma])^\blacktriangledown \ :\equiv \ \mathsf{ind}_{\bot^\blacktriangledown}(c^{\widetilde{\blacktriangledown}}) : C^\blacktriangledown\ [\Gamma^\blacktriangledown] \text{ for some term } c
                                                                                                     \operatorname{pr}_{S}((\bot)^{\blacktriangledown}) :\equiv \mathfrak{S}_{coe}((\bot)^{\blacktriangledown}, \operatorname{pr}_{P}((\bot)^{\blacktriangledown}))
\operatorname{pr}_{\mathsf{P}}((\bot)^{\blacktriangledown}) :\equiv \mathfrak{p}_{||\ ||}(\mathbf{0})
(A \lor B \ [\Gamma])^{\blacktriangledown} :\equiv A^{\blacktriangledown} \lor B^{\blacktriangledown} \ [\Gamma^{\blacktriangledown}]
(\mathsf{true} \in A \vee B \ [\Gamma])^{\blacktriangledown} :\equiv \mathsf{inl}_{\lor}(a^{\blacktriangledown}) : A^{\blacktriangledown} \vee B^{\blacktriangledown} \ [\Gamma^{\blacktriangledown}] \text{ for some term } a
(\mathsf{true} \in A \vee B \ [\Gamma])^{\blacktriangledown} :\equiv \mathsf{inr}_{\lor}(b^{\widetilde{\blacktriangledown}}) : A^{\blacktriangledown} \vee B^{\blacktriangledown} \ [\Gamma^{\blacktriangledown}] \text{ for some term } b
(\mathsf{true} \in C \ [\Gamma])^{\blacktriangledown} :\equiv \mathsf{ind}_{\lor}(d^{\widetilde{\blacktriangledown}}, x.c_1(x)^{\widetilde{\blacktriangledown}}, y.c_2(y)^{\widetilde{\blacktriangledown}}) : C^{\blacktriangledown} \ [\Gamma^{\blacktriangledown}] \text{ for some terms } c_1, c_2, d
\operatorname{pr}_{\mathsf{P}}((A \vee B)^{\blacktriangledown}) :\equiv \mathfrak{p}_{\vee}(A^{\blacktriangledown}, B^{\blacktriangledown})
\mathsf{pr}_{\mathsf{S}}((A \vee B)^{\blacktriangledown}) \ :\equiv \ \mathfrak{s}_{coe}((A \vee B)^{\blacktriangledown}, \mathsf{pr}_{\mathsf{P}}((A \vee B)^{\blacktriangledown}))
(A \wedge B \ [\Gamma])^{\blacktriangledown} :\equiv ||A^{\blacktriangledown} \times B^{\blacktriangledown}|| \ [\Gamma^{\blacktriangledown}]
(\mathsf{true} \in A \land B \ [\Gamma])^{\blacktriangledown} :\equiv (a^{\blacktriangledown}_{, \land} b^{\blacktriangledown}) : ||A^{\blacktriangledown} \times B^{\blacktriangledown}|| \ [\Gamma^{\blacktriangledown}] \text{ for some terms } a, b
(\mathsf{true} \in A \ [\Gamma])^{\blacktriangledown} :\equiv \mathsf{pr}_{1, \wedge}(c^{\blacktriangledown}) : A^{\blacktriangledown} \ [\Gamma^{\blacktriangledown}] \text{ for some term } c
(\mathsf{true} \in B \ [\Gamma])^{\blacktriangledown} \ :\equiv \ \mathsf{pr}_{2\wedge}(c^{\widecheck{\blacktriangledown}}) : B^{\blacktriangledown} \ [\Gamma^{\blacktriangledown}] \ \text{for some term } c
\operatorname{pr}_{\mathsf{P}}((A \wedge B)^{\blacktriangledown}) :\equiv \mathfrak{p}_{||\times||}(A^{\blacktriangledown}, B^{\blacktriangledown})
\mathsf{pr}_{\mathsf{S}}((A \wedge B)^{\blacktriangledown}) \ :\equiv \ {\sharp}_{coe}((A \wedge B)^{\blacktriangledown}, \mathsf{pr}_{\mathsf{P}}((A \wedge B)^{\blacktriangledown}))
(A \to B \ [\Gamma])^{\blacktriangledown} :\equiv ||A^{\blacktriangledown} \to B^{\blacktriangledown}|| \ [\Gamma^{\blacktriangledown}]
(\mathsf{true} \in A \to B \ [\Gamma])^{\blacktriangledown} :\equiv \lambda_{\rightharpoonup} x. b^{\widecheck{\blacktriangledown}} : ||A^{\blacktriangledown} \to B^{\blacktriangledown}|| \ [\Gamma^{\blacktriangledown}] \text{ for some term } b
(\mathsf{true} \in B \ [\Gamma])^{\blacktriangledown} :\equiv f_{-}^{\blacktriangledown}(a^{\blacktriangledown}) : B^{\blacktriangledown} \ [\Gamma^{\blacktriangledown}] \text{ for some terms } a, f
\operatorname{pr}_{\mathsf{P}}((A \to B)^{\blacktriangledown}) :\equiv \mathfrak{p}_{||\to||}(A^{\blacktriangledown}, B^{\blacktriangledown})
\operatorname{pr}_{\mathsf{S}}((A \to B)^{\blacktriangledown}) \ \ \vcentcolon \equiv \ \ \mathfrak{S}_{coe}((A \to B)^{\blacktriangledown}, \operatorname{pr}_{\mathsf{P}}((A \to B)^{\blacktriangledown}))
(\exists_{x \in A} B(x) [\Gamma])^{\blacktriangledown} :\equiv \exists_{x : A^{\blacktriangledown}} B(x)^{\blacktriangledown} [\Gamma^{\blacktriangledown}]
(\mathsf{true} \in \exists_{x \in A} B(x) \ [\Gamma])^{\blacktriangledown} : \equiv (a^{\widecheck{\blacktriangledown}}, \exists b^{\widecheck{\blacktriangledown}}) : \exists_{x : A^{\blacktriangledown}} B(x)^{\blacktriangledown} \ [\Gamma^{\blacktriangledown}] \text{ for some terms } a, b
(\mathsf{true} \in C \ [\Gamma])^{\blacktriangledown} :\equiv \mathsf{ind}_{\exists}(d^{\blacktriangledown}, x.y.c(x,y)^{\blacktriangledown}) : C^{\blacktriangledown} \ [\Gamma^{\blacktriangledown}] \text{ for some terms } c,d
\operatorname{pr}_{\mathsf{P}}((\exists_{x \in A} B(x))^{\blacktriangledown}) :\equiv \mathfrak{p}_{\exists}(A^{\blacktriangledown}, \lambda x : A^{\blacktriangledown}.B(x)^{\blacktriangledown})
\operatorname{pr}_{\mathsf{S}}((\exists_{x\in A}B(x))^{\blacktriangledown}) \ :\equiv \ \mathfrak{S}_{\operatorname{coe}}((\exists_{x\in A}B(x))^{\blacktriangledown}, \operatorname{pr}_{\mathsf{P}}((\exists_{x\in A}B(x))^{\blacktriangledown}))
(\forall_{x \in A} B(x) [\Gamma])^{\blacktriangledown} :\equiv ||\Pi_{x:A^{\blacktriangledown}} B(x)^{\blacktriangledown}|| [\Gamma^{\blacktriangledown}]
(\mathsf{true} \in \forall_{x \in A} B(x) \ [\Gamma])^{\blacktriangledown} \ :\equiv \ \lambda_{\forall} x. b(x)^{\blacktriangledown} \ : ||\Pi_{x:A^{\blacktriangledown}} \ B(x)^{\blacktriangledown}|| \ [\Gamma^{\blacktriangledown}] \ \text{for some term } b
(\mathsf{true} \in B(a) \ [\Gamma])^{\blacktriangledown} \ :\equiv \ (f^{\widecheck{\blacktriangledown}})_{\forall}(a^{\widecheck{\blacktriangledown}}) : B(a)^{\blacktriangledown} \ [\Gamma^{\blacktriangledown}] \text{ for some terms } a,f
\operatorname{pr}_{\mathsf{P}}((\forall_{x \in A} B(x))^{\blacktriangledown}) :\equiv \mathfrak{p}_{||\Pi||}(A^{\blacktriangledown}, \lambda x : A^{\blacktriangledown}.B(x)^{\blacktriangledown})
\mathsf{pr}_{\mathsf{S}}((\forall_{x \in A} B(x))^{\blacktriangledown}) \ \ \vcentcolon\equiv \ \ \mathfrak{g}_{coe}((\forall_{x \in A} B(x))^{\blacktriangledown}, \, \mathsf{pr}_{\mathsf{P}}((\forall_{x \in A} B(x))^{\blacktriangledown}))
(\operatorname{Eq}(A,a,b)\ [\Gamma])^\blacktriangledown : \equiv \ ||\operatorname{Id}_{A^\blacktriangledown}(a^{\widetilde\blacktriangledown},b^{\widetilde\blacktriangledown})||\ [\Gamma^\blacktriangledown]
(\mathsf{true} \in \mathsf{Eq}(A, a, a) \ [\Gamma])^{\blacktriangledown} \ :\equiv \ |\mathsf{refl}_{a^{\blacktriangledown}}| \ : \ ||\mathsf{Id}_{A^{\blacktriangledown}}(a^{\widetilde{\blacktriangledown}}, a^{\widetilde{\blacktriangledown}})|| \ [\Gamma^{\blacktriangledown}] \ \text{for some term } a
\mathsf{pr}_{\mathsf{P}}((\mathrm{Eq}(A,a,b))^{\blacktriangledown}) \ \vcentcolon\equiv \ \mathfrak{p}_{||\ ||}(A^{\blacktriangledown}, a^{\widetilde{\blacktriangledown}}, b^{\widetilde{\blacktriangledown}}, \mathrm{Id}_{A^{\blacktriangledown}}(a^{\widetilde{\blacktriangledown}}, b^{\widetilde{\blacktriangledown}}))
\operatorname{pr}_{\mathsf{S}}((\operatorname{Eq}(A,a,b))^{\blacktriangledown}) \ \ \vcentcolon\equiv \ \ \mathfrak{F}_{coe}((\operatorname{Eq}(A,a,b))^{\blacktriangledown}, \, \operatorname{pr}_{\mathsf{P}}((\operatorname{Eq}(A,a,b))^{\blacktriangledown}))
```

**Remark 5.6.** We could alternatively give a single clause for judgements with the proof-term 'true', namely (true)  $\equiv p$  for some proof-term p in **HoTT**. This would allow us to avoid to specify the interpretation of true for each term constructor, since all these cases would be particular instances of this generic clause, but then we should make explicit how to recover them in the validity theorem.

**Definition 5.7.** Let  $(-)^{\spadesuit}$  be a multifunctional interpretation from the raw-syntax of **emTT**-types and terms judgements to the raw-syntax of **HoTT**-types and terms judgements defined as follows:

```
(\mathcal{J})^{\spadesuit} :\equiv (\mathcal{J})^{\blacktriangledown} if \mathcal{J} is a type judgement (\mathcal{J})^{\spadesuit} :\equiv (\mathcal{J})^{\widecheck{\blacktriangledown}} if \mathcal{J} is a term judgement
```

In order to define the interpretation of emTT-judgements into the category  $Set_{mf/s}$ , we need to allow the possibility of regarding dependent types as arrows into the category and the following definition is introduced for this purpose:

**Definition 5.8.** Let  $\Gamma$  be a context in **HoTT**, then we define by induction over the length of  $\Gamma$  the indexed closure  $Sig(\Gamma)$ , which comes equipped with projections  $\pi_i^n(z)$  for  $z: Sig(\Gamma)$  and i = 1, ..., n

If  $\Gamma :\equiv x : A$ , then  $Sig(\Gamma) :\equiv A$  and  $\pi_1^1(z) :\equiv z$ 

If 
$$\Gamma :\equiv \Delta, x : A$$
 of length  $n+1$ , then  $Sig^1(\Gamma) :\equiv (\Sigma_{z:Sig(\Delta)} A[\pi_1^n(z)/x_1, \dots, \pi_n^n(z)/x_n])$ 

where 
$$\pi_i^{n+1}(w) :\equiv \pi_i^n(\pi_1(w))$$
 for  $i = 1, ..., n$  and  $\pi_{n+1}^{n+1}(w) :\equiv \pi_2(w)$  for any  $w : \Sigma_{z : Sig(\Delta)} A[\pi_1^n(z)/x_1, ..., \pi_n^n(z)/x_n]$ .

where  $\pi_i^{n+1}(w) :\equiv \pi_i^n(\pi_1(w))$  for  $i=1,\ldots,n$  and  $\pi_{n+1}^{n+1}(w) :\equiv \pi_2(w)$  for any  $w : \Sigma_{z:Sig(\Delta)}A[\pi_1^n(z)/x_1,\ldots,\pi_n^n(z)/x_n]$ . Moreover, we denote  $\overline{a}$  the result of the substitution of the free variables  $x_1,\ldots,x_n$  in a term a with  $\pi_i^n(z)$  for  $i=1,\ldots,n$  and  $z: Sig(\Gamma)$ .

The definition of the multi-function interpretation  $(-)^{\blacklozenge}$  from the raw-syntax of emTT to the raw-syntax of HoTT allows us to define a direct interpretation  $In_D$ : emTT  $\rightarrow$  Set<sub> $mf/\infty$ </sub> of emTT-judgements into the category Set<sub> $mf/\infty$ </sub> described in Definition 4.14.

**Definition 5.9.** The interpretation  $\mathbf{In}_D$ :  $\mathbf{emTT} \to \mathsf{Set}_{mf/\cong}$  is defined by using the partial multi-function  $(-)^{\spadesuit}$  in the following way:

- An **emTT**-type judgements is interpreted as a projection in  $\mathsf{Set}_{mf}_{/\simeq}$ 

$$\operatorname{In}_{D}(A \text{ type } [\Gamma]) :\equiv [\pi_{1}] : [\operatorname{Sig}(\Gamma^{\blacklozenge}, A^{\spadesuit})] \to [\operatorname{Sig}(\Gamma^{\spadesuit})]$$

which amounts to derive  $A^{\blacklozenge}$  [ $\Gamma^{\blacklozenge}$ ] in **HoTT** with canonical transports.

- An emTT-type equality judgement is interpreted as the equality of type interpretations in  $\mathsf{Set}_{mf}$ 

$$\mathbf{In}_{D} \; (A = B \; type \; [\Gamma]) \; \mathop{:=} \; \; \mathbf{In}_{D} \; (A \; type \; [\Gamma]) =_{\mathsf{Set}_{mf}} \mathbf{In}_{D} \; (B \; type \; [\Gamma])$$

which amounts to derive  $A^{\blacklozenge}[\Gamma^{\blacklozenge}] =_{ext} B^{\blacklozenge}[\Gamma^{\blacklozenge}]$  and hence  $A^{\blacklozenge} =_{U_1} B^{\blacklozenge}[\Gamma^{\blacklozenge}]$ .

- An emTT-term judgement is interpreted as a section of the interpretation of the corresponding type

$$\operatorname{In}_{D}(a \in A[\Gamma]) := [\langle z, \overline{a}^{\blacklozenge} \rangle] : [\operatorname{Sig}(\Gamma^{\blacklozenge})] \to [\operatorname{Sig}(\Gamma^{\blacklozenge}, A^{\blacklozenge})]$$

which amounts to derive  $a^{\spadesuit}: A^{\spadesuit}[\Gamma^{\spadesuit}]$  in **HoTT** with  $A^{\spadesuit}[\Gamma^{\spadesuit}]$  equipped with canonical transports.

- An emTT-term equality judgement is interpreted as the equality of term interpretations in  $\mathsf{Set}_{mf/\simeq}$ 

$$\mathbf{In}_{D}\;(a=b\in A\;[\Gamma])\;\; \vcentcolon\equiv\; \mathbf{In}_{D}\;(a\in A\;[\Gamma]) =_{\mathsf{Set}_{mf}} \mathbf{In}_{D}\;(b\in A\;[\Gamma])$$

which amounts to derive  $a^{\blacklozenge} =_{A^{\blacklozenge}} b^{\blacklozenge} [\Gamma^{\blacklozenge}]$ , for some  $a^{\blacklozenge} : A^{\blacklozenge} [\Gamma^{\blacklozenge}]$  and  $b^{\diamondsuit} : A^{\diamondsuit} [\Gamma^{\blacklozenge}]$ .

In the following, given  $\Gamma: \equiv \Delta', x_n: A_n, \Delta''$  with  $\Delta'': \equiv x_{n+1}: A_{n+1}, \dots, x_m: A_m$ , then for every  $a: A_n$  [ $\Delta'$ ] and for any type B type  $[\Gamma]$ , we denote the substitution of  $x_n$  with a in B as

$$B[a/x_n]$$
 type  $[\Delta', \Delta''_n]$ 

instead of the extended form

$$B[a/x_n][x_i'/x_i]_{i=n+1,...,m}$$
 type  $[\Delta', \Delta_a'']$ 

where

$$\Delta_a'' :\equiv x'_{n+1} : A'_{n+1}, \dots, x'_m : A'_m$$

and

$$A'_{i} :\equiv A_{i}[a_{n}/x_{n}][x'_{i}/x_{i}]_{i=n+2,...,m}$$

if  $n+2 \le m$ , otherwise  $A'_{n+1} :\equiv A_{n+1}[a_n/x_n]$ . Moreover, if  $\Delta''$  is the empty context, then  $\Delta''_a$  is the empty context as well. We use similar abbreviations also for terms.

**Lemma 5.10** (Substitution). For any emTT-judgement B type  $[\Gamma]$  interpreted in  $Set_{mf/2}$  as

$$[\pi_1]: [Sig(\Gamma^{\blacklozenge}, y: B^{\blacklozenge})] \rightarrow [Sig(\Gamma^{\blacklozenge})]$$

if  $\Gamma := \Delta', x_n \in A_n, \Delta''$ , then for every **emTT**-judgement  $a \in A_n$  [ $\Delta'$ ] interpreted as  $[\langle z, \overline{a}^{\blacklozenge} \rangle] : [Sig(\Delta'^{\blacklozenge})] \to [Sig(\Delta'^{\blacklozenge}), x_n \in A_n^{\blacklozenge})]$ ,

$$\textbf{In}_{D}(B[a/x_{n}] \ type \ [\Delta', \Delta''_{a}]) \ =_{\mathsf{Set}_{mf/\underline{\cong}_{c}}} [\pi_{1}] \ : \ [Sig(\Delta'^{\blacklozenge}, \Delta''_{a}^{\blacklozenge}, y \in B^{\blacklozenge}[a^{\blacklozenge}/x_{n}])] \rightarrow [Sig(\Delta'^{\blacklozenge}, \Delta''_{a}^{\blacklozenge})]$$

Similarly, for any emTT-judgement  $b \in B[\Gamma]$ , where B and  $\Gamma$  are exactly as specified above, and which is interpreted as  $[\langle z, \overline{b}^{\blacklozenge} \rangle] : [Sig(\Gamma^{\blacklozenge})] \to [Sig(\Gamma^{\blacklozenge}, v : B^{\blacklozenge})]$ .

$$\begin{split} \textit{In}_{D}(b[a/x_{n}] \in B[a/x_{n}] \; [\Delta', \Delta''_{a}]) =_{\mathsf{Set}_{mf/\cong_{c}}} \\ [\langle z, b^{\blacklozenge}[a^{\blacklozenge}/x_{n}] \; \rangle \; ] : [Sig(\Delta'^{\blacklozenge}, \Delta''_{a}^{\blacklozenge})] \rightarrow [Sig(\Delta'^{\blacklozenge}, \Delta''_{a}^{\blacklozenge}, y \in B^{\blacklozenge}[a^{\blacklozenge}/x_{n}])]. \end{split}$$

**Proof.** By induction over the interpretation of raw types and terms after noting that canonical isomorphisms are closed under substitution.  $\Box$ 

**Theorem 5.11.** If A type  $[\Gamma]$  is derivable in **emTT**, then  $\mathbf{In}_D$  (A type  $[\Gamma]$ ) is well-defined.

If  $a \in A[\Gamma]$  is derivable in **emTT**, then  $\mathbf{In}_D(a \in A[\Gamma])$  is well-defined.

If A type  $[\Gamma]$ , B type  $[\Gamma]$  and A = B  $[\Gamma]$  are derivable in **emTT**, then  $\mathbf{In}_D$  (A = B  $[\Gamma])$  is well-defined.

If  $a \in A[\Gamma]$ ,  $b \in A[\Gamma]$  and  $a = b \in A[\Gamma]$  are derivable in emTT, then  $\operatorname{In}_D(a = b \in A[\Gamma])$  is well-defined.

Therefore, **emTT** is valid with respect to the interpretation  $In_D$ .

**Proof.** The proof is by induction over the derivation of judgements. Sets in  $Set_{mf}$  form a  $\Pi$ -pretopos, therefore they possess enough structure to interpret **emTT**-type and term constructors. Note that conversion rules are interpreted correctly by canonical isomorphisms, since it is possible to coerce a term along a canonical isomorphism for the definitions given above. Indeed the rule

$$\frac{a \in A \ [\Gamma] \qquad A = B \ type \ [\Gamma]}{a \in B \ [\Gamma]} conv$$

is interpreted as follows: by inductive hypothesis,  $\mathbf{In}_D(a \in A \ [\Gamma])$  is well-defined and amounts to derive  $a^{\blacklozenge}: A^{\blacklozenge} \ [\Gamma^{\blacklozenge}]$  for some  $a^{\blacklozenge}$  and some  $A^{\blacklozenge}$   $type \ [\Gamma^{\blacklozenge}]$  in **HoTT**; further,  $\mathbf{In}_D(A = B \ type \ [\Gamma])$  is well-defined too and amounts to derive  $A^{\blacklozenge} =_{ext} B^{\blacklozenge} \ [\Gamma^{\blacklozenge}]$  for some canonical isomorphism  $\mu: A^{\blacklozenge} \to B^{\blacklozenge} \ [\Gamma^{\blacklozenge}]$  and for some  $A^{\blacklozenge}$   $type \ [\Gamma^{\blacklozenge}]$ ,  $B^{\blacklozenge}$   $type \ [\Gamma^{\blacklozenge}]$  in **HoTT** and thus, by Univalence, it boils down to  $A^{\blacklozenge} =_{U_i} B^{\blacklozenge} \ [\Gamma^{\blacklozenge}]$ . Therefore,  $\mathbf{In}_D(a \in B \ [\Gamma])$  is well-defined, since  $\mu(a): B^{\blacklozenge} \ [\Gamma^{\blacklozenge}, a: A^{\blacklozenge}]$  is derivable and, moreover, such an isomorphism is unique up to propositional equality.

The power collection of the singleton  $\mathcal{P}(1)$  is interpreted as  $\mathsf{Prop}_{U_0}$ :  $U_1$  together with a proof  $\mathsf{pr}_{\mathbb{S}}((\mathcal{P}(1)^{\spadesuit}))$ : is  $\mathsf{Set}((\mathcal{P}(1)^{\spadesuit}))$ . The introduction rule

$$\frac{A \operatorname{prop}_{s} [\Gamma]}{[A] \in \mathcal{P}(1) [\Gamma]} \text{I-P}$$

is validated as follows: by induction hypothesis,  $\mathbf{In}_D(A\ prop_s\ [\Gamma])$  is well-defined and amounts to derive  $||A^{\blacklozenge}||$ :  $U_0\ [\Gamma^{\blacklozenge}]$  together with  $\mathsf{pr}_\mathsf{P}(||A^{\blacklozenge}||)$ : is $\mathsf{Prop}(||A^{\blacklozenge}||)$ . Therefore the conclusion is immediately valid, since it boils down to derive the following judgement  $(||A^{\blacklozenge}||,\mathsf{pr}_\mathsf{P}(||A^{\blacklozenge}||))$ :  $\mathsf{Prop}_{U_0}\ [\Gamma^{\blacklozenge}]$ .

Then there are the following two rules:

$$\frac{\mathsf{true} \in A \leftrightarrow B \; [\Gamma]}{[A] = [B] \in \mathcal{P}(1) \; [\Gamma]} \mathsf{eq} - \mathcal{P}(1) \qquad \frac{[A] = [B] \in \mathcal{P}(1) \; [\Gamma]}{\mathsf{true} \in A \leftrightarrow B \; [\Gamma]} \mathsf{eff} - \mathcal{P}(1)$$

For the first: by induction hypothesis,  $\mathbf{In}_D(\mathsf{true} \in A \leftrightarrow B \ [\Gamma])$  is well-defined and hence there exists a proof-term p such that  $p: ||A^{\blacklozenge}|| \leftrightarrow ||B^{\blacklozenge}|| \ [\Gamma^{\blacklozenge}]$  is derivable and  $(\mathsf{true})^{\blacklozenge} :\equiv p$ , but then by Propositional Extensionality we can infer  $||A^{\blacklozenge}|| =_{U_0} ||B^{\blacklozenge}|| \ [\Gamma^{\blacklozenge}]$  and then the conclusion is valid, because  $(||A^{\blacklozenge}||, \mathsf{pr}_{\mathsf{P}}(||A^{\blacklozenge}||)) =_{\mathsf{Prop}_{U_0}} (||B^{\blacklozenge}||, \mathsf{pr}_{\mathsf{P}}(||B^{\blacklozenge}||))$  holds. The latter instead trivially follows by definition of  $(-)^{\blacklozenge}$ .

For emTT-quotients we have the effectiveness rule:

$$\frac{a \in A \; [\Gamma] \qquad b \in A \; [\Gamma] \qquad [a] = [b] \in A/R \; [\Gamma] \quad A/R \; set \; [\Gamma]}{\mathsf{true} \in R(a,b) \; [\Gamma]} \mathsf{eff} \text{-} Q$$

which is interpreted as follows: by induction hypothesis,  $\operatorname{In}_D$  applied to the premises is well-defined and this amounts to derive that there exist  $a^{\blacklozenge}, b^{\blacklozenge}$  in HoTT such that  $a^{\blacklozenge}: A^{\blacklozenge}$   $[\Gamma^{\blacklozenge}], b^{\blacklozenge}: A^{\blacklozenge}$   $[\Gamma^{\blacklozenge}], q(a^{\blacklozenge}) =_{A^{\blacklozenge}/R^{\diamondsuit}} q(b^{\blacklozenge})$   $[\Gamma^{\blacklozenge}]$  are derivable and  $A^{\blacklozenge}/R^{\diamondsuit}: U_0$   $[\Gamma^{\blacklozenge}]$  together with a proof  $\operatorname{pr}_S(A^{\blacklozenge}/R^{\diamondsuit})$ : is  $\operatorname{Set}(A^{\blacklozenge}/R^{\diamondsuit})$  is derivable as well for some  $A^{\blacklozenge}$  and  $R^{\blacklozenge}$ . Since  $\operatorname{set}$  quotients in HoTT are effective (see Remark 2.20), then the interpretation of the conclusion is well-defined and the effectiveness rule is validated by our interpretation. Indeed, for some HoTT-term  $\operatorname{p}$  such that  $(\operatorname{true})^{\blacklozenge}:=\operatorname{p}$ , we can derive  $\operatorname{p}:R(a,b)^{\blacklozenge}$   $[\Gamma^{\blacklozenge}]$ .

The reflection rule for extensional propositional equality

$$\frac{\mathsf{true} \in \mathsf{Eq}(A, a, b) \ [\Gamma]}{a = b \in A \ [\Gamma]} \mathsf{E}\text{-}\mathsf{Eq}$$

is trivially validated by our interpretation. Indeed, if we assume that  $\mathbf{In}_D$  is well-defined for the premise, then this means that  $p: \mathrm{Id}_{A^{\spadesuit}}(a^{\spadesuit}, b^{\spadesuit})$  [ $\Gamma^{\spadesuit}$ ] is derivable for some  $a^{\spadesuit}, b^{\spadesuit}: A^{\spadesuit}$  and some proof-term p. But then the interpretation of the conclusion is well-defined as well, since it amounts to derive  $p: \mathrm{Id}_{A^{\spadesuit}}(a^{\spadesuit}, b^{\spadesuit})$  [ $\Gamma^{\spadesuit}$ ] for some p.

In general, the interpretation of the judgements with proof-term true works by restoring a corresponding proof-term in the intensional setting:  $\mathbf{In}_D(\mathsf{true} \in A \ [\Gamma])$  amounts to derive that there exists a term p such that  $p: A^{\blacklozenge} \ [\Gamma^{\blacklozenge}]$  is derivable in **HoTT** and where p corresponds to  $(\mathsf{true})^{\blacklozenge}$ . By way of example, let us consider the following rule:

$$\frac{a \in A [\Gamma]}{\mathsf{true} \in \mathsf{Eq}(A, a, a) [\Gamma]} \mathsf{I-Eq}$$

By induction hypothesis,  $\operatorname{In}_D(a \in A \ [\Gamma])$  is well-defined and hence we can derive  $a^{\blacklozenge}: A^{\blacklozenge} \ [\Gamma^{\blacklozenge}]$  for some term  $a^{\blacklozenge}$  in **HoTT**; then the interpretation of the conclusion is also well-defined, since  $|\operatorname{refl}_{a^{\blacklozenge}}|: ||\operatorname{Id}_{A^{\spadesuit}}(a^{\blacklozenge}, a^{\spadesuit})|| \ [\Gamma^{\blacklozenge}]$  is derivable and  $(\operatorname{true})^{\blacklozenge}: \equiv |\operatorname{refl}_{a^{\spadesuit}}|$ . Therefore, the rule I-Eq is validated by our interpretation.

Finally, note that the validity of  $\beta$ -rules also depends on the substitution Lemma 5.10.  $\square$ 

**Remark 5.12.** An important feature of the interpretation of **emTT** is that it can be regarded as an extension of Martin-Löf's interpretation of *true judgements* [16,17]. A judgement of the form A *true* must be read intuitionistically as 'there exists a proof of A'. In **emTT** we know that there exists a unique canonical inhabitant for propositions denoted by true and hence we have that A *true* : $\equiv$  true  $\in A$ . By applying the interpretation defined above, we can recover a proof-term p such that p:  $A^{\spadesuit}$ . Such p could be considered as a typed realizer. Indeed, as a result of the validity of the interpretation, true judgments are endowed with computational content. However, this result was already achieved in the interpretation of **emTT** in **mTT** given in [14]. We just remark that this applies also to the present interpretation.

Remark 5.13. We could have interpreted emTT within HoTT in another way by employing as an intermediate step the interpretation of emTT within the quotient model construction  $Q(mTT)/\cong$  done in [14]. The reason is that this quotient model construction could be functorially mapped into  $Set_{mf/\cong_c}$  by employing set-quotients of HoTT and a variation of the interpretation (–)\* of mTT within HoTT where all emTT propositions are interpreted as truncated propositions (as in Remark 2.14) in order to guarantee that the canonical isomorphisms defined in [14] between extensional dependent types, which are actually dependent setoids (the word "setoid" was avoided in [14] because mTT-types are not all called sets!), are sent to canonical isomorphisms of HoTT as defined in 4.7.

The existence of such an alternative interpretation into  $\operatorname{Set}_{mf}/\cong_c$  is also expected for categorical reasons. First,  $\operatorname{Q}(\mathbf{mTT})/\cong$  is an instance of a general categorical construction called *elementary quotient completion* in [25,23]. Second, such a completion satisfies a universal property with respect to suitable Lawvere's elementary doctrines closed under stable effective quotients including as an example the elementary doctrine of h-propositions indexed over a suitable syntactic category of h-sets of **HoTT** thanks to the presence of set-quotients in **HoTT**. However, it is not guaranteed that the resulting translation from **emTT** into **HoTT** shows that **emTT** is compatible with **HoTT** by construction. We think that the best way to show this would be to check that this alternative interpretation is "isomorphic" to the one described in this section according to a suitable notion of isomorphism between interpretations of **emTT** which would be better described after shaping both interpretations in categorical terms as functors from a suitable syntactic category of **emTT** into a category with families, in the sense of [7], built out of  $\operatorname{Set}_{mf}/\cong_c$ . The precise definition of this alternative compatible translation of **emTT** within **HoTT** and the possible use of an heterogeneous equality as in [1,38] are left to future work.

**Remark 5.14.** Note that the interpretations of **mTT** and **emTT** within **HoTT** presented in the previous section, interpret both the **mTT**-universe of small propositions  $\mathsf{Prop}_s$  and the **emTT** power-collection  $\mathcal{P}(1)$  of the singleton set as the set  $\mathsf{Prop}_{U_0}$  of h-propositions in the first universe up to propositional equality. Indeed, we could have interpreted the equality judgements of **mTT** concerning the definitional equality of types and terms as done for **emTT**.

However, we have chosen to interpret the definitional equality of mTT-types and terms as *definitional equality of types and terms* of *HoTT* to preserve not only the meaning of mTT-sets and propositions but also the type-theoretic distinction between definitional and propositional equality which disappears in the extensional version of dependent type theories as emTT.

Remark 5.15 (Related Works). Of course, the already cited work by M. Hofmann in [9,10] is related to the one presented here, being related to the interpretation of emTT into mTT in [14] as said in the Introduction. Hofmann aimed to show the conservativity of extensional type theory over the intensional one extended with function extensionality and uniqueness of identity proofs axioms. His approach is semantic since he employed a category with families quotiented under canonical isomorphisms. The drawback of using such a semantic approach is that the whole development relies on the Axiom of Choice, which allows to pick out a representative from each equivalence class involved in the construction.

Hofmann's interpretation was made effective later in [30,38] by defining a syntactical translation which is closed to our interpretation of **emTT** into **HoTT**. Both interpretations are actually multifunctional since they associate to any judgment in the source extensional type theory a set of possible judgments in the target intensional type theory linked by means of an heterogenous equality in [30,38] and by canonical isomorphisms in ours.

Note that our interpretation does not achieve any conservativity result over **HoTT**: first, **emTT** is not an extension of **HoTT** and moreover the derivability of the axiom of unique choice in **HoTT** prevents any conservativity result because it is not valid in **emTT** (see Remark 2.1).

#### 6. Conclusions

We have shown how to interpret both levels of MF within HoTT in a compatible way by preserving the meaning of logical and set-theoretical constructors. Higher inductive set-quotients, Univalence for h-propositions in the first universe  $U_0$  and function extensionality for h-sets within the second universe  $U_1$  are the additional principles on the top of Martin-Löf's type theory which are needed to interpret emTT within HoTT in a way that preserves compatibility. On the other hand, the interpretation also works thanks to the possibility of defining canonical isomorphisms within HoTT. Further, the interpretation of emTT-propositions extends the interpretation of true judgements in the sense of [16,17].

In the future we hope to investigate the alternative translation of emTT within HoTT mentioned in Remark 5.13. Moreover, we would like to employ an extension of HoTT with Palmgren's superuniverse to interpret both levels of MF extended with inductive and coinductive definitions as in [20,28].

As a relevant consequence of the results shown here, both levels of MF inherit a computable model where proofs are seen as programs in [33] and a model witnessing its consistency with Formal Church's thesis in [36]. We leave to future work to relate them with those already available for MF extended with Church's thesis in [19], [11], [21], [20], and in particular with the predicative variant of Hyland's Effective Topos in [19]. It would also be very relevant from the computational point of view to relate MF and its extensions in [20] with Berger and Tsuiki's logic presented in [2] as a framework for program extraction from proofs.

# Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

# Data availability

No data was used for the research described in the article.

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# Appendix A. The translation of mTT-syntax in HoTT

Here we spell out the interpretation of the raw syntax of mTT-types and terms as raw types and terms of HoTT. First of all, all variables in mTT are translated as variables of HoTT without changing the name

```
x^{\bullet} :\equiv x
```

Then the interpretation of specific mTT-types and terms is defined in the following table:

```
(\mathsf{prop}_{\mathsf{s}})^{\bullet} :\equiv \mathsf{Prop}_{U_0}
pr_S((prop_s)^{\bullet}) :\equiv \mathfrak{g}_{Prop_o}
(\tau(p))^{\bullet} :\equiv \operatorname{pr}_1(p^{\bullet})
\operatorname{pr}_{\mathsf{P}}((\tau(p))^{\bullet}) :\equiv \operatorname{pr}_{2}(p^{\bullet})
\operatorname{pr}_{\mathbb{S}}((\tau(p))^{\bullet}) :\equiv \mathfrak{s}_{coe}((\tau(p))^{\bullet}, \operatorname{pr}_{\mathbb{P}}((\tau(p))^{\bullet}))
       (\widehat{\perp})^{\bullet} :\equiv (\mathbf{0}, \, \mathfrak{p}_0) \qquad (\widehat{\top})^{\bullet} :\equiv (\mathbf{1}, \, \mathfrak{p}_1)
        (p\widehat{\vee}q)^{\bullet} :\equiv (\operatorname{pr}_1(p^{\bullet}) \vee \operatorname{pr}_1(q^{\bullet}) , \ \mathfrak{p}_{\vee}(\operatorname{pr}_1(p^{\bullet}), \operatorname{pr}_1(q^{\bullet})))
       (p \widehat{\wedge} q)^{\blacksquare} : \equiv (\mathsf{pr}_1(p^{\blacksquare}) \times \mathsf{pr}_1(q^{\blacksquare}) \;,\; \mathfrak{p}_{\mathsf{X}}(\mathsf{pr}_1(p^{\blacksquare}), \mathsf{pr}_1(q^{\blacksquare}), \mathsf{pr}_2(p^{\blacksquare}), \mathsf{pr}_2(q^{\blacksquare})))
        (p \widehat{\to} q)^{\blacksquare} \ :\equiv \ (\mathsf{pr}_1(p^{\blacksquare}) \to \mathsf{pr}_1(q^{\blacksquare}) \ , \ \mathfrak{p}_{\to}(\mathsf{pr}_1(p^{\blacksquare}), \mathsf{pr}_1(q^{\blacksquare}), \mathsf{pr}_2(p^{\blacksquare}), \mathsf{pr}_2(q^{\blacksquare})))
        (\widehat{\exists}_{x \in A} p(x))^{\bullet} :\equiv (\exists_{x : A^{\bullet}} p(x)^{\bullet}, \mathfrak{p}_{\exists}(A^{\bullet}, \lambda x. \mathsf{pr}_{1}(p(x)^{\bullet})))
        (\widehat{\forall}_{x \in A} \, p(x))^{\blacksquare} \ :\equiv \ (\Pi_{x : A^{\blacksquare}} \, p(x)^{\blacksquare} \, , \, \, \mathfrak{p}_{\Pi}(A^{\blacksquare}, \lambda x. \mathsf{pr}_{1}(p(x)^{\blacksquare}), \, \lambda x. \mathsf{pr}_{2}(p(x)^{\blacksquare})))
       (\widehat{\mathrm{Id}}(A,a,b))^{\bullet} :\equiv (\mathrm{Id}_{A^{\bullet}}(a^{\bullet},b^{\bullet}), \mathfrak{p}_{Id}(A^{\bullet},\mathsf{pr}_{S}(A^{\bullet}),a^{\bullet},b^{\bullet}))
(A \to \mathsf{prop}_{\mathsf{s}})^{\bullet} :\equiv A^{\bullet} \to \mathsf{Prop}_{U_0}
                                                                                                                                                                                                           (\lambda x.b(x))^{\bullet} :\equiv \lambda x.b(x)^{\bullet}
```

```
(\Sigma_{x \in A} B(x))^{\bullet} :\equiv \Sigma_{x : A^{\bullet}} B(x)^{\bullet}
(\langle a,b\rangle)^{\bullet} :\equiv (a^{\bullet},b^{\bullet})
(\mathrm{El}_{\Sigma}(d,c))^{\bullet} :\equiv ind_{\Sigma}(d^{\ldot},x.y.c(x,y)^{\ldot})
\mathsf{pr}_{\mathsf{S}}((\Sigma_{x\in A}B(x))^{\blacksquare}) \ :\equiv \ \mathfrak{s}_{\Sigma}(A^{\blacksquare},\lambda x:A^{\blacksquare}.B(x)^{\blacksquare},\mathsf{pr}_{\mathsf{S}}(A^{\blacksquare}),\lambda x:A^{\blacksquare}.\mathsf{pr}_{\mathsf{S}}(B(x)^{\blacksquare}))
(\Pi_{x \in A} B(x))^{\bullet} :\equiv \Pi_{x : A^{\bullet}} B(x)^{\bullet},
                                                                                                                                                                                                                       (\lambda x.b(x))^{\bullet} :\equiv \lambda x.b(x)^{\bullet}
\operatorname{pr}_{\operatorname{S}}((\Pi_{x\in A}B(x))^{\bullet}) \ :\equiv \ \mathfrak{S}_{\Pi}(A^{\bullet},\lambda x:A^{\bullet}.B(x)^{\bullet},\lambda x:A^{\bullet}.\operatorname{pr}_{\operatorname{S}}(B(x)^{\bullet}))
                                                                                                                                                                                                                       (\mathsf{Ap}(f,a))^{\bullet} :\equiv f^{\bullet}(a^{\bullet})
                                                                  (emp_0(c))^{\bullet} := ind_0(c^{\bullet})
(N_0)^{\bullet} :\equiv \mathbf{0}
\operatorname{pr}_{S}((N_{0})^{\bullet}) :\equiv \mathfrak{s}_{0}
(N_1)^{\bullet} :\equiv 1
                                                                   (★)* :≡ ★
pr_S((N_1)^{\bullet}) :\equiv \mathfrak{g}_1
                                                                  (\mathrm{El}_{\mathsf{N}_1}(t,c))^{\bullet} :\equiv \mathsf{ind}_1(t^{\bullet},c^{\bullet})
(A+B)^{\bullet} :\equiv A^{\bullet} + B^{\bullet},
(\operatorname{inl}(a))^{\bullet} :\equiv \operatorname{inl}(a^{\bullet})
(\operatorname{inr}(b))^{\bullet} :\equiv \operatorname{inr}(b^{\bullet})
(\mathrm{El}_+(c,d_A,d_B))^{\bullet} :\equiv ind_+(c\(^{\epsilon},x.d_A(x)\(^{\epsilon},y.d_B(y)\(^{\epsilon})
\operatorname{pr}_{\operatorname{S}}((A+B)^{\blacksquare}) \ \ \vcentcolon\equiv \ \ \mathfrak{S}_{+}(A^{\blacksquare},B^{\blacksquare},\operatorname{pr}_{\operatorname{S}}(A^{\blacksquare}),\operatorname{pr}_{\operatorname{S}}(B^{\blacksquare}))
(\operatorname{List}(A))^{\bullet} :\equiv \operatorname{List}(A^{\bullet}),
                                                                                                                              (\epsilon)^{\bullet} :\equiv nil
                                                                                                                                                                                 (cons(\ell, a))^{\bullet} :\equiv cons(\ell^{\bullet}, a^{\bullet})
\operatorname{pr}_{S}((\operatorname{List}(A))^{\bullet}) :\equiv \mathfrak{g}_{\operatorname{List}}(A^{\bullet}, \operatorname{pr}_{S}(A^{\bullet}))
                                                                                                                             (\mathrm{El}_{\mathsf{List}}(c,d,l)^{\bullet} :\equiv \mathsf{ind}_{\mathsf{List}}(c^{\bullet},d^{\bullet},x.y.z.l(x,y,z)^{\bullet})
(\bot)^{\bullet} :\equiv \mathbf{0}
                                                                                                                       (\mathsf{r}_0(c))^{\bullet} :\equiv \mathsf{ind}_0(c^{\bullet})
\operatorname{pr}_{\mathsf{P}}((\bot)^{\bullet}) :\equiv \mathfrak{p}_0
\operatorname{pr}_{S}((\bot)^{\bullet}) :\equiv \mathfrak{g}_{coe}((\bot)^{\bullet}, \operatorname{pr}_{P}((\bot)^{\bullet}))
(A \lor B)^{\bullet} :\equiv A^{\bullet} \lor B^{\bullet}
(\operatorname{inl}_{\vee}(a))^{\bullet} :\equiv \operatorname{inl}_{\vee}(a^{\bullet})
                                                                                  (\operatorname{inr}_{\vee}(b))^{\bullet} :\equiv \operatorname{inr}_{\vee}(b^{\bullet})
(\mathrm{El}_{\mathsf{V}}(d,c_A,.c_B))^{\bullet} :\equiv ind_{\mathsf{V}}(d^{\empty},x.c_1(x)^{\empty},y.c_2(y)^{\empty})
\operatorname{pr}_{\mathsf{P}}((A \vee B)^{\bullet}) :\equiv \mathfrak{p}_{\vee}(A^{\bullet}, B^{\bullet})
\operatorname{pr}_{S}((A \vee B)^{\bullet}) :\equiv \mathfrak{g}_{coe}((A \vee B)^{\bullet}, \operatorname{pr}_{P}((A \vee B)^{\bullet}))
(A \wedge B)^{\bullet} :\equiv A^{\bullet} \times B^{\bullet}
                                                                                                                                                               (\langle a, b \rangle)^{\bullet} :\equiv (a^{\bullet}, b^{\bullet})
\operatorname{pr}_{\mathsf{p}}((A \wedge B)^{\bullet}) :\equiv \mathfrak{p}_{\mathsf{y}}(A^{\bullet}, B^{\bullet}, \operatorname{pr}_{\mathsf{p}}(A^{\bullet}), \operatorname{pr}_{\mathsf{p}}(B^{\bullet}))
                                                                                                                                                               (\pi_i(c))^{\bullet} :\equiv \operatorname{pr}_i(c^{\bullet}) \text{ (for } i = (1,2))
\operatorname{pr}_{S}((A \wedge B)^{\bullet}) :\equiv \mathfrak{g}_{coe}((A \wedge B)^{\bullet}, \operatorname{pr}_{P}((A \wedge B)^{\bullet}))
(A \to B)^{\bullet} :\equiv A^{\bullet} \to B^{\bullet}
                                                                                                                                                                      (\lambda_{\rightarrow} x.b)^{\bullet} :\equiv \lambda x.b^{\bullet}
\mathsf{pr}_{\mathsf{P}}((A \to B)^{\blacksquare}) \ :\equiv \ \mathfrak{p}_{\to}(A^{\blacksquare}, B^{\blacksquare}, \mathsf{pr}_{\mathsf{P}}(A^{\blacksquare}), \mathsf{pr}_{\mathsf{P}}(B^{\blacksquare}))
                                                                                                                                                                      (\mathsf{Ap}_{\rightarrow}(f,a))^{\bullet} :\equiv f^{\bullet}(a^{\bullet})
\operatorname{pr}_{\mathbb{S}}((A \to B)^{\blacksquare}) :\equiv \mathfrak{S}_{coe}((A \to B)^{\blacksquare}, \operatorname{pr}_{\mathbb{P}}((A \to B)^{\blacksquare}))
(\exists_{x \in A} B(x))^{\bullet} :\equiv \exists_{x : A^{\bullet}} B(x)^{\bullet}
(\langle a, \exists b \rangle)^{\bullet} :\equiv (a^{\bullet}, \exists b^{\bullet})
(\mathrm{El}_{\exists}(d,c))^{\bullet} :\equiv ind_{\eta}(d^{\eta},x.y.c(x,y)^{\eta})
\operatorname{pr}_{\mathsf{P}}((\exists_{x \in A} B(x))^{\bullet}) :\equiv \mathfrak{p}_{\exists}(A^{\bullet}, \lambda x : A^{\bullet}.B(x)^{\bullet})
\mathsf{pr}_{\mathsf{S}}((\exists_{x \in A} B(x))^{\bullet}) \ :\equiv \ \mathfrak{s}_{coe}((\exists_{x \in A} B(x))^{\bullet}, \, \mathsf{pr}_{\mathsf{P}}((\exists_{x \in A} B(x))^{\bullet}))
(\forall_{x \in A} B(x))^{\bullet} := \Pi_{x:A^{\bullet}} B(x)^{\bullet}
                                                                                                                                                                                                                      (\lambda_{\forall} x.b(x))^{\bullet} :\equiv \lambda x.b(x)^{\bullet}
\mathsf{pr}_{\mathsf{P}}((\forall_{x \in A} B(x))^{\blacksquare}) \; :\equiv \; \mathfrak{p}_{\Pi}(A^{\blacksquare}, \lambda x : A^{\blacksquare}.B(x)^{\blacksquare}, \lambda x : A^{\blacksquare}.\mathsf{pr}_{\mathsf{P}}(B(x)^{\blacksquare}))
                                                                                                                                                                                                                     (\mathsf{Ap}_\forall(f,a))^{\blacksquare} \ :\equiv \ f^{\blacksquare}(a^{\blacksquare})
\operatorname{pr}_{\mathbb{S}}((\forall_{x\in A}B(x))^{\blacksquare}) \ :\equiv \ \mathfrak{S}_{coe}((\forall_{x\in A}B(x))^{\blacksquare}, \operatorname{pr}_{\mathbb{P}}((\forall_{x\in A}B(x))^{\blacksquare}))
(\mathrm{Id}(A,a,b))^{\bullet} :\equiv \mathrm{Id}_{A^{\bullet}}(a^{\bullet},b^{\bullet})
(id_A(a))^{\bullet} :\equiv refl_{a^{\bullet}}
(\mathrm{El}_{\mathrm{Id}}(p,c))^{\bullet} \ :\equiv \ \mathrm{ind}_{\mathrm{Id}}(p^{\bullet},\ x.c(x)^{\bullet})
\mathsf{pr}_{\mathsf{P}}((\mathrm{Id}(A,a,b))^{\bullet}) \ \ \vcentcolon\equiv \ \mathfrak{p}_{Id}(A^{\bullet},\mathsf{pr}_{\mathbb{S}}(A^{\bullet}),a^{\bullet},b^{\bullet})
\mathsf{pr}_{\mathsf{S}}((\mathrm{Id}(A,a,b))^{\bullet}) \; :\equiv \; \mathfrak{F}_{coe}((\mathrm{Id}(A,a,b))^{\bullet} \, , \, \mathsf{pr}_{\mathsf{P}}((\mathrm{Id}(A,a,b))^{\bullet}))
```

## Appendix B. An alternative translation of mTT-syntax in HoTT

**Definition 6.1.** We define a partial interpretation of the raw syntax of types and terms of mTT in the raw-syntax of HoTT

```
(-)^{\bullet}: Raw-syntax (mTT) \longrightarrow Raw-syntax (HoTT)
```

assuming to have defined two auxiliary partial functions:

$$pr_P(-)$$
: Raw-syntax (**HoTT**)  $\longrightarrow$  Raw-syntax (**HoTT**)

and

$$pr_S(-)$$
: Raw-syntax (**HoTT**)  $\longrightarrow$  Raw-syntax (**HoTT**)

(-)\* is defined on contexts and judgements of **mTT** exactly as the interpretation in Definition 3.1.

In the case of **mTT** term and type constructors all clauses are defined as the corresponding ones in the previous table with the exception of those which are listed below:

```
(\mathsf{prop}_{\mathsf{s}})^{\bullet} :\equiv \mathsf{Prop}_{U_0}
pr_S((prop_s)^{\bullet}) :\equiv \mathfrak{g}_{Prop_0}
(\tau(p))^{\bullet} :\equiv ||\operatorname{pr}_1(p^{\bullet})||
\operatorname{pr}_{\mathsf{P}}((\tau(p))^{\bullet}) :\equiv \operatorname{pr}_{2}(p^{\bullet})
\operatorname{pr}_{S}((\tau(p))^{\bullet}) :\equiv \mathfrak{g}_{coe}((\tau(p))^{\bullet}, \operatorname{pr}_{P}((\tau(p))^{\bullet}))
       (\widehat{\perp})^{\bullet} :\equiv (||\mathbf{0}||, \, \mathfrak{p}_{||\cdot||}(\mathbf{0})) \qquad (\widehat{\top})^{\bullet} :\equiv (||\mathbf{1}||, \, \mathfrak{p}_{||\cdot||}(\mathbf{1}))
       (p\widehat{\vee}q)^{\bullet} :\equiv (\operatorname{pr}_1(p^{\bullet}) \vee \operatorname{pr}_1(q^{\bullet}), \ \mathfrak{p}_{\vee}(\operatorname{pr}_1(p^{\bullet}), \operatorname{pr}_1(q^{\bullet})))
       (p \widehat{\wedge} q)^{\bullet} :\equiv (||\mathsf{pr}_1(p^{\bullet}) \times \mathsf{pr}_1(q^{\bullet})|| \;,\; \mathfrak{p}_{||\times||}(\mathsf{pr}_1(p^{\bullet}), \mathsf{pr}_1(q^{\bullet})))
       (p \widehat{\rightarrow} q)^{\bullet} \ :\equiv \ (||\mathsf{pr}_1(p^{\bullet}) \rightarrow \mathsf{pr}_1(q^{\bullet})|| \ , \ \mathfrak{p}_{|| \rightarrow ||}(\mathsf{pr}_1(p^{\bullet}), \mathsf{pr}_1(q^{\bullet})))
       (\widehat{\exists}_{x \in A} p(x))^{\bullet} :\equiv (\exists_{x : A^{\bullet}} p(x)^{\bullet}, \, \mathfrak{p}_{\exists}(A^{\bullet}, \lambda x. \mathsf{pr}_{1}(p(x)^{\bullet})))
       (\widehat{\forall}_{x \in A} p(x))^{\bullet} :\equiv (||\Pi_{x:A^{\bullet}} p(x)^{\bullet}||, \mathfrak{p}_{||\cdot||}(\Pi_{x:A^{\bullet}} \operatorname{pr}_{1}(p(x)^{\bullet}))
       (\widehat{\mathrm{Id}}(A,a,b))^{\bullet} :\equiv (||\mathrm{Id}_{A^{\bullet}}(a^{\bullet},b^{\bullet})||, \mathfrak{p}_{||}||(\mathrm{Id}_{A^{\bullet}}(a^{\bullet},b^{\bullet}))
(\bot)^{\bullet} :\equiv ||\mathbf{0}||
                                                                                    (r_0(c))^{\bullet} :\equiv \operatorname{ind}_{\perp^{\bullet}}(c^{\bullet})
\mathsf{pr}_{\mathsf{P}}((\bot)^{\bullet}) :\equiv \mathfrak{p}_{||\ ||}(\mathbf{0})
                                                                                   \operatorname{pr}_{S}((\bot)^{\bullet}) :\equiv \mathfrak{S}_{coe}((\bot)^{\bullet}, \operatorname{pr}_{P}((\bot)^{\bullet}))
(A \wedge B)^{\bullet} :\equiv ||A^{\bullet} \times B^{\bullet}||
                                                                                                                                                                       (\langle a, b \rangle)^{\bullet} :\equiv (a^{\bullet}, b^{\bullet})
\operatorname{pr}_{\mathsf{P}}((A \wedge B)^{\scriptscriptstyle\bullet}) \ :\equiv \ \mathfrak{p}_{|| \times ||}(A^{\scriptscriptstyle\bullet}, B^{\scriptscriptstyle\bullet})
                                                                                                                                                                       (\pi_i(c))^{\bullet} :\equiv \operatorname{pr}_{i \wedge}(c^{\bullet}) \text{ (for } i = (1,2))
\operatorname{pr}_{S}((A \wedge B)^{\bullet}) :\equiv \mathfrak{s}_{coe}((A \wedge B)^{\bullet}, \operatorname{pr}_{P}((A \wedge B)^{\bullet}))
(A \to B)^{\bullet} :\equiv ||A^{\bullet} \to B^{\bullet}||
                                                                                                                                                                                    (\lambda_{\rightarrow} x.b)^{\bullet} :\equiv \lambda_{\rightarrow} x.b^{\bullet}
                                                                                                                                                                                     (\mathsf{Ap}_{\rightarrow}(f,a))^{\bullet} :\equiv f^{\bullet}(a^{\bullet})
\operatorname{pr}_{\mathsf{P}}((A \to B)^{\bullet}) :\equiv \mathfrak{p}_{||\to||}(A^{\bullet}, B^{\bullet})
\mathsf{pr}_{\mathsf{S}}((A \to B)^{\blacksquare}) \;\; \vcentcolon \equiv \; \mathfrak{g}_{coe}((A \to B)^{\blacksquare}, \mathsf{pr}_{\mathsf{P}}((A \to B)^{\blacksquare}))
(\forall_{x\in A}B(x))^{\bullet}\ :\equiv ||\Pi_{x:A^{\bullet}}B(x)^{\bullet}||
                                                                                                                                                                                                                   (\lambda_{\forall} x.b(x))^{\bullet} :\equiv \lambda_{\forall} x.b(x)^{\bullet}
\operatorname{pr}_{\mathsf{P}}((\forall_{x\in A}B(x))^{\bullet}) \ :\equiv \ \mathfrak{p}_{||\Pi||}(A^{\bullet},\lambda x : A^{\bullet}.B(x)^{\bullet})
                                                                                                                                                                                                                   (\mathsf{Ap}_\forall(f,a))^{\bullet} :\equiv f_\forall^{\bullet}(a^{\bullet})
\mathsf{pr}_{\mathsf{S}}((\forall_{x \in A} B(x))^{\blacksquare}) \; :\equiv \; \mathfrak{s}_{coe}((\forall_{x \in A} B(x))^{\blacksquare}, \, \mathsf{pr}_{\mathsf{P}}((\forall_{x \in A} B(x))^{\blacksquare}))
(\operatorname{Id}(A, a, b))^{\bullet} :\equiv ||\operatorname{Id}_{A^{\bullet}}(a^{\bullet}, b^{\bullet})||
(id_{\Delta}(a))^{\bullet} :\equiv |refl_{a^{\bullet}}|
(\mathrm{El}_{\mathrm{Id}}(p,c))^{\bullet} :\equiv \mathrm{ind}_{||\ ||}(p^{\bullet},z.\mathrm{ind}_{\mathrm{Id}}(z,x.c(x)^{\bullet}))
\operatorname{pr}_{\mathsf{P}}((\operatorname{Id}(A,a,b))^{\bullet}) :\equiv \mathfrak{p}_{||\ ||}(A^{\bullet},a^{\bullet},b^{\bullet},\operatorname{Id}_{A^{\bullet}}(a^{\bullet},b^{\bullet}))
\mathsf{pr}_{\mathsf{S}}((\mathrm{Id}(A,a,b))^{\blacksquare}) \ :\equiv \ \mathfrak{F}_{coe}((\mathrm{Id}(A,a,b))^{\blacksquare} \,,\, \mathsf{pr}_{\mathsf{P}}((\mathrm{Id}(A,a,b))^{\blacksquare}))
```

It is possible to show a validity theorem for this interpretation by an argument quite similar to that in 3.3.

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