

COMMUTATIVE ALGEBRA EXAM  
Padova, 24/06/2016

**Exercise 1.** Let  $k$  be an algebraically closed field and consider  $R = k[X] \subset A = k[X, Y]/(XY)$ .

- a) Does  $R \subset A$  satisfy the Going Up property?
- b) Does  $R \subset A$  satisfy the Going Down property?

**Exercise 2.** Let  $L = \mathbb{Q}(\alpha)$ , where  $\alpha^3 = 13$ . Let  $A = \mathbb{Z}[\alpha]$  and write  $\mathcal{O}_L$  for the integral closure of  $\mathbb{Z}$  in  $L$ .

- a) Compute  $\Delta(1, \alpha, \alpha^2)$ .
- b) Describe the decomposition of 2, 7 and 19 in  $L$  and compute the inertia and ramification degree above these primes.
- c) Let  $A_3 = (\mathbb{Z} - 3\mathbb{Z})^{-1}A$  and  $\mathcal{O}_{L,3} = (\mathbb{Z} - 3\mathbb{Z})^{-1}\mathcal{O}_L$ . Show that  $(\alpha - 1)A_3$  is a maximal ideal.
- d) Show that  $A_3 = \mathcal{O}_{L,3}$  and compute the decomposition of 3 in  $L$ .
- e) Let  $A_{13} = (\mathbb{Z} - 13\mathbb{Z})^{-1}A$  and  $\mathcal{O}_{L,13} = (\mathbb{Z} - 13\mathbb{Z})^{-1}\mathcal{O}_L$ . Show that  $\alpha A_{13}$  is a maximal ideal.
- f) Show that  $A_{13} = \mathcal{O}_{L,13}$  and compute the decomposition of 13 in  $L$ .
- g) Find generators for every prime ideal in  $L$  dividing 10374.

**Exercise 3.** Show that  $IJ = (I+J)(I \cap J)$  for any pair of fractional ideals  $I$  and  $J$  in a Dedekind domain.

**Exercise 4.** Let  $A$  be a domain, of finite type over a field. Let  $\mathfrak{p} \subset A$  be a nonzero prime ideal and  $\mathfrak{m} \supseteq \mathfrak{p}$  a maximal ideal. Put  $R = A/\mathfrak{p}$  and  $\bar{\mathfrak{m}} = \mathfrak{m}/\mathfrak{p}$ .

- a) Assume that  $\mathfrak{p}$  is a principal ideal and that  $R_{\bar{\mathfrak{m}}}$  is regular. Show that  $A_{\mathfrak{m}}$  is regular.
- b) Show by an example that  $A_{\mathfrak{m}}$  may be regular even if  $R_{\bar{\mathfrak{m}}}$  is not. Can you find an example with  $R$  integrally closed?
- c) Show by an example that, if  $\mathfrak{p}$  is not principal, then  $A_{\mathfrak{m}}$  may be singular even if  $R_{\bar{\mathfrak{m}}}$  is regular. Can you find an example with  $A$  integrally closed?

[To find the examples in b) and c), it is recommended to adopt a geometric point of view.]

## SOLUTIONS<sup>1</sup>

**Exercise 1.**  $R$  is a Dedekind domain, so every nonzero prime is maximal and by Weak Nullstellensatz maximal ideals are of the form  $(X - \alpha)$  for  $\alpha \in k$ . The prime ideals of  $A$  are in bijection with the primes in  $k[X, Y]$  containing  $XY$ . Therefore the minimal primes of  $A$  are  $(X)$  and  $(Y)$ . Clearly  $(X) \cap R = XR$  (maximal) and  $(Y) \cap R = \{0\}$  (minimal). Hence  $(Y)$  is the only prime in  $A$  whose intersection with  $R$  is minimal. Every maximal ideal  $(X - \alpha) \subset R$  is of the form  $(X - \alpha, Y) \cap R$  (notice that  $(X - \alpha, Y)$  is maximal: it is the kernel of the map  $A \rightarrow k$  evaluating  $f(X, Y) \in A$  at  $(\alpha, 0)$ ). Finally, every chain  $\{0\} \subset (X - \alpha)$  in  $R$  is obtained by intersection with the chain  $(Y) \subset (X - \alpha, Y)$  in  $A$ , so the Going Up property holds.

On the other hand, the Going Down property fails: consider the chain  $\mathfrak{p}_0 = \{0\} \subset (X) = \mathfrak{p}_1$  in  $R$  and take  $\mathfrak{q}_1 = (X)$  in  $A$  (we have seen above  $\mathfrak{q}_1 \cap R = \mathfrak{p}_1$ ). Since  $\mathfrak{q}_1$  is minimal, there is no  $\mathfrak{q}_0 \subset \mathfrak{q}_1$  such that  $\mathfrak{q}_0 \cap R = \mathfrak{p}_0$ .

The failure of the Going Down can also be seen geometrically:  $\text{Spec } A \rightarrow \text{Spec } R$  is the projection from the union of the two coordinate axes to the  $X$ -axis, and is therefore not open: the image of the open subset  $\text{Spec } A[\frac{1}{Y}]$  (the  $Y$ -axis minus the origin) is just the origin, a closed point.

**Exercise 2.** The minimal polynomial of  $\alpha$  is  $X^3 - 13$ , hence that of  $\alpha^2$  is  $Y^3 - 169$ . Therefore  $\Delta(1, \alpha, \alpha^2) = -N_{L/\mathbb{Q}}(3\alpha^2) = -3^3 N_{L/\mathbb{Q}}(\alpha^2) = -3^3 13^2$ . Only 3 and 13 may ramify, and 3 ramifies for sure, since it appears with odd exponent. Moreover,  $A[\frac{1}{39}] = \mathcal{O}_L[\frac{1}{39}]$  (see exercise 5.8).

As usual, it is convenient to compute the decompositions using Kummer's lemma (exercise 1.11):  $X^3 - 13 \equiv X^3 - 1 \equiv (X - 1)(X^2 + X + 1) \pmod{2}$ , and the quadratic factor is irreducible. Therefore  $2\mathcal{O}_L = \mathfrak{p}_1 \mathfrak{p}_2$ , with  $e_1 = e_2 = f_1 = 1$  and  $f_2 = 2$ .

$X^3 - 13 \equiv X^3 + 1 \equiv (X + 1)(X^2 - X + 1) = (X + 1)(X - 3)(X - 5) \pmod{7}$ . Therefore 7 splits completely in  $L$ .

$X^3 - 13$  has no root mod 19 and is thus irreducible. Therefore 19 is inert in  $L$ .

The minimal polynomial of  $\alpha - 1$  is  $(Y + 1)^3 - 13 = Y^3 + 3Y^2 + 3Y - 12$ , Eisenstein with respect to 3. The reduction mod 3 of this polynomial is  $Y^3$ , so by Kummer's lemma we have that  $A_3$  is local with maximal ideal  $\mathfrak{m} = (\alpha - 1, 3)$ , and in fact  $\mathfrak{m} = (\alpha - 1)A_3$  because  $3 = \frac{1}{4}((\alpha - 1)^3 + 6(\alpha - 1)^2 + 12(\alpha - 1)) \in (\alpha - 1)A_3$ . So  $A_3$  is a DVR, because it is local noetherian with maximal ideal generated by a non-nilpotent element. Hence  $A_3 = \mathcal{O}_{L,3}$ . We know that 3 ramifies and there is only one prime above it, so it is totally ramified.

$X^3 - 13$  is an Eisenstein polynomial with respect to 13. We have  $\alpha^3 \mathcal{O}_{L,13} = 13\mathcal{O}_{L,13}$ . Let's decompose  $\alpha \mathcal{O}_{L,13} = \mathfrak{m}_1^{n_1} \cdots \mathfrak{m}_s^{n_s}$ . Then  $\alpha^3 \mathcal{O}_{L,13} = \mathfrak{m}_1^{3n_1} \cdots \mathfrak{m}_s^{3n_s} = 13\mathcal{O}_{L,13}$ . We get an equation  $3n_1 + \dots + 3n_s = 3$ , whose only possible solutions in natural numbers force  $s = 1 = n_1$ . Thus  $\alpha \mathcal{O}_{L,13} = \mathfrak{m}_1$  is maximal. Therefore  $\alpha A_{13}$  is maximal ( $\mathcal{O}_L$  is integral over  $A$ ).

The computations above already show that 13 is totally ramified in  $\mathcal{O}_L$ . Moreover, we have established that  $A_{13}$  is a local noetherian domain whose maximal ideal is principal: it is a DVR. Therefore  $A_{13} = \mathcal{O}_{L,13}$ . Now  $A \subseteq \mathcal{O}_L$  is an inclusion of finitely generated  $\mathbb{Z}$ -modules and  $A_p = \mathcal{O}_{L,p}$  for every prime  $p$ , hence  $A = \mathcal{O}_L$ .

$10374 = 2 \cdot 3 \cdot 7 \cdot 13 \cdot 19$ . We have already established that  $19\mathcal{O}_L$  is the only prime above 19 and we have seen that there is only one prime above 13, with  $\alpha$  a uniformiser; since  $N_{L/\mathbb{Q}}(\alpha) = 13$ , no other prime divides  $\alpha \mathcal{O}_L$ , so this ideal is itself prime. We have also seen that the only prime above 3 is  $\mathfrak{m} = (\alpha - 1, 3)$ . The generators for the primes above 2 and 7 are similarly computed via Kummer's lemma:

$$2\mathcal{O}_L = \mathfrak{p}_1 \mathfrak{p}_2 \text{ with } \mathfrak{p}_1 = (2, \alpha - 1) \text{ and } \mathfrak{p}_2 = (2, \alpha^2 + \alpha + 1);$$

$$7\mathcal{O}_L = \mathfrak{q}_1 \mathfrak{q}_2 \mathfrak{q}_3 \text{ with } \mathfrak{q}_1 = (7, \alpha + 1), \mathfrak{q}_2 = (7, \alpha - 3) \text{ and } \mathfrak{q}_3 = (7, \alpha - 5).$$

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<sup>1</sup>References to the lecture notes are numbered as in the version of 21/01/2016.

**Exercise 3.** Easy consequence of lemma 5.3.11: for every nonzero prime  $\mathfrak{p}$  we have

$$\begin{aligned} v_{\mathfrak{p}}(IJ) &= v_{\mathfrak{p}}(I) + v_{\mathfrak{p}}(J) = \min\{v_{\mathfrak{p}}(I), v_{\mathfrak{p}}(J)\} + \max\{v_{\mathfrak{p}}(I), v_{\mathfrak{p}}(J)\} \\ &= v_{\mathfrak{p}}(I+J) + v_{\mathfrak{p}}(I \cap J) = v_{\mathfrak{p}}((I+J)(I \cap J)). \end{aligned}$$

**Exercise 4.** From proposition 6.1.35 we know that  $\dim R = \dim A - \text{ht } \mathfrak{p}$ . Since  $\bar{\mathfrak{m}} = \mathfrak{m}/\mathfrak{p}$ , we have  $\bar{\mathfrak{m}}/\bar{\mathfrak{m}}^2 = \mathfrak{m}/(\mathfrak{p} + \mathfrak{m}^2)$ . To compare with  $\mathfrak{m}/\mathfrak{m}^2$  we use the sequence

$$0 \longrightarrow (\mathfrak{p} + \mathfrak{m}^2)/\mathfrak{m}^2 \longrightarrow \mathfrak{m}/\mathfrak{m}^2 \longrightarrow \mathfrak{m}/(\mathfrak{p} + \mathfrak{m}^2) \longrightarrow 0.$$

If  $\mathfrak{p}$  is principal,  $\dim(\mathfrak{p} + \mathfrak{m}^2)/\mathfrak{m}^2 \leq 1$ , hence

$$\dim \bar{\mathfrak{m}}/\bar{\mathfrak{m}}^2 \geq \dim \mathfrak{m}/\mathfrak{m}^2 - 1 \geq \dim A_{\mathfrak{m}} - 1 = \dim R_{\bar{\mathfrak{m}}}$$

the last equality because  $\text{ht } \mathfrak{p} = 1$ . If  $R_{\bar{\mathfrak{m}}}$  is regular,  $\dim \bar{\mathfrak{m}}/\bar{\mathfrak{m}}^2 = \dim R_{\bar{\mathfrak{m}}}$ , which forces all the inequalities to be equalities.

We may take  $A = \mathbb{C}[X, Y]$ ,  $\mathfrak{m} = (X, Y)$  and  $\mathfrak{p} = (X^3 + X^2 - Y^2)$ , so  $R = \mathbb{C}[X, Y]/(X^3 + X^2 - Y^2)$ . Then  $\text{ht } \mathfrak{p} = 1$  (it is principal) so  $\dim R = 1$  and from example 5.1.7 we know that  $R_{\bar{\mathfrak{m}}}$  is not a DVR, hence not regular by proposition 6.2.3. On the other hand,  $A_{\mathfrak{m}}$  is regular ( $\dim A = 2$  and  $\mathfrak{m}/\mathfrak{m}^2$  is generated by the classes of  $X$  and  $Y$ ). Geometrically this is an irreducible plane curve with a singularity.

Taking  $A = \mathbb{C}[X, Y, Z]$ ,  $\mathfrak{m} = (X, Y, Z)$  and  $\mathfrak{p} = (Z^2 - XY)$  on the other hand, we have that  $R = \mathbb{C}[X, Y, Z]/(Z^2 - XY)$  is integrally closed and  $R_{\bar{\mathfrak{m}}}$  is not regular, as seen in example 5.2.31 ( $\dim \bar{\mathfrak{m}}/\bar{\mathfrak{m}}^2 = 3$  while  $\dim R = 2$ ). Geometrically this is a line on a cone.

We only need to change notation in the example above:  $A = \mathbb{C}[X, Y, Z]/(Z^2 - XY)$ ,  $\mathfrak{m} = (X, Y, Z)$  and  $\mathfrak{p} = (Y, Z)$ , so  $A$  is integrally closed with  $A_{\mathfrak{m}}$  not regular, while  $R = \mathbb{C}[X]$  is a Dedekind domain, hence regular.