

Big Heegner points and special values of L -series

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Dedicated to Glenn Stevens on the occasion of his 60th birthday

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Abstract In Longo and Vigni (Manuscr Math 135:273–328, 2011), Howard’s construction of big Heegner points on modular curves was extended to general Shimura curves over the rationals. In this paper, we relate the higher weight specializations of the big Heegner points of Longo and Vigni (Manuscr Math 135:273–328, 2011) in the definite setting to certain higher weight analogues of the Bertolini–Darmon theta elements (Bertolini and Darmon in Invent Math 126:413–456, 1996). As a consequence of this relation, some of the conjectures in Longo and Vigni (Manuscr Math 135:273–328, 2011) are deduced from recent results of Chida and Hsieh (J Reine Angew Math, 2015).

Keywords Modular forms · Hida families · P-adic L-functions

Résumé L’article Longo et Vigni (Manuscr Math 135: 273–328, 2011) généralise la construction de Howard de “Big Heegner points” sur les courbes modulaires aux courbes de Shimura sur les nombres rationnels. Dans cet article, nous faisons le lien entre les spécialisations de poids plus grand que 2 des “Big Heegner points” de Longo et Vigni (Manuscr Math 135:273–328, 2011) dans le cadre défini et certains analogues en poids plus grand que 2 des éléments thêta de Bertolini–Darmon (Bertolini et Darmon dans Invent Math 126:413–456, 1996). En conséquence de cette relation, certaines des conjectures dans Longo et Vigni (Manuscr Math 135:273–328, 2011) sont déduites des résultats récents de Chida et Hsieh (J Reine Angew Math, 2015).

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Contents

Introduction	304
1 Big Heegner points	307
1.1 Definite Shimura curves	307
1.2 Compatible systems of Heegner points	308
1.3 Hida's big Hecke algebras	309
1.4 Big Heegner points in the definite setting	311
1.5 Big theta elements	312
2 Special values of L -series	313
2.1 Modular forms on definite quaternion algebras	313
2.2 The Jacquet–Langlands correspondence	313
2.3 Higher weight theta elements	314
2.4 p -Adic L -functions	315
3 p -Adic families of automorphic forms	316
3.1 Measure-valued forms	316
3.2 Duality	317
4 Specializations of big Heegner points	318
4.1 Weight 2 specializations of big Heegner points	318
4.2 Higher weight specializations of big Heegner points	321
5 Main results	322
References	324

Introduction

Fix a prime $p \geq 5$ and an integer $N > 0$ prime to p , and let $f \in S_{k_0}(\Gamma_0(Np))$ be an ordinary p -stabilized newform of weight $k_0 \geq 2$ and trivial nebentypus. Fix embeddings $\iota_\infty : \overline{\mathbf{Q}} \hookrightarrow \mathbf{C}$ and $\iota_p : \overline{\mathbf{Q}} \hookrightarrow \mathbf{C}_p$, let L/\mathbf{Q}_p be a finite extension containing the image of the Fourier coefficients of f under ι_p , and denote by \mathcal{O}_L its valuation ring. Let

$$\mathbf{f} = \sum_{n=1}^{\infty} \mathbf{a}_n q^n \in \mathbb{I}[[q]]$$

be the Hida family passing through f . Here \mathbb{I} is a finite flat extension of $\mathcal{O}_L[[T]]$, which for simplicity in this Introduction it will be assumed to be ring $\mathcal{O}_L[[T]]$ itself. The space $\mathcal{X}(\mathbb{I}) := \text{Hom}_{\text{cts}}(\mathbb{I}, \overline{\mathbf{Q}}_p)$ of continuous \mathcal{O}_L -algebra homomorphisms $\mathbb{I} \rightarrow \overline{\mathbf{Q}}_p$ naturally contains \mathbf{Z} , by identifying every $k \in \mathbf{Z}$ with the homomorphism $\sigma_k : \mathbb{I} \rightarrow \overline{\mathbf{Q}}_p$ defined by $1 + T \mapsto (1 + p)^{k-2}$. The formal power series \mathbf{f} is then uniquely characterized by the property that for every $k \in \mathbf{Z}_{\geq 2}$ (in the residue class of $k_0 \bmod p - 1$) its “weight k specialization”

$$\mathbf{f}_k := \sum_{n=1}^{\infty} \sigma_k(\mathbf{a}_n) q^n$$

gives the q -expansion of an ordinary p -stabilized newform $\mathbf{f}_k \in S_k(\Gamma_0(Np))$ with $\mathbf{f}_{k_0} = f$.

Let K be an imaginary quadratic field of discriminant $-D_K < 0$ prime to Np . The field K then determines a factorization

$$N = N^+ N^-$$

with N^+ (resp. N^-) only divisible by primes which are split (resp. inert) in K . Throughout this paper, we shall assume that N^- is the square-free product of an *odd* number of primes.

Extending Howard's original construction [11] to the quaternionic setting, the work of the second-named author in collaboration with Vigni [13] attaches to \mathbf{f} and K a system of "big Heegner points" \mathcal{Q}_n . Rather than cohomology classes with coefficients in the big Galois representation associated to \mathbf{f} (as Howard obtains in [11]), in our setting these points give rise to an element

$$\Theta_\infty^{\text{Heeg}}(\mathbf{f}) \in \mathbb{I}[[\Gamma_\infty]]$$

in the completed group ring of the Galois group of the anticyclotomic \mathbf{Z}_p -extension of K with coefficients in \mathbb{I} .

The construction of $\Theta_\infty^{\text{Heeg}}(\mathbf{f})$ is reminiscent of the construction by Bertolini–Darmon [1] of theta elements $\theta_\infty(f_E) \in \mathbf{Z}_p[[\Gamma_\infty]]$ attached to an ordinary elliptic curve E/\mathbf{Q} of conductor Np , where $f_E \in S_2(\Gamma_0(Np))$ is the associated newform, and in fact if $f_E = \sigma_2(\mathbf{f})$ is the weight 2 specialization of \mathbf{f} , one can show directly from the constructions that

$$\sigma_2(\Theta_\infty^{\text{Heeg}}(\mathbf{f})) = \theta_\infty(f_E)$$

(cf. [15]). In particular, in light of Gross's special value formula [7] and its generalizations (see esp. [12, 20]), one deduces from the above equality that $\sigma_2(\Theta_\infty^{\text{Heeg}}(\mathbf{f}))$ interpolates special values of Rankin–Selberg L -functions.

On the other hand, for weights $k > 2$ the specializations $\sigma_k(\Theta_\infty^{\text{Heeg}}(\mathbf{f}))$ arise as p -adic limits of elements constructed in weight 2 and p -power level, and hence their relation with classical L -values is not clear a priori. Nonetheless, some of the conjectures in [13] suggested that $\sigma_k(\Theta_\infty^{\text{Heeg}}(\mathbf{f}))$ should similarly interpolate the central values $L_K(\mathbf{f}_k, \chi, k/2)$ for the Rankin–Selberg convolution of \mathbf{f}_k with the theta series attached to anticyclotomic Hecke characters χ of K of finite order. Addressing this question is the main purpose of this paper.

Remark 1 By our hypothesis on N^- , if $\chi : \Gamma_\infty \rightarrow \mathbf{C}_p^\times$ is any finite order character, then the sign in the functional equations for $L_K(\mathbf{f}_k, \chi, s)$, which relates its values at s and $k-s$, is $+1$. Thus one expects the values $L_K(\mathbf{f}_k, \chi, k/2)$, for varying k and χ , to be typically nonzero.

Define

$$\mathcal{L}_p^{\text{Heeg}}(\mathbf{f}/K) := \Theta_\infty^{\text{Heeg}}(\mathbf{f}) \cdot \Theta_\infty^{\text{Heeg}}(\mathbf{f})^* \in \mathbb{I}[[\Gamma_\infty]],$$

where $\lambda \mapsto \lambda^*$ denotes the involution on $\mathbb{I}[[\Gamma_\infty]]$ given by $\gamma \mapsto \gamma^{-1}$ for $\gamma \in \Gamma_\infty$. We shall think of $\mathcal{L}_p^{\text{Heeg}}(\mathbf{f}/K)$ as a function of the variables k and $\chi : \Gamma_\infty \rightarrow \mathbf{C}_p^\times$ by setting

$$\mathcal{L}_p^{\text{Heeg}}(\mathbf{f}/K; k, \chi) := (\chi \circ \sigma_k)(\mathcal{L}_p^{\text{Heeg}}(\mathbf{f}/K)).$$

The following is a reformulation of [13, Conj. 9.14] (cf. Conjecture 5.1 below).

Conjecture 1 *Let $k \geq 2$ be an even integer, and let $\chi : \Gamma_\infty \rightarrow \mathbf{C}_p^\times$ be a finite order character. If \mathbf{f}_k is non-exceptional, then*

$$\mathcal{L}_p^{\text{Heeg}}(\mathbf{f}/K; k, \chi) \neq 0 \iff L_K(\mathbf{f}_k, \chi, k/2) \neq 0.$$

As we recall in the following section, the original construction of $\Theta_\infty^{\text{Heeg}}(\mathbf{f})$ in [13] relies on a certain "multiplicity one" hypothesis. The main results of this paper will also be phrased under this hypothesis, which is known to hold, for example, under some assumptions on the residual Galois representation associated to f (see Remark 1.7).

Theorem 1 Suppose that the multiplicity one Assumption 1.6 below holds. Let $k \geq 2$ be an even integer and let $\chi : \Gamma_\infty \rightarrow \mathbf{C}_p^\times$ be a finite order character. Then

$$\mathcal{L}_p^{\text{Heeg}}(\mathbf{f}/K; k, \chi) = \lambda_k^2 \cdot \delta_K^{-(k-2)} \cdot \epsilon(\mathbf{f}_k) \cdot C_p(\mathbf{f}_k, \chi) \cdot E_p(\mathbf{f}_k, \chi) \cdot \frac{L_K(\mathbf{f}_k, \chi, k/2)}{\Omega_{\mathbf{f}_k, N^-}},$$

where $\delta_K := \sqrt{-D_K}$, λ_k and $C_p(\mathbf{f}_k, \chi)$ are nonzero constants, $E_p(\mathbf{f}_k, \chi)$ is a p -adic multiplier, $\epsilon(\mathbf{f}_k) \in \{\pm 1\}$ is the root number of \mathbf{f}_k , and $\Omega_{\mathbf{f}_k, N^-} \in \mathbf{C}^\times$ is Gross's period.

Remark 2 The condition that \mathbf{f}_k is non-exceptional amounts to the nonvanishing of the p -adic multiplier $E_p(\mathbf{f}_k, \chi)$ for all χ , and hence Theorem 1 implies Conjecture 1.

In fact, we prove that a similar interpolation property holds for all characters $\chi : \Gamma_\infty \rightarrow \mathbf{C}_p^\times$ corresponding to Hecke characters of K of infinity type $(m, -m)$ with $-k/2 < m < k/2$, for which the sign in the functional equation for $L_K(\mathbf{f}_k, \chi, s)$ is still $+1$. As we note in Sect. 4, in addition to establishing [13, Conj. 9.14] in the cases of higher weight and trivial nebentypus, the methods of this paper also yield substantial progress on a certain nonvanishing conjecture [13, Conj. 9.5], which is an analogue in our present definite quaternionic setting of Howard's "horizontal nonvanishing conjecture" [11, Conj. 3.4.1].

Remark 3 Theorem 1 is in the same spirit as the main result of [3], where the higher weight specializations of Howard's big Heegner points and related to the p -adic étale Abel–Jacobi images of higher dimensional Heegner cycles. Even though the two settings are clearly disjoint,¹ in both cases one shows that the specializations of the respective big Heegner points at weights $k > 2$, which arise as p -adic limits of points constructed in weight 2 and p -power level, retain a connection to classical objects (algebraic cycles and special values of L -series, respectively) after the limit.

We now outline the strategy of our proof of Theorem 1. Extending the methods of [1] to higher weights, Chida–Hsieh [4] have constructed a higher weight analogue $\theta_\infty(\mathbf{f}_k) \in \frac{1}{(k-2)!} \mathbf{Z}_p[[\Gamma_\infty]]$ of the Bertolini–Darmon theta elements, giving rise to an anticyclotomic p -adic L -function $L_p^{\text{an}}(\mathbf{f}_k/K) := \theta_\infty(\mathbf{f}_k) \cdot \theta_\infty(\mathbf{f}_k)^*$ satisfying

$$L_p^{\text{an}}(\mathbf{f}_k/K)(\chi) = \epsilon(\mathbf{f}_k) \cdot C_p(\mathbf{f}_k, \chi) \cdot E_p(\mathbf{f}_k, \chi) \cdot \frac{L_K(\mathbf{f}_k, \chi, k/2)}{\Omega_{\mathbf{f}_k, N^-}},$$

for all finite order characters $\chi : \Gamma_\infty \rightarrow \mathbf{C}_p^\times$, where $\epsilon(\mathbf{f}_k)$, $C_p(\mathbf{f}_k, \chi)$, $E_p(\mathbf{f}_k, \chi)$, and $\Omega_{\mathbf{f}_k, N^-}$ are as in Theorem 1. As a key step toward the proof of that result, in this paper we construct a two-variable p -adic L -function $L_p^{\text{an}}(\mathbf{f}/K)$ with the property that

$$\sigma_k(L_p^{\text{an}}(\mathbf{f}/K)) = \lambda_k^2 \cdot \delta_K^{-(k-2)} \cdot L_p^{\text{an}}(\mathbf{f}_k/K)$$

for every even integer $k \geq 2$. The construction of $L_p^{\text{an}}(\mathbf{f}/K)$ is based on the p -adic Jacquet–Langlands correspondence in p -adic families, and the constant λ_k is an “error term” arising from the interpolation of the automorphic forms associated with the different forms \mathbf{f}_k in the family. It is also worth noting that our construction of $L_p^{\text{an}}(\mathbf{f}/K)$ is directly inspired by the construction of two-variable p -adic L -functions (using distribution-valued modular symbols) due to Greenberg and Stevens [8] in their seminal paper on the exceptional zero conjecture of Mazur–Tate–Teitelbaum.

The proof of our Theorem 1 is thus an immediate consequence of the following result, which is deduced from the calculations in Sect. 4.

¹ Indeed, in [3] one works under the classical Heegner hypothesis, where $N^- = 1$.

Theorem 2 *Suppose that the multiplicity one Assumption 1.6 below holds. Then*

$$\mathcal{L}_p^{\text{Heeg}}(\mathbf{f}/K) = L_p^{\text{an}}(\mathbf{f}/K).$$

Finally, we conclude this Introduction by noting that some of the ideas and constructions in this paper play an important role in a forthcoming work of the authors in collaboration with Kim [5], where we develop anticyclotomic analogues of the results of [6] on the variation of Iwasawa invariants in Hida families.

Outline of the paper In Sect. 1, we briefly recall the construction of big Heegner points in the definite quaternionic setting [13], and in Sect. 2 we recall the higher weight theta elements $\theta_\infty(\mathbf{f}_k)$ introduced by Chida–Hsieh [4]. Making use of the Jacquet–Langlands correspondence in p -adic families as presented in Sect. 3, in Sect. 4 we construct our two-variable p -adic L -function $L_p^{\text{an}}(\mathbf{f}/K)$ leading to the proof of Theorem 2, and in Sect. 5 we conclude the proof of our main results.

1 Big Heegner points

As in the Introduction, let $N = N^+N^-$ be a positive integer prime to $p \geq 5$, where N^- is the square-free product of an odd number of primes, and let K/\mathbf{Q} be an imaginary quadratic field of discriminant $-D_K < 0$ prime to Np such that every prime factor of pN^+ (resp. N^-) splits (resp. is inert) in K .

In this section, we briefly recall from [13] the construction of big Heegner points in the definite setting. There is some flexibility in a number of the choices made in the construction of [13], and here we make specific choices following [4].

1.1 Definite Shimura curves

Let B/\mathbf{Q} be the definite quaternion algebra of discriminant N^- . We fix once and for all an embedding of \mathbf{Q} -algebras $K \hookrightarrow B$, and thus identify K with a subalgebra of B . Denote by $z \mapsto \bar{z}$ the nontrivial automorphism of K/\mathbf{Q} , and choose a basis $\{1, j\}$ of B over K with

- $j^2 = \beta \in \mathbf{Q}^\times$ with $\beta < 0$,
- $jt = \bar{t}j$ for all $t \in K$,
- $\beta \in (\mathbf{Z}_q^\times)^2$ for $q \mid pN^+$, and $\beta \in \mathbf{Z}_q^\times$ for $q \mid D_K$.

Fix a square-root $\delta_K = \sqrt{-D_K}$, and define $\theta \in K$ by

$$\theta := \frac{D' + \delta_K}{2}, \quad \text{where } D' = \begin{cases} D_K & \text{if } 2 \nmid D_K, \\ D_K/2 & \text{if } 2 \mid D_K. \end{cases}$$

For each prime $q \mid pN^+$, define $i_q : B_q := B \otimes_{\mathbf{Q}} \mathbf{Q}_q \simeq \mathbf{M}_2(\mathbf{Q}_q)$ by

$$i_q(\theta) = \begin{pmatrix} \text{Tr}(\theta) & -\text{Nm}(\theta) \\ 1 & 0 \end{pmatrix}, \quad i_q(j) = \sqrt{\beta} \begin{pmatrix} -1 & \text{Tr}(\theta) \\ 0 & 1 \end{pmatrix},$$

where Tr and Nm are the reduced trace and reduced norm maps on B , respectively. For each prime $q \nmid Np$, fix any isomorphism $i_q : B_q \simeq \mathbf{M}_2(\mathbf{Q}_q)$ with $i_q(\mathcal{O}_K \otimes_{\mathbf{Z}} \mathbf{Z}_q) \subset \mathbf{M}_2(\mathbf{Z}_q)$.

For each $m \geq 0$, let $R_m \subset B$ be the standard Eichler order of level N^+p^m with respect to our chosen $\{i_q : B_q \simeq \mathbf{M}_2(\mathbf{Q}_q)\}_{q \nmid N^-}$, and let $U_m \subset \widehat{R}_m^\times$ be the compact open subgroup defined by

$$U_m := \left\{ (x_q)_q \in \widehat{R}_m^\times \mid i_p(x_p) \equiv \begin{pmatrix} * & * \\ 0 & 1 \end{pmatrix} \pmod{p^m} \right\}.$$

Consider the double coset spaces

$$\tilde{X}_m(K) = B^\times \backslash (\mathrm{Hom}_{\mathbf{Q}}(K, B) \times \widehat{B}^\times) / U_m,$$

where $b \in B^\times$ act on left on the class of a pair $(\Psi, g) \in \mathrm{Hom}_{\mathbf{Q}}(K, B) \times \widehat{B}^\times$ by

$$b \cdot (\Psi, g) = [(bgb^{-1}, bg)],$$

and U_m acts on \widehat{B}^\times by right multiplication. As explained in [13, §2.1], $\tilde{X}_m(K)$ is naturally identified with the set K -rational points of certain curves of genus zero defined over \mathbf{Q} . If $\sigma \in \mathrm{Gal}(K^{\mathrm{ab}}/K)$ and $P \in \tilde{X}_m(K)$ is the class of a pair (Ψ, g) , then we set

$$P^\sigma := [(\Psi, g\widehat{\Psi}(a))],$$

where $a \in K^\times \backslash \widehat{K}^\times$ is such that $\mathrm{rec}_K(a) = \sigma$ under the Artin reciprocity map. This is extended to an action of $G_K := \mathrm{Gal}(\overline{\mathbf{Q}}/K)$ by letting $\sigma \in G_K$ act as $\sigma|_{K^{\mathrm{ab}}}$.

1.2 Compatible systems of Heegner points

Let \mathcal{O}_K be the ring of integers of K , and for each integer $c \geq 1$ prime to N , let $\mathcal{O}_c := \mathbf{Z} + c\mathcal{O}_K$ be the order of K of conductor c .

Definition 1.1 We say that $\tilde{P} = [(\Psi, g)] \in \tilde{X}_m(K)$ is a *Heegner point of conductor c* if

$$\Psi(\mathcal{O}_c) = \Psi(K) \cap (B \cap g\widehat{R}_m g^{-1})$$

and

$$\Psi_p((\mathcal{O}_c \otimes \mathbf{Z}_p)^\times \cap (1 + p^m \mathcal{O}_K \otimes \mathbf{Z}_p)^\times) = \Psi_p((\mathcal{O}_c \otimes \mathbf{Z}_p)^\times) \cap g_p U_{m,p} g_p^{-1},$$

where Ψ_p is the p -component of the adèlization of Ψ , and $U_{m,p}$ is the p -component of U_m .

In other words, $\tilde{P} = [(\Psi, g)] \in \tilde{X}_m(K)$ is a Heegner point of conductor c if $\Psi : K \hookrightarrow B$ is an *optimal embedding* of \mathcal{O}_c into the Eichler order $B \cap g\widehat{R}_m g^{-1}$ (of level $N^+ p^m$) and Ψ_p takes the elements of $(\mathcal{O}_c \otimes \mathbf{Z}_p)^\times$ congruent to 1 modulo $p^m \mathcal{O}_K \otimes \mathbf{Z}_p$ optimally into $g_p U_{m,p} g_p^{-1}$.

Recall (cf. [13, §2.4], [1, §1.5]) that the action of the Hecke operator U_p on $\mathrm{Div}(\tilde{X}_m)$ is given by

$$U_p([\Psi, g]) = \sum_{a=0}^{p-1} [(\Psi, g\widehat{\pi}_a)],$$

where $\widehat{\pi}_a \in \widehat{B}^\times$ has p -component equal to $\begin{pmatrix} p & a \\ 0 & 1 \end{pmatrix}$ and all other components equal to 1. The following result is fundamental for the construction of big Heegner points.

Theorem 1.2 *There exists a system of Heegner points $\tilde{P}_{p^n, m} \in \tilde{X}_m(K)$ of conductor p^{n+m} , for all $n \geq 0$, such that the following hold.*

- (1) $\tilde{P}_{p^n, m} \in H^0(L_{p^n, m}, \tilde{X}_m(K))$, where $L_{p^n, m} := H_{p^{n+m}}(\mu_{p^n})$.
- (2) For all $\sigma \in \mathrm{Gal}(L_{p^n, m}/H_{p^{n+m}})$,

$$\tilde{P}_{p^n, m}^\sigma = \langle \vartheta(\sigma) \rangle \cdot \tilde{P}_{p^n, m},$$

where $\vartheta : \mathrm{Gal}(L_{p^n, m}/H_{p^{n+m}}) \rightarrow \mathbf{Z}_p^\times / \{\pm 1\}$ is such that $\vartheta^2 = \varepsilon_{\mathrm{cyc}}$.

(3) If $m > 1$, then

$$\sum_{\sigma \in \text{Gal}(L_{p^n, m}/L_{p^{n-1}, m})} \tilde{\alpha}_m(\tilde{P}_{p^n, m}^\sigma) = U_p \cdot \tilde{P}_{p^n, m-1},$$

where $\tilde{\alpha}_m : \tilde{X}_m \rightarrow \tilde{X}_{m-1}$ is the map induced by the inclusion $U_m \subset U_{m-1}$.

(4) If $n > 0$, then

$$\sum_{\sigma \in \text{Gal}(L_{p^n, m}/L_{p^{n-1}, m})} \tilde{P}_{p^n, m}^\sigma = U_p \cdot \tilde{P}_{p^{n-1}, m}.$$

Proof A construction of a system of Heegner points with the claimed properties is obtained in [13, §4.2], but this construction is ill-suited for the purposes of this paper, since the global elements $\gamma^{(c, m)}$, $f^{(c, m)}$ appearing in [13, Cor. 4.5] are not quite explicit. For this reason, we give instead the following construction following the specific choices made in [4, §2.2].

Fix a decomposition $N^+ \mathcal{O}_K = \mathfrak{N}^+ \overline{\mathfrak{N}}^+$, and define, for each prime $q \neq p$,

- $\varsigma_q = 1$, if $q \nmid N^+$,
- $\varsigma_q = \delta_K^{-1} \begin{pmatrix} \theta & \bar{\theta} \\ 1 & 1 \end{pmatrix} \in \text{GL}_2(K_q) = \text{GL}_2(\mathbf{Q}_q)$, if $q = q\bar{q}$ splits with $q \mid \mathfrak{N}^+$,

and for each $n \geq 0$,

- $\varsigma_p^{(s)} = \begin{pmatrix} \theta & -1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} p^s & 0 \\ 0 & 1 \end{pmatrix} \in \text{GL}_2(K_p) = \text{GL}_2(\mathbf{Q}_p)$, if $p = p\bar{p}$ splits,
- $\varsigma_p^{(s)} = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \begin{pmatrix} p^s & 0 \\ 0 & 1 \end{pmatrix}$, if p is inert.

Set $\varsigma^{(s)} := \varsigma_p^{(s)} \prod_{q \neq p} \varsigma_q \in \widehat{B}^\times$, and let $\iota_K : K \hookrightarrow B$ be the inclusion. For all $n \geq 0$, it is easy to see that the point

$$\tilde{P}_{p^n, m} := [\iota_K, \varsigma^{(n+m)}])$$

is a Heegner point of conductor p^{n+m} on $\tilde{X}_m(K)$. The proof of (1) then follows from [13, Props. 3.2-3], and (2) from the discussion in [13, §4.4]. Finally, comparing the above $\varsigma_p^{(s)}$ with the local choices at p in [13, §4.1], properties (3) and (4) follow as in [13, Prop. 4.7] and [13, Prop. 4.8], respectively. \square

1.3 Hida's big Hecke algebras

In order to define a “big” object assembling the compatible systems of Heegner points introduced in Sect. 1.2, we need to recall some basic facts about Hida theory for GL_2 and its inner forms. We refer the reader to [13, §§5–6] (and the references therein) for a more detailed treatment of these topics than what follows.

As in the Introduction, let $f = \sum_{n=1}^\infty a_n(f)q^n \in S_{k_0}(\Gamma_0(Np))$ be an ordinary p -stabilized newform (in the sense of [8, Def. 2.5]) of weight $k_0 \geq 2$ and trivial nebentypus, defined over a finite extension L/\mathbf{Q}_p . In particular, $a_p(f) \in \mathcal{O}_L^\times$, and f is either a newform of level Np , or arises as the p -stabilization of a newform of level N . Let $\rho_f : G_{\mathbf{Q}} := \text{Gal}(\overline{\mathbf{Q}}/\mathbf{Q}) \rightarrow \text{GL}_2(L)$ be the Galois representation associated with f . Since f is ordinary at p , the restriction of ρ_f to a decomposition group $D_p \subset G_{\mathbf{Q}}$ is upper triangular.

Assumption 1.3 The residual representation $\bar{\rho}_f$ is absolutely irreducible, and p -distinguished, i.e., $\bar{\rho}_f|_{D_p} \sim \begin{pmatrix} \bar{\varepsilon} & * \\ 0 & \bar{\delta} \end{pmatrix}$ with $\bar{\varepsilon} \neq \bar{\delta}$.

For each $m \geq 0$, set $\Gamma_{0,1}(N, p^m) := \Gamma_0(N) \cap \Gamma_1(p^m)$, and denote by \mathfrak{h}_m the \mathcal{O}_L -algebra generated by the Hecke operators T_ℓ for $\ell \nmid Np$, the operators U_ℓ for $\ell | Np$, and the diamond operators $\langle a \rangle$ for $a \in (\mathbf{Z}/p^m\mathbf{Z})^\times$, acting on $S_2(\Gamma_{0,1}(N, p^m), \overline{\mathbf{Q}}_p)$. Let $e^{\text{ord}} := \lim_{n \rightarrow \infty} U_p^{n!}$ be Hida's ordinary projector, and define

$$\mathfrak{h}_m^{\text{ord}} := e^{\text{ord}} \mathfrak{h}_m, \quad \mathfrak{h}^{\text{ord}} := \varprojlim_m \mathfrak{h}_m^{\text{ord}},$$

where the limit is over the projections induced by the natural restriction maps. Similarly, let \mathbb{T}_m be the quotient of \mathfrak{h}_m acting faithfully on the subspace of $S_2(\Gamma_{0,1}(N, p^m), \overline{\mathbf{Q}}_p)$ consisting of forms that are new at the primes dividing N^- , and set

$$\mathbb{T}_m^{\text{ord}} := e^{\text{ord}} \mathbb{T}_m, \quad \mathbb{T}^{\text{ord}} := \varprojlim_m \mathbb{T}_m^{\text{ord}}.$$

Let $\Lambda := \mathcal{O}_L[[\Gamma]]$, where $\Gamma = 1 + p\mathbf{Z}_p$. Via the action of the diamond operators, these Hecke algebras are equipped with natural $\mathcal{O}_L[[\mathbf{Z}_p^\times]]$ -algebra structures, and by a well-known result due to Hida [10], the algebra $\mathfrak{h}^{\text{ord}}$ is thus finite and flat over Λ .

The eigenform f defines an \mathcal{O}_L -algebra homomorphism $\lambda_f : \mathfrak{h}^{\text{ord}} \rightarrow \mathcal{O}_L$ factoring through the canonical projection $\mathfrak{h}^{\text{ord}} \rightarrow \mathbb{T}^{\text{ord}}$, and denote $\bar{\lambda}_f$ the composition of λ_f with the canonical projection from \mathcal{O}_L to its residue field.

Let $\mathfrak{h}_\mathfrak{m}^{\text{ord}}$ (resp. $\mathbb{T}_\mathfrak{n}^{\text{ord}}$) be the localization of $\mathfrak{h}^{\text{ord}}$ (resp. \mathbb{T}^{ord}) at the maximal ideals \mathfrak{m} and \mathfrak{n} in $\mathfrak{h}^{\text{ord}}$ and \mathbb{T}^{ord} , respectively, corresponding to $\ker(\bar{\lambda}_f)$. Then there are unique minimal primes $\mathfrak{a} \subset \mathfrak{m} \subset \mathfrak{h}_\mathfrak{m}^{\text{ord}}$ (resp. $\mathfrak{b} \subset \mathfrak{n} \subset \mathbb{T}_\mathfrak{n}^{\text{ord}}$), such that λ_f factor through the integral domain

$$\mathbb{I} := \mathfrak{h}_\mathfrak{m}^{\text{ord}}/\mathfrak{a} \cong \mathbb{T}_\mathfrak{n}^{\text{ord}}/\mathfrak{b},$$

where the isomorphism is induced by $\mathfrak{h}^{\text{ord}} \rightarrow \mathbb{T}^{\text{ord}}$.

Definition 1.4 A continuous \mathcal{O}_L -algebra homomorphism $\kappa : \mathbb{I} \rightarrow \overline{\mathbf{Q}}_p$ is called an *arithmetic prime* if the composition

$$\Gamma \longrightarrow \Lambda^\times \longrightarrow \mathbb{I}^\times \xrightarrow{\kappa} \overline{\mathbf{Q}}_p^\times$$

is given by $\gamma \mapsto \psi(\gamma)\gamma^{k-2}$, for some integer $k \geq 2$ and some finite order character $\psi : \Gamma \rightarrow \overline{\mathbf{Q}}_p^\times$. We then say that κ has *weight* k , *character* ψ , and *wild level* p^m , where $m > 0$ is such that $\ker(\psi) = 1 + p^m\mathbf{Z}_p$.

Denote by $\mathcal{X}_{\text{arith}}(\mathbb{I})$ the set of arithmetic primes of \mathbb{I} , and for each $\kappa \in \mathcal{X}_{\text{arith}}(\mathbb{I})$, let F_κ be the residue field of $\mathfrak{p}_\kappa := \ker(\kappa) \subset \mathbb{I}$, which is a finite extension of \mathbf{Q}_p with valuation ring \mathcal{O}_κ .

For each $n \geq 1$, let $\mathbf{a}_n \in \mathbb{I}$ be the image of $T_n \in \mathfrak{h}^{\text{ord}}$ under the natural projection $\mathfrak{h}^{\text{ord}} \rightarrow \mathbb{I}$, and form the q -expansion $\mathbf{f} = \sum_{n=1}^\infty \mathbf{a}_n q^n \in \mathbb{I}[[q]]$. By [9, Thm. 1.2], if $\kappa \in \mathcal{X}_{\text{arith}}(\mathbb{I})$ is an arithmetic prime of weight $k \geq 2$, character ψ , and wild level p^m , then

$$\mathbf{f}_\kappa = \sum_{n=1}^\infty \kappa(\mathbf{a}_n) q^n \in F_\kappa[[q]]$$

is (the q -expansion of) an ordinary p -stabilized newform $\mathbf{f}_\kappa \in S_k(\Gamma_0(Np^m), \omega^{k_0-k}\psi)$, where $\omega : (\mathbf{Z}/p\mathbf{Z})^\times \rightarrow \mathbf{Z}_p^\times$ is the Teichmüller character.

Following [11, Def. 2.1.3], factor the p -adic cyclotomic character as

$$\varepsilon_{\text{cyc}} = \varepsilon_{\text{tame}} \cdot \varepsilon_{\text{wild}} : G_{\mathbf{Q}} \longrightarrow \mathbf{Z}_p^\times \simeq \mu_{p-1} \times \Gamma,$$

and define the *critical character* $\Theta : G_{\mathbf{Q}} \rightarrow \mathbb{I}^{\times}$ by

$$\Theta(\sigma) = \varepsilon_{\text{tame}}^{\frac{k_0-2}{2}}(\sigma) \cdot [\varepsilon_{\text{wild}}^{1/2}(\sigma)],$$

where $\varepsilon_{\text{tame}}^{\frac{k_0-2}{2}} : G_{\mathbf{Q}} \rightarrow \mu_{p-1}$ is any fixed choice of square-root of $\varepsilon_{\text{tame}}^{k_0-2}$ (see [11, Rem. 2.1.4]), $\varepsilon_{\text{wild}}^{1/2} : G_{\mathbf{Q}} \rightarrow \Gamma$ is the unique square-root of $\varepsilon_{\text{wild}}$ taking values in Γ , and $[\cdot] : \Gamma \rightarrow \Lambda^{\times} \rightarrow \mathbb{I}^{\times}$ is the map given by the inclusion as group-like elements.

Define the character $\theta : \mathbf{Z}_p^{\times} \rightarrow \mathbb{I}^{\times}$ by the relation

$$\Theta = \theta \circ \varepsilon_{\text{cyc}},$$

and for each $\kappa \in \mathcal{X}_{\text{arith}}(\mathbb{I})$, let $\theta_{\kappa} : \mathbf{Z}_p^{\times} \rightarrow \overline{\mathbf{Q}}_p^{\times}$ be the composition of θ with κ . If κ has weight $k \geq 2$ and character ψ , one easily checks that

$$\theta_{\kappa}^2(z) = z^{k-2} \omega^{k_0-k} \psi(z) \quad (1)$$

for all $z \in \mathbf{Z}_p^{\times}$.

1.4 Big Heegner points in the definite setting

Let D_m be the submodule of $\text{Div}(\widetilde{X}_m)$ supported on points in $\widetilde{X}_m(K)$, and set

$$D_m^{\text{ord}} := e^{\text{ord}}(D_m \otimes_{\mathbf{Z}} \mathcal{O}_L).$$

Let \mathbb{I}^{\dagger} be the free \mathbb{I} -module of rank one equipped with the Galois action via Θ^{-1} , and define

$$\mathbb{D}_m := D_m^{\text{ord}} \otimes_{\mathbb{T}^{\text{ord}}} \mathbb{I}, \quad \mathbb{D}_m^{\dagger} := \mathbb{D}_m \otimes_{\mathbb{I}} \mathbb{I}^{\dagger}.$$

Let $\widetilde{P}_{p^n, m} \in \widetilde{X}_m(K)$ be the system of Heegner points introduced in Sect. 1.2, and denote by $\mathbb{P}_{p^n, m}$ the image of $e^{\text{ord}} \widetilde{P}_{p^n, m}$ in \mathbb{D}_m . By Theorem 1.2(2) we then have

$$\mathbb{P}_{p^n, m}^{\sigma} = \Theta(\sigma) \cdot \mathbb{P}_{p^n, m} \quad (2)$$

for all $\sigma \in \text{Gal}(L_{p^n, m}/H_{p^n+m})$ (see [13, §7.1]), and hence $\mathbb{P}_{p^n, m}$ defines an element

$$\mathbb{P}_{p^n, m} \otimes \zeta_m \in H^0(H_{p^n+m}, \mathbb{D}_m^{\dagger}). \quad (3)$$

Moreover, by Theorem 1.2(3) the classes

$$\mathcal{P}_{p^n, m} := \text{Cor}_{H_{p^n+m}/H_{p^n}}(\mathbb{P}_{p^n, m} \otimes \zeta_m) \in H^0(H_{p^n}, \mathbb{D}_m^{\dagger})$$

satisfy the compatibility

$$\alpha_{m,*}(\mathcal{P}_{p^n, m}) = U_p \cdot \mathcal{P}_{p^n, m-1}$$

for all $m > 1$.

Definition 1.5 The *big Heegner point of conductor p^n* is the element

$$\mathcal{P}_{p^n} := \varprojlim_m U_p^{-m} \cdot \mathcal{P}_{p^n, m} \in H^0(H_{p^n}, \mathbb{D}_m^{\dagger}),$$

where $\mathbb{D}^{\dagger} := \varprojlim_m \mathbb{D}_m^{\dagger}$.

1.5 Big theta elements

Let $\text{Pic}(\tilde{X}_m)$ be the Picard group of \tilde{X}_m , and set

$$J_m^{\text{ord}} := e^{\text{ord}}(\text{Pic}(\tilde{X}_m) \otimes_{\mathbb{Z}} \mathcal{O}_L), \quad \mathbb{J}_m := J_m^{\text{ord}} \otimes_{\mathbb{T}^{\text{ord}}} \mathbb{I}, \quad \mathbb{J}_m^{\dagger} := \mathbb{J}_m \otimes_{\mathbb{I}} \mathbb{I}^{\dagger}.$$

The projections $\text{Div}(\tilde{X}_m) \rightarrow \text{Pic}(\tilde{X}_m)$ induce a map $\mathbb{D} := \varprojlim_m \mathbb{D}_m \rightarrow \varprojlim_m \mathbb{J}_m =: \mathbb{J}$.

Assumption 1.6 $\dim_{k_{\mathbb{I}}}(\mathbb{J}/\mathfrak{m}_{\mathbb{I}}\mathbb{J}) = 1$.

Here, $\mathfrak{m}_{\mathbb{I}}$ is the maximal ideal of \mathbb{I} , and $k_{\mathbb{I}} := \mathbb{I}/\mathfrak{m}_{\mathbb{I}}$ is its residue field. By [13, Prop. 9.3], Assumption 1.6 implies that the module \mathbb{J} is free of rank one over \mathbb{I} ; this assumption will be in force throughout the rest of this paper.

Remark 1.7 Combining results of [18] with Hida theory, one can show that Assumption 1.6 is satisfied, for example, under the following hypotheses on the residual representation $\bar{\rho}_f$:

- (1) $\bar{\rho}_f$ is surjective; and
- (2) $\bar{\rho}_f$ is ramified at every prime $\ell|N^-$ with $\ell \equiv \pm 1 \pmod{p}$.

(See [5, §3] for further details.)

Let $\Gamma_{\infty} := \varprojlim_n \text{Gal}(K_n/K)$ be the Galois group of the anticyclotomic \mathbb{Z}_p -extension K_{∞}/K . For each $n \geq 0$, set

$$\mathcal{Q}_n := \text{Cor}_{H_{p^{n+1}}/K_n}(\mathcal{P}_{p^{n+1}}) \in H^0(K_n, \mathbb{D}^{\dagger}).$$

Abbreviate $\Gamma_n := \Gamma_{\infty}^{p^n} = \text{Gal}(K_n/K)$.

Definition 1.8 Fix an isomorphism $\eta : \mathbb{J} \rightarrow \mathbb{I}$. The n -th big theta element attached to \mathbf{f} is the element $\Theta_n^{\text{Heeg}}(\mathbf{f}) \in \mathbb{I}[\Gamma_n]$ given by

$$\Theta_n^{\text{Heeg}}(\mathbf{f}) := \mathbf{a}_p^{-n} \cdot \sum_{\sigma \in \Gamma_n} \eta_{K_n}(\mathcal{Q}_n^{\sigma}) \otimes \sigma,$$

where η_{K_n} is the composite map $H^0(K_n, \mathbb{D}^{\dagger}) \rightarrow \mathbb{D} \xrightarrow{\eta} \mathbb{I}$.

Remark 1.9 Plainly, two different choices of η in Definition 1.8 give rise to elements $\Theta_n^{\text{Heeg}}(\mathbf{f})$ which differ by a unit in $\mathbb{I} \subset \mathbb{I}[\Gamma_n]$. Following [13, §§9.2-3], this dependence on η will not be reflected in the notation, but note that for the proof of our main result (Theorem 4.6 below), a certain “normalized” choice of η will be made.

Using Theorem 1.2(3), one easily checks that the elements $\Theta_n^{\text{Heeg}}(\mathbf{f})$ are compatible under the natural maps $\mathbb{I}[\Gamma_m] \rightarrow \mathbb{I}[\Gamma_n]$ for all $m \geq n$, thus defining an element

$$\Theta_{\infty}^{\text{Heeg}}(\mathbf{f}) := \varprojlim_n \Theta_n^{\text{Heeg}}(\mathbf{f}) \quad (4)$$

in the completed group ring $\mathbb{I}[[\Gamma_{\infty}]] := \varprojlim_n \mathbb{I}[\Gamma_n]$.

Definition 1.10 The algebraic two-variable p -adic L -function attached \mathbf{f} and K is the element

$$\mathcal{L}_p^{\text{Heeg}}(\mathbf{f}/K) := \Theta_{\infty}^{\text{Heeg}}(\mathbf{f}) \cdot \Theta_{\infty}^{\text{Heeg}}(\mathbf{f})^* \in \mathbb{I}[[\Gamma_{\infty}]],$$

where $\lambda \mapsto \lambda^*$ is the involution on $\mathbb{I}[[\Gamma_{\infty}]]$ given by $\gamma \mapsto \gamma^{-1}$ on group-like elements.

2 Special values of L -series

2.1 Modular forms on definite quaternion algebras

Let B/\mathbf{Q} be a definite quaternion algebra as in Sect. 1.1. In particular, we have a \mathbf{Q}_p -algebra isomorphism $i_p : B_p \simeq \mathrm{GL}_2(\mathbf{Q}_p)$.

Definition 2.1 Let M be a \mathbf{Z}_p -module together with a right linear action of the semigroup $\mathrm{M}_2(\mathbf{Z}_p) \cap \mathrm{GL}_2(\mathbf{Q}_p)$, and let $U \subset \widehat{B}^\times$ be a compact open subgroup. An M -valued automorphic form on B of level U is a function

$$\phi : \widehat{B}^\times \longrightarrow M$$

such that

$$\phi(bgu) = \phi(g)|i_p(u_p),$$

for all $b \in B^\times$, $g \in \widehat{B}^\times$ and $u \in U$. Let $S(U, M)$ be the space consisting of all such functions.

For any \mathbf{Z}_p -algebra R , let

$$\mathcal{P}_k(R) = \mathrm{Sym}^{k-2}(R^2)$$

be the module of homogeneous polynomials $P(X, Y)$ of degree $k-2$ with coefficients in R , equipped with the right linear action of $\mathrm{M}_2(\mathbf{Z}_p) \cap \mathrm{GL}_2(\mathbf{Q}_p)$ given by

$$(P|\gamma)(X, Y) := P(dX - cY, -bX + aY)$$

for all $\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$. Set $S_k(U; R) := S(U, \mathcal{P}_k(R))$, and $S_k(U) := S_k(U; \mathbf{C}_p)$.

2.2 The Jacquet–Langlands correspondence

The spaces $S(U, M)$ are equipped with an action of Hecke operators T_ℓ for $\ell \nmid N^-$ (denoted U_ℓ for $\ell | pN^+$).

Recall that $\Gamma_{0,1}(N, p^m) := \Gamma_0(N) \cap \Gamma_1(p^m)$, and denote by $S_k^{\mathrm{new}-N^-}(\Gamma_{0,1}(N, p^m))$ the subspace of $S_k(\Gamma_{0,1}(N, p^m); \mathbf{C}_p)$ consisting of cusp forms which are *new* at the primes dividing N^- . Define the subspace $S_k^{\mathrm{new}-N^-}(\Gamma_0(Np^m))$ of $S_k(\Gamma_0(Np^m); \mathbf{C}_p)$ is the same manner.

Theorem 2.2 *For each $k \geq 2$ and $m \geq 0$, there exist Hecke-equivariant isomorphisms*

$$\begin{aligned} S_k(U_m) &\longrightarrow S_k^{\mathrm{new}-N^-}(\Gamma_{0,1}(N, p^m)) \\ S_k(\widehat{R}_m^\times) &\longrightarrow S_k^{\mathrm{new}-N^-}(\Gamma_0(Np^m)). \end{aligned}$$

In the following, for each $\kappa \in \mathcal{X}_{\mathrm{arith}}(\mathbb{I})$ of weight $k \geq 2$ and wild level p^m , we will denote by $\phi_{\mathbf{f}_\kappa} \in S_k(U_m)$ an automorphic form on B with the same system of Hecke-eigenvalues as \mathbf{f}_κ . By multiplicity one, $\phi_{\mathbf{f}_\kappa}$ is determined up to a scalar in F_κ^\times , and we assume $\phi_{\mathbf{f}_\kappa}$ is p -adically normalized in the sense of [4, p.18], so that $\phi_{\mathbf{f}_\kappa}$ is defined over \mathcal{O}_κ , and $\phi_{\mathbf{f}_\kappa} \not\equiv 0 \pmod{p}$.

2.3 Higher weight theta elements

We recall the construction by Chida–Hsieh [4] of certain higher weight analogues of the theta elements introduced by Bertolini–Darmon [1] in the elliptic curve setting.

Let $f = \sum_{n=1}^{\infty} a_n(f)q^n \in S_k(\Gamma_0(Np))$ be an ordinary p -stabilized newform of weight $k \geq 2$ and trivial nebentypus, defined over a finite extension L of \mathbf{Q}_p with ring of integers \mathcal{O}_L .

For any ring A , let $\mathcal{L}_k(A)$ be the module of homogeneous polynomials $P(X, Y)$ of degree $k - 2$ with coefficients in A , equipped with left action ρ_k of $\mathrm{GL}_2(A)$ given by

$$\rho_k(g)(P(X, Y)) := \det(g)^{-\frac{k-2}{2}} P((X, Y)g),$$

for all $g \in \mathrm{GL}_2(A)$, and define the pairing $\langle \cdot, \cdot \rangle_k$ on $\mathcal{L}_k(A)$ by setting

$$\left\langle \sum_i a_i \mathbf{v}_i, \sum_j b_j \mathbf{v}_j \right\rangle_k = \sum_{-\frac{k}{2} < m < \frac{k}{2}} a_m b_{-m} \cdot (-1)^{\frac{k-2}{2}+m} \frac{\Gamma(k/2+m)\Gamma(k/2-m)}{\Gamma(k-1)},$$

where $\mathbf{v}_m := X^{\frac{k}{2}-1-m} Y^{\frac{k}{2}-1+m}$.

Let $\mathcal{G}_n := K^\times \backslash \widehat{K}^\times / \widehat{\mathcal{O}_{p^n}^\times}$ be the Picard group of \mathcal{O}_{p^n} , and denote by $[\cdot]_n$ the natural projection $\widehat{K}^\times \rightarrow \mathcal{G}_n$. For the following definition, recall the scalars β and δ_K introduced in Sect. 1.1, and the system of elements $\varsigma^{(n)} \in \widehat{B}^\times$ from Theorem 1.2, and let $\phi_f \in S_k(\widehat{R}_1^\times)$ be a p -adic Jacquet–Langlands lift of f p -adically normalized as in Sect. 2.2.

Definition 2.3 Let $-k/2 < m < k/2$, and $n \geq 0$. The n -th theta element of weight m is the element $\vartheta_n^{[m]}(f) \in \frac{1}{(k-2)!} \mathcal{O}_L[\mathcal{G}_n]$ given by

$$\vartheta_n^{[m]}(f) := \alpha_p(f)^{-n} \sum_{[a]_n \in \mathcal{G}_n} \langle \rho_k(Z_p^{(n)}) \mathbf{v}_m^*, \phi_f(a \cdot \varsigma^{(n)}) \rangle_k \cdot [a]_n$$

where

- $\alpha_p(f) := a_p(f) p^{-\frac{k-2}{2}}$,
- $Z_p^{(n)} = \begin{cases} \begin{pmatrix} 1 & \sqrt{\beta} \\ 0 & p^n \sqrt{\beta} \delta_K \end{pmatrix} & \text{if } p \text{ splits in } K, \\ \begin{pmatrix} 1 & \sqrt{\beta} \\ -p^n \theta & -p^n \sqrt{\beta} \bar{\theta} \end{pmatrix} & \text{if } p \text{ is inert in } K, \end{cases}$
- $\mathbf{v}_m^* := \sqrt{\beta}^{-m} D_K^{\frac{k-2}{2}} \cdot \mathbf{v}_m$.

Note that the denominator $(k-2)!$ arises from the definition of $\langle \cdot, \cdot \rangle_k$ (cf. Remark 2.5), and let $\theta_n(f)$ be the image of $\vartheta_{n+1}(f)$ under the projection $\frac{1}{(k-2)!} \mathcal{O}_L[\mathcal{G}_{n+1}] \rightarrow \frac{1}{(k-2)!} \mathcal{O}_L[\Gamma_n]$.

If $\chi : K^\times \backslash \mathbf{A}_K^\times \rightarrow \mathbf{C}^\times$ is an anticyclotomic Hecke character of K (so that $\chi|_{\mathbf{A}_Q^\times} = \mathbb{1}$), we say that K has *infinity type* $(m, -m)$ if

$$\chi(z_\infty) = (z_\infty / \bar{z}_\infty)^m,$$

for all $z_\infty \in (K \otimes_{\mathbf{Q}} \mathbf{R})^\times$, and define the p -adic avatar $\widehat{\chi} : K^\times \backslash \widehat{K}^\times \rightarrow \mathbf{C}_p^\times$ of χ by setting

$$\widehat{\chi}(a) = \iota_p \circ \iota_\infty^{-1}(\chi(a))(a_p / \bar{a}_p)^m,$$

for all $a \in \widehat{K}^\times$, where $a_p \in (K \otimes_{\mathbf{Q}} \mathbf{Q}_p)^\times$ is the p -component of a . If χ has conductor p^n , then $\widehat{\chi}$ factors through \mathcal{G}_n , which we shall identify with the Galois group $\mathrm{Gal}(H_{p^n}/K)$ via the (geometrically normalized) Artin reciprocity map.

Theorem 2.4 Let $\widehat{\chi}$ be the p -adic avatar of a Hecke character χ of K of infinity type $(m, -m)$ with $-k/2 < m < k/2$ and conductor p^s . Then for all $n \geq \max\{s, 1\}$, we have

$$\widehat{\chi}(\theta_n^{[m]}(f)^2) = C_p(f, \chi) \cdot E_p(f, \chi) \cdot \frac{L_K(f, \chi, k/2)}{\Omega_{f, N^-}},$$

where

- $C_p(f, \chi) = (|\mathcal{O}_K^\times|/2)^2 \cdot \Gamma(k/2 + m)\Gamma(k/2 - m) \cdot (p/a_p(f))^n \cdot (p^n D_K)^{k-2} \cdot \sqrt{D_K}$,
- $E_p(f, \chi) = \begin{cases} 1 & \text{if } n > 0, \\ (1 - \alpha_p^{-1}\chi(\mathfrak{p}))^2 \cdot (1 - \alpha_p^{-1}\chi(\bar{\mathfrak{p}}))^2 & \text{if } n = 0 \text{ and } p = \mathfrak{p}\bar{\mathfrak{p}} \text{ splits in } K, \\ (1 - \alpha_p^{-2})^2 & \text{if } n = 0 \text{ and } p \text{ is inert in } K, \end{cases}$
- $\Omega_{f, N^-} \in \mathbb{C}^\times$ is Gross's period.

Proof This is [4, Prop. 4.3]. \square

Implicit in the above statement is the fact that the values $L_K(f, \chi, k/2)/\Omega_{f, N^-}$ are algebraic and hence may be viewed as p -adic numbers using our fixed embedding $\iota_p: \overline{\mathbb{Q}} \hookrightarrow \mathbb{C}_p$.

Remark 2.5 For our later use, we record the following simplified expression for the n -th theta element $\vartheta_n^{[m]}(f)$ for $m = -(k/2 - 1)$. Define $\phi_f^{[j]}: \widehat{B}^\times \rightarrow \mathcal{O}_L$ by the rule

$$\phi_f(b) = \sum_{-k/2 < j < k/2} \phi_f^{[j]}(b) \mathbf{v}_j;$$

in particular, $\phi_f^{[k/2-1]}(b)$ is the coefficient of Y^{k-2} in $\phi_f(b)$. Using that $\det(Z_p^{(n)}) = p^n \sqrt{\beta} \delta_K$, and the relation

$$\langle \mathbf{v}_{-j}, \phi_f(b) \rangle_k = (-1)^{\frac{k-2}{2}+j} \frac{\Gamma(k/2 + j)\Gamma(k/2 - j)}{\Gamma(k-1)} \cdot \phi_f^{[j]}(b),$$

a calculation immediately reveals that

$$\vartheta_n^{[1-k/2]}(f) \equiv \delta_K^{k/2-1} \cdot a_p(f)^{-n} \sum_{[a]_n \in \mathcal{G}_n} \phi_f^{[k/2-1]}(a \zeta^{(n)}) \cdot [a]_n \pmod{p^n}. \quad (5)$$

Note that in this case $\vartheta_n^{[1-k/2]}(f) \in \mathcal{O}_L[\mathcal{G}_n]$, i.e. there is no $(k-2)!$ in the denominator. We also remark that (5) is in fact an equality when p splits in K , because of the simpler shape of $Z_p^{(n)}$ (see Definition 2.3) in this case.

2.4 p -Adic L -functions

By [4, Lemma 4.2], for $m = 0$ the theta elements $\theta_n^{[m]}(f)$ are compatible under the projections $\frac{1}{(k-2)!} \mathcal{O}_L[\Gamma_{n+1}] \rightarrow \frac{1}{(k-2)!} \mathcal{O}_L[\Gamma_n]$, and hence they define an element

$$\theta_\infty(f) := \varprojlim_n \theta_n^{[0]}(f)$$

in the completed group ring $\frac{1}{(k-2)!} \mathcal{O}_L[[\Gamma_\infty]] := \varprojlim_n \frac{1}{(k-2)!} \mathcal{O}_L[\Gamma_n]$.

Definition 2.6 The p -adic L -function attached to f and K is the element

$$L_p^{\text{an}}(f/K) := \theta_\infty(f) \cdot \theta_\infty(f)^* \in (k-2)!^{-1} \mathcal{O}_L[[\Gamma_\infty]],$$

where $x \mapsto x^*$ is the involution on $\frac{1}{(k-2)!} \mathcal{O}_L[[\Gamma_\infty]]$ given by $\gamma \mapsto \gamma^{-1}$ on group-like elements.

Theorem 2.7 Let $\widehat{\chi} : \Gamma_{\infty} \rightarrow \mathbf{C}_p^{\times}$ be the p -adic avatar of a Hecke character χ of K of infinity type $(m, -m)$ with $-k/2 < m < k/2$. Then

$$\widehat{\chi}(L_p^{\text{an}}(f/K)) = \epsilon(f) \cdot C_p(f, \chi) \cdot E_p(f, \chi) \cdot \frac{L_K(f, \chi, k/2)}{\Omega_{f, N^{-}}},$$

where $\epsilon(f)$ is the root number of f , and $C_p(f, \chi)$, $E_p(f, \chi)$, and $\Omega_{f, N^{-}}$ are as in Theorem 2.4.

Proof This follows immediately from the combination of [4, Thm. 4.6] and the functional equation in [4, Thm. 4.8]. \square

3 p -Adic families of automorphic forms

3.1 Measure-valued forms

Let \mathcal{D} be the module of \mathcal{O}_L -valued measures on

$$(\mathbf{Z}_p^2)' := \mathbf{Z}_p^2 \setminus (p\mathbf{Z}_p)^2,$$

the set of primitive vectors of \mathbf{Z}_p^2 . The space $S(U_0, \mathcal{D})$ of \mathcal{D} -valued automorphic forms on B of level $U_0 := \widehat{R}_0^{\times}$ is equipped with natural commuting actions of $\mathcal{O}_L[[\mathbf{Z}_p^{\times}]]$ and T_{ℓ} , for $\ell \nmid N^{-}$.

For every $\kappa \in \mathcal{X}_{\text{arith}}(\mathbb{I})$ of weight $k \geq 2$, character ψ , and wild level p^m , there is a specialization map $\rho_{\kappa} : \mathcal{D}_{\mathbb{I}} := \mathcal{D} \otimes_{\mathcal{O}_L[[\mathbf{Z}_p^{\times}]]} \mathbb{I} \rightarrow \mathcal{P}_k(F_{\kappa})$ defined by

$$\rho_{\kappa}(\mu) = \int_{\mathbf{Z}_p^{\times} \times \mathbf{Z}_p} \varepsilon_{\kappa}(x)(xY - yX)^{k-2} d\mu(x, y), \quad (6)$$

where $\varepsilon_{\kappa} = \psi \omega^{k_0-k}$ is the nebentypus of \mathbf{f}_{κ} , and we denote by

$$\rho_{\kappa,*} : S(U_0, \mathcal{D}_{\mathbb{I}}) \longrightarrow S_k(U_m; F_{\kappa})$$

the induced maps on automorphic forms. Thus every element $\Phi \in S(U_0, \mathcal{D}_{\mathbb{I}})$ gives rise to a p -adic family of automorphic forms $\rho_{\kappa,*}(\Phi)$ parameterized by $\kappa \in \mathcal{X}_{\text{arith}}(\mathbb{I})$.

Proposition 3.1 Let $\mathbf{f} \in \mathbb{I}[[q]]$ be a Hida family. For any arithmetic prime $\kappa \in \mathcal{X}_{\text{arith}}(\mathbb{I})$ of weight $k \geq 2$ and wild level p^m , let $\mathfrak{p}_{\kappa} \subset \mathbb{I}$ be the kernel of κ . Then the specialization map $\rho_{\kappa,*}$ induces an isomorphism

$$S(U_0, \mathcal{D})_{\mathbb{I}_{\kappa}} / \mathfrak{p}_{\kappa} S(U_0, \mathcal{D})_{\mathbb{I}_{\kappa}} \simeq S_k(U_m; F_{\kappa})[\mathbf{f}_{\kappa}]$$

where $S(U_0, \mathcal{D})_{\mathbb{I}_{\kappa}}$ is the localization of $S(U_0, \mathcal{D}_{\mathbb{I}})$ at \mathfrak{p}_{κ} .

Proof Under slightly different conventions, this is shown in [14] by adapting the arguments in the proof of [8, Thm. (5.13)] to the present context. \square

For any $\Phi \in S(U_0, \mathcal{D}_{\mathbb{I}})$ and $\kappa \in \mathcal{X}_{\text{arith}}(\mathbb{I})$, we set $\Phi_{\kappa} := \rho_{\kappa,*}(\Phi)$.

Corollary 3.2 Suppose Assumption 1.6 holds. Then $S(U_0, \mathcal{D}_{\mathbb{I}})$ is free of rank one over \mathbb{I} . In particular, there is an element $\Phi \in S(U_0, \mathcal{D}_{\mathbb{I}})$ such that

$$\Phi_{\kappa} := \lambda_{\kappa} \cdot \phi_{\mathbf{f}_{\kappa}},$$

where $\lambda_\kappa \in \mathcal{O}_\kappa \setminus \{0\}$, and $\phi_{\mathbf{f}_\kappa}$ is a p -adically normalized Jacquet–Langlands transfer of \mathbf{f}_κ . (Of course, Φ is well-defined up to a unit in \mathbb{I}^\times .)

Proof We begin by noting that Assumption 1.6 forces the space $S(U_0, \mathcal{D}_{\mathbb{I}})$ to be free of rank one over \mathbb{I} . Indeed, being dual to the $k_{\mathbb{I}}$ -vector space $\mathbb{J}/\mathfrak{m}_{\mathbb{I}}\mathbb{J}$, Assumption 1.6 implies that $S(U_0, \mathcal{D}_{\mathbb{I}})/\mathfrak{m}_{\mathbb{I}}S(U_0, \mathcal{D}_{\mathbb{I}})$ is one-dimensional. By Nakayama’s Lemma, we thus have a surjection $\mathbb{I} \rightarrow S(U_0, \mathcal{D}_{\mathbb{I}})$, whose kernel will be denoted by M . If $\mathfrak{p}_\kappa \subset \mathbb{I}$ is the kernel of any arithmetic prime $\kappa \in \mathcal{X}_{\text{arith}}(\mathbb{I})$ (say of wild level p^m), we thus have a surjective map

$$(\mathbb{I}/M)_{\mathbb{I}_\kappa} \longrightarrow S(U_0, \mathcal{D})_{\mathbb{I}_\kappa} \longrightarrow S(U_0, \mathcal{D})_{\mathbb{I}_\kappa}/\mathfrak{p}_\kappa S(U_0, \mathcal{D})_{\mathbb{I}_\kappa} \simeq S_k(U_m; F_\kappa)[\mathbf{f}_\kappa],$$

where the last isomorphism is given by Proposition 3.1. In particular, it follows that $(\mathbb{I}/M)_{\mathfrak{p}_\kappa} \neq 0$ and by [16, Thm. 6.5] this forces the vanishing of M . Hence $S(U_0, \mathcal{D}_{\mathbb{I}}) \cong \mathbb{I}$, as claimed.

Now, if Φ is any generator of $S(U_0, \mathcal{D}_{\mathbb{I}})$, then Φ_κ spans $S_k(U_m; F_\kappa)[\mathbf{f}_\kappa]$ for all $\kappa \in \mathcal{X}_{\text{arith}}(\mathbb{I})$, and hence $\Phi_\kappa = \lambda_\kappa \cdot \phi_{\mathbf{f}_\kappa}$ for some nonzero $\lambda_\kappa \in \mathcal{O}_\kappa$, as was to be shown. \square

Remark 3.3 It would be interesting to investigate the conditions under which the constants $\lambda_\kappa \in \mathcal{O}_\kappa$ are p -adic units, so that Φ_κ is p -adically normalized.

3.2 Duality

The following observations will play an important role in the proof of our main results. We refer the reader to [19, §§3.2, 3.7] for a more detailed discussion.

Fix an integer $m \geq 1$, let \mathcal{O} be the ring of integers of a finite extension of \mathbf{Q}_p , and assume that $c_m(b) := |(B^\times \cap bU_m b^{-1})/\mathbf{Q}^\times|$ is invertible in \mathcal{O} for all $[b] \in B^\times \backslash \widehat{B}^\times / U_m$. There is a perfect pairing

$$\langle \cdot, \cdot \rangle_m : S_2(U_m; \mathcal{O}) \times S_2(U_m; \mathcal{O}) \longrightarrow \mathcal{O}$$

given by

$$\langle f_1, f_2 \rangle_m := \sum_{[b] \in B^\times \backslash \widehat{B}^\times / U_m} c_m(b)^{-1} f_1(b) f_2(b\tau_m),$$

where $\tau_m \in \widehat{B}^\times$ is the Atkin–Lehner involution, defined by $\tau_{m,q} = \begin{pmatrix} 0 & 1 \\ -p^m N^+ & 0 \end{pmatrix}$ if $q|pN^+$, and $\tau_{m,q} = 1$ if $q \nmid pN^+$. It is easy to see that $\langle \cdot, \cdot \rangle_m$ is Hecke-equivariant. Letting $S_2(U_m; \mathcal{O})^+$ be the module $S_2(U_m; \mathcal{O})$ with the Hecke action composed with τ_m , we thus deduce a Hecke-module isomorphism

$$\begin{aligned} \text{Hom}_{\Lambda_{\mathcal{O}}}(J_\infty^{\text{ord}}, \mathcal{O}[\Gamma_m]) &\simeq \text{Hom}_{\mathcal{O}[\Gamma_m]}(J_m^{\text{ord}}, \mathcal{O}[\Gamma_m]) \\ &\simeq \text{Hom}_{\mathcal{O}}(J_m^{\text{ord}}, \mathcal{O}) \\ &\simeq S_2^{\text{ord}}(U_m; \mathcal{O})^+, \end{aligned}$$

which we shall denote by η_m .

Note that for any $[b]$ in the finite set $B^\times \backslash \widehat{B}^\times / U_m$ we have $c_m(b) = 1$ for all m sufficiently large. Since the isomorphisms η_m fit into commutative diagrams

$$\begin{array}{ccc} \mathrm{Hom}_{\Lambda_{\mathcal{O}}}(J_{\infty}^{\mathrm{ord}}, \mathcal{O}[\Gamma_m]) & \xrightarrow{\eta_m} & S_2^{\mathrm{ord}}(U_m; \mathcal{O})^+ \\ \downarrow \mathrm{pr} & & \downarrow \mathrm{tr} \\ \mathrm{Hom}_{\Lambda_{\mathcal{O}}}(J_{\infty}^{\mathrm{ord}}, \mathcal{O}[\Gamma_{m-1}]) & \xrightarrow{\eta_{m-1}} & S_2^{\mathrm{ord}}(U_{m-1}; \mathcal{O})^+, \end{array}$$

where the right vertical map is given by the trace map, taking the limit over $m \geq 1$ we thus arrive at a $\mathbb{T}^{\mathrm{ord}}$ -module isomorphism

$$\eta_{\infty} := \varprojlim_m \eta_m : \mathrm{Hom}_{\Lambda_{\mathcal{O}}}(J_{\infty}^{\mathrm{ord}}, \Lambda_{\mathcal{O}}) \simeq \varprojlim_m S_2^{\mathrm{ord}}(U_m; \mathcal{O})^+,$$

and hence

$$\eta_{\mathbb{I}} : \mathrm{Hom}_{\mathbb{I}}(\mathbb{J}, \mathbb{I}) \simeq S(U_0; \mathcal{D}_{\mathbb{I}})^+ \quad (7)$$

by linearity and Shapiro's Lemma.

Corollary 3.4 *Suppose Assumption 1.6 holds, and let Φ be as in Corollary 3.2. There exists an \mathbb{I} -linear isomorphism $\eta : \mathbb{J} \simeq \mathbb{I}$ such that for all $\kappa \in \mathcal{X}_{\mathrm{arith}}(\mathbb{I})$ of weight 2 and wild level p^m , the diagram*

$$\begin{array}{ccc} \mathbb{J} & \xrightarrow{\eta} & \mathbb{I} \\ \downarrow & & \downarrow \kappa \\ \mathbb{J}_m & \xrightarrow{\Phi_{\kappa}} & \mathcal{O}_{\kappa} \end{array}$$

commutes.

Proof Setting $\eta := \eta_{\mathbb{I}}^{-1}(\Phi)$, where $\eta_{\mathbb{I}}$ is the isomorphism (7), the result follows. \square

4 Specializations of big Heegner points

Recall that Assumption 1.6 is in force in all what follows.

4.1 Weight 2 specializations of big Heegner points

Let $\mathbf{f} \in \mathbb{I}[[q]]$ be a Hida family, and let $\Phi \in S(U_0, \mathcal{D}_{\mathbb{I}})$ be a p -adic family of quaternionic forms associated with \mathbf{f} as in Corollary 3.2.

Definition 4.1 For each $\kappa \in \mathcal{X}_{\mathrm{arith}}(\mathbb{I})$ and $n \geq 0$, let $\mathcal{L}_n^{\mathrm{an}}(\mathbf{f}/K; \kappa) \in \mathcal{O}_{\kappa}[\Gamma_n]$ be the image of

$$\sum_{\sigma \in \mathrm{Gal}(H_{p^{n+1}}/K)} \int_{\mathbf{Z}_p^\times \times \mathbf{Z}_p} \kappa(x) d\Phi(P_{p^{n+1}}^\sigma)(x, y) \otimes \sigma$$

under the projection $\mathcal{O}_{\kappa}[\mathrm{Gal}(H_{p^{n+1}}/K)] \rightarrow \mathcal{O}_{\kappa}[\Gamma_n]$, where

$$P_{p^{n+1}} = [\iota_{\kappa}, \varsigma^{(n+1)}] \in H^0(H_{p^{n+1}}, \widetilde{X}_0(K))$$

is the Heegner point of conductor p^{n+1} on $\widetilde{X}_0(K)$ defined in the proof of Theorem 1.2.

Lemma 4.2 *If $\kappa \in \mathcal{X}_{\text{arith}}(\mathbb{I})$ has weight 2, then the projection map $\pi_{n-1}^n : \mathcal{O}_\kappa[\Gamma_n] \rightarrow \mathcal{O}_\kappa[\Gamma_{n-1}]$ sends*

$$\mathcal{L}_n^{\text{an}}(\mathbf{f}/K; \kappa) \longmapsto \kappa(\mathbf{a}_p) \cdot \mathcal{L}_{n-1}^{\text{an}}(\mathbf{f}/K; \kappa).$$

Proof We begin by noting that if $\tilde{\tau} \in \text{Gal}(H_{p^{n+1}}/K)$ is any lift of a fixed $\tau \in \text{Gal}(H_{p^n}/K)$, then

$$\sum_{\substack{\sigma \mapsto \tau \\ \sigma \in \text{Gal}(H_{p^{n+1}}/K)}} P_{p^{n+1}}^\sigma = \sum_{\sigma \in \text{Gal}(H_{p^{n+1}}/H_{p^n})} P_{p^{n+1}}^{\tilde{\tau}\sigma} = U_p \cdot P_{p^n}^\tau. \quad (8)$$

We thus find, using that κ has weight 2 for the last equality, that

$$\begin{aligned} \sum_{\tau \in \text{Gal}(H_{p^n}/K)} \sum_{\substack{\sigma \mapsto \tau \\ \sigma \in \text{Gal}(H_{p^{n+1}}/K)}} \int_{\mathbf{Z}_p^\times \times \mathbf{Z}_p} \kappa(x) d\Phi(P_{p^{n+1}}^\sigma)(x, y) \otimes \tau \\ = \sum_{\tau \in \text{Gal}(H_{p^n}/K)} \int_{\mathbf{Z}_p^\times \times \mathbf{Z}_p} \kappa(x) d\Phi(U_p \cdot P_{p^n}^\tau)(x, y) \otimes \tau \\ = \kappa(\mathbf{a}_p) \sum_{\tau \in \text{Gal}(H_{p^n}/K)} \int_{\mathbf{Z}_p^\times \times \mathbf{Z}_p} \kappa(x) d\Phi(P_{p^n}^\tau)(x, y) \otimes \tau, \end{aligned}$$

and the result follows. \square

Definition 4.3 For each $\kappa \in \mathcal{X}_{\text{arith}}(\mathbb{I})$ of weight 2, define $\mathcal{L}_\infty^{\text{an}}(\mathbf{f}/K; \kappa) \in \mathcal{O}_\kappa[[\Gamma_\infty]]$ by

$$\mathcal{L}_\infty^{\text{an}}(\mathbf{f}/K; \kappa) := \varprojlim_n \kappa(\mathbf{a}_p^{-n}) \cdot \mathcal{L}_n^{\text{an}}(\mathbf{f}/K; \kappa).$$

By Lemma 4.2, $\mathcal{L}_\infty^{\text{an}}(\mathbf{f}/K; \kappa)$ is well-defined.

Proposition 4.4 *Fix Φ as in Corollary 3.2, and let $\Theta_\infty^{\text{Heeg}}(\mathbf{f}) \in \mathbb{I}[[\Gamma_\infty]]$ be the corresponding big theta element (see Definition 1.8), using the isomorphism $\eta : \mathbb{J} \simeq \mathbb{I}$ of Corollary 3.4. Then for any $\kappa \in \mathcal{X}_{\text{arith}}(\mathbb{I})$ of weight 2, we have*

$$\kappa(\Theta_\infty^{\text{Heeg}}(\mathbf{f})) = \mathcal{L}_\infty^{\text{an}}(\mathbf{f}/K; \kappa)$$

in $\mathcal{O}_\kappa[[\Gamma_\infty]]$.

Proof Let $\kappa \in \mathcal{X}_{\text{arith}}(\mathbb{I})$ have weight 2 of level p^m , and let $\mathcal{P}_{p^{n+1}}$ be the big Heegner point of conductor p^{n+1} (see Definition 1.5). In view of the definitions, it suffices to show that

$$\kappa(\eta_{K_n}(\mathcal{Q}_n)) = \mathcal{L}_n^{\text{an}}(\mathbf{f}/K; \kappa)$$

for all $n \geq m$, which in turn is implied by the equality

$$\kappa(\eta_{H_{p^{n+1}}}(\mathcal{P}_{p^{n+1}})) = \int_{\mathbf{Z}_p^\times \times \mathbf{Z}_p} \kappa(x) d\Phi(P_{p^{n+1}})(x, y), \quad (9)$$

where $\eta_{H_{p^{n+1}}}$ is the composite map $H^0(H_{p^{n+1}}, \mathbb{D}^\dagger) \rightarrow \mathbb{D} \rightarrow \mathbb{J} \xrightarrow{\eta} \mathbb{I}$.

Recall the critical character $\Theta : G_{\mathbf{Q}} \rightarrow \mathbb{I}^\times$ from Sect. 1.3, and define $\chi_\kappa : K^\times \backslash \mathbf{A}_K^\times \rightarrow F_\kappa^\times$ by

$$\chi_\kappa(x) = \Theta_\kappa(\text{rec}_{\mathbf{Q}}(\text{N}_{K/\mathbf{Q}}(x)))$$

for all $x \in \mathbf{A}_K^\times$. We will view χ_κ as a character of $\text{Gal}(K^{\text{ab}}/K)$ via the Artin reciprocity map rec_K . Let $\mathbb{P}_{p^{n+1},m} \otimes \zeta_m \in H^0(H_{p^{n+1}+m}, \mathbb{D}_m^\dagger)$ be as in (3), recall that $L_{p^{n+1},m} := H_{p^{n+1}+m}(\mu_{p^m})$, and consider the element $\mathbb{P}_{p^{n+1},m}^{\chi_\kappa} \in H^0(L_{p^{n+1},m}, \mathbb{D}_m^\dagger \otimes F_\kappa) = H^0(L_{p^{n+1},m}, \mathbb{D}_m \otimes F_\kappa)$ given by

$$\mathbb{P}_{p^{n+1},m}^{\chi_\kappa} := \sum_{\sigma \in \text{Gal}(L_{p^{n+1},m}/H_{p^{n+1}})} \text{Res}_{L_{p^{n+1},m}}^{H_{p^{n+1}+m}} (\mathbb{P}_{p^{n+1},m} \otimes \zeta_m)^\sigma \otimes \chi_\kappa^{-1}(\sigma). \quad (10)$$

By linearity, we may evaluate Φ_κ at any element in $\mathbb{D}_m \otimes F_\kappa$; in particular, we thus find

$$\begin{aligned} \Phi_\kappa(\mathbb{P}_{p^{n+1},m}^{\chi_\kappa}) &= \sum_{\sigma \in \text{Gal}(L_{p^{n+1},m}/H_{p^{n+1}})} \chi_\kappa^{-1}(\sigma) \cdot \Phi_\kappa(\tilde{P}_{p^{n+1},m}^\sigma) \\ &= \sum_{\tau \in \text{Gal}(L_{p^{n+1}-m},m/H_{p^{n+1}})} \chi_\kappa^{-1}(\tau) \sum_{\substack{\sigma \mapsto \tau \\ \sigma \in \text{Gal}(L_{p^{n+1},m}/H_{p^{n+1}})}} \Phi_\kappa(\tilde{P}_{p^{n+1},m}^\sigma) \\ &= \kappa(\mathbf{a}_p^m) \sum_{\tau \in \text{Gal}(L_{p^{n+1}-m},m/H_{p^{n+1}})} \chi_\kappa^{-1}(\tau) \cdot \Phi_\kappa(\tilde{P}_{p^{n+1}-m},m)^\tau \\ &= \kappa(\mathbf{a}_p^m) \cdot [L_{p^{n+1}-m},m : H_{p^{n+1}}] \cdot \Phi_\kappa(\tilde{P}_{p^{n+1}-m},m), \end{aligned} \quad (11)$$

using the “horizontal compatibility” of Theorem 1.2(4) for the third equality, and the transformation property of Theorem 1.2(2) for the last one.

By definition (10), we have

$$\begin{aligned} \mathbb{P}_{p^{n+1},m}^{\chi_\kappa} &= \text{Cor}_{L_{p^{n+1},m}/H_{p^{n+1}}} \circ \text{Res}_{H_{p^{n+1}+m}}^{L_{p^{n+1},m}} (\mathbb{P}_{p^{n+1},m} \otimes \zeta_m) \\ &= [L_{p^{n+1},m} : H_{p^{n+1}+m}] \cdot \text{Cor}_{H_{p^{n+1}+m}/H_{p^{n+1}}} (\mathbb{P}_{p^{n+1},m} \otimes \zeta_m), \end{aligned}$$

and using (2), it is immediate to see that

$$\mathbb{P}_{p^{n+1},m}^{\chi_\kappa} \in H^0(H_{p^{n+1}}, \mathbb{D}_m^\dagger \otimes F_\kappa)$$

(cf. [15, §3.4]). Since κ has wild level p^m , the composite map

$$\mathbb{D} \longrightarrow \mathbb{J} \xrightarrow{\eta} \mathbb{I} \xrightarrow{\kappa} F_\kappa$$

factors through $\mathbb{D} \rightarrow \mathbb{D}_m$, inducing the second map

$$H^0(H_{p^{n+1}}, \mathbb{D}_m^\dagger \otimes F_\kappa) \longrightarrow \mathbb{D}_m \otimes F_\kappa \longrightarrow F_\kappa. \quad (12)$$

Tracing through the construction of big Heegner points (Sect. 1.2), we thus see that the image of $U_p^m \cdot [L_{p^{n+1},m} : H_{p^{n+1}+m}] \cdot \mathcal{P}_{p^{n+1}}$ under the map

$$H^0(H_{p^{n+1}}, \mathbb{D}^\dagger) \longrightarrow \mathbb{D} \longrightarrow \mathbb{J} \xrightarrow{\kappa \circ \eta} F_\kappa$$

agrees with the image of $\mathbb{P}_{p^{n+1},m}^{\chi_\kappa}$ under the composite map (12), and hence using Corollary 3.4 we conclude that

$$\Phi_\kappa(\mathbb{P}_{p^{n+1},m}^{\chi_\kappa}) = \kappa(\mathbf{a}_p^m) \cdot [L_{p^{n+1},m} : H_{p^{n+1}+m}] \cdot \kappa(\eta_{H_{p^{n+1}}}(\mathcal{P}_{p^{n+1}})). \quad (13)$$

Combining (11) and (13), we see that

$$\kappa(\eta_{H_{p^{n+1}}}(\mathcal{P}_{p^{n+1}})) = \Phi_\kappa(\tilde{P}_{p^{n+1}-m},m).$$

On the other hand, since κ has weight 2, by definition of the specialization map we have

$$\int_{\mathbf{Z}_p^\times \times \mathbf{Z}_p} \kappa(x) d\Phi(P_{p^{n+1}})(x, y) = \Phi_\kappa(\tilde{P}_{p^{n+1-m}, m}).$$

Comparing the last two equalities, we see that (9) holds, whence the result. \square

4.2 Higher weight specializations of big Heegner points

In this section, we relate the higher weight specializations of the “big” theta elements $\Theta_\infty^{\text{Heeg}}(\mathbf{f})$ to the theta elements $\theta_\infty(\mathbf{f}_\kappa)$ of Chida–Hsieh. This is the key ingredient for the proof of our main results.

Proposition 4.5 *Let $\Theta_\infty^{\text{Heeg}}(\mathbf{f}) \in \mathbb{I}[[\Gamma_\infty]]$ be as in Lemma 4.4, and let $\kappa \in \mathcal{X}_{\text{arith}}(\mathbb{I})$ be an arithmetic prime of weight $k \geq 2$ and trivial nebentypus. Then*

$$\kappa(\Theta_\infty^{\text{Heeg}}(\mathbf{f})) = \lambda_k \cdot \delta_K^{-\frac{k-2}{2}} \cdot \theta_\infty(\mathbf{f}_\kappa),$$

where λ_k is as in Corollary 3.2 and $\theta_\infty(\mathbf{f}_\kappa)$ is the theta element of Chida–Hsieh (see Sect. 2.4).

Proof It suffices to show that both sides of the purported equality agree when evaluated at infinitely many characters of Γ_∞ . Thus let $\hat{\chi} : \Gamma_\infty \rightarrow \mathbf{C}_p^\times$ be the p -adic avatar of a Hecke character χ of K of infinity type $(m, -m)$ and conductor p^s , where

$$m = -(k/2 - 1),$$

and $s \geq 0$ is any non-negative integer. Let $n > s$. The expression defining $\mathcal{L}_n^{\text{an}}(\mathbf{f}/K; \kappa)$ (see Definition 4.1) depends continuously on κ , and hence from the equality of Proposition 4.4 we deduce that

$$\begin{aligned} \kappa(\Theta_n^{\text{Heeg}}(\mathbf{f})) &= \kappa(\mathbf{a}_p^{-n}) \sum_{\sigma \in \text{Gal}(H_{p^{n+1}}/K)} \int_{\mathbf{Z}_p^\times \times \mathbf{Z}_p} \kappa(x) d\Phi(P_{p^{n+1}}^\sigma)(x, y) \otimes \sigma \\ &= \kappa(\mathbf{a}_p^{-n}) \sum_{\sigma \in \text{Gal}(H_{p^{n+1}}/K)} \Phi_\kappa^{[k/2-1]}(P_{p^{n+1}}^\sigma) \otimes \sigma, \end{aligned}$$

using the fact that integrating $d\Phi(P_{p^{n+1}}^\sigma)(x, y)$ against $\kappa(x) = x^{k-2}$ recovers the coefficient of Y^{k-2} of $\Phi_\kappa(P_{p^{n+1}}^\sigma)$ for the second equality, as apparent from (6) (see Remark 2.5).

We thus find

$$\begin{aligned} \hat{\chi}(\kappa(\Theta_\infty^{\text{Heeg}}(\mathbf{f}))) &= \hat{\chi}(\kappa(\Theta_n^{\text{Heeg}}(\mathbf{f}))) = \kappa(\mathbf{a}_p^{-n}) \sum_{\sigma \in \Gamma_n} \Phi_\kappa^{[k/2-1]}(P_{p^{n+1}}^\sigma) \hat{\chi}(\sigma) \\ &= \lambda_\kappa \cdot \kappa(\mathbf{a}_p^{-n}) \sum_{\sigma \in \Gamma_n} \phi_{\mathbf{f}_\kappa}^{[k/2-1]}(P_{p^{n+1}}^\sigma) \hat{\chi}(\sigma) \\ &\equiv \lambda_\kappa \cdot \delta_K^{-\frac{k-2}{2}} \cdot \hat{\chi}(\theta_n^{[k/2-1]}(\mathbf{f}_\kappa)) \pmod{p^n} \\ &\equiv \lambda_k \cdot \delta_K^{-\frac{k-2}{2}} \cdot \hat{\chi}(\theta_\infty(\mathbf{f}_\kappa)) \pmod{p^n}, \end{aligned}$$

using Remark 2.5 and [4, Thm. 4.6] for the penultimate and last equalities, respectively. This congruence holds for all $n > s$, and hence

$$\hat{\chi}(\kappa(\Theta_\infty^{\text{Heeg}}(\mathbf{f}))) = \lambda_k \cdot \delta_K^{-\frac{k-2}{2}} \cdot \hat{\chi}(\theta_\infty(\mathbf{f}_\kappa)).$$

Letting χ vary, the result follows. \square

As a consequence of the above result, we deduce that the two-variable p -adic L -function $\mathcal{L}_p^{\text{Heeg}}(\mathbf{f}/K)$ of Definition 1.8 (constructed from big Heegner points) interpolates the p -adic L -functions $L_p^{\text{an}}(\mathbf{f}_\kappa/K)$ of Chida–Hsieh (Definition 2.6) attached to the different specializations of the Hida family \mathbf{f} .

Theorem 4.6 *Let $\kappa \in \mathcal{X}_{\text{arith}}(\mathbb{I})$ be an arithmetic prime of weight $k \geq 2$ and trivial nebentypus. Then*

$$\kappa(\mathcal{L}_p^{\text{Heeg}}(\mathbf{f}/K)) = \lambda_\kappa^2 \cdot \delta_K^{-(k-2)} \cdot L_p^{\text{an}}(\mathbf{f}_\kappa/K),$$

where λ_κ is as in Corollary 3.2.

Proof After Proposition 4.5, this follows immediately from the definitions. \square

Remark 4.7 If we do not insist in the particular choice of isomorphism η from Corollary 3.4, then the equality in Theorem 4.6 holds up to a unit in $\mathcal{O}_\kappa^\times$ (cf. Remark 1.9).

5 Main results

In this section, we relate the higher weight specializations of the theta elements constructed from big Heegner points to the special values of certain Rankin–Selberg L -functions, as conjectured in [13]. Following the discussion [13, §9.3], we begin by recalling the statement of this conjecture.

Let $\kappa \in \mathcal{X}_{\text{arith}}(\mathbb{I})$ be an arithmetic prime of even weight $k \geq 2$, and let \mathbf{f}_κ be the associated ordinary p -stabilized newform. In view of (1), for all $z \in \mathbf{Z}_p^\times$ we have

$$\theta_\kappa(z) = z^{k/2-1} \vartheta_\kappa(z),$$

where $\vartheta_\kappa : \mathbf{Z}_p^\times \rightarrow F_\kappa^\times$ is such that ϑ_κ^2 is the nebentypus of \mathbf{f}_κ ; in particular, the twist

$$\mathbf{f}_\kappa^\dagger := \mathbf{f}_\kappa \otimes \vartheta_\kappa^{-1}$$

has trivial nebentypus.

Let $\mathcal{L}_p^{\text{Heeg}}(\mathbf{f}/K) \in \mathbb{I}[[\Gamma_\infty]]$ be the two-variable p -adic L -function of Definition 1.10, constructed from big Heegner points. By linearity, any continuous character $\chi : \Gamma_\infty \rightarrow \mathbf{C}_p^\times$ defines an algebra homomorphism $\chi : \mathcal{O}_\kappa[[\Gamma_\infty]] \rightarrow \mathbf{C}_p$, and we set

$$\mathcal{L}_p^{\text{Heeg}}(\mathbf{f}/K; \kappa, \chi) := \chi \circ \kappa(\mathcal{L}_p^{\text{Heeg}}(\mathbf{f}/K)).$$

Recall that an arithmetic prime $\kappa \in \mathcal{X}_{\text{arith}}(\mathbb{I})$ is said to be *exceptional* if it has weight 2, trivial wild character, and $\kappa(\mathbf{a}_p)^2 = 1$. Denote by $w_\mathbf{f} \in \{\pm 1\}$ the *generic root number* of the Hida family \mathbf{f} , so that for every non-exceptional $\kappa \in \mathcal{X}_{\text{arith}}(\mathbb{I})$ the L -function of $\mathbf{f}_\kappa^\dagger$ over \mathbf{Q} has sign $w_\mathbf{f}$ in its functional equation.

Conjecture 5.1 ([13, Conj. 9.14]) *Let $\kappa \in \mathcal{X}_{\text{arith}}(\mathbb{I})$ be a non-exceptional arithmetic prime of even weight $k \geq 2$, and let $\chi : \Gamma_\infty \rightarrow \mathbf{C}_p^\times$ be a finite order character. If $w_\mathbf{f} = 1$, then*

$$\mathcal{L}_p^{\text{Heeg}}(\mathbf{f}/K; \kappa, \chi) \neq 0 \iff L_K(\mathbf{f}_\kappa^\dagger, \chi, k/2) \neq 0.$$

In view of Gross' special value formula [7], it is natural to expect Conjecture 5.1 to be a consequence of a finer statement whereby $\kappa(\mathcal{L}_p^{\text{Heeg}}(\mathbf{f}/K))$ would give rise to a p -adic L -function interpolating the central critical values $L_K(\mathbf{f}_k^\dagger, \chi, k/2)$ as χ varies. For $\kappa \in \mathcal{X}_{\text{arith}}(\mathbb{I})$ of weight 2, this indeed follows from the discussion in the previous section combined with Howard's "twisted" Gross–Zagier formula [12] (see [15, §5]). The corresponding statement in higher weights is the main result of this paper, which shows that the interpolation property in fact holds for a more general family of algebraic characters of Γ_∞ .

Theorem 5.2 *Let $\kappa \in \mathcal{X}_{\text{arith}}(\mathbb{I})$ be an arithmetic prime of weight $k \geq 2$ and trivial nebentypus, and let $\chi : \Gamma_\infty \rightarrow \mathbf{C}_p^\times$ be the p -adic avatar of a Hecke character of K of infinity type $(m, -m)$ with $-k/2 < m < k/2$ and conductor p^n . Then*

$$\mathcal{L}_p^{\text{Heeg}}(\mathbf{f}/K; \kappa, \chi) = \lambda_k^2 \cdot \delta_K^{-(k-2)} \cdot \epsilon(\mathbf{f}_\kappa) \cdot C_p(\mathbf{f}_\kappa, \chi) \cdot E_p(\mathbf{f}_\kappa, \chi) \cdot \frac{L_K(\mathbf{f}_\kappa, \chi, k/2)}{\Omega_{\mathbf{f}_\kappa, N^-}},$$

where λ_k is as in Corollary 3.2, $\epsilon(\mathbf{f}_\kappa)$ is the root number of \mathbf{f}_κ , and $C_p(\mathbf{f}_\kappa, \chi)$, $E_p(\mathbf{f}_\kappa, \chi)$, and $\Omega_{\mathbf{f}_\kappa, N^-}$ are as in Theorem 2.4. In particular, if κ is non-exceptional, Conjecture 5.1 holds.

Proof This follows immediately from Theorems 2.7 and 4.6, noting that $E_p(\mathbf{f}_\kappa, \chi) \neq 0$ if κ is non-exceptional. \square

Remark 5.3 In light of the analogy with the phenomenon of “exceptional zeros” observed by Mazur–Tate–Teitelbaum [17] in the cyclotomic setting, it would be interesting to study the leading term of $\mathcal{L}_p^{\text{Heeg}}(\mathbf{f}/K)$ at a point where the p -adic multiplier $E(\mathbf{f}_\kappa, \chi)$ appearing in Theorem 5.2 vanishes. In forthcoming work, we will combine the techniques of this paper with the strategy introduced by Greenberg–Stevens [8] to prove an anticyclotomic analogue of the exceptional zero conjecture of Mazur–Tate–Teitelbaum [17], recovering in particular the exceptional zero formula of Bertolini–Darmon–Iovita–Spiess [2] by completely different methods.

We conclude this paper with the following application to another conjecture from [13].

Conjecture 5.4 ([13, Conj. 9.5]) *Assume $w_{\mathbf{f}} = 1$, and let $\mathcal{J}_0 \in \mathbb{I}$ be the image of $\Theta_\infty^{\text{Heeg}}(\mathbf{f})$ under the augmentation map $\mathbb{I}[[\Gamma_\infty]] \rightarrow \mathbb{I}$. Then $\mathcal{J}_0 \neq 0$.*

Denote by $\mathcal{X}_{\text{triv}}(\mathbb{I})$ the set of non-exceptional $\kappa \in \mathcal{X}_{\text{arith}}(\mathbb{I})$ with trivial nebentypus, and let $k_\kappa \geq 2$ denote the weight of κ . In particular, recall that if $k_\kappa > 2$, then κ is non-exceptional.

Corollary 5.5 *Assume $w_{\mathbf{f}} = 1$. Then the following are equivalent:*

- (1) $L_K(\mathbf{f}_\kappa, 1, k_\kappa/2) \neq 0$ for some $\kappa \in \mathcal{X}_{\text{triv}}(\mathbb{I})$;
- (2) Conjecture 5.4 holds;
- (3) $L_K(\mathbf{f}_\kappa, 1, k_\kappa/2) \neq 0$ for all but finitely $\kappa \in \mathcal{X}_{\text{triv}}(\mathbb{I})$.

Proof The implications (1) \Rightarrow (2) and (2) \Rightarrow (3) are immediate consequences of Theorem 5.2, and the implication (3) \Rightarrow (1) is obvious. \square

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