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Preface

The Doctoral Programme is a forum held during the Constraint Programming conference which provides an opportunity for a group of Ph.D. students to achieve visibility and discuss their research interests and career objectives with each other and established researchers in Constraint Programming and its related fields. After successful Doctoral Programmes in previous years, it is being run again this year for the sixth time on September 24, 2006 in Nantes, France.

The aims of the Doctoral Programme are the following:

- to provide a forum for Ph.D. students to present their current research, and receive feedback from other students and senior researchers;
- to promote contacts among Ph.D. students and senior researchers working in the same area;
- to exchange research experience;
- to support Ph.D. students with information and advice on academic, research and industrial careers;
- and to financially support its participants.

This year, 38 students are participating to the Doctoral Programme 30 of which also receive complimentary conference registration and accommodation.

The programme consist of presentations of the students who do not have any paper/poster accepted at the main technical programme, and tutorials given by senior researchers in the field. In addition, each student is matched to a mentor who is a senior researcher with similar research interests and who can advise the student on his/her research progress. Finally, a doctoral dinner is organised to bring together the students in an informal gathering.

The editors would like to take the opportunity and thank all the authors who submitted a paper to this volume, the members of the organizing committee, the CP 2006 conference chairs Frédéric Benhamou and Narendra Jussien for their help in the organization of the Doctoral Programme, as well as our generous sponsors for their financial support.

We hope that the present volume is useful for anyone interested in the current PhD topics and new trends in Constraint Programming and its related fields.

Reasoning on bipolar preference problems

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Abstract. Real-life problems present several kinds of preferences. In this paper we focus on *bipolar problems*, that are problems with both positive and negative preferences. Although seemingly specular notions, these two kinds of preferences should be dealt with differently to obtain the desired natural behaviour. We technically address this by generalizing the soft constraint formalism, and by considering the issue of the compensation between positive and negative preferences. In particular, we suggest how constraint propagation and branch and bound can be adapted to deal with bipolar problems.

1 Introduction

Real-life problems present several kinds of preferences. In this paper we focus on *bipolar problems*, i.e., problems with both positive and negative preferences and we present an algorithm based on branch and bound techniques for solving them. Parts of this paper concerning modelling of bipolar problems have appeared in [3].

Positive and negative preferences could be thought as two symmetric concepts, but this does not happen in real scenarios. In fact, assume, for example, to have a scenario with two objects A and B. If we like both A and B, i.e., if we give to A and B positive preferences, then the overall scenario should be more preferred than having just A or B alone, and so the combination of such a preferences should give an higher positive preference. Instead, if we dislike both A and B, i.e., if we give to A and B negative preferences, then the overall scenario should be less preferred than having just A or B alone and so the combination of such a negative preferences should give a lower negative preference. When dealing with both kinds of preferences, it is natural to express also indifference, which means that we express neither a positive nor a negative preference over an object. A desired behaviour of indifference is that, when combined with any preference, it should not influence the overall preference. Finally, besides combining positive preferences among themselves, and also negative preferences among themselves, we also want to be able to combine positive with negative preferences, allowing compensation, that must produce a positive or a negative preference. For example, if we have a meal with meat (which we like very much) and wine (which we don't like), then what should be the preference of the meal? To know that, we should be able to compensate the positive preference given to meat with the negative one given to wine.

In this paper we start from the soft constraint formalism [2] based on c-semirings, to model negative preferences. We then extend it via a new structure, that models positive preferences and then we define a combination operator between positive and negative preferences to model preference compensation. Finally, we propose how to adapt constraint propagation and branch and bound techniques for finding optimal solutions of bipolar problems.

2 Background: semiring-based soft constraints

A soft constraint [2] is a classical constraint [5] where each instantiation of its variables has an associated value from a (totally or partially ordered) set. This set has two operations, which makes it similar to a semiring, and is called a c-semiring. A c-semiring is a tuple $(A, +, \times, \mathbf{0}, \mathbf{1})$ where: A is a set and $\mathbf{0}, \mathbf{1} \in A$; $+$ is commutative, associative, idempotent, $\mathbf{0}$ is its unit element, and $\mathbf{1}$ is its absorbing element; \times is associative, commutative, distributes over $+$, $\mathbf{1}$ is its unit element and $\mathbf{0}$ is its absorbing element. Consider the relation \leq_S over A such that $a \leq_S b$ iff $a + b = b$. Then: \leq_S is a partial order; $+$ and \times are monotone on \leq_S ; $\mathbf{0}$ is its minimum and $\mathbf{1}$ its maximum; (A, \leq_S) is a lattice and, $\forall a, b \in A, a + b = \text{lub}(a, b)$. Moreover, if \times is idempotent, then (A, \leq_S) is a distributive lattice and \times is its glb. Informally, the relation \leq_S gives us a way to compare the tuples of values and constraints. In fact, when we have $a \leq_S b$, we will say that b is better than a . Given a c-semiring $S = (A, +, \times, \mathbf{0}, \mathbf{1})$, a finite set D (the domain of the variables), and an ordered set of variables V , a constraint is a pair $\langle \text{def}, \text{con} \rangle$ where $\text{con} \subseteq V$ and $\text{def} : D^{|\text{con}|} \rightarrow A$. Therefore, a constraint specifies a set of variables (the ones in con), and assigns to each tuple of values of D of these variables an element of A . A soft constraint satisfaction problem (SCSP) is just a set of soft constraints over a set of variables. For example, fuzzy CSPs [7] are SCSPs that can be modeled by choosing the c-semiring $S_{FCSP} = ([0, 1], \max, \min, 0, 1)$ and weighted CSPs [2] are SCSPs that can be modeled by using $S_{WCSP} = (\mathbb{R}^+, \min, +, +\infty, 0)$.

3 Negative preferences

The structure we use to model negative preferences is exactly a c-semiring [2] as described in the previous section. In fact, in a c-semiring there is an element which acts as indifference, that is $\mathbf{1}$, since $\forall a \in A, a \times \mathbf{1} = a$ and the combination between negative preferences goes down in the ordering (in fact, $a \times b \leq a, b$), that is a desired property. This interpretation is very natural when considering, for example, the weighted semiring $(\mathbb{R}^+, \min, +, +\infty, 0)$. In fact, in this case the real numbers are costs and thus negative preferences. The sum of different costs is worse in general w.r.t. the ordering induced by the additive operator (that is, \min) of the semiring. From now on, we will use a standard c-semiring to model negative preferences, denoted as: $(N, +_n, \times_n, \perp_n, \top_n)$.

4 Positive preferences

When dealing with positive preferences, we want two main properties to hold: combination should bring to better preferences, and indifference should be lower than all the other positive preferences. These properties can be found in the following structure.

Definition 1. A positive preference structure is a tuple $(P, +_p, \times_p, \perp_p, \top_p)$ such that P is a set and $\perp_p, \top_p \in P$; $+_p$, the additive operator, is commutative, associative, idempotent, with \perp_p as its unit element ($\forall a \in P, a +_p \perp_p = a$) and \top_p as its absorbing element ($\forall a \in P, a +_p \top_p = \top_p$); \times_p , the multiplicative operator, is associative, commutative and distributes over $+_p$ ($a \times_p (b +_p c) = (a \times_p b) +_p (a \times_p c)$), with \perp_p as its unit element and \top_p as its absorbing element¹.

¹ In fact, the absorbing nature of \top_p can be derived from the other properties.

The additive operator of this structure has the same properties as the corresponding one in c-semirings, and thus it induces a partial order over P in the usual way: $a \leq_p b$ iff $a +_p b = b$. This allows to prove that $+_p$ is monotone over \leq_p (i.e., $\forall a, b \in P$ s. t. $a \leq_p b$ then $a \times_p d \leq_p b \times_p d, \forall d \in P$) and that it is the least upper bound in the lattice (P, \leq_p) ($\forall a, b \in P, a \times_p b \geq_p a +_p b \geq_p a, b$). An example of a positive preference structure is $P_1 = (R^+, \max, \text{sum}, 0, +\infty)$, where preferences are positive reals aggregated with *sum* and compared with *max*.

5 Bipolar preference structures

For handling both positive and negative preferences we propose to combine the two structures described in sections 4 and 3 in what we call a *bipolar preference structure*.

Definition 2. A bipolar preference structure is a tuple $(N, P, +, \times, \perp, \square, \top)$ where

- $(P, +_{|P}, \times_{|P}, \square, \top)$ is a positive preference structure;
- $(N, +_{|N}, \times_{|N}, \perp, \square)$ is a c-semiring;
- $+$: $(N \cup P)^2 \rightarrow (N \cup P)$ is s. t. $a_n + a_p = a_p, \forall a_n \in N$ and $a_p \in P$; this operator induces a partial ordering on $N \cup P$: $\forall a, b \in P \cup N, a \leq b$ iff $a + b = b$;
- \times : $(N \cup P)^2 \rightarrow (N \cup P)$ is an operator that, $\forall a, b, c \in N \cup P$, satisfies commutativity ($a \times b = b \times a$) and monotonicity property (if $a \leq b, a \times c \leq b \times c$).

Bipolar preference structures generalize both c-semirings and positive structures. In fact, when in a bipolar structure $\square = \top$, we have a c-semiring and, when $\square = \perp$, we have a positive structure. In the following, we will write $+_n$ instead of $+_{|N}$ and $+_p$ instead of $+_{|P}$. Similarly for \times_n and \times_p . When operator \times will be applied to a pair in $(N \times P)$, we will sometimes write \times_{np} and we will call it compensation operator. Given the way the ordering is induced by $+$ on $N \cup P$, easily, we have $\perp \leq \square \leq \top$. Thus, there is a unique maximum element (that is, \top), a unique minimum element (that is, \perp); the element \square is smaller than any positive preference and greater than any negative preference, and it is used to model indifference. A bipolar preference structure allows us to have a richer structure for one kind of preference, that is common in real-life problems. In fact, we can have different lattices (P, \leq_p) and (N, \leq_n) . For example, we could be satisfied with just two levels of negative preferences, while requiring several levels of positive preferences.

It is easy to show that the combination of a positive and a negative preference is a preference which is higher than, or equal to, the negative one and lower than, or equal to, the positive one. The following theorem holds when a bipolar preference structure $(N, P, +, \times, \perp, \square, \top)$ is given.

Theorem 1. For all $p \in P$ and $n \in N, n \leq p \times n \leq p$.

This means that the compensation of positive and negative preferences must lie in one of the chains between the two combined preferences, that passes through the indifference element \square . Possible choices for combining strictly positive with strictly negative preferences are thus the average, the median, the min or the max operator.

In general, the compensation operator \times may be not associative. We have defined a list of sufficient conditions for non-associativity of \times . For example, if \times_p or \times_n is idempotent and if there are at least two elements $p \in P$ and $n \in N$, that are different

from \square s. t. $p \times n = \square$, then \times is not associative. Since some of these conditions often occur naturally in practice, it is not reasonable to require associativity of \times .

In the following table each row corresponds to a bipolar preference structure.

N,P	$+_p, \times_p$	$+_n, \times_n$	\times_{np}	\perp, \square, \top
R^-, R^+	max, sum	max, sum	sum	$-\infty, 0, +\infty$
$[-1, 0], [0, 1]$	max, max	max, min	sum	$-1, 0, 1$
$[0, 1], [1, +\infty]$	max, prod	max, prod	prod	$0, 1, +\infty$

In the first structure positive preferences are positive real numbers and negative preferences are negative real numbers, the compensation is given by sum, while the ordering is given by max. In the second structure positive preferences are between 0 and 1 and negative preferences between -1 and 0. Again, compensation is sum, and the order is given by max. In the third structure positive preferences are between 1 and $+\infty$ and negative preferences between 0 and 1. Compensation is obtained by multiplying the preferences and ordering is again via max.

6 Bipolar preference problems

Once we have defined bipolar preference structures, we can define a notion of bipolar constraint, which is just a constraint where each assignment of values to its variables is associated to one of the elements in a bipolar preference structure.

Definition 3. Given a bipolar preference structure $(N, P, +, \times, \perp, \square, \top)$ a finite set D (the domain of the variables), and an ordered set of variables V , a constraint is a pair $\langle def, con \rangle$ where $con \subseteq V$ and $def : D^{|con|} \rightarrow (N \cup P)$.

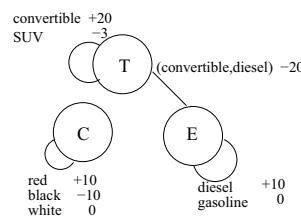
A bipolar CSP (V, C) is then just a set of variables V and a set of bipolar constraints C over V . We propose a way of defining the optimal solutions of a bipolar CSP that avoids problems due to the possible non-associativity. A solution of a bipolar CSP (V, C) is a complete assignment to all variables in V , with an associated preference that is computed by combining all the positive preferences associated to its projections over the constraints, combining all the negative preferences associated to its projections over the constraints, and then, combining the two preferences obtained so far. If \times is associative, then other definitions of solution preference could be used while giving the same result. A solution s is an optimal solution if there is no other solution s' with $pref(s') > pref(s)$.

6.1 An example of bipolar CSP

Consider the scenario in which we want to buy a car. We have some preferences over the car's features. In terms of color, we like red, we are indifferent to white, and we hate black. Also, we like convertible cars a lot and we don't care much for SUVs. In terms of engines, we like diesel. However, we don't want a diesel convertible.

We may decide to represent positive preferences via positive integers and negative preferences via negative integers. Moreover, we may decide to maximize the sum of all kinds of preferences. This can be modelled by a preference structure where $N = [-\infty, 0]$, $P = [0, +\infty]$, $+ = \max$, $\times = \text{sum}$, $\perp = -\infty$, $\square = 0$, $\top = +\infty$. We now model

the example above over this bipolar preference structure. We have three variables: variable T (type) with domain $\{\text{convertible}, \text{SUV}\}$, variable E (engine) with domain $\{\text{diesel}, \text{gasoline}\}$, and variable C (color) with domain $\{\text{red}, \text{white}, \text{black}\}$. For the preferences over the colors, we define a constraint $c_1 = \langle def_1, \{C\} \rangle$ where, for example, we set $def_1(\text{red}) = +10$, $def_1(\text{black}) = -10$, and $def_1(\text{white}) = 0$. We also have a constraint over car types, say $c_2 = \langle def_2, \{T\} \rangle$ where we set $def_2(\text{convertible}) = +20$ and $def_2(\text{SUV}) = -3$. The constraint over engines can then be $c_3 = \langle def_3, \{E\} \rangle$, where we can set $def_3(\text{diesel}) = +10$ and $def_3(\text{gasoline}) = 0$. Finally, the last preference can be modelled by a constraint $c_4 = \langle def_4, \{T, E\} \rangle$, where we can set $def_4(\text{convertible}, \text{diesel}) = -20$ and $def_4(a, b) = 0$ for $(a, b) \neq (\text{convertible}, \text{diesel})$. The following figure shows the structure of such a bipolar CSP, where we use value 0 for modelling indifference.



Consider, now, solution $s_1 = (\text{red}, \text{convertible}, \text{diesel})$: we have $pref(s_1) = (def_1(\text{red}) + def_2(\text{convertible}) + def_3(\text{diesel})) + def_4(\text{convertible}, \text{diesel}) = (10 + 20 + 10) + (-20) = 20$. We can compute the preference of all other solutions and we can see that the optimal solution is $(\text{red}, \text{convertible}, \text{gasoline})$ with global preference of 30.

6.2 Solving bipolar CSPs

Bipolar problems are NP-hard, since they generalise both classical and soft constraints, which are already difficult problems. However, we can devise algorithms and heuristics to solve them, hopefully efficiently in the average case. Preference problems based on c-semirings can be solved via a branch and bound technique, possibly augmented via soft constraint propagation, which may lower the preferences and thus allow for the computation of better bounds [2]. In bipolar CSPs, we have positive and negative preferences. Branch and bound techniques can be adapted to compute, at each search node k , an upper bound ub to the preferences of all the solutions in the k -rooted subtree.

If \times is non-associative, then each node is associated to a positive and a negative preference, say p and n , which is obtained by aggregating all preferences of the same type in the instantiated part of the problem. An upper bound for the subtree can be computed, for example, by taking the aggregation of all the best positive and negative preferences in the non-instantiated part of the problem, say p' and n' , and by aggregating them to the positive and negative preferences of the current node. This produces the upper bound $ub = (p \times_p p') \times (n \times_n n')$, where $p' = p_1 \times_p \dots \times_p p_s$, $n' = n_1 \times_n \dots \times_n n_w$, and $r = s + w$ is the number of non-instantiated variables/constraints. Thus ub can be computed via $r - 1$ aggregation steps and one compensation step.

If \times is associative, however, we don't need to postpone compensation until all constraints have been considered, but we can interleave compensation and aggregation

while searching for an optimal solution. This means that we can keep just one value $v = p \times n$ for each search node, that can be positive or negative, which is obtained by aggregating all preferences (both positive and negative) obtained in the instantiated part of the problem. The same can be done considering the best preferences in the uninstantiated part of the problem, obtaining a value v' . Thus, ub can now be written as $ub = v \times v'$, where $v' = a_1 \times \dots \times a_r$, where $a_i \in N \cup P$ is the best preference found in a constraint of the uninstantiated part of the problem. Thus now ub can be computed via at most $r - 1$ steps among which there can be many compensation steps. A compensation can generate the indifference \square , which is the unit element for the compensation operator. Thus, when \square is generated, the successive computation step can be avoided.

If $ub \leq v$, where v is the preference of the best solution so far, we can prune the k -rooted subtree. To improve this upper bound, we can propagate negative preferences as it is done in soft constraints [2, 4]. In fact, such a propagation may lower the negative values while not changing the semantics of the problem. Due to the monotonicity of \times and \times_n , the upper bound may thus become smaller and allow for more pruning. Positive preference can be propagated as well. However, since \times_p returns higher positive preferences, their propagation produces higher values. This is not helpful in improving the upper bound, since monotonicity of \times implies that a higher upper bound is obtained.

7 Related and future work

Bipolar reasoning and preferences have attracted some interest in the AI community. In [1], a bipolar preference model based on a fuzzy-possibilistic approach is described, but positive and negative preferences are kept separate and no compensation is allowed. In [6] totally ordered unipolar and bipolar preference scales are used, whereas we have presented a way to deal with partially ordered bipolar scales.

We plan to consider the presence of uncertainty in bipolar problems, possibly using possibility theory and to develop solving techniques for such scenarios. Another line of future research is the generalization of other preference formalisms, such as multi-criteria methods and CP-nets, to deal with bipolar preferences and to study the relation between bipolarization and importance tradeoffs.

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