On the first positive eigenvalue of fourth-order Steklov and Neumann problems

Luigi Provenzano based on joint works with D. Buoso and L.M. Chasman

Napoli, February 11, 2016



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Introduction



Let Ω be a domain in \mathbb{R}^N . We consider the Neumann eigenvalue problem problem for the biharmonic operator

$$\begin{cases} \Delta^2 u - \tau \Delta u = \lambda u, & \text{in } \Omega, \\ \frac{\partial^2 u}{\partial \nu^2} = 0, & \text{on } \partial \Omega, \\ \tau \frac{\partial u}{\partial \nu} - \operatorname{div}_{\partial \Omega} \left(D^2 u \cdot \nu \right) - \frac{\partial \Delta u}{\partial \nu} = 0, & \text{on } \partial \Omega. \end{cases}$$
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A physical interpretation: for N = 2

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Let $\rho_{\varepsilon}: \Omega \to \mathbb{R}^+$ be defined by

$$\boldsymbol{\rho}_{\varepsilon} = \begin{cases} \varepsilon, & \text{in } \Omega \setminus \overline{\omega}_{\varepsilon} \\ \frac{\mathsf{M} - \varepsilon |\Omega \setminus \overline{\omega}_{\varepsilon}|}{|\omega_{\varepsilon}|}, & \text{in } \omega_{\varepsilon} \end{cases}$$



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Theorem (Buoso, P.)

For all $j \in \mathbb{N}$, $\lim_{\varepsilon \to 0} \lambda_j(\varepsilon) = \lambda_j$, where λ_j are the eigenvalues of

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Critical points?



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Let Ω be a fixed domain of class C^1 and let

$$\Phi(\Omega) = \left\{ \phi \in \left(C^2(\bar{\Omega}) \right)^N : \phi \text{ injective and } \inf_{\Omega} |\det D\phi| > 0 \right\}.$$



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The space $\Phi(\Omega)$ is a linear space.



 $\mathcal{A}_{\Omega}[F] = \left\{ \phi \in \Phi(\Omega) : \lambda_{l}[\phi] \neq \lambda_{j}[\phi] \quad \forall j \in F, \ \forall l \in \mathbb{N} \setminus F \right\}$





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For example, if $F = \{1\}$, then $\mathcal{A}_{\Omega}[F] = \{\phi \in \Phi(\Omega) : \lambda_1[\phi] \text{ is simple}\}.$





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Then we consider the symmetric functions of the eigenvalues, for $s \in \{1, ..., |F|\}$

$$\Lambda_{F,s}[\phi] = \sum_{j_1 < \cdots < j_s \in F} \lambda_{j_1}[\phi] \cdots \lambda_{j_s}[\phi]$$



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Such functions turn out to be important objects of study in shape differentiability and shape optimization problems.



Theorem (Buoso, P. - 'Analyticity')

Let Ω be a bounded domain in \mathbb{R}^N of class C^1 . Let F be a finite non-empty subset of \mathbb{N} . Then

i) The set $\mathcal{A}_{\Omega}[F]$ is open in $\Phi(\Omega)$.



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Let Ω be a bounded domain in \mathbb{R}^N of class C^1 . Let F be a finite non-empty subset of \mathbb{N} . Then

- i) The set $\mathcal{A}_{\Omega}[F]$ is open in $\Phi(\Omega)$.
- ii) The function $\Lambda_{F,s}[\phi]$ from $\mathcal{A}_{\Omega}[F]$ to \mathbb{R} is real analytic.



Theorem (Buoso, P. - 'Derivatives, Neumann')

Let $\tilde{\phi} \in \mathcal{A}_{\Omega}[F]$ be such that $\lambda_j[\tilde{\phi}] = \lambda_F[\tilde{\phi}]$ for all $j \in F$ and such that $\tilde{\phi}(\Omega)$ is of class C^4 . Let $v_1, ..., v_{|F|}$ be a orthonormal basis of the eigenspace associated with $\lambda_F[\tilde{\phi}]$. Then

$$\begin{split} d|_{\phi = \tilde{\phi}} \left(\Lambda_{F,s} \right) \left[\psi \right] &= -\lambda_F^{s-1} [\tilde{\phi}] \binom{|F| - 1}{s - 1} \sum_{j=1}^{|F|} \int_{\partial \tilde{\phi}(\Omega)} \left(\lambda_F [\tilde{\phi}] v_j^2 - \tau |\nabla v_j|^2 - |D^2 v_j|^2 \right) \psi \circ \tilde{\phi}^{(-1)} \cdot \nu d\sigma, \end{split}$$

for all $\psi \in \left(C^2(\bar{\Omega})\right)^N$.





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for all $\psi \in (C^2(\overline{\Omega}))^N$, where K denotes the mean curvature of $\partial \tilde{\phi}(\Omega)$.

Critical domains



Now we turn our attention to extremum problems of the type

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Theorem (Buoso, P.)

Let Ω be a bounded domain of \mathbb{R}^N of class C^1 . Let $\tilde{\phi}$ be such that $\tilde{\phi}(\Omega)$ is a ball. Let $\tilde{\lambda}$ be an eigenvalue of problem (1.1) or (1.2) in $\tilde{\phi}(\Omega)$, and let F be the set of $j \in \mathbb{N}$ such that $\lambda_j[\tilde{\phi}] = \tilde{\lambda}$. Then $\Lambda_{F,s}$ has a critical point at $\tilde{\phi}$ on $V(|\tilde{\phi}(\Omega)|)$, for all s = 1, ..., |F|.



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Hence, balls are critical domains for all simple eigenvalues and for all the symmetric functions of all multiple eigenvalues under measure constraint.



Can we say more on the critical nature of balls for the Steklov eigenvalues?





Can we say more on the critical nature of balls for the Steklov eigenvalues? Yes, we have the following

Theorem (Chasman; Buoso, P.)

Among all bounded domains of class C^1 with fixed measure, the ball is the unique maximizer of the first non-negative eigenvalue of problem (1.1) (Chasman) and of problem (1.2) (Buoso, P.), that is

 $\lambda_2(\Omega) \leq \lambda_2(\Omega^*),$

where Ω^* is a ball with the same measure as Ω



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Fraenkel Asymmetry measures the "distance" in the L^1 sense of a generic set from the "family" of balls.







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Theorem (Buoso, Chasman, P., 'Neumann'; Buoso, P., 'Steklov')

For every domain Ω in \mathbb{R}^N of class C^1 the following estimate holds:

$$\lambda_{2}(\Omega) \leq \lambda_{2}(\Omega^{*}) \left(1 - c_{N} \mathcal{A}(\Omega)^{2}\right),$$
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where c_N is a suitable constant and Ω^* is a ball with the same measure as Ω .



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This is the isoperimetric inequality in quantitative form.



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To do so we shall exhibit a family $\{\Omega_{\varepsilon}\}$ of sets approaching the unit ball B such that

$$\mathcal{A}(\Omega_{\varepsilon}) \simeq \frac{|\Omega_{\varepsilon} \triangle B|}{|\Omega_{\varepsilon}|} \simeq \varepsilon \text{ and } \lambda_2(B) - \lambda_2(\Omega_{\varepsilon}) \simeq \varepsilon^2, \quad \varepsilon \ll 1.$$





We define a family $\{\Omega_{\varepsilon}\}$ in this way

$$\Omega_{\varepsilon} = \left\{ x \in \mathbb{R}^N : |x| < 1 + \varepsilon \psi(x/|x|) \right\},\$$

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$$\int_{\partial B} \psi d\sigma = 0;$$

2 $\int_{\partial B} (a \cdot x) \psi d\sigma = 0$ for all $a \in \mathbb{R}^N;$
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This family of sets is such that $\mathcal{A}(\Omega_{\varepsilon}) \simeq \varepsilon$ and $\lambda_2(B) - \lambda_2(\Omega_{\varepsilon}) \simeq \varepsilon^2$, proving that the exponent 2 is sharp.



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THANK YOU