On the fundamental tones of free vibrating plates

Luigi Provenzano joint work with Davide Buoso IMSE 2014 Karlsrhue, July 21, 2014



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Let Ω be a bounded domain in \mathbb{R}^N , $\tau > 0$ a fixed constant.

$$\begin{cases} \Delta^2 u - \tau \Delta u = 0, & \text{in } \Omega, \\ \frac{\partial^2 u}{\partial \nu^2} = 0, & \text{on } \partial \Omega, \\ \tau \frac{\partial u}{\partial \nu} - \operatorname{div}_{\partial \Omega} \left(D^2 u . \nu \right) - \frac{\partial \Delta u}{\partial \nu} = \lambda u, & \text{on } \partial \Omega, \end{cases}$$





$$\int_{\Omega} D^2 u : D^2 \phi + \tau \nabla u \cdot \nabla \phi dx = \lambda \int_{\partial \Omega} u \phi d\sigma, \quad \forall \phi \in H^2(\Omega),$$

where
$$D^2 u : D^2 \phi = \sum_{i,j=1}^{N} \frac{\partial^2 u}{\partial x_i \partial x_j} \frac{\partial^2 \phi}{\partial x_i \partial x_j}$$





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$$0 = \lambda_1[\Omega] < \lambda_2[\Omega] \leq \cdots \leq \lambda_j[\Omega] \leq \cdots$$



The Biharmonic Steklov problem



$\Omega \mapsto \lambda_j[\Omega] \,, \quad \Omega \mapsto \lambda_2[\Omega]$





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$\max_{\Omega} \lambda_j[\Omega]$? $\min_{\Omega} \lambda_j[\Omega]$? Critical points?

among sets Ω with a fixed volume $|\Omega|$





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$$\begin{aligned} \Delta^2 u &= 0, & \text{in } \Omega, \\ u &= 0, & \text{on } \partial\Omega, \\ \Delta u &= \lambda \frac{\partial u}{\partial y}, & \text{on } \partial\Omega, \end{aligned}$$

Bucur, Ferrero, Gazzola, "On the first eigenvalue of a fourth order Steklov problem", Calc. Var. Partial Differential Equations, 35.



Steklov problem for the Laplacian

$$\begin{cases} \Delta u = 0, & \text{in } \Omega, \\ \frac{\partial u}{\partial v} = \lambda u, & \text{on } \partial \Omega, \end{cases}$$





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The ball is a maximizer for $\lambda_2[\Omega]$ among Ω with a fixed volume (Weinstock, Brock).



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$$\rho_{\varepsilon=} \left\{ \begin{array}{ll} \varepsilon, & \text{ in } \Omega \setminus \bar{\Omega}_{\varepsilon} \,, \\ C_{\varepsilon}, & \text{ in } \Omega_{\varepsilon} \,, \end{array} \right.$$

 $\Omega_{\varepsilon} = \{x \in \Omega : \operatorname{dist}(x, \partial \Omega) < \varepsilon\} \text{ and } \int_{\Omega} \rho_{\varepsilon} = M \text{ for all } \varepsilon \in]0, \varepsilon_0[.$



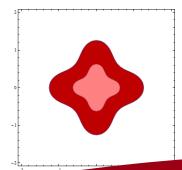


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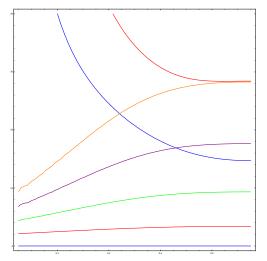


Figure: N=2, M= π

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Strategy:

Biharmonic Neumann problem with mass density ρ_{ε}

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The ball is a maximizer for $\lambda_2[\Omega]$ among Ω with a fixed volume, when $\rho_{\varepsilon} \equiv \text{const}$ (Chasman 2011).





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Symmetric functions of the eigenvalues



Let Ω a bounded domain in \mathbb{R}^N . Set

$$\Phi(\Omega) = \left\{ \phi \in \left(C^2(\Omega) \right)^N, \text{ injective } : \inf_{\Omega} |\det D\phi| > 0 \right\}$$



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Theorem (Buoso-P. 2014)

Let Ω be a bounded domain of \mathbb{R}^N of class C^1 . Let F be a finite non-empty subset of $\mathbb{N} \setminus \{0\}$. Let

$$\mathcal{A}_{\Omega}[F] = \left\{ \phi \in \Phi(\Omega) \ : \ \lambda_{I}[\phi] \notin \left\{ \lambda_{j}[\phi] : j \in F \right\} \ \forall I \in \mathbb{N} \setminus (F \cup \{0\}) \right\}$$

Then the set \mathcal{A}_{Ω} is open in $\Phi(\Omega)$ and the map $\Lambda_{F,s}$ from \mathcal{A}_{Ω} to \mathbb{R} defined by

$$\Lambda_{F,s}[\phi] = \sum_{j_1 < \cdots < j_s \in F} \lambda_{j_1}[\phi] \cdots \lambda_{j_s}[\phi]$$

for $s \in \{1, ..., |F|\}$ is real analytic.



Theorem (Buoso-P. 2014)

Let Ω be a bounded domain in \mathbb{R}^N . Let F a finite non-empty subset of $\mathbb{N} \setminus \{0\}$. Let $\tilde{\phi} \in \mathcal{A}_{\Omega}[F]$ be such that all the eigenvalues with indexes in F have a commond value λ_F and moreover that $\partial \tilde{\phi}(\Omega) \in C^4$. Let $v_1, ..., v_{|F|}$ be a hortonormal basis of the eigenspace associated with the eigenvalue $\lambda_F[\tilde{\phi}]$. Then

$$d|_{\phi=\tilde{\phi}}(\Lambda_{F,s})[\psi] = -\lambda_{F}^{s}[\tilde{\phi}] \binom{|F|-1}{s-1} \sum_{l=1}^{|F|} \int_{\partial \tilde{\phi}(\Omega)} \left(\lambda_{F} K v_{l}^{2} + \lambda_{F} \frac{\partial(v_{l}^{2})}{\partial v} - \tau |\nabla v_{l}|^{2} - |D^{2} v_{l}|^{2}\right) \mu \cdot v d\sigma, \quad (1.3)$$

for all $\psi \in (C^2(\Omega))^N$, where $\mu = \psi \circ \phi^{(-1)}$, and K denotes the mean curvature on $\partial \tilde{\phi}(\Omega)$.

Isovolumetric perturbations



$$\mathcal{V}(\phi) = \int_{\phi(\Omega)} dy = \int_{\Omega} |\det \mathbf{D}\phi| dx$$

 $\mathsf{Fix}\; \mathcal{V}_0 \in]0, +\infty[$

$$V(\mathcal{V}_0) = \{\phi \in \Phi[\Omega] : \mathcal{V}(\phi) = \mathcal{V}_0\}$$



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The function $\tilde{\phi}$ is a critical point for $\Lambda_{F,s}$ if and only if

$$\sum_{l=1}^{|F|} \left(\lambda_F[\tilde{\phi}] \left(K v_l^2 + \frac{\partial v_l^2}{\partial v} \right) - \tau |\nabla v_l|^2 - |D^2 v_l|^2 \right) = c, \text{ a.e. on } \partial \tilde{\phi}(\Omega).$$





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Theorem (Buoso-P. 2014)

Let Ω be a domain of \mathbb{R}^N . Let $\tilde{\phi} \in \Phi(\Omega)$ be such that $\tilde{\phi}(\Omega)$ is a ball. Let $\tilde{\lambda}$ be an eigenvalue of the problem in $\tilde{\phi}(\Omega)$, and let F be the set of $j \in \mathbb{N} \setminus \{0\}$ such that $\lambda_j[\tilde{\phi}] = \tilde{\lambda}$. Then $\Lambda_{F,s}$ has a critical point at $\tilde{\phi}$ on $V(\mathcal{V}(\tilde{\phi}))$, for all s = 1, ..., |F|.



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Could we say more on the fundamental tone λ_2 ?





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Could we say more on the fundamental tone λ_2 ?

Theorem (Buoso-P. 2014)

Among all bounded domains of class C^1 with fixed volume, the ball maximizes the first non-negative eigenvalue, that is $\lambda_2[\Omega] \le \lambda_2[\Omega^*]$, where Ω^* is the ball with the same volume as Ω .



The fundamental tone



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$$u(r,\theta_1,...,\theta_{N-1}) = \mathbf{R}_l(r)\mathbf{Y}_l(\theta_1,...,\theta_{N-1})$$

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The corresponding eigenvalues are given by an explicit formula (rather long)

 $\lambda = g(l, N, \tau),$

for $l \in \mathbb{N}$.





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Example: $g(0, N, \tau) = 0$, $g(1, N, \tau) = \tau$. Which $l \in \mathbb{N}$ gives the fundamental tone?



$$\lambda_{\mathbf{2}}[\mathbf{B}] = g(1, \mathbf{N}, \tau) = \tau$$





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Strategy: use the eigenfunctions of the unit ball as test functions in a variational characterization of $\lambda_2[\Omega]$





Lemma (Hile-Xu 1993)

Let Ω be a bounded domain of class C^1 in \mathbb{R}^N . Then

$$\sum_{l=2}^{N+1} \frac{1}{\lambda_l(\Omega)} = \max\bigg\{\sum_{l=2}^{N+1} \int_{\partial\Omega} v_l^2 d\sigma\bigg\},\,$$

where $\{v_l\}_{l=2}^{N+1}$ is a family in $H^2(\Omega)$ satisfying $\int_{\Omega} D^2 v_i : D^2 v_j + \tau \nabla v_i \cdot \nabla v_j dx = \delta_{ij}$ and $\int_{\partial \Omega} v_l d\sigma = 0$ for all l = 2, ..., N + 1.





Lemma (Betta-Brock-Mercaldo-Posteraro 1999)

Let Ω be an open set in \mathbb{R}^N and f be a continuous, non-negative, non-decreasing function defined on $[0, +\infty)$. Let us assume that the function

$$t\mapsto \left(f(t^{1/N})-f(0)\right)t^{1-(1/N)}$$

is convex. Then

$$\int_{\partial\Omega} f(|x|) d\sigma \geq \int_{\partial\Omega^*} f(|x|) d\sigma,$$

where Ω^* is the ball centered at zero with the same volume as Ω .

The fundamental tone



Take Ω of class C^1 with $|\Omega| = |B|$.



The fundamental tone



Take Ω of class C^1 with $|\Omega| = |B|$. Perform the translation $x_i = y_i - t_i$ $t_i = \frac{1}{|\partial \Omega|} \int_{\partial \Omega} y_i d\sigma$



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Use test functions $v_l = (\tau |\Omega|)^{-\frac{1}{2}} x_l$ in the variational formula and use the isoperimetric inequality





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$$\sum_{l=2}^{N+1} \frac{1}{\lambda_l[\Omega]} \geq \frac{1}{\tau |\Omega|} \int_{\partial \Omega} |x|^2 d\sigma \geq \frac{1}{\tau |\Omega|} \int_{\partial B} |x|^2 d\sigma = \frac{N}{\tau} = \sum_{l=2}^{N+1} \frac{1}{\lambda_l[B]}.$$





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$$\sum_{l=2}^{N+1} \frac{1}{\lambda_l[\Omega]} \geq \frac{1}{\tau |\Omega|} \int_{\partial \Omega} |x|^2 d\sigma \geq \frac{1}{\tau |\Omega|} \int_{\partial B} |x|^2 d\sigma = \frac{N}{\tau} = \sum_{l=2}^{N+1} \frac{1}{\lambda_l[B]}.$$

Remark: for general values of $|\Omega|$ just observe

$$\lambda[\tau,\Omega] = s^4 \lambda[s^{-2}\tau,s\Omega]$$



Let $\tau = 0$ and Ω be a bounded domain of class C^1

$$\begin{cases} \Delta^2 u = 0, & \text{in } \Omega, \\ \frac{\partial^2 u}{\partial v^2} = 0, & \text{on } \partial\Omega, \\ -\text{div}_{\partial\Omega} (D^2 u.v) - \frac{\partial \Delta u}{\partial v} = \lambda u, & \text{on } \partial\Omega, \end{cases}$$





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The kernel of the problem is $\{1, x_1, ..., x_N\}$





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■ identify the fundamental tone of the unit ball

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$$u(r, \theta_1, ..., \theta_{N-1}) = (6r^2 - r^4)Y_2(\theta_1, ..., \theta_{N-1})$$



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What we can do:

■ identify the fundamental tone of the unit ball

$$\lambda_{N+2}[B] = 2\left(N + \frac{8}{5}\right)$$

identify the corresponding eigenfunctions

$$u(r, \theta_1, ..., \theta_{N-1}) = (6r^2 - r^4)Y_2(\theta_1, ..., \theta_{N-1})$$

• construct trial functions of the form $R(r)Y_2(\theta_1,...\theta_{N+1})$



test these trial functions on any Ω of class C¹





- test these trial functions on any Ω of class C¹
- find good estimates for the sum of the reciprocals in the case the test is possible





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Trial functions work with radial domains. For small dimensions we have isoperimetric inequality





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Trial functions work with radial domains. For small dimensions we have isoperimetric inequality

Theorem (Buoso-P. 2014)

Among all bounded radial domains Ω with a fixed volume in \mathbb{R}^N , $N \leq 4$, the ball maximizes the first non-zero eigenvalue, that is

 $\lambda_{N+2}[\Omega] \leq \lambda_{N+2}[\Omega^*],$

where Ω^* is the ball with the same volume of Ω .

Further directions: the case $\tau = 0$



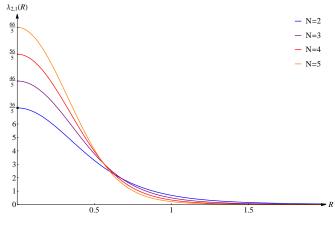


Figure: N=2,3,4,5



Further directions: Neumann problem, Poly-harmonic operators,...



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Neumann problem for the Biharmonic operator

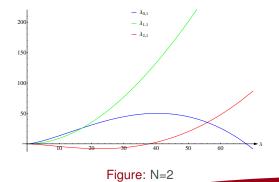
$$\begin{cases} \Delta^2 u = \lambda u, & \text{in } \Omega, \\ \frac{\partial^2 u}{\partial v^2} = 0, & \text{on } \partial\Omega, \\ -\text{div}_{\partial\Omega} \left(D^2 u. v \right) - \frac{\partial (\Delta u)}{\partial v} = 0, & \text{on } \partial\Omega. \end{cases}$$



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Further directions: Neumann problem, Poly-harmonic operators,...



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• Neumann problem for $(-\Delta)^m$

$$\begin{cases} (-\Delta)^m u = \lambda u, & \text{in } \Omega, \\ N_1 u = N_2 u = \dots = N_m u = 0, & \text{on } \partial \Omega, \end{cases}$$

 $N_i u$ are the *m* natural boundary conditions, ordered according their order: N_1 is an operator of order *m*, N_2 is of order $m + 1, ..., N_m$ is of order 2m - 1.



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Steklov problem for $(-\Delta)^m$

$$\begin{cases} \Delta^m u = 0, & \text{in } \Omega, \\ N_1 u = N_2 u = \dots = N_{m-1} u = 0, & \text{on } \partial \Omega, \\ N_m u = \lambda u, & \text{on } \partial \Omega, \end{cases}$$

with the same N_i

Further directions: mass concentration



Behavior of $\lambda_j(\varepsilon)$ for mass concentration problem for the Biharmonic operator





Behavior of λ_j(ε) for mass concentration problem for the Biharmonic operator

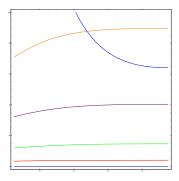


Figure: N=2, M= π , τ = 5





 Behavior of λ_j(ε) for mass concentration problem for the Biharmonic operator

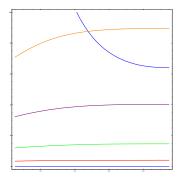


Figure: N=2, M= π , τ = 5

• On the ball? On arbitrary Ω (also in the second order case)?

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THANK YOU

