HFM 2019

History of Abstract Interpretation

Roberto Giacobazzi Francesco Ranzato

Acknowledgements These slides are much indebted and inspired from Patrick Cousot's talk

Abstract Interpretation: 40 years back + some years ahead

at the **Next 40 years of Abstract Interpretation (N40AI)** Workshop held in Paris on January 21st 2017 part of POPL2017 week



The cultural context



Flow diagrams





Turing, A. M. 1949

PLANNING AND CODING OF PROBLEMS FOR AN ELECTRONIC COMPUTING INSTRUMENT

BY

Herman H. Goldstine

John von Neumann



Report on the Mathematical and Logical aspects of an Electronic Computing Instrument

Part II, Volume 1-3

H.H. Goldstine and J. von Neumann. 1947





H.H. Goldstine and J. von Neumann. 1947







H.H. Goldstine and J. von Neumann. 1947







B. Knaster: Un théorème sur les fonctions d'ensembles. M. K. communique les résultats suivants, obtenus en commun par M. Tarski et lui.



1928



A LATTICE-THEORETICAL FIXPOINT THEOREM AND ITS APPLICATIONS

ALFRED TARSKI



1955



ANNALS OF MATHEMATICS Vol. 43, No. 2, April, 1942

THE CLOSURE OPERATORS OF A LATTICE

By Morgan Ward

(Received January 29, 1940)



PORTUGALIAE MATHEMATICA

Vol. 19 - Fasc. 2 - 1960

SOME RESULTS ON CLOSURE OPERATORS OF PARTIALLY ORDERED SETS*

$$\begin{array}{ccc} C1: & x \leq \varphi(x), \\ C2: & If & x \leq y, \\ C3: & \varphi(\varphi(x)) = g \end{array}$$

PORTUGA Vol. 21

A CHARACTERIZATION OF THE CLOSURE OPERATORS BY MEANS OF ONE AXIOM *

BY JOSÉ MORGADO Instituto de Física e Matemática, Universidade do Recife, Brasil PORTUGALIAE MATHEMATICA Vol. 23 - Fasc. 1 - 1964



NOTE ON THE DISTRIBUTIVE CLOSURE OPERATORS OF A COMPLETE LATTICE (*)



FACTORIZATION OF THE LATTICE OF CLOSURE OPERATORS OF A COMPLETE LATTICE *

BY JOSÉ MORGADO

Instituto de Física e Matemática, Universidade do Recife, Brasil

A BASIS FOR A MATHEMATICAL THEORY OF COMPUTATION¹) JOHN McCARTHY





1963

Bridge between computability and programming!

Peter Naur 1966. Proof of algorithms by general **snapshots**



The stepping stone of the approach is what is called General Snapshots, i.e. expressions of static conditions existing whenever the execution of the algorithm reaches particular points. General Snapshots are further shown to be useful for constructing algorithms.

Greatest number, with snapshots **comment** General Snapshot 1: $1 \leq N$; r := 1;comment General Snapshot 2: $1 \leq N, r = 1;$ for i := 2 step 1 until N do begin comment General Snapshot 3: $2 \leq i \leq N, 1 \leq r \leq i-1,$ A[r] is the greatest among the elements $A[1], A[2], \ldots, A[i-1];$ if A[i] > A[r] then r := i; **comment** General Snapshot 4: $2 \leq i \leq N$, $1 \leq r \leq i$, A[r] is the greatest among the elements $A[1], A[2], \ldots, A[i];$ end; comment General Snapshot 5: $1 \leq r \leq N$, A[r] is the greatest among the elements A[1], A[2], ..., A[N];R := A[r];comment General Snapshot 6: R is the greatest value of any element, $A[1], A[2], \ldots, A[N];$



Peter Naur 1966. Proof of algorithms by general **snapshots**

Snapshots.

Our proof problem is one of relating a static description of a result to a dynamic description of a way to obtain the result. Basically there are two ways of bringing the two descriptions closer together, either we may try to make the static description more dynamic, with a hope of getting to the given algorithm, or we may try to make the dynamic description more static. Of these the second is clearly preferable because we have far more experience in manipulating static descriptions, through practise in dealing with mathematical formulae. Therefore, if only we can derive some static description from the dynamic one, there is good hope that we may manipulate it so as to show that it is identical with the given static description.



Related to State Vectors in McCarthy's work on ALGOL

Robert Floyd 1967. Assigning meanings to programs.



1. J. McCarthy, "A basis for a mathematical theory of computation" in Computer programming and formal systems, North-Holland, Amsterdam, 1963, pp. 33-70. 2. ____, Towards a mathematical science of computation, Proc. IFIP Congr. 62, North-Holland, Amsterdam, 1962, pp. 21-28.





J.W. DeBakker 1968 Axiomatics of simple assignment statements

R. Burstall 1968 Proving Properties of programs by structural induction

C.A.R Hoare 1969. An axiomatic basis for computer programming



$P\left\{Q\right\}R.$

C. Strachey & D. Scott. 1971 A mathematical theory of computation.



$\llbracket \cdot \rrbracket : AExp \longrightarrow (State \longrightarrow Val)$ $\llbracket \cdot \rrbracket : Comm \longrightarrow (State \longrightarrow State)$

Denotational semantics was less relevant to the origins of abstract interpretation than expected

Programming T.A. Standish Editor Languages

Inductive Methods for Proving Properties of Programs

Zohar Manna, Stephen Ness, Jean Vuillemin Stanford University

There are two main purposes in this paper: first, clarification and extension of known results about computation of recursive programs, with emphasis on the difference between the theoretical and practical approaches; second, presentation and examination of various known methods for proving properties of recursive programs. Discussed in detail are two powerful inductive methods, computational induction and structural induction, including examples of their applications.

A program may consist in general of a system of recursive definitions of the form

$$\begin{cases} F_1(ar{x}) \Leftarrow au_1[F_1, \dots, F_n](ar{x}) \ F_2(ar{x}) \Leftarrow au_2[F_1, \dots, F_n](ar{x}) \ dots \ F_n(ar{x}) \Leftarrow au_n[F_1, \dots, F_n](ar{x}), \end{cases}$$







Z. Manna & A. Pnueli 1974. Axiomatic approach to total correctness of programs





(g)

While Rule.

 $\langle p(\overline{x}) \wedge t(\overline{x}) | B | q(\overline{x}, \overline{x'}) \wedge [\neg t(\overline{x'}) \vee u(\overline{x}) > u(\overline{x'})] >$ $q(\overline{x},\overline{x}') \wedge t(\overline{x}') \supset p(\overline{x}')$ $q(\overline{x},\overline{x}') \land q(\overline{x}',\overline{x}'') \supset q(\overline{x},\overline{x}'')$ $F(\overline{x}) \wedge \tilde{t}(\overline{x}) \supset q(\overline{x},\overline{x})$ < $p(\overline{x}) \mid \underline{while} t(\overline{x}) \underline{do} B \mid q(\overline{x}, \overline{x'}) \wedge \neg t(\overline{x'}) >$

where (w, 4) is a well-founded set and $u: X \rightarrow W$.



E. Dijkstra 1975. Guarded Commands, Nondetermina



wp("S1 ; S2", R) = wp(S1, wp(S2,R))

Guarded Commands, Nondeterminacy and Formal Derivation of Programs

Prove correctness and synthesise correct code





A. Turing







E. Galois





Alfred Tarski (1902-1983)



D. Scott

The years 1972—1977

..... credits to Tom Ball



Early years (1972-73): Formal semantics

Patrick Cousot worked on the operational semantics of programming languages and the derivation of implementations from the formal definition.

Patrick Cousot. Définition interprétative et implantation de languages de programmation. Thèse de Docteur Ingénieur en Informatique, Université Joseph Fourier, Grenoble, France, 14 Décembre 1974 (submitted in 1973 but defended after finishing military service with J.D. Ichbiah at CII).

Static analysis of the formal definition and transformation to get the implementation by "pre-evaluation" (similar to the more recent "partial evaluation")



Before starting (1972-73): formal syntax



Radhia Rezig worked on precedence parsing (R.W. Floyd, N. Wirth and H. Weber, etc.) for Algol 68

Pre-processing (by static analysis and transformation) of the grammar before building the *bottom-up* parser



Patrick Cousot worked on context-free grammar parsing (J. Earley and F. De Remer)

Pre-processing (by static analysis and transformation) of the grammar before building the top-down parser

- Université Joseph Fourier, Grenoble, France, September 1972.
- Congrès AFCET 72, Brochure 1, pages 106-130, Grenoble, France, 6-9 November 1972.

Radhia Rezig. Application de la méthode de précédence totale à l'analyse d'Algol 68, Master thesis, Patrick Cousot. Un analyseur syntaxique pour grammaires hors contexte ascendant sélectif et général. In



Intervals \rightarrow

Assertions →

Static analysis →

pas le niveau de "compréhension" des programmes. Les langages actuels ne sont pas faits pour l'optimisation. Entre autres, il y a certains faits sur un programme qui sont connus du programmeur et qui ne sont pas explicites dans le programme. On pourrait y remédier en incluant des assertions, tout comme on insère des déclarations de type pour les variables.

Exemple :

- (1) pour i de 0 à 10 faire a[i] := i ; fin ;
- (2) pour i de 11 à 10000 faire a[i] := 0 ; fin ;
- (3) a[(a[j] + 1) x a [j + 1]] := j ;
- (4) si $a[j x j + 2 x j + 1] \neq a[j]$ aller à étiquette ;

Pour un tel programme, il est important de savoir que $1 \le j \le 99$ (à charge éventuellement au système de le déduire à partir d'autres assertions), parce qu'on peut alors remplacer (4) par (4') : (4') si j < 10 aller à étiquette ;

Cette insertion d'assertions peut donc servir de guide à une analyse automatique des programmes essentielle pour l'optimisation (mais également pour la mise au point, la documentation automatique, la décompilation, l'adaptation à un changement d'environnement d'exécution...). Dans tous les exemples que nous avons pris, (équivalence de définitions de données, équivalence de définition d'opérateurs) nous avons conduit cette analyse sémantique à la main.

La possibilité de son automation, nous semble conditionner les progrès dans le domaine de l'optimisation de l'implantation automatisée d'un langage étant donnée sa définition, aussi bien que dans celui de l'optimisation des programmes [41].

1974: The origins

Radhia Rezig shows her interval analysis ideas to Patrick Cousot

- \rightarrow Patrick very critical on going backwards from $[-\infty, +\infty]$ and claims that going forward would be much better
- Patrick also very skeptical on forward termination for loops

Radhia comes back with the idea of extrapolating bounds to $\pm \infty$ for the forward analysis

The discover of widening = induction in the abstract and that the idea is very general!!









Notes of Radhia Rezig on forward iteration from $\Box = \bot$ (forward least fix-point) versus **backward** iteration from [- ∞ , + ∞] (backward greatest fix-point)



The IRIA-SESORI contract (1975-76)

VERIFICATION STATIQUE DE LA COHERENCE DYNAMIQUE DES PROGRAMMES

(1) Patrick COUSOT(2) Radhia COUSOT

(1) - Attaché de Recherche au CNRS

(2) - Chercheur sous contrat IRIA-SESORI Nº 75-035

VERIFICATION STATIQUE DE LA COHERENCE DYNAMIQUE DES PROGRAMMES

1°) - PRESENTATION DU PROBLEME -

La notion de type ou mode dans les langages de programmation, permet générale ment une vérification statique (à la compilation), de la cohérence dynamique (à l'exécution) des programmes. Toute valeur a un mode unique, qui caractéris les actions qui peuvent être exécutées sur ou avec elle. En ALGOL 68 par exemple, les déclarations :

struct (int jour, string mois, int an) t = (11, "juillet", 1971);
struct (long bits c) u;

permettent au compilateur de détecter que les écritures mois <u>of</u> u

ou

c <u>of</u> t

sont erronées.

Pour certains types toutefois, certaines opérations sur des objets de ce type ne sont pas définies pour certaines valeurs ayant ce type. Par exemple, en PASCAL [1] on peut déclarer :

type personne = record

nom : alfa ;

père, mère : † personne ;

var x, y, z : ↑ personne ;

end

mais l'opération x † • nom n'est définie que si x ‡ <u>nil</u>. Les techniques de compilation actuelles ne permettent pas de déterminer que x n'est pas <u>nil</u> quand on accède à un champ de l'enregistrement repéré par x. De ce fait, certains compilateurs^{*} insèrent des tests dans le code généré pour détecter ce genre d'erreur à l'exécution du programme.

* La plupart des compilateurs utilisent le mécanisme de protection mémoire (à un coût pratiquement nul), mais cette technique n'est pas utilisable pour des langages d'implémentation de système, comme LIS.

The first abstract interpreter with widening (as of 23 Sep. 1975)

[1] p	rocédure interprétation abstraite (graphe = (A x (N_a , N_t ,
[2] 4	ébut
[3]	pour chaque arc de A faire contexte local (arc) := 4 ref
[4]	chemins à exécuter := {arc initial (graphe)} ; jonctions
[5]	tantque (chemins à exécuter ‡ Ø) faire
[6]	tantque (chemins à exécuter ‡ Ø) faire \$sélectionner
[7]	and := choix (chemins à exécuter) :
[8]	chemins à exécuter := chemins à exécuter -{anc} :
[9]	noeud traité := extrémité-finale (arc) :
[10]	cas
[11]	$\frac{1}{1}$ noeud traité $\in \mathbb{N} \rightarrow$
[12]	calcul contexte sortie (arc sortie(noeud trai
	J (noeud traité, contexte local(arc))) :
[13]	=a npeud traité ϵ N \rightarrow
[14]	(V, F) := J (noeud traité, contexte local(arc
[15]	calcul contexte sortie (arc sortie vrai (noeu
[16]	calcul contexte sortie (arc sortie faux (noeu
[17]	noeud traité € (N. UN.) → jonctions U := {noe
[18]	noeud traité $\in \mathbb{N} \xrightarrow{J_b}$
[19]	fincas;
[20]	refaire ;
[21]	pour chaque noeud de jonctions faire
	3
[22]	contexte sortie := Ū contexte local (prédécess prédécesseur arcs d'entrée
[23]	si -(contexte sortie $\overline{\leq}$ contexte local (arc sort
[24]	
[25]	• noeud $\in N_{j} \rightarrow \cdots$
[26]	calcul contexte sortie (arc sortie (noe
[27]	contexte sortie
[28]	noeud ϵ N, \rightarrow
[20]	Jb
[ao]	contexte logal (and contie(need))
fail	fincas
[10]	finci :
[uz]	
[33]	refaire ;
[34]	jonction := Ø;
[35]	reraire ;
[36]	procedure calcul contexte sortie (arc, contexte);
[37]	
[38]	$\frac{SI}{I}$ \neg (contexte < contexte local (arc)) alors
[39]	contexte local (arc) := contexte ;
[40]	chemins à exécuter u := (arc) ;
[41]	finsi ;
[42]	fin ;
[43] f	in
-	

- 57 -

UNIVERSITE SCIENTIFIQUE ET MEDICALE et INSTITUT NATIONAL POLYTECHNIQUE de GRENOBLE

MANUELANCLES APPLICIES INFORMATIONE

Laboratoire associe au CNRS n7

B.P. 53 - 38041 GRENOBLE cédex France

The first research report (Nov. 1975)

STATIC VERIFICATION OF DYNAMIC TYPE PROPERTIES OF VARIABLES

Patrick COUSOT and Radhia COUSOT

RR. 25 Novembre 1975

RAPPORT DE RECHERCHE

N_j, N_j, N_s, {n_e}))) faire ;

ns := Ø;

r un arc à

ité),

rc)); eud traité), V); eud traité), F); peud traité};

sseur) e (noeud)

tie(noeud)) alors

beud), ie);

1

ud), 7 contexte sortie);

The first publication (ISOP II, Apr. 1976)

programmation

Proceedings of the 2nd international symposium on Programming edited by B. Robinet Paris April, 13-15 1976

Actes du 2^e colloque international sur la programmation direction B. Robinet Paris

13-15 avril 1976



phase recherche

106

STATIC DETERMINATION OF DYNAMIC PROPERTIES OF PROGRAMS

Patrick COUSOT* and Radhia COUSOT**

Université Scientifique et Médicale de Grenoble

1 - INTRODUCTION -

In high level languages, compile time type verifications are usualy incomplete, and dynamic coherence checks must be inserted in object code. For example, in PASCAL one must dynamically verify that the values assigned to subrange type variables, or index expressions lie between two bounds, or that pointers are not nil, ... We present here a general algorithm allowing most of these certifications to be done at compile time. The static analysis of programs we do consists of an abstract evaluation of these programs, similar to those used by NAUR for verifying the type of expressions in ALGOL 60 [6], by SINTZOFF for verifying that a module corresponds to its logical specification [9], by KILDALL for global program optimization [5], by WEGBREIT for extracting properties of programs, [9], by KARR for finding affine relationships among variables of a program [4], by SCHWARTZ for automatic data structure choice in SETL [8] ,... The essential idea is that, when doing abstract evaluation of a program, "abstract" values are associated with variables instead of the "concrete" values used while actually executing. The basic operations of the language are interpreted accordingly and the abstract interpretation then consists in a transitive closure mechanism. One máy consider abstract values belonging to no finite sets, but the properties of the transitive closure algorithm are chosen such that the abstract interpretation stabilizes after finitely many steps,

* Attaché de Recherche au CNRS, Laboratoire Associé N°7.

** This work was supported by IRIA-SESORI under grant 75-035.



The breakthrough!



Laboratoire d'Informatique, U.S.M.G., BP. 53 38041 Grenoble cedex, France

POPL 1977 Los Angeles, January 17-19, 1977

```
Patrick Cousot<sup>*</sup>and Radhia Cousot<sup>**</sup>
```

The POPL 1977 submission

For 4th POPL'77, Patrick and Radhia submitted on August 12, 1976 hard copies of a two-hands written manuscript of **100 pages**.

ABSTRACT INTERPRETATION : A UNIFIED LATTICE MODEL FOR STATIC ANALYSIS OF PROGRAMS BY CONSTRUCTION OR APPROXIMATION OF FIXPOINTS. ABSTRACT _

or strong properties.

- Type checking,

- Program testing

- etc,

Abstract interpretation of pognams is phonon to be

a suitable means to staticly analyse their weak

. Global data flow analysis for propan optimization

(AHO and ULLMAN [1973], BRANQUART & al. [1973], FONG et al. [1975],

(NAUR [1965], SCHWARTZ [1975], SINTZOFF [1978], TENNENBAUM [1974]

. Symbolic computation of programs complexity

(BOYER et al. [1975], HE VIENS IN [1975], KING [1976])

TICCARTHY[1963a,0], SCOTT and STRACHEY[1941])

are apparently unrelated program analysis techniques

which may be understood as particular abstract

FLOYD [1967], OAR [16], MANNA et al [1973], PARK [1963], SINTZOFF [19752]

SINTZOFF[19762], SITES[1974]

partial function computed by a program

- Subscript range runtime test elision,

(COUSOT [1975a], WEGBREIT [19756])

(KNUTH [1969], WEGBREIT [19750])

KILDALL [1973], MOREL and RENVOISE [1974], SCHWARTZ [1975], WEGBREIT [1975]).

DRAFT P. COUSOT * and R. COUSOT ** Université 'Scientifique et Redicale de Grenoble, Leberatoire d'Informatique BP 53, 38041 Grenoble Cedex. France.

August 12, 1976

* Attaché de Recherche au CNRS, Laboratoire Associé Nº.7.

Ne)

** This work was supported by IRIA-SESORI under grant 75-035.

interpretations of programs - We exhibit a formal lattice theoretic model which relates the above examples and synthetisizes similarities. Abstract properties of a language as a complete semimodeled interpretations of elementary po are defined as semartic definition system of recursive derived from any program Mac CARTHY [19632], hence the abstract properties of a program are defined as one of the solutions of the above system, which is one of the Pixpoints of a complete morphism KLEENE [1952]. The extreme fixpoints are the limit of KLEENE's sequences. When the abstract semi lattice satisfies chain conditions BIRKOFF [1967], the fixed points are reachable through a finite computation, and this gives a unifying view of all finite program analysis methods_ (FONG or al [1975], HECHT and ULLMAN [1973], KILDALL [1973], MOREL and RENVOISE [1876], SCHWARTZ [1875], WEGBREIT [1975 6]). When, the KLEENE's sequences are infinite the fisepoint which is their limit may be:

- approached using approximations methods. Some are proposed, cousor [1975a,b], which garantee the abstract interpretation process to be correct (i.e. compatible with the usual executions of programs), and to terminate, which implies that it can be fully worked out at compile time, cousor [1976a,b].

- or obtained from heuristics or from the programmer, and poved to be reachable using some induction principle, PARK [1969].

KEY WORDS AND PHRASES _

Automated de bugging, code optimization, compiler design, error detection, models of programs, program schemate, program verification, semantic of programming languages, lattice, application of the fixpoint theorem.



A program denotes (complicated) universe of objects. The interpretation of programs, otation to describe (simple) other universe of abstract ope that the results of n will give us some actual computations. abstract interpretation, is the ue borrow from SINTZOFF [1972]

tion of integers, but it can ote computations on the universe here the semantics of operators by the rule of signs. Our to the following reductions 17 (+) e result of -1515 * 17

rule of signs which we borrow from SINTZOFF [1972] The text : - 1515 * 17 denotes the multiplication of integers, but it can be understood to denote computations on the universe ? (+), (-), (=) y where the semantics of operators +, *, ... are defined by the rule of signs. Our above example leads to the following reductions _ 1515 * 17 => -(+) *(+)>> (-) * (+) => (-) which state that the result of -1515 * 17

ABSTRACT _

Abstract interpretation of poquans is shown to be a suitable means to staticly analyse their weak or strong properties.

- . Global data flow analysis for pagam optimization, (AHO and ULLMAN [1973], BRANQUART & al. [1973], FONG et al. [1975], KILDALL [1973], MOREL and RENVOISE [1974], SCHWARTZ [1975], WEGBREIT [1975]).
- . Type checking,

(NAUR [1965], SCHWARTZ [1975], SINTZOFF [1978], TENNENBAUM [1974])

- Subscript range runtime test elision;
- (COUSOT [1975a], WEGBREIT [19756])
- . Symbolic computation of programs complexity, (KNUTH [1969], WEGBREIT [1975a])
- Program testing

= etc.,

- (BOYER et al. [1975], HENDERSON [1975], KING [1976 b]) - Programs partial correctness poops, (BURSTALL [1974],
- FLOYD [1967], HOARE [1967], MANNA et al. [1973], PARK [1969], SINTZOFF [1975a] - troops of pogram termination,
- (MANNA and VUILLEMIN [1972], SINTROFF[19762], SITES[1974]) - Derivation of the partial function computed by a program, MCCARTHY [1963a, b], SCOTT and STRACHEY [1971])

are apparently unrelated program analypis techniques which may be understood as particular abstract.

KEY WORDS AND PHRASES_ Automated debugging, code optimization, compiler design, eiror detection, models of pograms, pogram schemata, pogram verification, semantic of pogramming languages, lattice, application of the fixpoint theorem.







3.3.2 - Extreme fixed points existence The definition of a global program property as some of the multiple solutions to a system of equations is somewhat too inaccurate - Fortunately the fixed points form a complete semi lattice relative to the ordering Zr (TARSKi[1955]). There is a greatest fixpoint.

Summary STRACHEY [1971]) may be associated to any program, which fix point is the "meaning" of the program . (MANNA et al. [1973]).

	-	-		
	_	-	İ	
	_		ļ	
			Ī	
_	-		ł	

_ In order to built approximation sequences we define the widening V such that: $[] \overline{V} S = S \overline{V} [] = S$ · Lij] V Lk, C = [if k<i then -oo else i fi, if l>j then +00 else j fi

MARKS d all larguage features camming languages, nor tried housand and one possible rk. However we think that Le compiler design and (especially code optimization), ig languages which should efine abstract models of for program specification), programming language iterpretation of programs ruice of the programmer ype which should be error detection facilités).

Everything was there!

L'approche du point fixe à l'étude du comportement d'un système 3.1.3 dynamique discret i.e. pre DEFINITION 3.1.3.0.2

DEFINITION 3.1.3.0.1

 $wp \in (((S \times S) + B) \rightarrow ((S \rightarrow B) \rightarrow (S \rightarrow B)))$

= $\lambda \theta \cdot \{\lambda \beta \cdot [\lambda e_1 \cdot (\frac{1}{2}e_1 \in S : \theta(e_1, e_1)]\}$

Partant du fait que $\tau^* = eq$ ou $\tau^* \circ \tau = eq$ ou $\tau \circ \tau^*$, nous obtiendrons $wp(\tau^*)$ et $sp(\tau^*)$ comme points fixes d'une équation.

THEOREME 3.1.3.0.3

- (a) $((S_xS) \rightarrow B) (\Longrightarrow, \lambda(e_1, e_2), faux, \lambda(e_1, e_2), vrai, OU, ET, non)$ est un treillis booléen complet,
- (b) Soient a, $b \in ((SxS) \rightarrow B)$ alors $\lambda \alpha \cdot [a \quad ou \quad b \circ \alpha]$ et $\lambda \alpha \cdot [a \quad ou \quad \alpha \circ b]$ sont des morphismes complets pour la disjonction,
- (c) Soient $\tau \in ((SxS) + B)$ et eq la relation d'égalité alors $\tau^* = lfp(\lambda \alpha . [eq ou \alpha \circ \tau]) = lfp(\lambda \alpha . [eq ou \tau \circ \alpha]).$

THEOREME 3.1.3.0.6.

Quels que soient a, $b \in ((S \times S) \rightarrow B)$ et $\beta \in (S \rightarrow B)$ nous avons: $wp(lfp(\lambda \alpha .[a ou boa]))(\beta)$ = $lfp(\lambda\alpha.[wp(a)(\beta) ou wp(b)(\alpha)])$ = <u>CU</u> wp(b^Π)(wp(a)(β)) $sp(lfp(\lambda \alpha .[a ou \alpha ob]))(\beta)$ = $lfp(\lambda\alpha.[sp(a)(\beta) ou sp(b)(\alpha)])$ = $\underline{OU} sp(b^{n})(sp(a)(\beta))$ πεω

iterative fixpoint computation

with abstraction h

POPL1977

This implies that A-Cont is in fact a complete lattice, but we need only one of the two join and meet operations. The set of context vectors is defined by A-Cont = Arcs⁰ \rightarrow A-Cont. Whatever (Cv', Cv") ϵ A $\widetilde{-} ext{Cont}^2$ may be, we define :

 $Cv' \sim Cv'' = \lambda r \cdot Cv'(r) \circ Cv''(r)$

 $Cv' \leq Cv'' = \{ \forall r \in Arcs^0, Cv'(r) \leq Cv''(r) \}$

 $\widetilde{\tau} = \lambda \mathbf{r} \cdot \mathbf{T}$ and $\widetilde{\perp} = \lambda \mathbf{r} \cdot \mathbf{I}$

<A-Cont, $\stackrel{\sim}{_{o}}$, $\stackrel{\simeq}{\leq}$, $\stackrel{\sim}{_{T}}$, $\stackrel{\sim}{_{\perp}}>$ can be shown to be a complete lattice. The function :

Int : Arcs⁰ × A-Cont → A-Cont

defines the interpretation of basic instructions. If $\{C(q) \mid q \in \underline{a-pred}(n)\}$ is the set of input contexts of node n, then the output context on exit arc r of n (r ϵ a-succ(n)) is equal to Int(r, C). Int is supposed to be order-preserving :

 $\forall a \in Arcs, \forall (\underline{Cv'}, \underline{Cv''}) \in A-Cont^2,$

 $\{\underline{Cv'} \in \underline{Cv''}\} \implies \{\underline{Int}(a, \underline{Cv'}) \leq \underline{Int}(a, \underline{Cv''})\}$ The local interpretation of elementary program constructs which is defined by Int is used to associate a system of equations with the program. We define

 $\underbrace{\operatorname{Int}}_{\operatorname{Int}}: \operatorname{A-Cont} \rightarrow \operatorname{A-Cont} | \underbrace{\operatorname{Int}}_{\operatorname{Cv}}(\operatorname{Cv}) = \lambda r \cdot \operatorname{Int}(r, \operatorname{Cv})$ It is easy to show that Int is order-preserving. Hence it has fixpoints, Tarski[55]. Therefore the context vector resulting from the abstract inter-

pretation I of program P, which defines the global properties of P, may be chosen to be one of the extreme solutions to the system of equations $\underline{Cv} = \underline{Int}(\underline{Cv}).$

5.2 Typology of Abstract Interpretations

The restriction that "A-Cont" must be a complete semi-lattice is not drastic since Mac Neille[37] showed that any partly ordered set S can be embedded in a complete lattice so that inclusion is preserved, together with all greatest lower bounds and lowest upper bounds existing in S. Hence in practice the set of abstract contexts will be a lattice, which can be considered as a join (U) semi-lattice or a meet (n) semi-lattice, thus giving rise to two dual abstract interpretations.

It is a pure coincidence that in most examples (see 5.3.2) the $\ensuremath{\,\cap\,}$ or $\ensuremath{\,\cup\,}$ operator represents the effect of path converging. The real need for this operator is to define completeness which ensures \underline{Int} to have extreme fixpoints (see 8.4).

The result of an abstract interpretation was defined as a solution to forward (\rightarrow) equations : the output contexts on exit arcs of node n are defined as a function of the input contexts on entry arcs of node n. One can as well consider a system of backward (+) equations : a context may be related to its successors. Both systems (+, \rightarrow) may also be combined.

Finally we usually consider a maximal (*) or minimal (\downarrow) solution to the system of equations, (by agreement, maximal and minimal are related to the ordering \leq defined by $(x \leq y) \iff (x \cup y = y)$ <=> (x ∩ y = x)). However known examples such as Manna and Shamir [75] show that the suitable solution may be somewhere between the extreme ones.

These choices give rise to the following types of abstract interpretations :

(∩,→,↓) (∪.→.↓) (∪,∻,↑)

Examples :

Kildall[73] uses $(n, \rightarrow, \uparrow)$, Wegbreit[75] uses $(\cup, \rightarrow, \downarrow)$. Tenenbaum[74] uses both $(\cup, \rightarrow, \downarrow)$ and (∩,←,↑).

5.3 Examples

5.3.1 Static Semantics of Programs The static semantics of programs we defined in section 4 is an abstract interpretation :

 $I_{SS} = \langle Contexts, \cup, \subseteq, Env, \emptyset, \underline{n-context} \rangle$ where Contexts, U, \leq , Env, ϕ , <u>n-context</u>, Context-Vectors, \tilde{u} , $\tilde{\underline{c}}$, <u>F-Cont</u> respectively correspond to A-Cont, \circ , \leq , τ , <u>1</u>, <u>Int</u>, A-Cont, $\tilde{\circ}$, $\tilde{\leq}$, <u>Int</u>.

5.3.2 Data Flow Analysis

Data flow analysis problems (see references in Ullman [75]) may be formalized as abstract interpretations of programs.

"Available expressions" give a classical example. An expression is available on arc r, if whenever control reaches r, the value of the expression has been previously computed, and since the last computation of the expression, no argument of the expression has had its value changed.

Let $Expr_p$ be the set of expressions occuring in a program P. Abstract contexts will be sets of available expressions, represented by boolean vectors

B-vect : $Expr_p \rightarrow \{\underline{true}, \underline{false}\}$

B-vect is clearly a complete boolean lattice. The interpretation of basic nodes is defined by :

<u>avail</u>(r, <u>Bv</u>) let n be origin(r) within

esac

 $\lambda e.(\underline{generated}(n)(e) \text{ or } ((\underline{and} \underline{Bv}(p)(e))) = \underbrace{p \in a-pred}_{p \in a-pred}(n)$

and transparent(n)(e)))

(Nothing is available on entry arcs. An expression e is available on arc r (exit of node n) if either the expression e is generated by n or for all predecessors p of n, e is available on p and n does not modify arguments of e).

The available expressions are determined by the maximal solution (for ordering $\lambda e \cdot false \approx \lambda e \cdot true$) of the system of equations :

 $\underline{Bv} = \underline{avail}(\underline{Bv})$

case n in Entries => λe.false Assignments υ Tests υ Junctions =>

topology and fixed point theorems are recalled in this context.

tactic algorithm (∮3.1).

In section 4, we study the mechanized discovery of approximate properties of recursive procedures. The notion of approximation of a semantic property is introduced by means of a closure operator on the U-topological lattice of predicates. Several characterizations of closure operations are given which can be used in practice to define the approximate properties of interest (§4.1.1). The lattice of closure operators induces a hierarchy of program analyses according to their fineness. Combinations of different analyses of programs are studied (§4.1.2). A closure operator defined on the semantic U-topological space induces a relative

* Attaché de Recherche au C.N.R.S., Laboratoire Associé n° 7. ** This work was supported by I.R.I.A.- S.E.S.O.R.I. under grant 76-160.

Topology, higher-order fixpoints, operational/ summary/... analysis

On this page: dual, conjugate and inversion: **Ifp/gfp** wp/sp (i.e. pre/post) wp/sp)

241

Formal Descriptions of Programming Concepts, E.J. Neuhold (ed.) North-Holland Publishing Company, (1978)

Laboratoire d'Informatique, U.S.M.G., BP.53 38041 Grenoble cedex, France

1. INTRODUCTION

FDPC1977

STATIC DETERMINATION OF DYNAMIC PROPERTIES OF RECURSIVE PROCEDURES

Patrick Cousot^{*} and Radhia Cousot^{**}

We present a general technique for determining properties of recursive procedures. For example, a mechanized analysis of the procedure reverse can show that whenever L is a non-empty linked linear list then reverse(L) is a non-empty linked linear list which shares no elements with L. This information about reverse approximates the fact that reverse(L) is a reversed copy of L.

In section 2, we introduce \sqcup -topological lattices that is complete lattices endowed with a ∐-topology. The continuity of functions is characterized in this

The semantics of recursive procedures is defined by a predicate transformer associated with the procedure. This predicate transformer is the least fixed point of a system of functional equations (§3.2) associated with the procedure by a syn-

THEOREM 6.4.0.2

- (1) Let I be a principal ideal and J be a dual semiideal of a complete lattice L(\subseteq , \perp , \top , \sqcup , \sqcap). If InJ is nonvoid then InJ is a complete and convex
- sub-join-semilattice of L. [2] - Every complete and convex sub-inin-semilattice C of L can be expressed in this form with
- $I = \{x \in L : x \subseteq (\sqcup C)\} \text{ and } \{x \in L : \{\frac{1}{2}y \in C : y \subseteq x\}\} \subseteq J.$

THEOREM 6.4.0.3

Let $\{I_i \in \Delta\}$ be a family of principal ideals of he complete lattice $L(\subseteq, \downarrow, \intercal, \bigcup, \square)$ containing L. Then $\lambda \times \sqcup \{ \cap I_i : i \in \Delta \land x \in I_i \}$ is an upper closure operator

Example 6.4.0.4

The following lattice can be used for static analysis of the signs of values of numerical variables :

(where ⊥, -, +, ∸, ≠0, ², ⊤ respectively stand for $\lambda \times false, \lambda \times \times <0, \lambda \times \times >0, \lambda \times \times \le 0, \lambda \times \times \neq 0, \lambda \times \times \ge 0,$ $\lambda x \text{.} \textit{true}$). A further approximation can be defined by the following family of principal ideals :

which induces an upper closure operator o :

and the space of approximate assertions (used in example 5.2.0.5)

End of Example.

7. DESIGN OF THE APPROXIMATE PREDICATE TRANSFORMER INDUCED BY A SPACE OF APPROXIMATE ASSERTIONS

In addition to A and γ the specification of a program analysis framework also includes the choice f an approximate predicate transformer $t \in (L \rightarrow (A \rightarrow A))$ (or a monoid of maps on A plus a rule for associating maps to program statements (e.g. Rosen[78])). We now show that in fact this is not indispensable since there exists a best correct choice of τ which is induced by \overline{A} and the formal semantics of the considered programming language.

7.1 A Reasonable Definition of Correct Approximate Predicate Transformers

At paragraph 3, given (V,A, au) the minimal assertion which is invariant at point i of a program π with entry specification $\phi\epsilon^A$ was defined as :

$$P_{i} = V \quad \widetilde{\tau}(p)(\phi)$$
$$i = p \in path(i)$$

POPL1979

Therefore the minimal approximate invariant assertion is the least upper approximation of P $_{ extsf{i}}$ in $\overline{ extsf{A}}$ that is : $O(P) = O(V) \widetilde{T}(D)(d)$

$$p(P_{i}) = p(\circ (ip)) (\phi)$$

$$i = p(path(i))$$

Even when path(i) is a finite set of finite paths the evaluation of $\widetilde{\tau}(p)(\phi)$ is hardly machine-implementable since for each path $p = a_1, \ldots, a_m$ the computation sequence $X_0 = \phi$, $X_1 = \tau(C(a_1))(X_0)$, \ldots , $X_m = \tau(C(a_m))(X_{m-1})$ does not necessarily only involve elements of \overline{A} and $\begin{array}{l} (\overline{A} \rightarrow \overline{A}) \text{. Therefore using } \overline{\varphi \in A} \text{ and } \underline{t} \underbrace{c} (\underline{L} \rightarrow (\overline{A} \rightarrow \overline{A})) \text{ a} \\ \text{machine representable sequence } \overline{X}_0 = \overline{\varphi}, \ \overline{X}_1 = \overline{t} \underbrace{c} (c(a_1))(\overline{X}_0), \\ \dots, \ \overline{X}_m = \overline{t} \underbrace{c} (c(a_m))(\overline{X}_{m-1}) \text{ is used instead of } X_0, \dots, X_m \end{array}$ which leads to the expression :

$$Q_{i} = \rho(v \quad \widetilde{t}(p)) \overline{\phi}$$
$$p \in path(i)$$

The choice of \overline{t} and $\overline{\phi}$ is correct if and only if \mathbb{Q}_i is an upper approximation of P_i in $\overline{\mathsf{A}}$ that is if and only if : ~ _

$$(v \tau(p)(\phi)) \Rightarrow \rho(v \tau(p)(\phi))$$

$$p \in path(i)$$
 $p \in path(i)$

In particular for the entry point we must have $\varphi \implies \rho(\overline{\varphi})=\overline{\varphi}$ so that we can state the following :

DEFINITION 7.1.0.1

(1) - An approximate predicate transformer [1] - An approximate predicate transformer $\overline{t} \in (L \to (\overline{A} \to \overline{A}))$ is said to be a correct upper approximation of $\tau \in (L \to (\overline{A} + \overline{A}))$ in $\overline{A} = p(\overline{A})$ if and only if for all $\phi \in A$, $\overline{\phi} \in \overline{A}$ such that $\phi \Longrightarrow \overline{\phi}$ and program π we have : $MOP_{\pi}(\tau, \phi) \Longrightarrow MOP_{\pi}(\overline{t}, \overline{\phi})$ [2] - Similarly if $\overline{A} > <\alpha, \gamma > A$, $t \in (L \to (\overline{A} + \overline{A}))$ is said to be a correct upper approximation of $\tau \in (L \to A)$ if and only if $\overline{A} \to \overline{A}$

$$\begin{split} &\tau_{\epsilon}(L \rightarrow (A \rightarrow A)) \text{ in } A = \alpha(A) \text{ if and only if } \forall \phi, \forall \phi: \\ &\phi \Rightarrow \gamma(\phi), \forall \pi, \alpha(\text{MOP}_{\pi}(\tau, \phi)) \equiv \text{MOP}_{\pi}(t, \phi)), \\ &(\text{i.e. } \text{MOP}_{\pi}(\tau, \phi) \Rightarrow \gamma(\text{MOP}_{\pi}(\overline{t}, \overline{\phi}))) \end{split}$$

This global correctness condition for \overline{t} is very difficult to check since for any program π and any program point i all paths $p \in path(i)$ must be considered. However it is possible to use instead the following equivalent local condition which can be checked for every type of statements :

Galois connections, closure operators, Moore families, ideals,...

Program analysis is approximating program semantics

Domain approximation

Key Ideas!

Formalise the notion of approximation in **Semantics**

Fix-point approximation

Vol. 38 Fasc. 1-2 - 1979

A CONSTRUCTIVE CHARACTERIZATION OF THE LATTICES OF ALL RETRACTIONS, PRECLOSURE, QUASI-CLOSURE AND CLOSURE OPERATORS ON A COMPLETE LATTICE

stal a month and a far
C. L. Y. L. The to the complete lettice MCC. LY T. LI. T. D. 9 5

PATRICK COUSOT AND RADHIA COUSOT *

Université de Metz Faculté des Sciences Ile du Saulcy 5700 Metz — França

PACIFIC JOURNAL OF MATHEMATICS Vol. 82, No. 1, 1979

CONSTRUCTIVE VERSIONS OF TARSKI'S FIXED POINT THEOREMS

PATRICK COUSOT AND RADHIA COUSOT

Let F be a monotone operator on the complete lattice L into itself. Tarski's lattice theoretical fixed point theorem states that the set of fixed points of F is a nonempty complete lattice for the ordering of L. We give a constructive proof of this theorem showing that the set of fixed points of F is the image of L by a lower and an upper preclosure operator. These preclosure operators are the composition of lower and upper closure operators which are defined by means of limits of stationary transfinite iteration sequences for F. In the same way we give a constructive characterization of the set of common fixed points of a family of commuting operators. Finally we examine some consequences of additional semicontinuity hypotheses.

The community

Dov Dov Dow Ave

Ave

Top 10 Downloaded Articles (past 6 weeks) **Top 10 Most Cited Articles**

Abstract interpretation: a unified lattice model for static analysis of programs by construction or approximation of fixpoints - 1977 Patrick Cousot, Radhia Cousot

Cited 2128 times

Proof-carrying code - 1997

George C. Necula

Cited 678 times

Systematic design of program analysis frameworks - 1979

Patrick Cousot, Radhia Cousot

Cited 639 times

Automatic discovery of linear restraints among variables of a program - 1978

Patrick Cousot, Nicolas Halbwachs

Cited 590 times

Bibliometrics: publication history

Publication years	1973-2019
Publication count	1,991
Citation Count	54,165
Available for download	1,838
Downloads (6 Weeks)	4,329
Downloads (12 Months)	35,907
Downloads (cumulative)	800,815
Average downloads per article	435.70
Average citations per article	27.20

Patrick Cousot^{*}and Radhia Cousot^{**}

Laboratoire d'Informatique, U.S.M.G., BP. 53 38041 Grenoble cedex, France

Scopus Metrics

3429 Citations

Total number of times this document has been cited in Scopus.

39 citations in this date range

Patrick Cousot^{*}and Radhia Cousot^{**}

Laboratoire d'Informatique, U.S.M.G., BP. 53 38041 Grenoble cedex, France

Scopus Metrics

3429 Citations

Total number of times this document has been cited in Scopus.

824 citations in this date range

Patrick Cousot^{*}and Radhia Cousot^{**}

Laboratoire d'Informatique, U.S.M.G., BP. 53 38041 Grenoble cedex, France

Scopus Metrics

3429 Citations

Total number of times this document has been cited in Scopus.

"abstract interpretation" OR "abstract domain") Articles whose title contains \checkmark "Abstract Interpretation" OR "abstract domain" Analyze

Articles whose tit encontains "Abstract Interpretation" OR "abstract domain"

Documents by country or territory

6

9

1

2

0

-2

5

1

Compare the document counts for up to 15 countries/territories.

The PL perspective

Imperative programming?

Lack of a clean/simple fixed point semantics

Dominance of compile-time data-flow static analysis (a la Kildall)

- compile-time optimisation
- compiler oriented

The 80s and 90s: The main difficulties in the spread of Abstract Interpretation

The 80s and 90s: The main difficulties in the spread of Abstract Interpretation

Functional programming?

Thus a predicative function of an individual is a first-order function; and for higher types of arguments, predicative functions take the place that first-order functions take in respect of individuals. We assume then, that every function is equivalent, for all its values, to some predicative function of the same argument. This assumption seems to be the essence of the usual assumption of classes [modern sets] . . . we will call this assumption the axiom of classes, or the axiom of reducibility.[14]

```
let square x = x * x
let rec fac n =
  if n = 0
  then 1
  else n * fac (n-1)
let rec fac2 = function
    0 -> 1
   n −> n * fac (n−1)
```

Mathematical Logic as based on the Theory of Types.

BY BERTRAND RUSSELL.

\$ oc	amlc -i trivial.ml
val	square : int -> i
val	fac : int -> int
val	$fac2 : int \rightarrow int$

Functional programming?

Dominance of type-based verification (a la Milner)

Binding-time analysis (a little open window for AI)

Strictness was essentially the only true example!

The 80s and 90s: The main difficulties in the spread of Abstract Interpretation

```
let square x = x * x
let rec fac n =
  if n = 0
  then 1
  else n * fac (n-1)
let rec fac2 = function
    0 \to 1
    n \rightarrow n * fac (n-1)
```


Concurrent programming?

Debate on the (right) model and the calculus

Dominance of types coming from the FP tradition and Milner's impact

> Synchronisation considered too hard for static analysis applications

The 80s and 90s: The main difficulties in the spread of Abstract Interpretation

 (νx) $(\overline{x}\langle z\rangle. 0$ $x(y). \ \overline{y}\langle x
angle. \ x(y). \ 0$) z(v). $\overline{v}\langle v\rangle$.0

Logic programming?

Simple synchronisation mechanism

Van Emden & Kowalski: The Semantics of Predicate Logic as a Programming Language, J. ACM 23, 4, 1976, pp. 733-742.

 $T_p(X) = \{A \mid A \leftarrow A_1, \dots, A_n \in P \land \{A_1, \dots, A_n\} \subseteq X\}$

The 80s and 90s: The main difficulties in the spread of Abstract Interpretation

Clean and simple fix-point semantics

Logic programming?

Clean and simple fix-point semantics

Simple synchronisation mechanism

The 80s and 90s: The main difficulties in the spread of Abstract Interpretation

No type system!!

 $\{y/c, x_2/c\}$

 $\{z/c, x_1/$

 $\leftarrow \operatorname{arc}(x,y), \operatorname{path}(y,c)$

Logic programming?

Clean and simple fix-point semantics

Simple synchronisation mechanism

Messy control!

- - AND/OR Parallelism (a true implementation CIAO) support to correct execution and debugging

The 80s and 90s: The main difficulties in the spread of Abstract Interpretation

...heavy problems in optimisation => analysis

The 80s and 90s: The main difficulties in the spread of Abstract Interpretation

Logic programming?

Abstract Interpretation in LP 80s & 90s

Abstract Interpretation vs Model Checking **1992** —

Model Checking and Abstraction^{*}

Edmund M. Clarke

Orna Grumberg

David E. Long

Predicate abstraction

SLAM

The 2nd breakthrough!

The Industrialisation of Abstract Interpretation

Very first industrial implementation:

The interval analysis was implemented in the AdaWorld compiler for IBM PC 80286 by J.D. Ichbiah and his Alsys SA corporation team in 1980–87.

GL

5

The 90s TOTALES TECHNOLOGIES

The Industrialisation of Abstract Interpretation The 2000s!

The Astrée case and the BIG change!

- Array index out of bounds
- Integer division by 0
- Invalid pointer dereferences
- Arithmetic overflows & wrap-arounds
- Floating point overflows and invalid operations
 - IEEE floating values Inf & NaN
- User-defined assertions, unreachable code
- uninitialised variables
- Elimination of false alarms by local refinement

rée - Example 1: scenarios (1) Analysis Editors Tools Help Example 1: scenario 🕤 Welcome Overall (36 findings Configuration 34 Alarms 3 Invalid usage of pointers and arrays Preprocesso Out-of-bound array access ABS Parser Possible overflow upon dereference 🧪 Analyzer nvalid ranges and overflows Overflow in conversion (with unpredictable re. A Annotation Overflow in arithmetic Results Failed or invalid directives Assertion failure Uninitialized variables 🛕 Call graph Use of uninitialized variable // Reports Data and control flow alarm 🚩 Infinite loop Violation of coding rule Preprocessed Original Analysis run # astree.cfd Parameter name Unreachable code # scenarios. Extern function declaration F main F msg1 F msg2 F registerMsg F sendMsg Function prototyp Compound loop ssential type assig imposite cast Controlling invariant Analysis stopped for contex Shared variable Data races Resource Monito Project Summary 2 Display variable in edit Errors: SPEED SENSOR main read main-process no no Display function in editor Alarms on code locations msg_buffer registerMsg write main-process no no Run-time errors: 9 🧱 Display variable in graph msg_buffer sendMsg read main-process no nc Flow anomalies: Rule violations: 23 Copy Alarms on memory location Copy current Data races: Reached code: 98% Copy part Duration: Export to CSV.. ▲ Output ▲ Findings ▲ Not reached ▼ Data flow ▲ Watch ▲ Search

Industrialisation

Microsoft

galois

amazon

The evolution of Abstract Interpretation

Almost Everything done!

endeavour toward better understanding.

Thanks!

History is neither watchmaking nor cabinet construction. It is an

-Marc Bloch

