On monitor-like asynchronous communication

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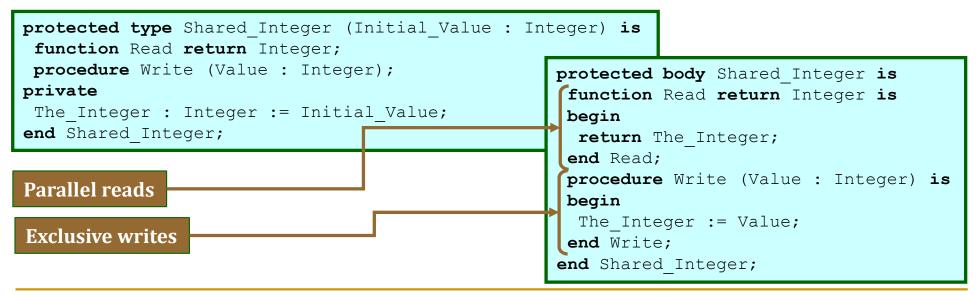
Defects of the base model

- Dijkstra's semaphores are a *poor* abstraction
 - They leave it to the user to decide where the critical sections are on a point basis
 - Without assuming or requiring language support ...
 - They only address exclusion synchronization
 - Their implementation without busy wait requires runtime support whose cost is greater than its benefit
- The monitor is a useful step forward
 - Per Brinch Hansen, "Structured Multiprogramming", CACM 15(7):574-578 (1972)
 - Its key advantage is to unite exclusion synchronization and avoidance synchronization in a single abstraction
 - Unfortunately, it still leaves the programming of condition (event) variables to the user, yielding an evident vulnerability

Addressing exclusion synchronization – 1

Requirements

- 1. Write access is exclusive to any other operation
- 2. Read access does not conflict with other reads
- It is opportune to distinguish between R/O and R/W access



Addressing *exclusion* synchronization -2

- Servers are *heavy-weight* abstractions
 - Appropriate when the collaboration logic is algorithmically complex and its cost pays off
 - Wasteful otherwise
- Protected resources are *lighter-weight* and have a much simpler termination semantics
 - They simply go out of scope ...
 - But they are unable to express complex synchronization logic

Addressing avoidance synchronization

Requirements

- 1. The caller shall be able to synchronize with an event determined by a (logical) state transition in the shared resource
 - Suspending until that event occurs
- 2. The runtime shall take care of making suspension, event notification, and resumption happen
 - No direct involvement by the programmer
- The resumption semantics is the most delicate piece of the puzzle
 - □ We have seen Java's blunder in addressing it ...
 - The solution is known as the eggshell model

The eggshell model – 1

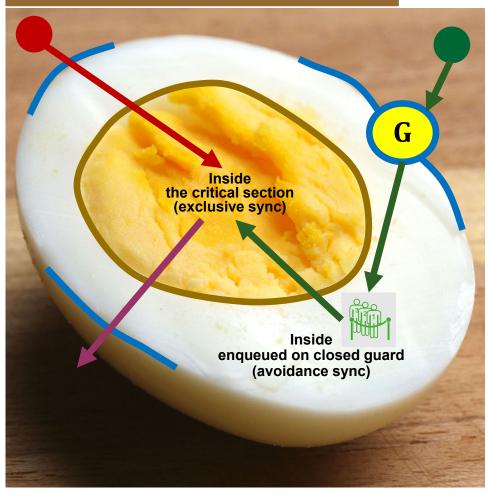
- Protected resource services that associate with state events are syntactically denoted
 - An entry prefixed by a Boolean guard
 - This signifies it is other than a procedure or a function
 - The Boolean guard represents the condition associated with the expected logical state of the resource
 - Orthogonal to be "free" for exclusive
- On a closed guard, the caller's request is enqueued within the resource
 - Not outside of it



This saves resumption from the risk of starvation

The eggshell model -2

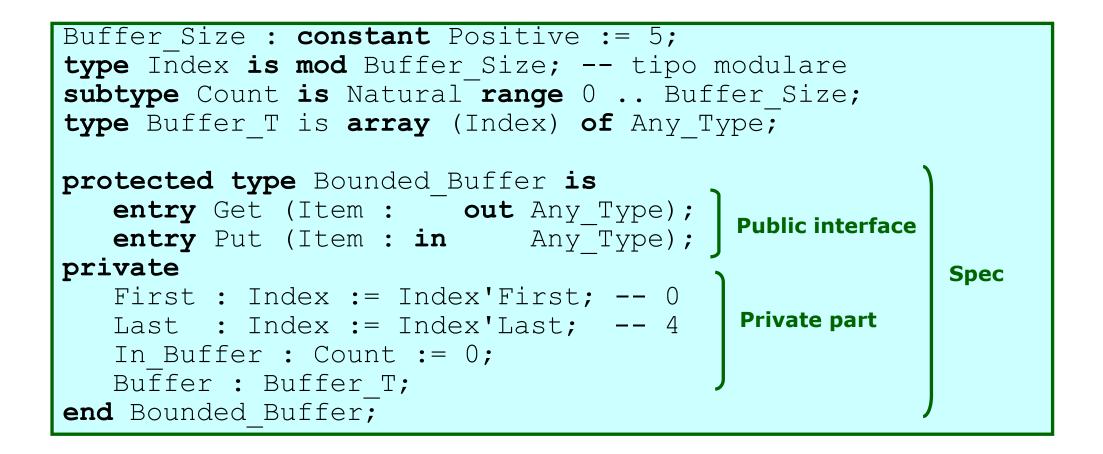
The three layers of the egg



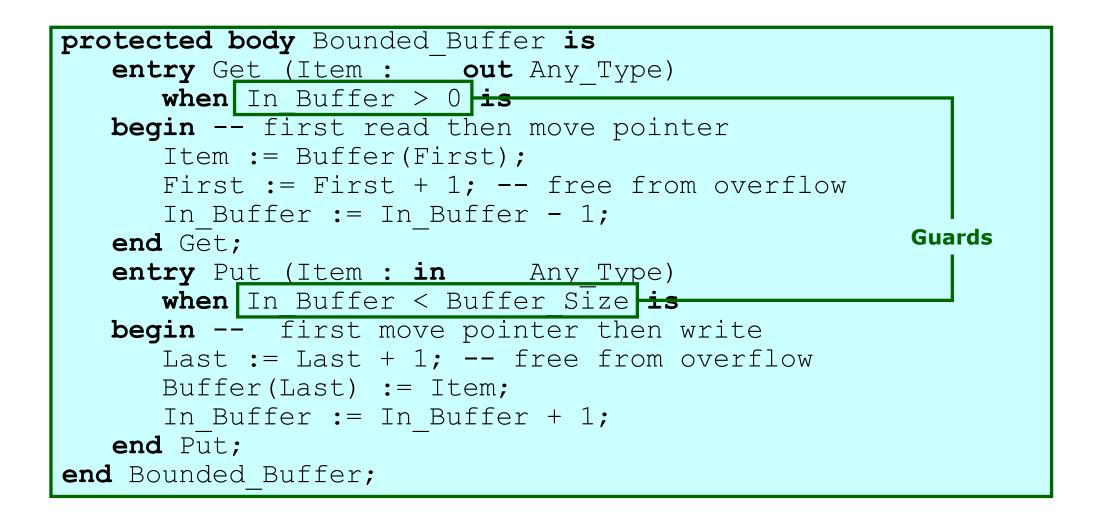
 Guard evaluation requires exclusion synchronization

- Relinquishing the lock on a closed guard enqueues the call *inside* the locked region
- On the corresponding event queue
- State events can only occur on execution with exclusive access to the state
 - Guards shall be re-evaluated every time a write-access operation completes
 - Hence potentially also during guard evaluation

Example: bounded buffer – 1



Example: bounded buffer – 2



The eggshell model -3

- A PR is under a read lock when one or more calls are executing a function on it
- A PR is under a write lock when a call is executing a procedure or an entry (including guard evaluation) on it
- All conflicting calls are enqueued <u>outside</u> of the protected resource
 - Exclusion queue

The eggshell model – 4

- Calls owning a write lock on a PR can call other services of the same PR *without* having to exit the eggshell
 - Such additional calls are serviced immediately
- Calls with targets *outside* of the PR that return to the PR compete for the lock on access to it
 - Very bad idea, exposed to the risk of stalling
 - A PR service that needs to call outside of itself shows poor encapsulation

Eggshell model evaluation rules – 1

- 1. When the PR is under read lock, a function call to it gets immediately executed; **goto** 8
- 2. When the PR under read lock, procedure or entry calls to it are held until the lock is relinquished
- 3. When the PR is under write lock, all calls to it are held until the lock is relinquished
- 4. When the PR is free, a function call sets it in read lock and executes it; **goto** 8
- 5. When the PR is free, procedure or entry calls set it to write lock, then
 - a. If the call is to a procedure, it gets executed; **goto** 6
 - b. If the call is to an entry, its guard is evaluated, then
 - i. If the guard is open, the entry gets executed; **goto** 6
 - ii. If the guard is closed, the call is enqueued (this is when any select clause on the call side gets evaluated); **goto** 6

Eggshell model evaluation rules -2

- 6. Any guard with a nonempty queue that may have changed since last evaluation, gets re-evaluated
 - a. If any guard were open, one is selected and its entry is executed and the corresponding call is dequeued; **goto** 6
 - b. Else goto 7
- 7. If no guard has a nonempty queue, goto 8
- From all calls enqueued outside of the PR, select either one that requires write lock or all that require read lock; goto 4 or 5
 - a. If no calls are enqueued outside of PR, the protocol ends
- Steps 6-7 are the heart of the eggshell model: they serve the event queues inside *in precedence* to outside calls

Further enhancement

- The number of calls enqueued on an entry queue (in servers and in protected resources) can be queried
 - By `Count, predefined function attribute of entry
 - This is a case of "read-only reflection", whereby the program inquires information on a runtime abstraction
- This feature requires call enqueuing to need a write lock on the protected resource
 - Or the channel in servers
- Using `Count in a guard expression causes its reevaluation every time a write-lock call gets executed
 - Runtime overhead versus interesting semantics

Example: group barriers – 1

A group-barrier check that lets $N \ge 1$ calls at once

N Ith

· I I C

1 11

	The N th caller will find the
protected Guardian is	guard closed, but its
entry Let_In;	enqueuing will change the
private	value of 'Count on that
Open : Boolean := False;	
end Guardian;	entry
protected body Guardian is	This will cause the re-
entry Let_In	evaluation of the guard,
when Let In'Count = N or Open is	which now has become
begin	open
<pre>if Let_In'Count = 0 then</pre>	•
Open := False;	The 1 st call in the queue will
else	be resumed and will change
Open := True;	the guard value so that it
end if;	stays open until the N th gets
<pre>end Let_In;</pre>	resumed, which will close
end Guardian;	the guard

Example: group barriers – 2

- Group barriers do not encapsulate shared state, but shield access to it
 - They can offer powerful semantics (as in the example) but leave it to the user to place the calls in the "right" place
- Homework: modify the logic of the group barrier so that <u>no more than N</u> callers ever be simultaneously within the protected space
 Currently, there is no such control

Precautions of use

- Execution within PR should be rapid
 - More than with servers, which are used to realize more complex service logic
- Execution with exclusion-access rights should **not** make potentially blocking calls
 - Such calls are those that may cause the caller to relinquish the CPU <u>synchronously</u>
 - If the runtime detects this it raises program error exception

Semantics of use

- PR are elaborated when their declaration is encountered in a declarative region being processed
- PR are finalized when their master terminates
 - □ <u>Not</u> until there are calls enqueued into it
 - Otherwise the calling threads should be terminated anomalously

Preferential ordering – 1

- Preferential ordering is useful when servicing certain calls yields more value than servicing others
 - The logic of that policy should be server-side, transparent to the client
 - Otherwise the client would have heavy coupling with it
- Exclusion synchronization alone does not suffice
- Guards are very well fit for it
 - Interestingly, protected resources allow realizing preferential ordering also on access to suspensive entities
 - However, such suspension should never occur within protected operations

Preferential ordering – 2

```
protected Access Control is
 entry Start Read;
procedure Stop Read;
entry Start Write;
procedure Stop Write;
private
Readers : Natural:= 0;
 Writers : Boolean := False;
end Access Control;
procedure Read (I : out Item) is
begin
Access Control.Start Read;
 ... -- actual read (suspensive)
Access Control.Stop Read;
end Read;
procedure Write(I : in Item) is
begin
Access Control.Start Write;
... -- actual write (suspensive)
Access Control.Stop Write;
end Write;
```

```
protected body Access Control is
'entry Start Read when not Writers and
   Start Write'Count = 0 is
 begin
 Readers := Readers + 1;
Lend Start Read;
procedure Stop Read is
 begin
 Readers := Readers - 1;
Lend Stop Read;
 entry Start Write when not Writers and
  Readers = 0 is
 begin
  Writers := True;
 end Start Write;
procedure Stop Write is
 begin
  Writers := False;
end Stop Write;
end Access Control;
```

Preferential ordering – 3

- The guard to entry Start_Write warrants exclusive access rights to write operations
 As if they were encapsulated in a protected resource
- The guard to entry Start_Read warrants preference to writes over reads
 - Baseline use case for guards in this regard

 Warning: when a critical section not encapsulated in a protected resource fails without returning, the program becomes erroneous and the runtime cannot help